



## AGENDA

### ASIC'S REGTECH LIAISON FORUM – MEETING 01

**Wednesday, 06 December 2017, 10:00am – 12:00pm AEDT**

**By video conference:** Level 7, 120 Collins Street, Melbourne - Room 7.043; Level 5, 100 Market Street, Sydney - Room 5.001-5.002; Level 2, 2 Allsop Street, Canberra – Room 2.26; Level 1, 11 Mounts Bay Road, Perth - Room 1.01; Level 20, 240 Queen Street, Brisbane – Room 20.108; Level 7, 100 Pirie Street, Adelaide – Room 7.05

*Please notify reception upon arrival. Please note that external dial in is not available for this meeting.*

Item	Time	Item Responsibility
1. <b>Welcome</b>	10:05am	<i>Mark Adams, Innovation Hub Coordinator, ASIC</i>
2. <b>ASIC opening statement</b>	10:10am	<i>John Price, Commissioner, ASIC</i>
3. <b>Forum objectives and logistics</b>	10:20am	<i>Introduced by: Mark Adams, Innovation Hub Coordinator, ASIC</i>
4. <b>Industry item: Measuring and accelerating regtech adoption</b> <ul style="list-style-type: none"> <li>• Proof of Concepts as a leading indicator – how as a community we can measure/monitor</li> <li>• How to accelerate the rate of Proof of Concepts in market – what are the barriers to POCs and how might the community work together to share best practice and speed up the process</li> </ul>	10:35am	<i>Introduced by: Lisa Schutz, Director, The RegTech Association</i>  <i>Panel: Samantha Clarke, Advice RegTech, Michelle East, Certainty Compliance and Danielle Szetho, Fintech Australia</i>
5. <b>Regulator item: Collaboration opportunities</b> <ul style="list-style-type: none"> <li>• Opportunities around collaboration between regulators and industry</li> </ul>	11:20am	<i>Introduced by: Mark Adams, Innovation Hub Coordinator, ASIC</i>
6. <b>Future agenda</b> <ul style="list-style-type: none"> <li>• Discussion on potential future topics</li> </ul>	11:40am	<i>Introduced by: Mark Adams, Innovation Hub Coordinator, ASIC</i>
7. <b>Closing remarks</b>	11:55am	<i>John Price, Commissioner, ASIC</i>
8. <b>Meeting close</b>	12:00pm	

#### Future Meetings Schedule

Meeting Date	Time of Meeting	Location
Monday 05 March 2017	2.00pm – 4.00pm (AEDT)	ASIC – by video conference



## ASIC'S REGTECH LIAISON FORUM

### Background

In [Report 523 ASIC's Innovation Hub and our approach to regulatory technology](#), ASIC proposed a number of new regtech initiatives, including to establish a new Regtech Liaison Forum comprising industry, technology firms, academics, consultancies, regulators and consumer bodies where ASIC proposes to play the role of enabler, convener and catalyst.

Many who provided [feedback](#) on the proposal were strongly in favour of ASIC's Regtech Liaison Forum being established. A number of respondents also noted their interest in participating in this group when it was formed.

At ASIC's Regtech Showcase Event on 18 September, it was announced that we would have the first meeting of the group before the year was out.

### Purpose

The purpose of ASIC's Regtech Liaison Forum is to facilitate networking and stimulate discussion on regtech developments and opportunities that promote positive applications of regulatory technology.

Through convening ASIC's Regtech Liaison Forum, we are hoping to create a venue for information sharing and discussion and stimulate opportunities for future collaboration that will ultimately lead to strong outcomes for consumers and an improved financial services sector.

Through the Regtech Liaison Forum, ASIC aims to:

- Facilitate engagement throughout the regtech sector
- Stimulate discussion on regtech developments, opportunities and challenges
- Consider the role of ASIC and other regulators and explore possible regtech collaborations
- Encourage a practical focus on developing and testing new regtech ideas
- Explore the development of relevant industry standards and/or industry-agreed approaches to governance over regtech
- Seek insights on the future of regulatory policy, with a view to anticipating where regulatory outcomes could be supported by new or adapted technologies
- Provide opportunities for collaboration and networking.

### Further information

Upon subscribing for this event, we will automatically send you information about upcoming regtech events that ASIC is hosting. If you wish to opt out please sent an email to [regtech@asic.gov.au](mailto:regtech@asic.gov.au)

Please visit the Innovation Hub ['Events'](#) page for further information and updates on this event.