



**ASIC**  
Australian Securities &  
Investments Commission

Commonwealth of Australia Gazette

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# ASIC Gazette

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### RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review (RG57)* and Information Sheet *ASIC decisions – your rights (INFO 9)* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at [www.asic.gov.au](http://www.asic.gov.au) or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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22-0726

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Volt Ltd  
ACN 622 375 722 ("the Licensee")  
L3, 41 McLaren Street  
North Sydney NSW 2060

1. Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001** (the Act), the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 504782 held by the Licensee, with effect from the date on which this notice is given to the Licensee.
2. Pursuant to section 915H of the Act, the Australian Financial Services Licence continues in effect while cancelled, as though the cancellation had not happened for the purposes of:
  - a. paragraphs 912A(1)(g) and 912A(2) of the Act, which requires the Licensee to maintain membership of the Australian Financial Complaints Authority scheme until at least 20 June 2023.
  - b. paragraph 912A(2) of the Act, which requires the Licensee to maintain an internal dispute resolution scheme that complies with standards, and requirements, made or approved by ASIC until at least 20 June 2023.

Dated 29 August 2022

Signed



Gerard Mithen  
A delegate of the Australian Securities and Investments Commission

22-0743


**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: MIB.Net Pty Ltd  
ACN 086 680 060 ("the Licensee")  
PO Box 550  
Ballarat VIC 3350

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 404042 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 August 2022

Signed  .....

Jedo Charles  
A delegate of the Australian Securities and Investments Commission

22-0754

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 926A(2)(a) - Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 926A(2)(a) of the *Corporations Act 2001* (**the Act**).

**Title**

2. This instrument is ASIC Instrument 22-0754.

**Commencement**

3. This instrument commences on the date it is signed.

**Exemption**

4. KM does not have to comply with subsection 911A(1) of the Act for the provision of relevant financial services in relation to a registrable superannuation entity.

**Where exemption applies**

5. The exemption in paragraph 4 applies in relation to a registrable superannuation entity where KM has been appointed by APRA as the acting trustee of the entity after consultation with ASIC.

**Conditions**

6. If KM relies on the exemption in paragraph 4 in relation to a registrable superannuation entity, KM must:
  - (a) be a member of the AFCA scheme; and
  - (b) provide written notice to ASIC within 3 business days if KM is not, or ceases to be, a member of the AFCA scheme; and
  - (c) have arrangements in place to ensure that it maintains the competence to carry out its responsibilities as acting trustee of the registrable superannuation entity; and

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- (d) do all things necessary to ensure that the relevant financial services are provided efficiently, honestly, and fairly; and
- (e) comply with sections 912C and 912E of the Act as if it were a financial services licensee; and
- (f) comply with the terms and conditions (including, without limitation, any terms and conditions relating to the holding of professional indemnity insurance) of its appointment as acting trustee; and
- (g) provide written notice to ASIC as soon as practicable and in any case within 30 days after there are reasonable grounds to believe that KM has breached, or is no longer able to comply with, other than in an immaterial respect, a relevant requirement.

**Cessation**

7. The exemption in paragraph 4 ceases to apply in relation to the appointment of KM as acting trustee of a registerable superannuation entity on the first to occur of the following:
- (a) 5 years after this instrument commences;
  - (b) APRA terminating KM's appointment as acting trustee of the registerable superannuation entity under section 136 of the SIS Act;
  - (c) ASIC granting KM an Australian financial services licence.

**Interpretation**

8. In this instrument:

*acting trustee* has the same meaning as in section 134 of the SIS Act.

*KM* means KM Trustee Services Pty Ltd ABN 12 156 404 723.

*relevant requirement*: each of the following is a *relevant requirement*:

- (a) a condition in paragraph 6; and
- (b) any obligation in relation to the provision of the relevant financial services that is:
  - (i) applicable to KM; and
  - (ii) referred to in the definition of *financial services law* in section 761A of the Act.

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**relevant financial services** means, in relation to a registerable superannuation entity, the following financial services:

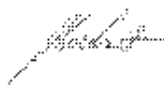
- (a) providing a superannuation trustee service in relation to the registerable superannuation entity;
- (b) dealing in a financial product in the registerable superannuation entity and dealing in a financial product in the course of operating the registerable superannuation entity;
- (c) providing general advice in relation to the registerable superannuation entity.

**registerable superannuation entity** has the same meaning as in the SIS Act.

**SIS Act** means the *Superannuation Industry (Supervision) Act 1993*.

**terms and conditions of appointment** means, in relation to the appointment of KM as acting trustee of a registerable superannuation entity, the terms and conditions of appointment determined by APRA under section 135 of the SIS Act.

Dated this 29 August 2022



Signed by Jane Eccleston

as a delegate of the Australian Securities and Investments Commission

22-0756

**Australian Securities and Investments Commission  
Corporations Act 2001 – Subsection 257D(4) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 257D(4) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 22-0756.

**Commencement**

3. This instrument commences on the date it is signed.

**Exemption**

4. McMillan Shakespeare Limited ACN 107 233 983 (*Company*) does not have to comply with subsections 257D(1), 257D(2) and 257D(3) of the *Act*.

