



Australian insolvency statistics

Released: December 2016

Series 3: External administrators' reports

3.2 - External administrators's reports for selected industries, 1 July 2015–30 June 2016

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Inquiries

For further information about these and related statistics, email insolvencystatistics@asic.gov.au.



ASIC

Australian Securities & Investments Commission

Australian insolvency statistics

Released: December 2016

Table 3.2.1.1 - Initial external administrators' reports for Other (business & personal) services industry—Size of company as measured by number of FTEs by region (1 July 2015–30 June 2016)

Full-time equivalent employees	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
Less than 5 FTE	28	787	10	356	65	8	515	167	1,936
Between 5 and 19 FTE	5	128	3	39	8	2	62	14	261
Between 20 and 199 FTE	5	28	0	12	2	2	33	1	83
200 or more FTE	0	1	0	3	0	0	5	0	9
Not known	9	307	1	139	8	1	105	30	600
Total	47	1,251	14	549	83	13	720	212	2,889

Table 3.2.1.2 - Initial external administrators' reports for Other (business & personal) services industry—Nominated causes of failure by region (1 July 2015–30 June 2016)

Causes of company failure	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Nominated causes of failure
Under capitalisation	8	130	1	102	12	2	152	55	462
Poor financial control including lack of records	30	540	4	199	16	7	254	62	1,112
Poor management of accounts receivable	6	97	2	47	10	1	50	20	233
Poor strategic management of business	36	463	3	269	46	7	278	88	1,190
Inadequate cash flow or high cash use	29	500	5	202	34	5	328	85	1,188
Poor economic conditions	4	84	2	112	13	1	57	34	307
Natural disaster	0	1	0	3	0	0	2	0	6
Fraud	0	7	0	10	5	0	9	1	32
DOCA failed	0	1	0	1	0	0	1	2	5
Dispute among directors	0	18	2	8	5	0	9	10	52
Trading losses	16	277	6	164	29	9	172	66	739
Industry restructuring	0	13	0	7	3	0	14	6	43
Other	13	454	9	149	26	1	248	79	979
Total	142	2,585	34	1,273	199	33	1,574	508	6,348

**Table 3.2.1.3 - Initial external administrators' reports for Other (business & personal) services industry—Possible misconduct by region
(1 July 2015–30 June 2016)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Total
Number of reports where external administrator has documentary evidence and recommended the case warranted inquiry by ASIC	46	1,135	12	438	72	10	585	164	2,462
PRE-APPOINTMENT CRIMINAL MISCONDUCT									
Section 184—Good faith, use of position and use of information—Directors', officers' and employees' duties	11	0	0	0	0	0	0	0	11
Section 206A—Disqualified persons not to manage corporations	23	2	59	3	17	11	2	2	119
Sections 286 & 344(2)—Obligation to keep financial records	0	0	0	0	0	0	0	0	0
Section 471A—Powers of other officers suspended during winding up	18	2	25	1	8	11	0	7	72
Section 588G(3)—Insolvent trading	5	0	6	0	6	2	0	1	20
Section 590—Offences by officers or employees	0	0	0	0	0	0	0	0	0
Section 596AB—Agreements to avoid employee entitlements	19	3	9	3	6	7	0	3	50
Other criminal offences under the Corporations Act	2	0	6	0	0	1	0	0	9
Sub-total	78	7	105	7	37	32	2	13	281
POST-APPOINTMENT CRIMINAL MISCONDUCT									
Sections 429, 438B & 475—Report as to company's affairs	0	144	2	63	11	0	67	20	307
Section 530A—Officers to help liquidator	0	152	1	47	9	0	66	19	294
Section 530B—Requirement to provide liquidator with company's books	0	145	2	57	9	0	62	25	300
Sub-total	0	441	5	167	29	0	195	64	901
ALLEGED BREACHES OF CIVIL OBLIGATIONS									
Section 180—Care and diligence—Directors' and officers' duties	32	481	2	247	32	3	227	117	1,141
Section 181—Good faith—Directors' and officers' duties	27	235	5	149	17	2	122	61	618
Section 182—Use of position—Directors', officer's and employees' duties	16	119	3	72	11	1	86	30	338
Section 183—Use of information—Directors', officers' and employees' duties	4	29	1	21	4		23	5	87
Sections 286 & 344(1)—Obligation to keep financial records	15	710	4	222	24	6	359	81	1,421
Section 588G(1)–(2)—Insolvent trading	38	816	11	309	54	10	421	126	1,785
Sub-total	132	2,390	26	1,020	142	22	1,238	420	5,390
OTHER CRIMINAL OFFENCES									
Criminal offence under the Corporations Act (if a member or contributory)	0	0	0	0	1	0	0	0	1
Criminal offence under another Commonwealth statute	0	0	0	0	2	0	0	0	2
Criminal offence under a state or territory law	0	1	0	1	1	0	2	0	5
Sub-total	0	1	0	1	4	0	2	0	8
OTHER POSSIBLE MISCONDUCT									
May have misapplied or retained, or may have become liable or accountable for money or property of the company	0	10	0	11	1	0	9	3	34
May have been guilty of negligence, default, breach of duty or breach of trust in relation to the company	0	6	0	8	1	0	4	1	20
Sub-total	0	16	0	19	2	0	13	4	54
Total misconduct reported	210	2,855	136	1,214	214	54	1,450	501	6,634
No misconduct reported	6	110	1	116	15	2	130	47	427

Table 3.2.1.4 - Initial external administrators' reports for Other (business & personal) services industry—Assets, liabilities and deficiency by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
ASSET CATEGORIES									
Less than \$1	14	657	4	257	29	3	318	89	1,371
\$1–\$10,000	5	265	1	131	19	1	172	43	637
\$10,001–\$20,000	5	106	0	47	9	2	55	21	245
\$20,001–\$30,000	1	46	1	16	6	1	32	5	108
\$30,001–\$50,000	3	44	2	26	6	0	32	15	128
\$50,001–\$100,000	4	44	2	18	4	2	38	11	123
\$100,001–\$250,000	3	47	3	29	4	1	33	14	134
\$250,001–\$5 million	11	40	1	24	6	3	39	13	137
Over \$5 million	1	2	0	1	0	0	1	1	6
Total	47	1,251	14	549	83	13	720	212	2,889
LIABILITIES CATEGORIES									
\$1–\$250,000	14	764	5	286	43	6	384	98	1,600
\$250,001–less than \$1 million	13	327	7	187	27	4	203	81	849
\$1 million–less than \$5 million	19	125	2	61	12	3	88	22	332
\$5 million–\$10 million	1	20	0	6	1	0	10	8	46
Over \$10 million	0	15	0	9	0	0	35	3	62
Total	47	1,251	14	549	83	13	720	212	2,889
DEFICIENCY CATEGORIES									
\$0–\$50,000	2	265	0	53	13	2	67	20	422
\$50,001–\$250,000	15	528	7	249	34	4	335	89	1,261
\$250,001–less than \$500,000	6	184	3	106	13	3	121	46	482
\$500,000–less than \$1 million	3	123	3	67	10	3	73	27	309
\$1 million–less than \$5 million	20	119	1	60	12	1	79	19	311
\$5 million–\$10 million	1	18	0	6	1	0	11	8	45
Over \$10 million	0	14	0	8	0	0	34	3	59
Total	47	1,251	14	549	83	13	720	212	2,889

Table 3.2.1.5 - Initial external administrators' reports for Other (business & personal) services industry—Unpaid employee entitlements by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
UNPAID WAGES									
\$1–\$1,000	1	29	1	14	2	0	11	2	60
\$1,001–\$10,000	2	71	2	30	6	5	49	15	180
\$10,001–\$50,000	1	36	0	15	4	0	32	3	91
\$50,001–\$150,000	2	13	0	3	1	1	11	0	31
\$150,001–\$250,000	0	5	0	1	0	0	6	0	12
\$250,001–\$500,000	0	1	0	2	0	0	2	2	7
\$500,001–less than \$1.5 million	0	2	0	3	0	0	0	0	5
\$1.5 million–\$5 million	0	0	0	0	0	0	1	0	1
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	41	1,093	3	481	70	7	607	190	2,492
Total	47	1,250	6	549	83	13	719	212	2,879
UNPAID ANNUAL LEAVE									
\$1–\$1,000	1	22	0	12	1	1	6	4	47
\$1,001–\$10,000	4	66	2	28	3	3	58	11	175
\$10,001–\$50,000	7	48	0	24	6	1	34	13	133
\$50,001–\$150,000	3	21	0	5	2	1	12	2	46
\$150,001–\$250,000	0	9	0	1	1	1	2	0	14
\$250,001–\$500,000	0	0	0	1	0	0	4	1	6
\$500,001–less than \$1.5 million	0	0	0	1	0	0	1	1	3
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	1	0	0	0	0	0	1	2
Not Applicable	32	1,083	4	477	70	6	602	179	2,453
Total	47	1,250	6	549	83	13	719	212	2,879
UNPAID PAY IN LIEU OF NOTICE									
\$1–\$1,000	1	18	0	11	2	1	2	0	35
\$1,001–\$10,000	2	29	1	19	2	0	31	11	95
\$10,001–\$50,000	0	28	0	11	6	2	18	4	69
\$50,001–\$150,000	2	11	0	2	2	1	9	0	27
\$150,001–\$250,000	0	1	0	1	0	0	1	0	3
\$250,001–\$500,000	0	0	0	1	0	0	1	0	2
\$500,001–less than \$1.5 million	0	0	0	0	0	0	1	0	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	42	1,163	5	504	71	9	656	197	2,647
Total	47	1,250	6	549	83	13	719	212	2,879
UNPAID REDUNDANCY									
\$1–\$1,000	0	18	0	7	0	0	2	0	27
\$1,001–\$10,000	0	9	0	6	0	0	4	1	20
\$10,001–\$50,000	1	13	0	4	3	1	13	3	38
\$50,001–\$150,000	2	7	0	3	0	1	6	1	20
\$150,001–\$250,000	0	8	0	0	1	0	3	0	12
\$250,001–\$500,000	0	1	0	1	1	0	2	0	5
\$500,001–less than \$1.5 million	0	0	0	2	0	0	0	0	2
\$1.5 million–\$5 million	0	0	0	0	0	0	1	0	1
Over \$5 million	0	1	0	0	0	0	0	0	1
Not Applicable	44	1,193	6	526	78	11	688	207	2,753
Total	47	1,250	6	549	83	13	719	212	2,879
UNPAID LONG SERVICE LEAVE									
\$1–\$1,000	0	18	0	7	0	0	3	1	29
\$1,001–\$10,000	1	19	0	5	1	1	16	3	46
\$10,001–\$50,000	4	17	0	6	5	1	18	5	56
\$50,001–\$150,000	1	10	0	5	1	0	7	1	25
\$150,001–\$250,000	0	0	0	1	0	0	0	0	1
\$250,001–\$500,000	0	0	0	0	0	0	1	0	1
\$500,001–less than \$1.5 million	0	0	0	0	0	0	1	0	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	41	1,186	6	525	76	11	673	202	2,720
Total	47	1,250	6	549	83	13	719	212	2,879
UNPAID SUPERANNUATION									
\$1–\$100,000	22	297	3	157	33	6	193	55	766
\$100,001–\$250,000	0	36	0	22	5	2	33	13	111
\$250,001–\$1 million	3	8	2	8	2	0	11	4	38
Over \$1 million	0	0	0	2	1	0	3	0	6
Not Applicable	22	910	9	360	42	5	480	140	1,968
Total	47	1,251	14	549	83	13	720	212	2,889

