

ASIC

User guide

ASIC Connect

How to update authorised representative details

The screens and data pictured in this guide are examples only. Actual screens can have minor differences in text and layout.

ASIC Connect

How to update authorised representative details

- You will need to use <u>ASIC Connect</u> to update authorised representative details.
- You can only notify up to 25 updates per transaction.
- Further assistance about using <u>ASIC Connect</u> can be accessed via the **Chelp** so button at the top right-hand side of the screen.
- Visit our <u>support page</u> for more user guides about other <u>ASIC</u> <u>Connect</u> transactions.

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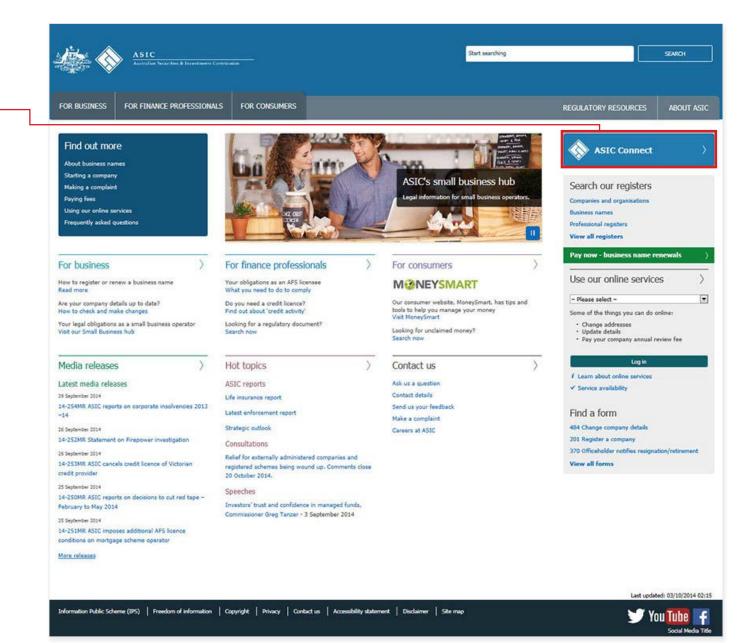


Get started

Visit our website at <u>www.asic.gov.au</u>. Click on the blue **ASIC Connect** box. This will take you to ASIC Connect.



How to update authorised representative details





Log in

Go to ASIC Connect and select Log in.

If you do not have an ASIC Connect account select **Sign up**.



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How to update authorised representative details © Australian Securities and Investments Commission January 2017



Log in

Log in using your email address and password.

If you do not have an ASIC Connect account select **Sign up**.

You can refer to our user guides:

How to sign up for an ASIC Connect account and link your licence(s).

How to sign up for an ASIC Connect account and link authorised representatives.

Q Search ASIC Registers Connect Within: Select Go ▶ For: **"**Home 🛪 🕂 🖨 💽 Help 💕 Log in a Quick Links 🕨 Australian Business Register 🗹 ABN Lookup III 🔁 Username (email address) and password Need help Find ACN 🗹 If you have already signed up, log in by entering your username (email Australian Business Account Id Don't have an account? Sign up address) and password below. Australian Business Licence and Forgotten your password? Information 🗹 Username How to lodge online (email address): Trade mark check II Having trouble logging in? Password: Franchise Council of Australia II NZ Companies Office II Forgotten your password? Information Brokers 17 Q Learn more Log in 🕨 Sollow ASIC 📝 Sign up Information about business names Search ASIC's registers • FOLLOW US ON twitter Don't have an account? Sign up now via link below See more on YouTube See more on You Tube Sign up 🕨 Join us on facebook ((w) ASIC WEBCAST Back Help | Copyright | Privacy | Accessibility | Contact Us |

The screens and data pictured in this guide are examples only. Actual screens can have minor differences in text and layout.

How to update authorised representative details



Home

Once you have logged in, the home screen will be visible.

