



ASIC

Australian Securities &
Investments Commission

Commonwealth of Australia Gazette

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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ASIC

Australian Securities & Investments Commission

18-0283

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: M6 Securities Pty Ltd
ACN 125 113 126 ('the Licensee')

C/O Melody Gao
Sophie Grace Pty Ltd

By email: sophie@sophiegrace.com.au

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 411841 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 24 April 2018

Signed

A handwritten signature in black ink, appearing to read 'John J. Connor', written over a horizontal dotted line.

John Connor

A delegate of the Australian Securities and Investments Commission

18-0299

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 741(1) – Exemption and Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 741(1) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 18-0299.

Commencement

3. This instrument commences on 24 April 2018.

Exemption

4. A shareholder of Serko who makes an offer of ordinary shares for sale (*sale offer*) within 12 months after their issue does not have to comply with subsection 707(3) of the Act where:
 - (a) at the time of the issue of shares, Serko was listed on the financial market operated by NZX Limited for a period of at least 3 months and a notice that complied with clause 20 of schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand was given to NZX Limited;
 - (b) at the time of the sale offer, Serko is listed on the financial market operated by ASX Limited as an exempt foreign listing.

Declaration

5. Chapter 6D of the Act applies in relation to Serko as if the following provisions were modified or varied:
 - (a) in section 708A:
 - (i) in paragraph (1)(a) omit “(12A) or (12C),” and substitute “(12A), (12C) or (12G),”;

Note: ASIC Corporations (Non-Traditional Rights Issues) 2016/84 notionally inserted section 708A(12A) of the Act and ASIC Corporations (Sale Offers: Securities Issued on Conversion of Convertible Notes) 2016/82 notionally inserted section 708A(12C) of the Act.
 - (ii) in paragraph (1A)(a) omit “(5),” and substitute “(5) or (12G),”; and
 - (iii) in subsection (12A) omit paragraph “(b)”;
 - (iv) after subsection (12F) insert:

“Sale offers of quoted securities: NZ Foreign exempt listed body—case 6
 - (12G) The sale offer of securities in a New Zealand foreign exempt listed body does not need disclosure to investors under this Part if:
 - (a) trading in that class of securities on a prescribed financial market on which they were quoted was not suspended for more than a total of 5 trading days during:
 - (i) if this section applies because of subsection (1)–

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the shorter of the period during which the class of securities were quoted, and the period of 12 months before the day on which the relevant securities were issued; or

- (ii) if this section applies because of subsection (1A)—the shorter of the period during which the class of securities were quoted, and the period of 12 months before the day on which the relevant securities were sold by the controller; and

(b) either:

- (i) if this section applies because of subsection (1)—the body gives the relevant market operators for the body a notice that the body reasonably believes complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand before the sale offer is made; or
- (ii) if this section applies because of subsection (1A)—both the body, and the controller, gives the relevant market operators for the body a notice that the body and the controller reasonably believe complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand before the sale offer is made;

(c) the notice given under paragraph (b) states, in addition to any requirements of the *Financial Markets Conduct Regulations 2014* of New Zealand at the time the notice is given, that:

- (i) if this section applies because of subsection (1) — the body issued the relevant securities without disclosure to investors under this Part; or
- (ii) if this section applies because of subsection (1A) — the controller sold the securities without disclosure to investors under this Part; and
- (iii) the notice is being given under paragraph 708A(12G) as notionally inserted by instrument 18-0299;
- (iv) as at the date of the notice, the body has complied with its obligations under rule 1.15.2 of the listing rules of ASX Limited.

In this subsection:

New Zealand foreign exempt listed body means a body that is:

- (a) listed on the financial market operated by NZX Limited; and
- (b) listed on the financial market operated by ASX Limited as an exempt foreign listing.

relevant market operators means both ASX Limited and NZX Limited.”

(b) in section 708AA after paragraph (2)(f) insert:

“(2A) In this section, relevant market operator means both ASX Limited and NZX Limited”.