Note 1: Reports identified as being internally inconsistent were excluded from this table. No reports were excluded from superannuation.

Table 3.2.1.6 - Initial external administrators' reports for Other (business & personal) services industry—Amount owed to secured creditors by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	25	1,012	7	413	63	6	556	159	2,241
\$1–less than \$500,000	4	199	5	103	17	6	124	40	498
\$500,000–less than \$1 million	1	8	1	14	1	0	19	5	49
\$1 million–less than \$5 million	16	22	1	14	2	1	15	5	76
\$5 million–\$10 million	1	2	0	2	0	0	3	2	10
Over \$10 million	0	8	0	3	0	0	3	1	15
Total	47	1,251	14	549	83	13	720	212	2,889

Table 3.2.1.7 - Initial external administrators' reports for Other (business & personal) services industry—Unpaid taxes and charges by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	6	174	3	84	8	2	71	26	374
\$1–\$250,000	30	849	9	370	52	8	497	137	1,952
\$250,001–\$1 million	10	177	2	78	18	2	98	41	426
Over \$1 million	1	51		17	5	1	54	8	137
Total	47	1,251	14	549	83	13	720	212	2,889

Table 3.2.1.8 - Initial external administrators' reports for Other (business & personal) services industry—Unsecured creditors by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
NUMBER OF UNSECURED CREDITORS									
Less than 25	39	1,166	11	482	70	8	617	182	2,575
25–50	7	36	3	26	7	2	44	13	138
51–200	1	16	0	14	3	1	22	5	62
More than 200	0	3	0	5	0	1	29	0	38
Unknown	0	30	0	22	3	1	8	12	76
Total	47	1,251	14	549	83	13	720	212	2,889
AMOUNT OWED TO UNSECURED CREDITORS									
Less than \$250,000	34	988	11	414	68	8	534	159	2,216
\$250,000–\$500,000	8	111	0	58	5	2	69	22	275
\$500,001–less than \$1 million	2	78	3	40	7	2	41	17	190
\$1 million–less than \$5 million	3	65	0	29	3	1	40	11	152
\$5 million–\$10 million	0	6	0	6	0	0	7	1	20
Over \$10 million	0	3	0	2	0	0	29	2	36
Total	47	1,251	14	549	83	13	720	212	2,889
MORE THAN 50% OF DEBT OWED TO RELATED PARTIES									
Number	9	227	4	105	11	5	113	38	512
ESTIMATED 'CENTS' IN THE \$ DIVIDEND TO UNSECURED CREDITORS									
\$0	45	1,169	13	505	74	9	677	188	2,680
Greater than 0 but less than 11 cents	0	41	1	33	5	2	22	15	119
11–20c	0	9	0	4	0	1	10	1	25
21–50c	1	8	0	1	3	1	8	4	26
51–100c	1	24	0	6	1	0	3	4	39
Total	47	1,251	14	549	83	13	720	212	2,889

Table 3.2.1.9 - Initial external administrators' reports for Other (business & personal) services industry—External administrator's remuneration by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
VOLUNTARY ADMINISTRATOR FEES									
\$0	5	111	4	54	8	1	32	14	229
\$1–\$50,000	4	62	1	43	3	3	28	10	154
\$50,001–\$100,000	3	15	1	3	4	2	10	3	41
\$100,001–\$250,000	0	4	0	5	2	0	2	2	15
Over \$250,000	0	3	0	0	0	0	2	0	5
Not applicable	35	1,056	8	444	66	7	646	183	2,445
Total	47	1,251	14	549	83	13	720	212	2,889
DEED OF COMPANY ARRANGEMENT FEES									
\$0	6	111	6	59	8	1	34	14	239
\$1–\$50,000	0	19	0	4	0	0	4	4	31
\$50,001–\$100,000	0	1	0	2	0	0	0	0	3
\$100,001–\$250,000	0	0	0	1	0	0	1	0	2
Over \$250,000	0	0	0	0	0	0	0	0	0
Not applicable	41	1,120	8	483	75	12	681	194	2,614
Total	47	1,251	14	549	83	13	720	212	2,889
LIQUIDATOR FEES									
\$0	11	321	3	177	26	0	233	72	843
\$1–\$50,000	28	837	9	326	46	10	423	114	1,793
\$50,001–\$100,000	6	31	2	17	6	2	33	17	114
\$100,001–\$250,000	0	13	0	7	2	1	15	3	41
Over \$250,000	0	4	0	1	0	0	1	0	6
Not applicable	2	45	0	21	3	0	15	6	92
Total	47	1,251	14	549	83	13	720	212	2,889
RECEIVER/CONTROLLER FEES									
\$0	7	119	6	65	8	0	36	15	256
\$1–\$50,000	0	13	0	4	0	0	0	0	17
\$50,001–\$100,000	0	0	0	1	0	0	0	1	2
\$100,001–\$250,000	0	0	0	0	0	0	0	0	0
Over \$250,000	0	1	0	1	0	0	0	0	2
Not applicable	40	1,118	8	478	75	13	684	196	2,612
Total	47	1,251	14	549	83	13	720	212	2,889



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Australian Securities & Investments Commission

Australian insolvency statistics

Released: December 2016

Table 3.2.2.1 - Initial external administrators' reports for Construction industry—Size of company as measured by number of FTEs by region (1 July 2015–30 June 2016)

Full-time equivalent employees	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
Less than 5 FTE	23	455	12	234	45	4	332	117	1,222
Between 5 and 19 FTE	6	94	3	62	15	4	66	37	287
Between 20 and 199 FTE	1	34	0	23	8	0	20	13	99
200 or more FTE	0	1	0	0	0	0	0	0	1
Not known	7	219	0	51	0	1	60	17	355
Total	37	803	15	370	68	9	478	184	1,964

Table 3.2.2.2 - Initial external administrators' reports for Construction industry—Nominated causes of failure by region (1 July 2015–30 June 2016)

Causes of company failure	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Nominated causes of failure
Under capitalisation	9	120	2	80	11	3	106	58	389
Poor financial control including lack of records	18	332	4	113	14	3	140	46	670
Poor management of accounts receivable	9	102	6	77	11	2	62	39	308
Poor strategic management of business	17	339	7	188	36	2	204	101	894
Inadequate cash flow or high cash use	20	326	6	159	32	4	235	86	868
Poor economic conditions	6	94	5	113	12	0	45	62	337
Natural disaster	0	0	0	4	0	0	1	0	5
Fraud	0	7	1	7	0	0	3	4	22
DOCA failed	0	3	0	3	1	0	0	1	8
Dispute among directors	1	11	0	16	0	0	15	9	52
Trading losses	16	229	7	119	26	3	142	78	620
Industry restructuring	1	7	2	9	1	0	7	7	34
Other	12	325	5	97	24	7	135	55	660
Total	109	1,895	45	985	168	24	1,095	546	4,867