1. Select Lodgements & Notifications to update authorised representative details.

Welcome Example User Log Out Connect Gearch ASIC Registers Within: Select Go For: Home Person Details Business Details Licences & Registratic 1 Lodgements & Notifications myAccount 🛪 🕂 🖨 🕄 Help 🛋 Welcome Do It Now Register a business name Renew a business name 📝 myTransactions 🕝 Apply for an SMSF auditor registration Link a business/request ASIC key Below is a list of your most recent transactions. For a full list of transactions, select the 'View all transactions' button Check business name availability Search ASIC registers Transaction Transaction Payment Actions Business Description reference number status status Quick Links Resume ► Australian Business Register Resume ▶ ABN Lookup⊡² Resume Find ACN ▶ Australian Business Account View All Transactions Australian Business Licence and Information Service 🖳 myMessages Trade Mark (TM) Check ▶ Franchise Council of Australia ² Below is a list of recent messages sent to your account. For a full list of messages, select the 'View all messages' button. ▶ NZ Companies Office ► Information Brokers 12[®] Business Description Date issued Actions View message ? Need Help ? New message Business name registration Linking a business View All Messages SMSF auditor registration Renew your business name Troubleshooting Frequently asked questions

The screens and data pictured in this guide are examples only. Actual screens can have minor differences in text and layout.

How to update authorised representative details



Update authorised representative details

- Select the radio button next to the AFS licensee or AFS representative whose authorised representative details you want to update.
- 2. In the transactions column, select *Maintain Representatives*.

This is the name of the transaction you use to update authorised representative details.

3. Select Go to proceed.

Welcome Example User Log Out Q Search ASIC Registers Connect Within: Select • ASIC Go♭ For: 🖀 Home 🛛 Person Details 🖉 Business Details 🗍 Licences & Registrations 🔂 Lodgements & Notifications 🗍 myAccount 🛪 🕂 🖨 💽 Help 🛋 Lodgements and Notifications Do It Now Register a business name Renew a business name Hide Apply for an SMSF auditor 💼 myBusinesses registration Link a business/request ASIC Select the button next to the business or licence to view and update its details. You will then need to select a transaction from the drop down key menu Check business name availability Search ASIC registers Select Business Туре Status Transactions Maintain Representatives Go Quick Links Example AFS Licensee AFS Licensees Registered 3 0 2 ▶ Australian Business Register Go example business name 70 **Business Names** Registered Select Option \bigcirc ABN Lookup AFS Find ACN Go \bigcirc Example AFS Representative Registered Select Option Representatives ▶ Australian Business Account [™] Go Select Option \bigcirc example business name partnership Business Names Registered Australian Business Licence and Information Service Go Select Option \bigcirc example business name 71 **Business Names** Registered -Frade Mark (TM) Check ▶ Franchise Council of Australia Go business name example 50 Business Names Registered Select Option ۲ -NZ Companies Office ☑ Information Brokers 12 Go Select Option example business name 53 **Business Names** Registered \bigcirc Go example business name 52 Business Names Registered Select Option - \bigcirc Need Help ?

The screens and data pictured in this guide are examples only. Actual screens can have minor differences in text and layout.

How to update authorised representative details



Go

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Do It Now

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Apply for an SMSP auditor

Search ASIC registers

Link a business/request ASIC

Eheck business name availability

Search ASIC Registers

Within: Select

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For

Update authorised representative details

1. Select who appointed the representative you are updating in this transaction.

If the authorised representative of the AFS licensee appointed the representative, you must provide their representative number.

2. Select Next to continue.



👫 Nome - Person Details - Business Details - Licences & Registrations - Lodgements & Notifications - myAccount

Business: Example AFS Licensee

Type: AfS Icensee

Licence number: 000226559

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Maintain Representatives

The screens and data pictured in this guide are examples only. Actual screens can have minor differences in text and layout.