(c) Omit subsections 708AA(7) to (11), and substitute:

“(7) For a New Zealand foreign exempt listed body, a notice complies with this subsection if:

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- (a) the body reasonably believes the notice complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand;
- (b) the notice states, in addition to any requirements of the *Financial Markets Conduct Regulations 2014* of New Zealand at the time the notice is given, that
 - (i) the body will offer the relevant securities for issue without disclosure under this Part;
 - (ii) the notice is being given under paragraph (2)(f); and
 - (iii) as at the date of the notice, the body has complied with its obligations under rule 1.15.2 of the listing rules of ASX Limited.

In this subsection:

New Zealand foreign exempt listed body means a body that is:

- (a) listed on the financial market operated by NZX Limited; and
- (b) listed on the financial market operated by ASX Limited as an exempt foreign listing.”
- (d) in subsection 708AA(12) as notionally inserted by ASIC Corporations (Non-Traditional Rights Issues) 2016/84 omit subsection (a) and substitute:
 - “(a) any information that would be excluded information under clause 20(5) of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand (as that clause exists on the date the notice is given) and that would need to be included in the notice if the notice had been given at that time and that has not been included in the notice or otherwise provided to the relevant marker operator; or”

Interpretation

6. In this instrument:

Serko means Serko Limited (New Zealand company number 1927488, ARBN 611 613 980), a body incorporated under the *Companies Act 1993* of New Zealand and registered as a foreign company in Australia.

Dated this 24th day of April 2018



Signed by Jasmin Lipton
as a delegate of the Australian Securities and Investments Commission

18-0307

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 655A(1)(b) and 673(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 655A(1)(b) and 673(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 18-0307.

Commencement

3. This instrument commences on the date that it is signed.

Declarations

4. Chapters 6 and 6C of the Act apply to Innate Immunotherapeutics Limited ACN 165 160 841 (*Company*) as if section 609 were modified or varied by, after subsection (13) (as notionally inserted by ASIC Class Order [CO 13/520]), inserting:
 - “(13A) A body corporate does not have a relevant interest in its own securities merely because, under an escrow arrangement entered into by the body corporate, the body corporate applies restrictions on the disposal of the securities by the holder.”.
5. Chapters 6 and 6C of the Act apply to the Company as if section 9 were modified or varied by, after subparagraph (a)(ii)(C) in the definition of *substantial holding* (as notionally inserted by ASIC Class Order [CO 13/520]), inserting:
 - “or
 - (D) subsection 609(13A) (securities subject to escrow arrangement);”.
6. Chapter 6C of the Act applies to the Company as if section 671B were modified or varied by, omitting “,” in paragraph (7)(c) (as notionally inserted by ASIC Class Order [CO 13/520]), inserting:
 - “; or
 - (d) subsection 609(13A) (securities subject to escrow arrangement).”.

Where this instrument applies

7. This instrument applies in relation to relevant interests the Company has in securities of the Company (*Escrowed Securities*) merely because the Company has entered into one or more escrow agreements or deeds (each an *Escrow Arrangement*) with each *Security Holder* in connection with the proposed acquisition of 100% of the issued share capital in Amplia Therapeutics Pty Ltd ACN 612 556 948, where each Escrow Arrangement:
- (a) does not restrict the exercise of voting rights attaching to, the Escrowed Securities;
 - (b) in the case of a takeover bid (including a proportional takeover bid):
 - (i) allows each Security Holder to accept into the Takeover Bid where holders of at least half of the bid class securities that are not subject to an Escrow Arrangement have accepted into the bid; and
 - (ii) requires that the Escrowed Securities be returned to escrow if the bid does not become unconditional;
 - (c) allows the Escrowed Securities to be transferred or cancelled as part of a merger by way of compromise or arrangement under Part 5.1 of the Act;
 - (d) terminates on a date that is the earlier of, but not later than
 - (i) two years after the date each Security Holder enters into the Escrow Arrangement; and
 - (e) is substantially in the same form as the draft agreement provided to ASIC on 12 April 2018.