Table 3.2.2.3 - Initial external administrators' reports for Construction industry—Possible misconduct by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Total
Number of reports where external administrator has documentary evidence and recommended the case warranted inquiry by ASIC	34	720	13	283	54	7	367	155	1,633
PRE-APPOINTMENT CRIMINAL MISCONDUCT									
Section 184—Good faith, use of position and use of information—Directors', officers' and employees' duties	0	0	0	0	0	0	0	0	0
Section 206A—Disqualified persons not to manage corporations	23	1	40	4	18	12	2	4	104
Sections 286 & 344(2)—Obligation to keep financial records	1	0	1	1	0	1	0	0	4
Section 471A—Powers of other officers suspended during winding up	11	0	10	1	2	6	1	3	34
Section 588G(3)—Insolvent trading	0	0	1	0	0	1	0	0	2
Section 590—Offences by officers or employees	0	0	0	0	0	0	0	0	0
Section 596AB—Agreements to avoid employee entitlements	6	0	11	1	9	6	0	3	36
Other criminal offences under the Corporations Act	4	1	5	0	1	2	0	1	14
Sub-total	45	2	68	7	30	28	3	11	194
POST-APPOINTMENT CRIMINAL MISCONDUCT									
Sections 429, 438B & 475—Report as to company's affairs	0	118	1	21	8	0	33	13	194
Section 530A—Officers to help liquidator	0	117	1	30	4	0	42	10	204
Section 530B—Requirement to provide liquidator with company's books	0	117	1	32	4	0	44	10	208
Sub-total	0	352	3	83	16	0	119	33	606
ALLEGED BREACHES OF CIVIL OBLIGATIONS									
Section 180—Care and diligence—Directors' and officers' duties	17	349	5	144	17	1	149	110	792
Section 181—Good faith—Directors' and officers' duties	14	178	2	88	8	1	72	50	413
Section 182—Use of position—Directors', officer's and employees' duties	8	86	1	40	9	0	66	23	233
Section 183—Use of information—Directors', officers' and employees' duties	1	25	0	16	1	0	20	4	67
Sections 286 & 344(1)—Obligation to keep financial records	23	429	7	96	13	3	187	53	811
Section 588G(1)–(2)—Insolvent trading	32	511	12	203	44	6	278	130	1,216
Sub-total	95	1,578	27	587	92	11	772	370	3,532
OTHER CRIMINAL OFFENCES									
Criminal offence under the Corporations Act (if a member or contributory)	0	1	0	0	0	0	1	0	2
Criminal offence under another Commonwealth statute	0	1	0	2	0	0	1	0	4
Criminal offence under a state or territory law	0	0	0	1	0	0	0	1	2
Sub-total	0	2	0	3	0	0	2	1	8
OTHER POSSIBLE MISCONDUCT									
May have misapplied or retained, or may have become liable or accountable for money or property of the company	0	2	0	0	0	0	3	0	5
May have been guilty of negligence, default, breach of duty or breach of trust in relation to the company	0	0	0	0	0	0	3	1	4
Sub-total	0	2	0	0	0	0	6	1	9
Total misconduct reported	140	1,936	98	680	138	39	902	416	4,349
No misconduct reported	2	88	1	94	14	1	106	25	331

**Table 3.2.2.4 - Initial external administrators' reports for Construction industry—Assets, liabilities and deficiency by region
(1 July 2015–30 June 2016)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
ASSET CATEGORIES									
Less than \$1	13	373	2	142	19	1	179	56	785
\$1–\$10,000	4	165	1	71	14	3	114	30	402
\$10,001–\$20,000	2	65	1	30	4	2	44	12	160
\$20,001–\$30,000	2	35	0	18	1	0	22	9	87
\$30,001–\$50,000	4	34	3	24	9	1	24	10	109
\$50,001–\$100,000	4	41	3	27	6	1	36	21	139
\$100,001–\$250,000	5	35	4	21	7	1	24	19	116
\$250,001–\$5 million	3	49	1	37	8	0	33	25	156
Over \$5 million	0	6	0	0	0	0	2	2	10
Total	37	803	15	370	68	9	478	184	1,964
LIABILITIES CATEGORIES									
\$1–\$250,000	18	405	4	149	32	2	195	55	860
\$250,001–less than \$1 million	14	229	8	135	20	4	182	54	646
\$1 million–less than \$5 million	5	116	2	69	14	3	87	63	359
\$5 million–\$10 million	0	26	1	11	2	0	9	7	56
Over \$10 million	0	27	0	6	0	0	5	5	43
Total	37	803	15	370	68	9	478	184	1,964
DEFICIENCY CATEGORIES									
\$0–\$50,000	5	121	1	28	6	0	30	2	193
\$50,001–\$250,000	17	301	4	133	28	3	181	57	724
\$250,001–less than \$500,000	3	123	2	79	10	2	94	29	342
\$500,000–less than \$1 million	7	98	6	50	12	1	81	31	286
\$1 million–less than \$5 million	5	112	1	65	10	3	81	55	332
\$5 million–\$10 million	0	22	1	10	2	0	7	6	48
Over \$10 million	0	26	0	5	0	0	4	4	39
Total	37	803	15	370	68	9	478	184	1,964

**Table 3.2.2.5 - Initial external administrators' reports for Construction industry—Unpaid employee entitlements by region
(1 July 2015–30 June 2016)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
UNPAID WAGES									
\$1–\$1,000	1	22	0	11	5	2	11	3	55
\$1,001–\$10,000	3	57	1	24	8	0	35	24	152
\$10,001–\$50,000	3	32	1	23	7	2	36	12	116
\$50,001–\$150,000	0	11	0	4	3	0	9	1	28
\$150,001–\$250,000	0	1	0	0	0	0	2	1	4
\$250,001–\$500,000	0	2	0	0	0	0	1	0	3
\$500,001–less than \$1.5 million	0	1	0	0	0	0	0	0	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	30	676	5	308	45	3	384	143	1,594
Total	37	802	7	370	68	7	478	184	1,953
UNPAID ANNUAL LEAVE									
\$1–\$1,000	0	22	0	7	2	0	9	2	42
\$1,001–\$10,000	3	56	0	28	9	3	45	14	158
\$10,001–\$50,000	1	36	3	26	7	3	36	26	138
\$50,001–\$150,000	2	24	1	10	8	0	13	5	63
\$150,001–\$250,000	0	1	0	4	1	0	2	1	9
\$250,001–\$500,000	0	6	0	3	0	0	2	1	12
\$500,001–less than \$1.5 million	0	1	0	0	0	0	1	1	3
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	1	0	0	0	0	0	0	1
Not Applicable	31	655	3	292	41	1	370	134	1,527
Total	37	802	7	370	68	7	478	184	1,953
UNPAID PAY IN LIEU OF NOTICE									
\$1–\$1,000	1	19	0	4	0	1	6	3	34
\$1,001–\$10,000	1	23	0	17	9	1	35	12	98
\$10,001–\$50,000	0	25	0	21	9	3	21	20	99
\$50,001–\$150,000	1	11	0	12	4	0	5	4	37
\$150,001–\$250,000	0	2	0	3	0	0	1	0	6
\$250,001–\$500,000	0	1	0	2	0	0	1	2	6
\$500,001–less than \$1.5 million	0	0	0	0	0	0	1	0	1
\$1.5 million–\$5 million	0	1	0	0	0	0	0	0	1
Over \$5 million	0	2	0	0	0	0	0	0	2
Not Applicable	34	718	7	311	46	2	408	143	1,669
Total	37	802	7	370	68	7	478	184	1,953
UNPAID REDUNDANCY									
\$1–\$1,000	0	17	0	7	0	1	7	2	34
\$1,001–\$10,000	1	11	0	3	4	1	16	3	39
\$10,001–\$50,000	2	17	0	10	2	3	16	9	59
\$50,001–\$150,000	1	11	0	8	8	0	6	4	38
\$150,001–\$250,000	0	5	0	8	2	0	2	2	19
\$250,001–\$500,000	0	4	0	2	0	0	0	2	8
\$500,001–less than \$1.5 million	0	1	0	2	0	0	1	1	5
\$1.5 million–\$5 million	0	1	0	0	0	0	0	0	1
Over \$5 million	0	1	0	0	0	0	1	0	2
Not Applicable	33	734	7	330	52	2	429	161	1,748
Total	37	802	7	370	68	7	478	184	1,953
UNPAID LONG SERVICE LEAVE									
\$1–\$1,000	0	19	0	7	0	1	8	5	40
\$1,001–\$10,000	2	12	0	6	3	0	24	7	54
\$10,001–\$50,000	2	14	0	15	2	0	11	7	51
\$50,001–\$150,000	0	2	0	4	5	0	0	3	14
\$150,001–\$250,000	0	2	0	2	0	0	1	0	5
\$250,001–\$500,000	0	3	0	0	1	0	1	2	7
\$500,001–less than \$1.5 million	0	1	0	0	0	0	0	0	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	1	1	2
Not Applicable	33	749	7	336	57	6	432	159	1,779
Total	37	802	7	370	68	7	478	184	1,953
UNPAID SUPERANNUATION									
\$1–\$100,000	9	225	6	130	29	5	134	79	617
\$100,001–\$250,000	3	21	4	15	4	0	14	14	75
\$250,001–\$1 million	1	12	0	5	1	0	6	3	28
Over \$1 million	0	1	0	1	0	0	1	0	3
Not Applicable	24	544	5	219	34	4	323	88	1,241
Total	37	803	15	370	68	9	478	184	1,964

Note 1: Reports identified as being internally inconsistent were excluded from this table. No reports were excluded from superannuation.

**Table 3.2.2.6 - Initial external administrators' reports for Construction industry—Amount owed to secured creditors by region
(1 July 2015–30 June 2016)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	28	606	12	231	40	5	331	109	1,362
\$1–less than \$500,000	9	133	1	103	22	3	111	47	429
\$500,000–less than \$1 million	0	16	0	13	4	0	12	11	56
\$1 million–less than \$5 million	0	25	2	18	2	1	22	12	82
\$5 million–\$10 million	0	3	0	3	0	0	0	4	10
Over \$10 million	0	20	0	2	0	0	2	1	25
Total	37	803	15	370	68	9	478	184	1,964

**Table 3.2.2.7 - Initial external administrators' reports for Construction industry—Unpaid taxes and charges by region
(1 July 2015–30 June 2016)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	6	110	0	41	6	1	58	18	240
\$1–\$250,000	23	546	9	254	49	7	319	108	1,315
\$250,001–\$1 million	8	112	5	55	12	1	85	48	326
Over \$1 million	0	35	1	20	1	0	16	10	83
Total	37	803	15	370	68	9	478	184	1,964

