Innovation Hub: International referral request

# About this document

This document will help ASIC develop a view on your business and its eligibility to receive a referral. This form is a tool to help start our discussion – it is intended to be quick and easy for you to complete, and not a barrier to seeking a referral.

If we have more questions about a particular aspect of your business, we will contact you.

If you have recently produced a document or presentation that provides much of the information requested here, please send it through. You would then only need to fill in any missing information.

Once you have completed this document, please email it (and any supporting attachments you wish to include) to us at [**innovationhub@asic.gov.au**](mailto:innovationhub@asic.gov.au).

# 1. Information about you and your business

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| **Your full name and title, as well as the names and titles of any relevant colleagues** |  |
| **Business name** |  |
| **Business address** |  |
| **Contact phone number** |  |
| **Contact email address** |  |
| **Business website** |  |
| **Are you, your colleagues, your business or any associated businesses currently licenced by ASIC? If so, please provide us with any relevant ABNs, ACNs or AFS licence, credit licence or market licence numbers.** |  |

# 2. Information about your innovation and business model

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| Provide a short description of your innovation and business model.  This description should also address the following questions:   * Who are your target customers? * What product and/or service will you offer these customers? * How, when and who will pay for the service you are offering? * What other businesses (if any) will you work with? * What stage is your business at? |
| Type here |

# 3. Meeting the referral requirements

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| Please explain how your innovation meets the eligibility criteria:   * Is a **FinTech start-up business** – a company that:   + has not commenced operation under a licence from ASIC,   + is in the process of obtaining a licence from ASIC, or   + has been operating with a licence from ASIC for less than 12 months. * Offers potentially **ground-breaking innovation** through a new or significantly different product or service from those currently available * Contains innovation that potentially provides **better outcomes for investors and consumers**. |
| Type here |

# *(Only answer Q4 if your business has commenced operations in Australia)*

# 4. Progress report

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| If your business has commenced operations, either through obtaining its own AFSL or operating as an authorised representative of an existing licensee, we require information on:   * The nature and scope of the business operations to date (including key financial information). * The number and type of customers currently being served, along with a summary of key investments, product acquisitions, amount borrowed or risk covered (relevant to your business). * Any complaints that have been received from customers and how they have been handled.   Please also explain any other significant events that have occurred since operations commenced. |
| Type here |