Interpretation

8. In this instrument *Security Holder* means any of the following persons who hold shares in the Company:
- i. Elk River Holdings Pty Ltd ACN 609 772 152
 - ii. Christopher John Burns
 - iii. Falkenheim Pty Ltd ACN 158 108 500 as trustee for The Silzys Business Trust TFN 952 274 329
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- iv. Kinetic State Pty Ltd as trustee for the Mimilene Family Trust ACN 611 251 346
- v. Cancer Research Technology Limited (Registered Company in England & Wales 4325234)
- vi. Warwick Tong
- vii. AEMS Consulting ABN 20 122 776 155
- viii. 34th Avenue Pty Ltd ACN 624 511 075 as trustee for the Devlin Family Trust
- ix. Photo Genuis Pty Ltd as trustee for the Farris Family Trust as trustee for the Farris Family Trust ABN 56 872 037 615
- x. Margaret Frame
- xi. Neil Oliver Carragher
- xii. CTxT Pty Ltd ACN 603 344 849
- xiii. Cancer Therapeutics CRC Pty Ltd ACN 125 693 003

Dated this 26 day of April 2018



Signed by Jasmin Lipton
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-seventh day of April 2018

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company	ARBN
GLOBAL AM TRADING, LLC	134 988 202
GREENMAN-PEDERSEN, INC.	624 028 459
L - NUTRA INC.	622 435 116
PRIME VISION B.V.	153 394 391
PUNJAB NATIONAL BANK	150 017 682

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this twenty-seventh day of April 2018

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company	ARBN
ABLOOM FABRIC LTD	604 853 625
BOARDVANTAGE (UK) LIMITED	162 565 755
COGITA BUSINESS SERVICES INTL LIMITED	070 214 167
MICLYN EXPRESS OFFSHORE LIMITED	141 683 552
ORCA CAPITAL PTE. LTD.	603 944 449
RAPID RATINGS INTERNATIONAL INC.	124 140 487
SAY MEDIA UK LTD	143 223 465
VAQUEROS CONSULTING LIMITED	159 103 072
VIAGO LIMITED	081 196 830
VIRTUAL CONSULTING INTERNATIONAL LIMITED	133 598 311

Corporations Act 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

ALTONA MINING LIMITED ACN 090 468 018 will change to a proprietary company limited by shares. The new name will be COPPER MOUNTAIN MINING PTY LTD ACN 090 468 018.

BANKSIA LODGE LIMITED ACN 096 454 965 will change to a proprietary company limited by shares. The new name will be BANKSIA LODGE PTY LTD ACN 096 454 965.

DMX CORPORATION LIMITED ACN 009 140 550 will change to a proprietary company limited by shares. The new name will be DMX CORPORATION PROPRIETARY LIMITED ACN 009 140 550.

OWENLAW MORTGAGE MANAGERS LTD ACN 005 408 766 will change to a proprietary company limited by shares. The new name will be OWENLAW MORTGAGE MANAGERS PTY LTD ACN 005 408 766.

RATCH - AUSTRALIA CORPORATION LIMITED ACN 106 617 332 will change to a proprietary company limited by shares. The new name will be RATCH - AUSTRALIA CORPORATION PTY LTD ACN 106 617 332.

WEDIY PTY. LTD. ACN 612 768 822 will change to a public company limited by shares. The new name will be WEDIY LTD ACN 612 768 822.

ATIA LIMITED ACN 006 047 605 will change to a proprietary company limited by shares. The new name will be ATIA PTY LTD ACN 006 047 605.

BRAZCANN PTY LTD ACN 624 722 505 will change to a public company limited by shares. The new name will be BRAZCANN LIMITED ACN 624 722 505.

G-LINK INTERNATIONAL LIMITED ACN 165 476 282 will change to a proprietary company limited by shares. The new name will be G-LINK INTERNATIONAL PTY LTD ACN 165 476 282.

PACIFIC MINING LTD ACN 000 140 938 will change to a proprietary company limited by shares. The new name will be PACIFIC MINING PTY LTD ACN 000 140 938.

RMB AUSTRALIA LIMITED ACN 003 298 477 will change to a proprietary company limited by shares. The new name will be RMB AUSTRALIA PTY LIMITED ACN 003 298 477.