**Table 3.2.2.8 - Initial external administrators' reports for Construction industry—Unsecured creditors by region
(1 July 2015–30 June 2016)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
NUMBER OF UNSECURED CREDITORS									
Less than 25	29	672	13	270	36	4	388	126	1,538
25–50	4	53	0	37	15	0	42	22	173
51–200	4	46	2	48	16	5	33	29	183
More than 200	0	8	0	7	1	0	4	3	23
Unknown	0	24	0	8	0	0	11	4	47
Total	37	803	15	370	68	9	478	184	1,964
AMOUNT OWED TO UNSECURED CREDITORS									
Less than \$250,000	24	545	11	214	41	3	305	93	1,236
\$250,000–\$500,000	5	71	1	59	7	3	65	22	233
\$500,001–less than \$1 million	4	74	2	42	14	1	49	22	208
\$1 million–less than \$5 million	4	84	0	43	5	2	50	43	231
\$5 million–\$10 million	0	18	1	8	1	0	6	1	35
Over \$10 million	0	11	0	4	0	0	3	3	21
Total	37	803	15	370	68	9	478	184	1,964
MORE THAN 50% OF DEBT OWED TO RELATED PARTIES									
Number	3	135	1	49	10	2	77	39	316
ESTIMATED 'CENTS' IN THE \$' DIVIDEND TO UNSECURED CREDITORS									
\$0	30	745	10	352	61	7	432	161	1,798
Greater than 0 but less than 11 cents	4	37	4	8	6	2	25	16	102
11–20c	0	8	0	2	0	0	9	4	23
21–50c	2	8	0	6	1	0	7	3	27
51–100c	1	5	1	2	0	0	5	0	14
Total	37	803	15	370	68	9	478	184	1,964

**Table 3.2.2.9 - Initial external administrators' reports for Construction industry—External administrator's remuneration by region
(1 July 2015–30 June 2016)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
VOLUNTARY ADMINISTRATOR FEES									
\$0	9	63	0	32	7	1	39	15	166
\$1–\$50,000	6	64	1	33	5	0	24	14	147
\$50,001–\$100,000	2	14	1	9	4	0	5	7	42
\$100,001–\$250,000	0	10	0	3	1	0	3	4	21
Over \$250,000	0	4	0	1	0	0	3	6	14
Not applicable	20	648	13	292	51	8	404	138	1,574
Total	37	803	15	370	68	9	478	184	1,964
DEED OF COMPANY ARRANGEMENT FEES									
\$0	12	64	0	35	7	1	43	16	178
\$1–\$50,000	1	15	0	6	1	0	3	1	27
\$50,001–\$100,000	0	1	0	1	1	0	0	1	4
\$100,001–\$250,000	0	1	0	0	0	0	1	2	4
Over \$250,000	0	0	0	1	0	0	0	0	1
Not applicable	24	722	15	327	59	8	431	164	1,750
Total	37	803	15	370	68	9	478	184	1,964
LIQUIDATOR FEES									
\$0	5	208	2	106	11	0	107	41	480
\$1–\$50,000	25	491	8	215	41	8	317	100	1,205
\$50,001–\$100,000	4	35	1	19	11	1	31	22	124
\$100,001–\$250,000	0	18	3	12	0	0	8	11	52
Over \$250,000	0	6	0	4	0	0	2	3	15
Not applicable	3	45	1	14	5	0	13	7	88
Total	37	803	15	370	68	9	478	184	1,964
RECEIVER/CONTROLLER FEES									
\$0	12	70	0	35	7	1	42	19	186
\$1–\$50,000	0	0	0	1	0	0	1	0	2
\$50,001–\$100,000	0	1	0	0	0	0	0	1	2
\$100,001–\$250,000	0	1	0	0	0	0	1	0	2
Over \$250,000	0	1	1	0	0	0	1	1	4
Not applicable	25	730	14	334	61	8	433	163	1,768
Total	37	803	15	370	68	9	478	184	1,964

**ASIC**

Australian Securities & Investments Commission

Australian insolvency statistics

Released: December 2016

Table 3.2.3.1 - Initial external administrators' reports for Accommodation & food services industry—Size of company as measured by number of FTEs by region (1 July 2015–30 June 2016)

Full-time equivalent employees	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
Less than 5 FTE	11	188	4	126	35	8	149	45	566
Between 5 and 19 FTE	8	52	2	41	16	4	45	17	185
Between 20 and 199 FTE	1	13		6	4		8	3	35
200 or more FTE	0	0	0	0	0	0	0	0	0
Not known	6	52	2	32	6	3	37	4	142
Total	26	305	8	205	61	15	239	69	928

Table 3.2.3.2 - Initial external administrators' reports for Accommodation & food services industry—Nominated causes of failure by region (1 July 2015–30 June 2016)

Causes of company failure	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Nominated causes of failure
Under capitalisation	7	68	2	36	13	4	56	23	209
Poor financial control including lack of records	9	107	2	64	10	9	67	25	293
Poor management of accounts receivable	1	7	0	12	2	1	5	2	30
Poor strategic management of business	10	137	3	118	24	4	97	42	435
Inadequate cash flow or high cash use	16	140	3	104	31	11	133	41	479
Poor economic conditions	4	37	2	60	16	1	31	20	171
Natural disaster	0	3	0	1	0	0	1	0	5
Fraud	0	10	0	1	1	0	5	0	17
DOCA failed	0	1	0	0	0	0	0	0	1
Dispute among directors	0	10	0	0	1	0	10	4	25
Trading losses	10	117	4	100	35	6	87	45	404
Industry restructuring	0	2	0	3	1	0	5	2	13
Other	12	106	6	31	14	6	47	12	234
Total	69	745	22	530	148	42	544	216	2,316

Table 3.2.3.3 - Initial external administrators' reports for Accommodation & food services industry—Possible misconduct by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Total
Number of reports where external administrator has documentary evidence and recommended the case warranted inquiry by ASIC	22	263	7	152	50	14	196	56	760
PRE-APPOINTMENT CRIMINAL MISCONDUCT									
Section 184—Good faith, use of position and use of information—Directors', officers' and employees' duties	0	0	0	0	0	0	0	0	0
Section 206A—Disqualified persons not to manage corporations	11	1	15	0	2	2	0	3	34
Sections 286 & 344(2)—Obligation to keep financial records	1	0	0	0	0	0	0	0	1
Section 471A—Powers of other officers suspended during winding up	2	1	12	0	1	0	0	1	17
Section 588G(3)—Insolvent trading	1	0	0	0	3	1	0	1	6
Section 590—Offences by officers or employees	0	0	0	0	0	0	0	0	0
Section 596AB—Agreements to avoid employee entitlements	4	1	6	0	1	3	0	1	16
Other criminal offences under the Corporations Act	2	0	2	1	1	0	0	0	6
Sub-total	21	3	35	1	8	6	0	6	80
POST-APPOINTMENT CRIMINAL MISCONDUCT									
Sections 429, 438B & 475—Report as to company's affairs	0	31	1	17	5	1	17	2	74
Section 530A—Officers to help liquidator	0	24	1	17	3	1	19	2	67
Section 530B—Requirement to provide liquidator with company's books	0	35	0	18	3	1	19	3	79
Sub-total	0	90	2	52	11	3	55	7	220
ALLEGED BREACHES OF CIVIL OBLIGATIONS									
Section 180—Care and diligence—Directors' and officers' duties	10	120	4	62	20	3	69	35	323
Section 181—Good faith—Directors' and officers' duties	4	48	1	23	8	1	29	20	134
Section 182—Use of position—Directors', officer's and employees' duties	2	27	1	15	7	0	19	7	78
Section 183—Use of information—Directors', officers' and employees' duties	0	14	1	3	2	0	4	2	26
Sections 286 & 344(1)—Obligation to keep financial records	10	154	3	70	13	7	104	21	382
Section 588G(1)–(2)—Insolvent trading	20	212	7	103	45	13	149	49	598
Sub-total	46	575	17	276	95	24	374	134	1,541
OTHER CRIMINAL OFFENCES									
Criminal offence under the Corporations Act (if a member or contributory)	0	0	0	0	0	0	0	0	0
Criminal offence under another Commonwealth statute	0	2	0	0	0	0	1	0	3
Criminal offence under a state or territory law	0	1	0	0	0	0	1	0	2
Sub-total	0	3	0	0	0	0	2	0	5
OTHER POSSIBLE MISCONDUCT									
May have misapplied or retained, or may have become liable or accountable for money or property of the company	0	0	0	1	0	0	0	0	1
May have been guilty of negligence, default, breach of duty or breach of trust in relation to the company	0	1	0	1	0	0	0	0	2
Sub-total	0	1	0	2	0	0	0	0	3
Total misconduct reported	67	672	54	331	114	33	431	147	1,849
No misconduct reported	7	44	0	59	10	0	36	12	168

Table 3.2.3.4 - Initial external administrators' reports for Accommodation & food services industry—Assets, liabilities and deficiency by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
ASSET CATEGORIES									
Less than \$1	7	126	3	88	19	3	94	19	359
\$1–\$10,000	7	76	3	47	15	4	48	15	215
\$10,001–\$20,000	1	25	1	26	9	2	20	6	90
\$20,001–\$30,000	4	24	0	11	1	1	21	4	66
\$30,001–\$50,000	2	18	1	9	6	1	18	7	62
\$50,001–\$100,000	2	16	0	9	4	2	17	11	61
\$100,001–\$250,000	3	11	0	5	6	2	5	6	38
\$250,001–\$5 million	0	9	0	10	1	0	16	1	37
Over \$5 million	0	0	0	0	0	0	0	0	0
Total	26	305	8	205	61	15	239	69	928
LIABILITIES CATEGORIES									
\$1–\$250,000	11	142	1	86	26	5	111	16	398
\$250,001–less than \$1 million	12	135	5	83	26	8	102	34	405
\$1 million–less than \$5 million	3	25	2	33	7	2	25	14	111
\$5 million–\$10 million	0	1	0	3	2	0	1	2	9
Over \$10 million	0	2	0	0	0	0	0	3	5
Total	26	305	8	205	61	15	239	69	928
DEFICIENCY CATEGORIES									
\$0–\$50,000	0	20	0	10	3	1	12	2	48
\$50,001–\$250,000	13	131	1	84	26	5	105	15	380
\$250,001–less than \$500,000	7	76	5	49	13	4	69	20	243
\$500,000–less than \$1 million	4	54	0	31	11	4	31	13	148
\$1 million–less than \$5 million	2	21	2	28	6	1	21	14	95
\$5 million–\$10 million	0	1	0	3	2	0	1	3	10
Over \$10 million	0	2	0	0	0	0	0	2	4
Total	26	305	8	205	61	15	239	69	928