How to update authorised representative details

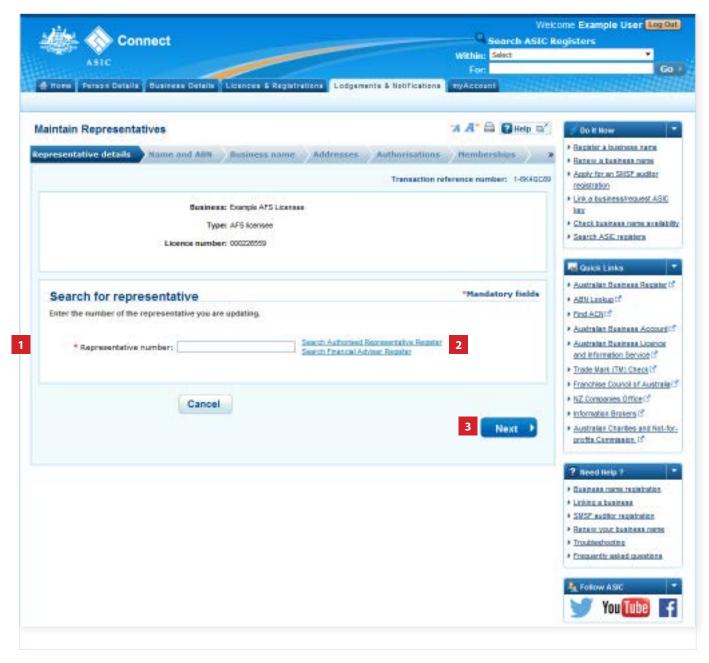


Update authorised representative details

- 1. Enter the representative number of the authorised representative you would like to update details for.
- 2. You can use the link provided to search for the representative number.
- 3. Select Next to continue.

The screens and data pictured in this guide are examples only. Actual screens can have minor differences in text and layout.

How to update authorised representative details





Update authorised representative details

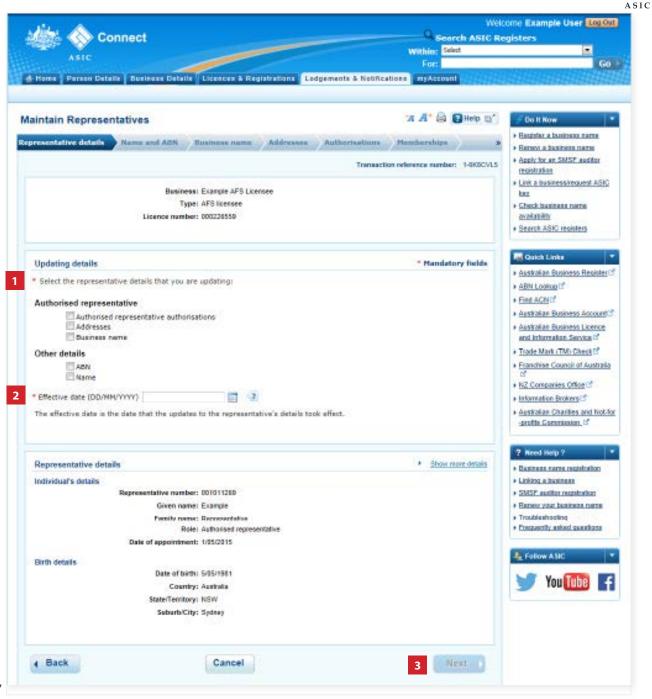
1. Tick the box/es next to the changes you would like to make.

You can select to change name or ABN, but not both. If an ABN is being added, we will automatically update the authorised representative's name to match the ABN entity name.

- 2. Enter the date the change took place. The same date is applied for all changes in this transaction.
- 3. Select Next to continue.

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How to update authorised representative details





Add ABN

- 1. Enter the ABN of the authorised representative.
- 2. You can use the link provided to search for the authorised representative's ABN.
- 3. Select **Next** to continue.

