User guide

ASIC Search

Banned & Disqualified Person Search

The screens and data pictured in this guide are examples only. Actual screens may have minor differences in text and layout.
Searching the ASIC registers

- ASIC’s registers can be searched directly from the ASIC website.
- The more criteria you enter about the search you want to conduct, the more relevant the results will be.
- Assistance with using the search facility and purchasing search items can be accessed via the help function.
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Screen layout

Select one of these sub-menus to search a particular register.

Select this link to access a list of Information Brokers and alternative search services.
Start your search


Click on [Companies and organisations](http://www.asic.gov.au).

This will take you to ASIC Connect Search.
Start your Banned & Disqualified Person Search

ASIC Connect

1. In the search field, select Banned & Disqualified.
2. Enter the family name and given name of the person. (Both fields need completing, however you may enter an initial if you are unsure of exact name.)
3. Click Go to view the results.
Search results

Up to 20 results are listed per page. The results will show basic details about each person.

To view more information about a person either click on the name, or tick the box next to the name (you may select more than one person at a time) and click on View Details at the bottom of the page.

To view or print the results list in PDF format click on View Results List.
Search results

This screen displays further information about a particular result.

The page shows information that is available for purchase.

To purchase information products:
1. Select one or more products (if available).
2. Click on Add To Cart. Click OK when confirmation box appears.
3. Click Checkout.

To access the shopping cart click on View Cart in the orange box at the top right of the screen.

View Details
Banned & Disqualified Persons

- JOHN PATERSON PAYNE

  - Summary

    Type: Banned Futures Representative
    Commenced: 18/03/1996
    Ceased: 16/02/1996
    Address: 28 Gordon Crescent DENSTEONE NSW 2114
    Comments:

  - Documents

    Documents Lodged

    | Date       | Document No. | Document Type                                      | Pages | Uncertified | Certified ? |
    |------------|--------------|---------------------------------------------------|-------|-------------|-------------|
    | 18/03/1996 | 1003456789   | Banning Order Prohibiting Person From Acting As Representative Of Futures Broker 5 (209A) | 1     | [ ]         | [ ]         |

  - [View PDF]

  - Options

    - Add To Cart
      - [ ]

  - [Back] [New Search] [Checkout]
Shopping cart

This screen will confirm all the products that were selected and give the option to remove any, prior to purchasing.

To remove a product or products from the cart, tick the relevant box and click Remove.

To purchase products in the cart, click on Pay Now.
Payment screen

1. Enter an email address for the delivery of the purchased products and the payment receipt. You will need to confirm the email address by entering it twice.

2. Enter credit card details to make the payment.

3. Click on Next. The next screen will confirm payment or highlight any problems with the transaction.

Payment Details

Delivery

ASIC will send links to PDF versions of the payment receipt and purchased products to this email address.

* Email Address: 
* Confirm Email Address: 

Credit Card Payment

Invoice Amount (AUD): [blank]

Credit Card Details

* Card Type: [ ] Visa [ ] Mastercard

* Card Number: 
* Expiry Date: [MM/YY]
* CVV: 
* Name on Card: 

We cannot refund payments once a purchase request is complete. Ensure you review your product selection before payment. Refer to our refund policy for further information.

Note: Click Gateway

Help | Copyright | Privacy | Accessibility | Contact us |
Receipt

This screen confirms the purchase of products.

Click View & Print Payment Receipt to open your receipt in PDF form.

Your product and receipt will be sent to your email address for you to view, save and print. Alternatively you can collect the payment receipt and product online by clicking Online Retrieval Status.

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