**Table 3.2.3.5 - Initial external administrators' reports for Accommodation & food services industry—Unpaid employee entitlements by region
(1 July 2015–30 June 2016)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
UNPAID WAGES									
\$1–\$1,000	1	15	1	9	4	2	4	6	42
\$1,001–\$10,000	5	34	1	14	9	1	23	11	98
\$10,001–\$50,000	0	15	0	5	3	0	14	4	41
\$50,001–\$150,000	0	2	0	0	2	0	4	0	8
\$150,001–\$250,000	0	1	0	1	0	0	0	0	2
\$250,001–\$500,000	0	1	0	0	0	0	0	0	1
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	20	237	1	176	43	12	194	48	731
Total	26	305	3	205	61	15	239	69	923
UNPAID ANNUAL LEAVE									
\$1–\$1,000	0	14	0	4	3	2	3	2	28
\$1,001–\$10,000	8	32	0	20	11	1	24	9	105
\$10,001–\$50,000	3	22	0	14	1	1	19	8	68
\$50,001–\$150,000	0	3	0	0	1	1	5	2	12
\$150,001–\$250,000	0	0	0	0	0	0	0	0	0
\$250,001–\$500,000	0	0	0	0	0	0	0	0	0
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	15	234	3	167	45	10	188	48	710
Total	26	305	3	205	61	15	239	69	923
UNPAID PAY IN LIEU OF NOTICE									
\$1–\$1,000	0	10	0	6	1	2	2	2	23
\$1,001–\$10,000	4	20	0	19	8	2	14	7	74
\$10,001–\$50,000	2	12	0	3	2	1	11	5	36
\$50,001–\$150,000	0	1	0	0	1	0	1	0	3
\$150,001–\$250,000	0	0	0	0	0	0	0	0	0
\$250,001–\$500,000	0	0	0	0	0	0	0	0	0
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	20	262	3	177	49	10	211	55	787
Total	26	305	3	205	61	15	239	69	923
UNPAID REDUNDANCY									
\$1–\$1,000	0	7	0	4	1	2	1	2	17
\$1,001–\$10,000	1	5	0	10	2	0	6	1	25
\$10,001–\$50,000	2	9	0	5	0	0	8	5	29
\$50,001–\$150,000	0	3	0	0	2	1	3	2	11
\$150,001–\$250,000	0	0	0	0	0	0	1	0	1
\$250,001–\$500,000	0	1	0	0	0	0	0	0	1
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	2	0	0	0	0	0	0	1
Not Applicable	23	278	3	186	56	12	220	59	837
Total	26	305	3	205	61	15	239	69	923
UNPAID LONG SERVICE LEAVE									
\$1–\$1,000	0	6	0	5	0	1	3	2	17
\$1,001–\$10,000	1	4	0	3	2	0	10	1	21
\$10,001–\$50,000	2	4	0	1	3	0	5	2	17
\$50,001–\$150,000	0	2	0	1	2	1	1	0	7
\$150,001–\$250,000	0	0	0	0	0	0	0	0	0
\$250,001–\$500,000	0	0	0	0	0	0	0	0	0
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	23	289	3	195	54	13	220	64	861
Total	26	305	3	205	61	15	239	69	923
UNPAID SUPERANNUATION									
\$1–\$100,000	11	121	6	90	30	7	102	35	402
\$100,001–\$250,000	2	20	1	16	5	2	15	10	71
\$250,001–\$1 million	0	3	0	6	1	1	7	3	21
Over \$1 million	0	0	0	0	0	0	0	0	0
Not Applicable	13	161	1	93	25	5	115	21	434
Total	26	305	8	205	61	15	239	69	928

Note 1: Reports identified as being internally inconsistent were excluded from this table. No reports were excluded from superannuation.

Table 3.2.3.6 - Initial external administrators' reports for Accommodation & food services industry—Amount owed to secured creditors by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	18	214	5	111	40	11	166	46	611
\$1–less than \$500,000	8	80	1	74	14	4	62	13	256
\$500,000–less than \$1 million	0	3	0	5	3	0	4	2	17
\$1 million–less than \$5 million	0	6	2	11	2	0	6	3	30
\$5 million–\$10 million	0	0	0	2	2	0	0	2	6
Over \$10 million	0	2	0	2	0	0	1	3	8
Total	26	305	8	205	61	15	239	69	928

Table 3.2.3.7 - Initial external administrators' reports for Accommodation & food services industry—Unpaid taxes and charges by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	3	24	0	10	3	4	16	2	62
\$1–\$250,000	20	241	7	161	47	9	192	49	726
\$250,001–\$1 million	3	38	1	30	11	2	28	17	130
Over \$1 million	0	2	0	4	0	0	3	1	10
Total	26	305	8	205	61	15	239	69	928

Table 3.2.3.8 - Initial external administrators' reports for Accommodation & food services industry—Unsecured creditors by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
NUMBER OF UNSECURED CREDITORS									
Less than 25	17	259	7	169	34	9	191	47	733
25–50	7	34	0	22	20	5	30	12	130
51–200	2	10	0	10	7	1	13	8	51
More than 200	0	1	0	0	0	0	1	0	2
Unknown	0	1	1	4	0	0	4	2	12
Total	26	305	8	205	61	15	239	69	928
AMOUNT OWED TO UNSECURED CREDITORS									
Less than \$250,000	16	210	7	150	41	9	171	44	648
\$250,000–\$500,000	5	50	0	24	10	4	38	10	141
\$500,001–less than \$1 million	5	27	0	18	7	1	17	10	85
\$1 million–less than \$5 million	0	16	1	13	3	1	13	5	52
\$5 million–\$10 million	0	2	0	0	0	0	0	0	2
Over \$10 million	0	0	0	0	0	0	0	0	0
Total	26	305	8	205	61	15	239	69	928
MORE THAN 50% OF DEBT OWED TO RELATED PARTIES									
Number	7	55	2	42	9	1	45	9	170
ESTIMATED 'CENTS' IN THE \$ DIVIDEND TO UNSECURED CREDITORS									
\$0	25	290	8	200	53	14	230	64	884
Greater than 0 but less than 11 cents	0	7	0	3	6	0	3	2	21
11–20c	0	4	0	0	2	1	1	2	10
21–50c	1	3	0	0	0	0	2	1	7
51–100c	0	1	0	2	0	0	3	0	6
Total	26	305	8	205	61	15	239	69	928

Table 3.2.3.9 - Initial external administrators' reports for Accommodation & food services industry—External administrator's remuneration by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
VOLUNTARY ADMINISTRATOR FEES									
\$0	10	27	2	20	7	0	18	8	92
\$1–\$50,000	1	22	1	8	4	0	11	5	52
\$50,001–\$100,000	2	5	0	6	1	0	3	4	21
\$100,001–\$250,000	0	4	0	2	0	1	3	2	12
Over \$250,000	0	2	0	0	0	0	0	4	6
Not applicable	13	245	5	169	49	14	204	46	745
Total	26	305	8	205	61	15	239	69	928
DEED OF COMPANY ARRANGEMENT FEES									
\$0	10	31	2	21	8	0	19	8	99
\$1–\$50,000	0	2	0	0	0	0	3	1	6
\$50,001–\$100,000	0	0	0	0	0	0	0	0	0
\$100,001–\$250,000	0	0	0	0	0	0	0	0	0
Over \$250,000	0	0	0	1	0	0	0	0	1
Not applicable	16	272	6	183	53	15	217	60	822
Total	26	305	8	205	61	15	239	69	928
LIQUIDATOR FEES									
\$0	2	71	2	51	13	2	59	11	211
\$1–\$50,000	19	207	6	138	43	12	161	45	631
\$50,001–\$100,000	2	12	0	7	2	1	8	6	38
\$100,001–\$250,000	0	4	0	2	1	0	4	0	11
Over \$250,000	0	0	0	0	0	0	1	0	1
Not applicable	3	11	0	7	2	0	6	7	36
Total	26	305	8	205	61	15	239	69	928
RECEIVER/CONTROLLER FEES									
\$0	10	32	2	24	8	0	19	8	103
\$1–\$50,000	0	0	0	2	0	0	2	0	4
\$50,001–\$100,000	0	0	0	0	0	0	0	0	0
\$100,001–\$250,000	0	0	0	1	0	0	0	0	1
Over \$250,000	0	0	0	0	0	0	1	0	1
Not applicable	16	273	6	178	53	15	217	61	819
Total	26	305	8	205	61	15	239	69	928

**ASIC**

Australian Securities & Investments Commission

Australian insolvency statistics

Released: December 2016

Table 3.2.4.1 - Initial external administrators' reports for Retail trade industry—Size of company as measured by number of FTEs by region (1 July 2015–30 June 2016)

Full-time equivalent employees	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
Less than 5 FTE	7	175	3	97	36	9	158	33	518
Between 5 and 19 FTE	3	39	2	27	5	2	39	9	126
Between 20 and 199 FTE	1	9	0	4	2	0	7	1	24
200 or more FTE	0	5	0	0	0	0	1	0	6
Not known	1	49	0	14	3	0	22	5	94
Total	12	277	5	142	46	11	227	48	768

Table 3.2.4.2 - Initial external administrators' reports for Retail trade industry—Nominated causes of failure by region (1 July 2015–30 June 2016)

Causes of company failure	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Nominated causes of failure
Under capitalisation	5	49	0	33	16	3	47	10	163
Poor financial control including lack of records	5	107	2	36	7	3	70	18	248
Poor management of accounts receivable	1	15	2	13	2	1	12	2	48
Poor strategic management of business	9	134	1	72	15	6	98	27	362
Inadequate cash flow or high cash use	11	126	1	64	23	7	125	28	385
Poor economic conditions	9	39	1	48	15	2	31	10	155
Natural disaster	0	2	0	1	0	0	0	0	3
Fraud	0	1	0	2	0	0	7	2	12
DOCA failed	0	0	0	0	0	0	0	0	0
Dispute among directors	0	8	0	7	2	0	6	3	26
Trading losses	5	106	3	69	23	8	88	27	329
Industry restructuring	0	5	0	4	3	0	7	1	20
Other	4	87	1	35	4	4	50	9	194
Total	49	679	11	384	110	34	541	137	1,945