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How to update authorised representative details

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Go

Welcome Example User Legilid

Search ASIC Registers

within: Select

For

Change name

- 1. Enter the authorised representative's new name.
- 2. Select Next to continue.

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Connect

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The screens and data pictured in this guide are examples only. Actual screens can have minor differences in text and layout.

How to update authorised representative details



Business name

- 1. Select **+Add** to add the authorised representative's business name.
- 2. Enter the authorised representative's business name.
- 3. Select **Search Name** to verify the business name. Then select the correct business name from the list that appears.
- 4. Select **Save** to save the business name entered.
- 5. Select **Next** to continue.

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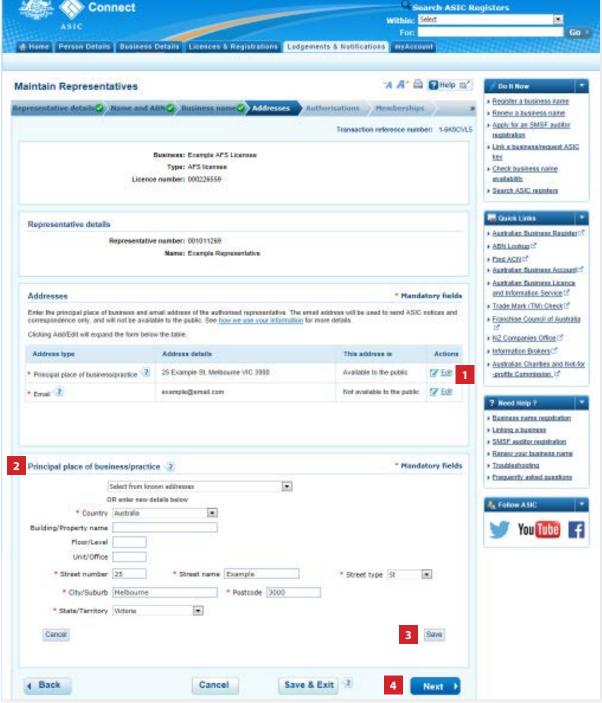
How to update authorised representative details © Australian Securities and Investments Commission January 2017

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Update addresses

- 1. Select **Edit** next to the address/es that need updating.
- 2. Enter the new address details.
- 3. Select **Save** to save the new address entered.
- 4. Once all addresses have been entered correctly, select **Next** to continue.





Welcome Example User

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How to update authorised representative details

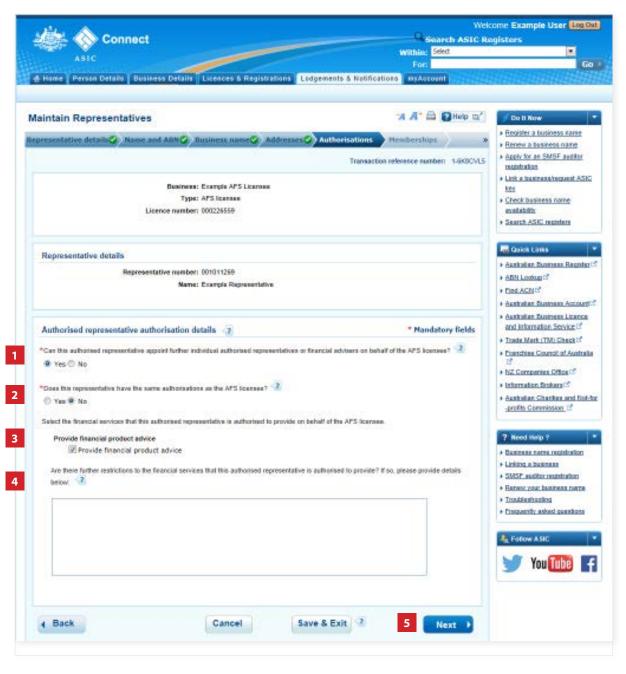


Update authorisations

- Select whether the authorised representative can appoint further authorised representatives or financial advisers on behalf of the AFS licensee.
- 2. Select whether the authorised representative has the same authorisations as the licensee.
- Tick or untick the box to change the financial services the authorised representative is authorised to provide on behalf of the licensee.
- 4. Enter any changes to further restrictions the authorised representative is authorised to provide.
- 5. Select Next to continue.

