**Reconciliation performed under rule XX of the ASIC Client Money Reporting Rules 2017**

Licensee Name: [Company Name]

Reconciliation of Client Funds as at: [date and time]

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  | **Current Period** |  | **Prior Period** |  | **Movement (%)** |
|  |  |  |  |  |  |  |
|  | **Balance owed to Clients** |  |  |  |  |  |
| **(a)** | Total Client Monies |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  | **Balance held** |  |  |  |  |  |
|  | Client Monies at Bank |  |  |  |  |  |
|  | Client Monies held with Other Brokers |  |  |  |  |  |
|  | Funds invested in accordance with Section 981C(a) of the *Corporations Act 2001* |  |  |  |  |  |
|  | Funds used in accordance with Section 981D of the *Corporations Act 2001* |  |  |  |  |  |
|  |  |  |  |  |  |  |
| **(b)** | Total Deposits |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  | Difference **(b − a)** |  |  |  |  |  |

**Explanation of Difference**

[The licensee is to explain the reasons for any difference between (a) and (b)]

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  | **Current Period** |  | **Prior Period** |  | **Movement (%)** |
|  | **Client Money Account Balances** |  |  |  |  |  |
|  | Client Monies at Bank |  |  |  |  |  |
|  | Non-client Monies at Bank |  |  |  |  |  |
|  | Total Client Money Account Balance |  |  |  |  |  |
|  |  |  |  |  |  |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  |  | **Current Period** |  | **Prior Period** |  | **Movement (%)** |
|  | **Client Monies held with Other Brokers** |  |  |  |  |  |

Broker A

Broker B

Broker C

Broker D

**Director’s Declaration**

I believe, and have no reason not to believe, that this reconciliation is accurate in all respects.

Signature \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Time and Date \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_