Table 3.2.4.3 - Initial external administrators' reports for Retail trade industry—Possible misconduct by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Total
Number of reports where external administrator has documentary evidence and recommended the case warranted inquiry by ASIC	15	247	4	110	36	9	176	41	638
PRE-APPOINTMENT CRIMINAL MISCONDUCT									
Section 184—Good faith, use of position and use of information—Directors', officers' and employees' duties	0	0	0	0	0	0	0	0	0
Section 206A—Disqualified persons not to manage corporations	8	1	13	0	5	3	2	1	33
Sections 286 & 344(2)—Obligation to keep financial records	0	0	0	0	0	0	0	0	0
Section 471A—Powers of other officers suspended during winding up	4	1	3	1	2	4	0	0	15
Section 588G(3)—Insolvent trading	0	0	0	0	1	0	0	1	2
Section 590—Offences by officers or employees	0	0	0	0	1	0	0	0	1
Section 596AB—Agreements to avoid employee entitlements	7	2	5	0	6	6	0	0	26
Other criminal offences under the Corporations Act	3	0	1	0	2	0	0	0	6
Sub-total	22	4	22	1	17	13	2	2	83
POST-APPOINTMENT CRIMINAL MISCONDUCT									
Sections 429, 438B & 475—Report as to company's affairs	0	35	0	7	7	0	19	2	70
Section 530A—Officers to help liquidator	0	29	1	10	4	0	21	3	68
Section 530B—Requirement to provide liquidator with company's books	0	33	0	12	3	0	16	3	67
Sub-total	0	97	1	29	14	0	56	8	205
ALLEGED BREACHES OF CIVIL OBLIGATIONS									
Section 180—Care and diligence—Directors' and officers' duties	10	114	2	37	14	3	59	29	268
Section 181—Good faith—Directors' and officers' duties	7	58	2	25	5	2	37	18	154
Section 182—Use of position—Directors', officer's and employees' duties	3	35	1	15	5	1	29	6	95
Section 183—Use of information—Directors', officers' and employees' duties	1	13	0	4	1	0	4	1	24
Sections 286 & 344(1)—Obligation to keep financial records	7	127	2	45	15	2	86	14	298
Section 588G(1)–(2)—Insolvent trading	12	182	4	79	33	9	130	33	482
Sub-total	40	529	11	205	73	17	345	101	1,321
OTHER CRIMINAL OFFENCES									
Criminal offence under the Corporations Act (if a member or contributory)	0	0	0	0	0	0	1	1	2
Criminal offence under another Commonwealth statute	0	0	0	0	0	0	0	0	0
Criminal offence under a state or territory law	0	1	0	0	1	0	3	1	6
Sub-total	0	1	0	0	1	0	4	2	8
OTHER POSSIBLE MISCONDUCT									
May have misapplied or retained, or may have become liable or accountable for money or property of the company	0	1	0	1	1	0	5	2	10
May have been guilty of negligence, default, breach of duty or breach of trust in relation to the company	0	2	0	0	0	0	1	1	4
Sub-total	0	3	0	1	1	0	6	3	14
Total misconduct reported	62	634	34	236	106	30	413	116	1,631
No misconduct reported	0	33	0	31	10	0	49	7	130

**Table 3.2.4.4 - Initial external administrators' reports for Retail trade industry—Assets, liabilities and deficiency by region
(1 July 2015–30 June 2016)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
ASSET CATEGORIES									
Less than \$1	1	88	0	55	10	0	72	10	236
\$1–\$10,000	3	67	1	32	12	3	53	10	181
\$10,001–\$20,000	0	28	2	17	6	0	31	7	91
\$20,001–\$30,000	2	16	0	10	1	0	13	2	44
\$30,001–\$50,000	5	17	0	6	4	4	17	5	58
\$50,001–\$100,000	0	26	1	10	5	0	9	4	55
\$100,001–\$250,000	0	16	0	4	6	3	17	5	51
\$250,001–\$5 million	1	14	1	8	2	1	14	5	46
Over \$5 million	0	5	0	0	0	0	1	0	6
Total	12	277	5	142	46	11	227	48	768
LIABILITIES CATEGORIES									
\$1–\$250,000	6	126	1	57	15	4	92	18	319
\$250,001–less than \$1 million	4	95	3	59	21	6	94	20	302
\$1 million–less than \$5 million	2	41	1	22	9	1	32	9	117
\$5 million–\$10 million	0	2	0	2	1	0	4	0	9
Over \$10 million	0	13	0	2	0	0	5	1	21
Total	12	277	5	142	46	11	227	48	768
DEFICIENCY CATEGORIES									
\$0–\$50,000	0	22	0	13	3	0	23	2	63
\$50,001–\$250,000	7	110	3	49	13	5	77	16	280
\$250,001–less than \$500,000	2	47	1	39	10	3	46	11	159
\$500,000–less than \$1 million	2	46	0	17	10	3	42	10	130
\$1 million–less than \$5 million	1	37	1	20	10	0	30	8	107
\$5 million–\$10 million	0	4	0	2	0	0	5	0	11
Over \$10 million	0	11	0	2	0	0	4	1	18
Total	12	277	5	142	46	11	227	48	768

**Table 3.2.4.5 - Initial external administrators' reports for Retail trade industry—Unpaid employee entitlements by region
(1 July 2015–30 June 2016)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
UNPAID WAGES									
\$1–\$1,000	1	8	0	9	5	1	13	5	42
\$1,001–\$10,000	1	37	3	14	8	2	31	8	104
\$10,001–\$50,000	1	10	0	5	1	1	14	5	37
\$50,001–\$150,000	0	2	0	1	0	0	2	0	5
\$150,001–\$250,000	0	0	0	0	0	0	0	0	0
\$250,001–\$500,000	0	0	0	0	0	1	0	0	1
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	9	220	2	113	32	5	167	30	578
Total	12	277	5	142	46	10	227	48	767
UNPAID ANNUAL LEAVE									
\$1–\$1,000	0	6	0	7	1	0	7	2	23
\$1,001–\$10,000	3	31	1	15	6	5	33	10	104
\$10,001–\$50,000	2	30	1	8	7	3	24	7	82
\$50,001–\$150,000	0	4	0	3	1	0	4	0	12
\$150,001–\$250,000	0	0	0	0	0	0	1	0	1
\$250,001–\$500,000	0	3	0	0	0	0	1	0	4
\$500,001–less than \$1.5 million	0	3	0	1	0	0	1	0	5
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	7	200	3	108	31	2	156	29	536
Total	12	277	5	142	46	10	227	48	767
UNPAID PAY IN LIEU OF NOTICE									
\$1–\$1,000	0	9	0	7	1	1	4	0	22
\$1,001–\$10,000	1	23	1	12	4	3	24	6	74
\$10,001–\$50,000	0	16	0	8	5	0	11	5	45
\$50,001–\$150,000	0	2	0	2	0	0	3	0	7
\$150,001–\$250,000	0	2	0	0	0	0	0	0	2
\$250,001–\$500,000	0	2	0	0	0	0	1	0	3
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	11	223	4	113	36	6	184	37	614
Total	12	277	5	142	46	10	227	48	767
UNPAID REDUNDANCY									
\$1–\$1,000	0	6	0	6	1	0	2	0	15
\$1,001–\$10,000	0	2	0	1	0	2	6	1	12
\$10,001–\$50,000	0	7	0	5	3	0	8	0	23
\$50,001–\$150,000	0	2	0	3	2	0	2	1	10
\$150,001–\$250,000	0	1	0	1	0	0	0	0	2
\$250,001–\$500,000	0	1	0	0	0	0	1	0	2
\$500,001–less than \$1.5 million	0	3	0	0	0	0	1	0	4
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	12	255	5	126	40	8	207	46	699
Total	12	277	5	142	46	10	227	48	767
UNPAID LONG SERVICE LEAVE									
\$1–\$1,000	0	4	0	6	1	0	2	1	14
\$1,001–\$10,000	3	9	1	3	3	3	14	3	39
\$10,001–\$50,000	0	16	1	9	4	0	7	4	41
\$50,001–\$150,000	0	0	0	1	1	0	2	0	4
\$150,001–\$250,000	0	4	0	0	0	0	2	0	6
\$250,001–\$500,000	0	1	0	1	0	0	2	0	4
\$500,001–less than \$1.5 million	0	1	0	0	0	0	0	0	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	9	242	3	122	37	7	198	40	658
Total	12	277	5	142	46	10	227	48	767
UNPAID SUPERANNUATION									
\$1–\$100,000	11	107	5	62	21	10	94	28	338
\$100,001–\$250,000	0	9	0	4	1	0	7	4	25
\$250,001–\$1 million	1	6	0	2	0	0	2	0	11
Over \$1 million	0	0	0	0	0	0	1	0	1
Not Applicable	0	155	0	74	24	1	123	16	393
Total	12	277	5	142	46	11	227	48	768

Note 1: Reports identified as being internally inconsistent were excluded from this table. No reports were excluded from superannuation.