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How to update authorised representative details





Review

Check that the information you have entered is correct.

 Select +Maintain another representative to update details of more authorised representatives.

You can update 25 representatives per transaction.

- 2. Select **Edit/Review** if the information has been entered incorrectly for an authorised representative.
- 3. Select **Remove** to remove the update for an authorised representative.
- 4. When all authorised representative updates have been added correctly, select **Next** to continue.

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How to update authorised representative details

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							 Frequently asked questions



Declarations

Read the declaration to ensure you agree with the conditions of the transaction.

- 1. If you agree, tick the boxes next to the declaration to proceed.
- 2. Select your authority for submitting the transaction.
- 3. Select **Next** to continue.

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How to update authorised representative details

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Payment

Pay Now

You can choose to pay using a credit card, or BPAY.

Alternatively, you can request an invoice to be sent to you.

1. Select the **Pay Now** option.

2. Select **Pay Now** to continue.

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How to update authorised representative details

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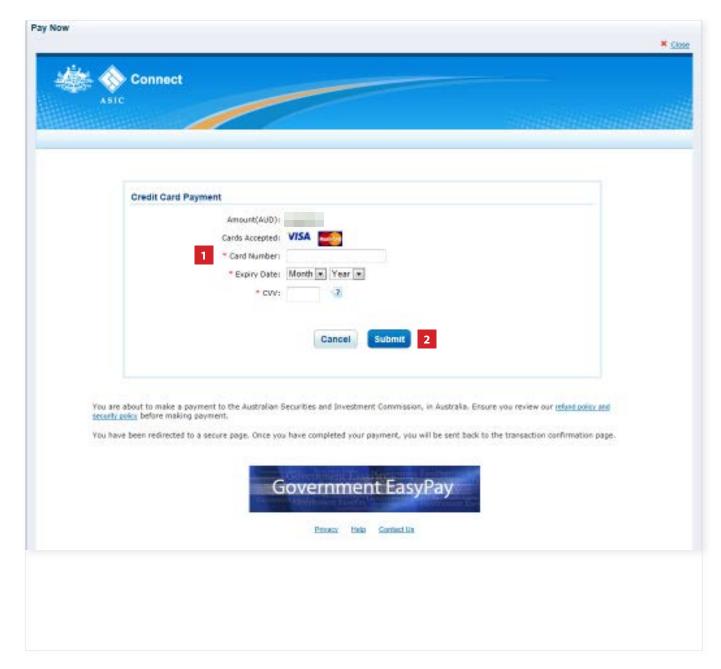


Credit card payment

- 1. Enter your credit card details.
- 2. Select **Submit** to process the payment.



How to update authorised representative details





Payment

Pay Later

If you want to pay at a later date, you can do so by using BPAY or requesting an invoice to be sent to you.

- 1. Select the **Pay Later** option.
- 2. Select the Invoice or BPAY option.
- If you select **Invoice** it will be sent to:
 - AFS licensee: the email address if one has been provided. Otherwise it will be sent to the service address.
 - AFS representative: the email address if one has been provided. Otherwise it will be sent to the principal place of business.
- If you select **BPAY** you will need to print or make note of the BPAY details and process your payment.
- 3. Select Pay Later.

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How to update authorised representative details

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Confirmation

This screen confirms your transaction has been submitted.

- 1. Select **Print the transaction** to download a printable PDF version of the transaction.
- 2. Select one of the links under *What else can l do* for more information about other services.

Or

3. Select **Home** to return to the ASIC Connect home page.

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