Table 3.2.4.6 - Initial external administrators' reports for Retail trade industry—Amount owed to secured creditors by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	8	178	0	82	27	5	142	30	472
\$1–less than \$500,000	2	71	4	46	15	6	63	14	221
\$500,000–less than \$1 million	2	15	1	10	1	0	9	2	40
\$1 million–less than \$5 million	0	5	0	2	2	0	8	2	19
\$5 million–\$10 million	0	0	0	1	1	0	5	0	7
Over \$10 million	0	8	0	1	0	0	0	0	9
Total	12	277	5	142	46	11	227	48	768

Table 3.2.3.7 - Initial external administrators' reports for Retail trade industry—Unpaid taxes and charges by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	0	34	0	18	5	1	25	5	88
\$1–\$250,000	11	214	5	107	33	10	183	38	601
\$250,001–\$1 million	1	22	0	15	6	0	15	5	64
Over \$1 million	0	7	0	2	2	0	4	0	15
Total	12	277	5	142	46	11	227	48	768

Table 3.2.4.8 - Initial external administrators' reports for Retail trade industry—Unsecured creditors by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
NUMBER OF UNSECURED CREDITORS									
Less than 25	6	214	3	108	29	6	163	28	557
25–50	5	29	2	18	11	3	38	7	113
51–200	1	20	0	15	5	2	20	10	73
More than 200	0	10	0	0	0	0	4	0	14
Unknown	0	4	0	1	1	0	2	3	11
Total	12	277	5	142	46	11	227	48	768
AMOUNT OWED TO UNSECURED CREDITORS									
Less than \$250,000	10	162	4	88	27	5	132	22	450
\$250,000–\$500,000	1	42	0	23	6	3	38	10	123
\$500,001–less than \$1 million	0	31	1	17	5	2	27	12	95
\$1 million–less than \$5 million	1	32	0	11	8	1	23	4	80
\$5 million–\$10 million	0	2	0	2	0	0	4	0	8
Over \$10 million	0	8	0	1	0	0	3	0	12
Total	12	277	5	142	46	11	227	48	768
MORE THAN 50% OF DEBT OWED TO RELATED PARTIES									
Number	5	80	2	22	11	1	51	13	185
ESTIMATED 'CENTS' IN THE \$ DIVIDEND TO UNSECURED CREDITORS									
\$0	12	259	5	132	43	10	212	43	716
Greater than 0 but less than 11 cents	0	10	0	6	2	1	11	3	33
11–20c	0	3	0	1	0	0	0	1	5
21–50c	0	2	0	2	0	0	1	1	6
51–100c	0	3	0	1	1	0	3	0	8
Total	12	277	5	142	46	11	227	48	768

**Table 3.2.4.9 - Initial external administrators' reports for Retail trade industry—External administrator's remuneration by region
(1 July 2015–30 June 2016)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
VOLUNTARY ADMINISTRATOR FEES									
\$0	3	22	0	17	3	1	14	10	70
\$1–\$50,000	3	14	1	7	2	2	13	2	44
\$50,001–\$100,000	1	8	1	4	1	0	5	1	21
\$100,001–\$250,000	0	3	0	1	0	0	1	0	5
Over \$250,000	0	4	0	0	0	0	4	1	9
Not applicable	5	226	3	113	40	8	190	34	619
Total	12	277	5	142	46	11	227	48	768
DEED OF COMPANY ARRANGEMENT FEES									
\$0	3	26	0	17	4	1	12	10	73
\$1–\$50,000	0	3	0	0	0	0	1	0	4
\$50,001–\$100,000	0	0	0	0	0	0	0	0	0
\$100,001–\$250,000	0	0	0	0	0	0	0	0	0
Over \$250,000	0	0	0	0	0	0	2	0	2
Not applicable	9	248	5	125	42	10	212	38	689
Total	12	277	5	142	46	11	227	48	768
LIQUIDATOR FEES									
\$0	2	63	0	46	6	0	48	11	176
\$1–\$50,000	9	187	2	89	35	9	145	27	503
\$50,001–\$100,000	0	12	2	3	4	2	17	4	44
\$100,001–\$250,000	0	6	0	3	0	0	10	4	23
Over \$250,000	0	3	0	0	0	0	0	0	3
Not applicable	1	6	1	1	1	0	7	2	19
Total	12	277	5	142	46	11	227	48	768
RECEIVER/CONTROLLER FEES									
\$0	3	23	0	18	5	1	15	9	74
\$1–\$50,000	0	2	0	0	0	0	0	0	2
\$50,001–\$100,000	0	0	0	0	0	0	0	0	0
\$100,001–\$250,000	0	0	0	0	0	0	1	0	1
Over \$250,000	0	1	0	0	0	0	0	0	1
Not applicable	9	251	5	124	41	10	211	39	690
Total	12	277	5	142	46	11	227	48	768



ASIC

Australian Securities & Investments Commission

Australian insolvency statistics

Released: December 2016

Table 3.2.5.1 - Initial external administrators' reports for Transport, postal & warehousing industry—Size of company as measured by number of FTEs by region (1 July 2015–30 June 2016)

Full-time equivalent employees	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
Less than 5 FTE	3	123	1	87	12	2	118	37	383
Between 5 and 19 FTE	0	26	1	14	8	0	8	9	66
Between 20 and 199 FTE	0	11	0	5	0	0	8	3	27
200 or more FTE	0	1	0	1	0	0	0	0	2
Not known	1	41	0	13	1	0	9	3	68
Total	4	202	2	120	21	2	143	52	546

Table 3.2.5.2 - Initial external administrators' reports for Transport, postal & warehousing industry—Nominated causes of failure by region (1 July 2015–30 June 2016)

Causes of company failure	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Nominated causes of failure
Under capitalisation	1	38	0	15	1	1	30	7	93
Poor financial control including lack of records	4	73	0	50	5	0	38	21	191
Poor management of accounts receivable	1	9	0	20	3	1	11	7	52
Poor strategic management of business	1	102	1	59	11	1	85	25	285
Inadequate cash flow or high cash use	3	87	0	56	11	1	82	27	267
Poor economic conditions	1	22	1	26	7	1	14	16	88
Natural disaster	0	1	0	1	0	0	1	0	3
Fraud	0	6	0	1	1	0	3	0	11
DOCA failed	0	0	0	0	0	0	0	0	0
Dispute among directors	0	1	0	2	3	0	4	1	11
Trading losses	0	70	1	40	9	1	48	18	187
Industry restructuring	0	3	0	1	1	0	4	1	10
Other	1	73	1	27	7	0	47	13	169
Total	12	485	4	298	59	6	367	136	1,367

**Table 3.2.5.3 - Initial external administrators' reports for Transport, postal & warehousing industry—Possible misconduct by region
(1 July 2015–30 June 2016)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Total
Number of reports where external administrator has documentary evidence and recommended the case warranted inquiry by ASIC	4	179	2	85	21	1	131	43	466
PRE-APPOINTMENT CRIMINAL MISCONDUCT									
Section 184—Good faith, use of position and use of information—Directors', officers' and employees' duties	0	0	0	0	0	0	0	0	0
Section 206A—Disqualified persons not to manage corporations	7	0	13	0	7	7	0	2	36
Sections 286 & 344(2)—Obligation to keep financial records	0	0	0	0	0	0	0	0	0
Section 471A—Powers of other officers suspended during winding up	4	0	4	1	3	2	0	1	15
Section 588G(3)—Insolvent trading	3	0	1	0	1	0	0	1	6
Section 590—Offences by officers or employees	0	0	0	0	0	0	0	0	0
Section 596AB—Agreements to avoid employee entitlements	3	0	1	0	1	1	0	0	6
Other criminal offences under the Corporations Act	2	0	1	1	1	0	0	0	5
Sub-total	19	0	20	2	13	10	0	4	68
POST-APPOINTMENT CRIMINAL MISCONDUCT									
Sections 429, 438B & 475—Report as to company's affairs	1	32	1	11	3	0	12	3	63
Section 530A—Officers to help liquidator	1	32	0	11	4	0	17	2	67
Section 530B—Requirement to provide liquidator with company's books	1	31	0	10	2	0	20	2	66
Sub-total	3	95	1	32	9	0	49	7	196
ALLEGED BREACHES OF CIVIL OBLIGATIONS									
Section 180—Care and diligence—Directors' and officers' duties	1	85	1	39	8	0	45	27	206
Section 181—Good faith—Directors' and officers' duties	1	43	0	22	5	0	28	11	110
Section 182—Use of position—Directors', officer's and employees' duties	0	27	0	9	5	0	16	7	64
Section 183—Use of information—Directors', officers' and employees' duties	0	6	0	1	1	0	6	1	15
Sections 286 & 344(1)—Obligation to keep financial records	4	110	1	40	6	0	60	21	242
Section 588G(1)–(2)—Insolvent trading	2	117	2	62	19	1	109	41	353
Sub-total	8	388	4	173	44	1	264	108	990
OTHER CRIMINAL OFFENCES									
Criminal offence under the Corporations Act (if a member or contributory)	0	1	0	0	0	0	0	0	1
Criminal offence under another Commonwealth statute	0	0	0	0	0	0	0	0	0
Criminal offence under a state or territory law	0	1	0	0	0	0	0	0	1
Sub-total	0	2	0	0	0	0	0	0	2
OTHER POSSIBLE MISCONDUCT									
May have misapplied or retained, or may have become liable or accountable for money or property of the company	0	2	0	3	0	0	0	0	5
May have been guilty of negligence, default, breach of duty or breach of trust in relation to the company	0	1	0	2	0	0	0	0	3
Sub-total	0	3	0	5	0	0	0	0	8
Total misconduct reported	30	488	25	212	66	11	313	119	1,264
No misconduct reported	1	23	0	36	1	1	13	5	80

Table 3.2.5.4 - Initial external administrators' reports for Transport, postal & warehousing industry—Assets, liabilities and deficiency by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
ASSET CATEGORIES									
Less than \$1	3	90	1	43	5	0	62	15	219
\$1–\$10,000	1	42	1	17	2	0	24	7	94
\$10,001–\$20,000	0	20	0	14	3	0	14	3	54
\$20,001–\$30,000	0	10	0	5	2	0	9	3	29
\$30,001–\$50,000	0	6	0	10	0	0	11	5	32
\$50,001–\$100,000	0	10	0	8	3	0	10	7	38
\$100,001–\$250,000	0	11	0	8	2	1	7	3	32
\$250,001–\$5 million	0	11	0	15	4	1	6	8	45
Over \$5 million	0	2	0	0	0	0	0	1	3
Total	4	202	2	120	21	2	143	52	546
LIABILITIES CATEGORIES									
\$1–\$250,000	4	124	2	53	7	1	70	18	279
\$250,001–less than \$1 million	0	50	0	47	7	1	56	22	183
\$1 million–less than \$5 million	0	22	0	16	6	0	13	8	65
\$5 million–\$10 million	0	2	0	0	1	0	2	3	8
Over \$10 million	0	4	0	4	0	0	2	1	11
Total	4	202	2	120	21	2	143	52	546
DEFICIENCY CATEGORIES									
\$0–\$50,000	1	34	0	9	0	0	10	1	55
\$50,001–\$250,000	2	93	2	48	8	2	69	18	242
\$250,001–less than \$500,000	1	36	0	33	4	0	36	17	127
\$500,000–less than \$1 million	0	14	0	16	5	0	13	7	55
\$1 million–less than \$5 million	0	19	0	10	4	0	11	6	50
\$5 million–\$10 million	0	3	0	2	0	0	3	3	11
Over \$10 million	0	3	0	2	0	0	1	0	6
Total	4	202	2	120	21	2	143	52	546

**Table 3.2.5.5 - Initial external administrators' reports for Transport, postal & warehousing industry—Unpaid employee entitlements by region
(1 July 2015–30 June 2016)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
UNPAID WAGES									
\$1–\$1,000	1	6	0	5	0	0	5	2	19
\$1,001–\$10,000	0	17	0	10	4	0	9	1	41
\$10,001–\$50,000	0	7	0	3	2	1	4	0	17
\$50,001–\$150,000	0	4	0	0	0	0	2	2	8
\$150,001–\$250,000	0	1	0	1	0	0	0	0	2
\$250,001–\$500,000	0	0	0	0	0	0	0	0	0
\$500,001–less than \$1.5 million	0	1	0	0	1	0	0	0	2
\$1.5 million–\$5 million	0	0	0	1	0	0	0	0	1
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	3	166	0	100	14	1	123	47	454
Total	4	202	0	120	21	2	143	52	544
UNPAID ANNUAL LEAVE									
\$1–\$1,000	1	4	0	3	0	0	3	1	12
\$1,001–\$10,000	0	12	0	8	4	1	12	4	41
\$10,001–\$50,000	0	11	0	13	1	0	6	6	37
\$50,001–\$150,000	0	4	0	2	2	0	1	3	12
\$150,001–\$250,000	0	1	0	1	0	0	3	0	5
\$250,001–\$500,000	0	0	0	1	0	0	1	0	2
\$500,001–less than \$1.5 million	0	2	0	0	0	0	0	0	2
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	3	168	0	92	14	1	117	38	433
Total	4	202	0	120	21	2	143	52	544
UNPAID PAY IN LIEU OF NOTICE									
\$1–\$1,000	1	2	0	4	0	0	2	1	10
\$1,001–\$10,000	0	3	0	9	3	0	5	6	26
\$10,001–\$50,000	0	4	0	3	2	0	3	1	13
\$50,001–\$150,000	0	2	0	1	0	0	1	4	8
\$150,001–\$250,000	0	0	0	1	0	0	2	0	3
\$250,001–\$500,000	0	1	0	1	0	0	1	0	3
\$500,001–less than \$1.5 million	0	1	0	0	0	0	0	0	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	3	189	0	101	16	2	129	40	480
Total	4	202	0	120	21	2	143	52	544
UNPAID REDUNDANCY									
\$1–\$1,000	1	1	0	4	0	0	2	1	9
\$1,001–\$10,000	0	2	0	0	0	0	2	1	5
\$10,001–\$50,000	0	2	0	4	2	0	1	3	12
\$50,001–\$150,000	0	3	0	3	0	0	1	2	9
\$150,001–\$250,000	0	0	0	1	0	0	1	1	3
\$250,001–\$500,000	0	0	0	1	0	0	1	0	2
\$500,001–less than \$1.5 million	0	2	0	1	0	0	1	0	4
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	1	0	0	0	0	1	0	2
Not Applicable	3	191	0	106	19	2	133	44	498
Total	4	202	0	120	21	2	143	52	544
UNPAID LONG SERVICE LEAVE									
\$1–\$1,000	1	1	0	3	0	0	1	1	7
\$1,001–\$10,000	0	2	0	2	0	0	4	0	8
\$10,001–\$50,000	0	4	0	4	2	0	2	3	15
\$50,001–\$150,000	0	1	0	2	0	0	3	2	8
\$150,001–\$250,000	0	0	0	0	0	0	1	0	1
\$250,001–\$500,000	0	0	0	0	0	0	0	0	0
\$500,001–less than \$1.5 million	0	2	0	0	0	0	1	0	3
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	3	192	0	109	19	2	131	46	502
Total	4	202	0	120	21	2	143	52	544
UNPAID SUPERANNUATION									
\$1–\$100,000	1	48	1	46	8	0	32	17	153
\$100,001–\$250,000	0	12	0	4	2	0	17	4	39
\$250,001–\$1 million	0	3	0	4	3	0	1	3	14
Over \$1 million	0	1	0	0	0	0	1	0	2
Not Applicable	3	138	1	66	8	2	92	28	338
Total	4	202	2	120	21	2	143	52	546

Note 1: Reports identified as being internally inconsistent were excluded from this table. No reports were excluded from superannuation.

Table 3.2.5.6 - Initial external administrators' reports for Transport, postal & warehousing industry—Amount owed to secured creditors by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	3	140	2	67	11	0	106	29	358
\$1–less than \$500,000	1	50	0	37	6	1	29	15	139
\$500,000–less than \$1 million	0	3	0	9	2	1	2	1	18
\$1 million–less than \$5 million	0	5	0	6	2	0	4	3	20
\$5 million–\$10 million	0	2	0	1	0	0	2	4	9
Over \$10 million	0	2	0	0	0	0	0	0	2
Total	4	202	2	120	21	2	143	52	546

Table 3.2.5.7 - Initial external administrators' reports for Transport, postal & warehousing industry—Unpaid taxes and charges by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	0	28	0	18	2	0	14	3	65
\$1–\$250,000	3	143	2	86	14	2	99	35	384
\$250,001–\$1 million	1	25	0	15	4	0	26	12	83
Over \$1 million	0	6	0	1	1	0	4	2	14
Total	4	202	2	120	21	2	143	52	546

Table 3.2.5.8 - Initial external administrators' reports for Transport, postal & warehousing industry—Unsecured creditors by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
NUMBER OF UNSECURED CREDITORS									
Less than 25	4	174	2	98	14	2	124	42	460
25–50	0	13	0	14	5	0	7	7	46
51–200	0	4	0	4	2	0	6	3	19
More than 200	0	2	0	1	0	0	2	0	5
Unknown	0	9	0	3	0	0	4	0	16
Total	4	202	2	120	21	2	143	52	546
AMOUNT OWED TO UNSECURED CREDITORS									
Less than \$250,000	3	150	2	86	15	2	112	34	404
\$250,000–\$500,000	1	30	0	13	2	0	14	6	66
\$500,001–less than \$1 million	0	8	0	12	2	0	9	5	36
\$1 million–less than \$5 million	0	10	0	6	1	0	6	6	29
\$5 million–\$10 million	0	1	0	0	1	0	1	1	4
Over \$10 million	0	3	0	3	0	0	1	0	7
Total	4	202	2	120	21	2	143	52	546
MORE THAN 50% OF DEBT OWED TO RELATED PARTIES									
Number	0	23	1	12	2	0	15	4	57
ESTIMATED 'CENTS' IN THE \$ DIVIDEND TO UNSECURED CREDITORS									
\$0	4	191	2	109	18	1	133	48	506
Greater than 0 but less than 11 cents	0	1	0	3	0	1	0	1	25
11–20c	0	1	0	1	0	0	2	2	6
21–50c	0	1	0	0	0	0	2	0	6
51–100c	0	8	0	7	3	0	6	1	3
Total	4	202	2	120	21	2	143	52	546

Table 3.2.5.9 - Initial external administrators' reports for Transport, postal & warehousing industry—External administrator's remuneration by region (1 July 2015–30 June 2016)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
VOLUNTARY ADMINISTRATOR FEES									
\$0	1	24	0	5	2	0	15	5	52
\$1–\$50,000	0	19	0	12	2	0	6	4	43
\$50,001–\$100,000	0	1	0	3	1	0	6	2	13
\$100,001–\$250,000	0	5	0	2	0	0	1	1	9
Over \$250,000	0	1	0	2	0	0	1	2	6
Not applicable	3	152	2	96	16	2	114	38	423
Total	4	202	2	120	21	2	143	52	546
DEED OF COMPANY ARRANGEMENT FEES									
\$0	1	18	0	4	2	0	16	5	46
\$1–\$50,000	0	7	0	1	0	0	1	0	9
\$50,001–\$100,000	0	1	0	0	0	0	0	0	1
\$100,001–\$250,000	0	0	0	0	0	0	0	0	0
Over \$250,000	0	1	0	0	0	0	0	0	1
Not applicable	3	175	2	115	19	2	126	47	489
Total	4	202	2	120	21	2	143	52	546
LIQUIDATOR FEES									
\$0	2	62	0	34	5	0	24	14	141
\$1–\$50,000	2	114	2	71	12	2	107	29	339
\$50,001–\$100,000	0	8	0	4	4	0	7	5	28
\$100,001–\$250,000	0	2	0	3	0	0	1	4	10
Over \$250,000	0	1	0	0	0	0	2	0	3
Not applicable	0	15	0	8	0	0	2	0	25
Total	4	202	2	120	21	2	143	52	546
RECEIVER/CONTROLLER FEES									
\$0	1	20	0	4	2	0	16	5	48
\$1–\$50,000	0	0	0	2	0	0	0	0	2
\$50,001–\$100,000	0	1	0	0	0	0	0	0	1
\$100,001–\$250,000	0	0	0	1	0	0	0	0	1
Over \$250,000	0	1	0	1	0	0	0	0	2
Not applicable	3	180	2	112	19	2	127	47	492
Total	4	202	2	120	21	2	143	52	546