**Where this instrument applies**

5. This instrument applies in relation to the off-market tender buy-back to be made available to all Shareholders (other than Excluded Foreign Shareholders), announced on or around 29 August 2022 which:
  - (a) is substantially on the terms contemplated in the Buy-Back Invitations;
  - (b) complies with the conditions in subsection 257B(2) of the *Act*, subject to subsection 257B(3) of the *Act*, except that:
    - i. the *Company* does not comply with paragraph 257B(2)(a) of the *Act* but rather the Buy-Back Invitations relate only to Shares;
    - ii. the *Company* does not comply with paragraph 257B(2)(b) of the *Act* but rather invites all Eligible Shareholders to offer their Shares for sale to the *Company* in accordance with the terms and conditions of the Buy-Back Invitations;
    - iii. the *Company* does not comply with paragraph 257B(2)(c) of the *Act* but rather all Eligible Shareholders have a reasonable opportunity to offer their Shares for

- sale to the Company in accordance with the terms and conditions of the Buy-Back Invitations;
- iv. the Company does not comply with paragraph 257B(2)(d) of the Act but rather the buy-back agreements are not entered into until after the Tender Period has closed; and
  - v. the Company does not comply with paragraph 257B(2)(e) of the Act but rather the Buy-Back Invitations are to be made to all Eligible Shareholders for those holders to tender their Shares for sale to the Company and for the Company to buy-back those Shares subject to:
    - A. each Eligible Shareholder having the ability to nominate a minimum price condition in relation to their Tender(s);
    - B. each Eligible Shareholder having the ability to nominate a maximum number of Shares which they wish to offer for sale to the Company;
    - C. if the Eligible Shareholder holds 41 Shares or less at the Record Date, they must tender all of their Shares (at one Tender Discount or as a Final Price Tender) if they wish to participate in the buy-back;
    - D. if the Eligible Shareholder holds more than 166 Shares, they may tender any number of Shares they hold (at one or more Tender Discounts and/or as a Final Price Tender), subject to a minimum of 166 Shares (in aggregate) and a maximum of the number shown on their Tender Form; and
    - E. the Scale Back Mechanism operating in the manner described in the Buy-back Invitation; and
  - vi. the Company does not comply with paragraph 257B(2)(e) of the Act but rather the Buy-Back Invitations need not be made to Excluded Foreign Shareholders, and any Tender received from Excluded Shareholders need not be accepted by the Company.

#### Conditions

- 6. The number of Shares that the Company buys back, either as a result of the Buy-Back Invitation or as a result of any other buy-back by the Company during the 12 months before the date on which the Company buys back the Shares must not exceed 10% of the smallest number of votes attaching to voting Shares on issue at any time during the 12 months before the date on which the Company buys back the Shares.
- 7. The Company must ensure that the Buy-Back Invitations include a term that:



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- (a) if, at the close of the Tender Period, the Company has received Tenders that in aggregate are more than the Buy-Back Amount:
- i. the Company will buy back the Buy-Back Amount (or such lesser number as the Company may determine); and
  - ii. the number of Shares in each Eligible Shareholder's Tender that will be bought back will be reduced in accordance with the Scale Back Mechanism;
- (b) the Buy-Back Price will be calculated by applying the Buy-Back Discount following the end of the Tender Period to the Market Price; and
- (c) if an Eligible Shareholder tenders their Shares subject to a Minimum Price, their Tender will be rejected if the Buy-Back Discount results in a Buy-Back Price that is lower than the Minimum Price.
8. The Company complies with the lodgement requirements set out in sections 257E and 257F of the Act.

**Interpretation**

9. In this instrument:

**ASX** means ASX Limited ABN 98 008 624 691 or the financial market that it operates, as appropriate.

**Buy-Back Amount** means the number of Shares that the Company decides to buy-back, provided that the number of Shares that the Company buys back, when combined with any other Shares bought back by the Company during the 12 months before the day on which the Company buys back the Shares, does not exceed 10% of the smallest number of votes attaching to Shares on issue at any time during the 12 months before the date on which the Company buys back the Shares.

**Buy-Back Booklet** means the document to be lodged with ASIC on or about 29 August 2022, being in substantially the same form as the booklet provided to ASIC on 24 August 2022.

**Buy-Back Discount** means the tender discount to be selected by the Company in accordance with the Buy-Back Booklet, being the largest Tender Discount in the range of 10% to 14% inclusive (at 1% intervals), which will enable the Company to buy-back the Buy-Back Amount.

**Buy-Back Invitation** means the invitation by the Company to its Eligible Shareholders to offer to sell Shares to the Company as set out in the Buy-Back Booklet.

**Buy-Back Price** means the price per Share at which the Company will buy-back Shares from Tenders it accepts in the buy-back, rounded to the nearest cent. This price is

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determined by applying the Buy-Back Discount selected by the Company to the Market Price.

**CHES** means the Clearing House Electronic Subregister System.

**CHES Holder** means a holder of Shares on the CHES subregister of the Company.

**Eligible Shareholder** means a Shareholder who is not an Excluded Foreign Shareholder.

**Excluded Foreign Shareholder** means any person who:

- (a) holds Shares and to whom the Company would be prohibited from paying money pursuant to any act, rule or regulation of Australia which prohibits the Company from making payments to foreign persons; or
- (b) does not have a registered address in Australia or New Zealand, and holds Shares, and resides in a jurisdiction where it would be illegal under the laws of that jurisdiction to permit Shareholders residing in that jurisdiction to receive a Buy-Back Invitation, or to participate in the Buy-Back (or which has laws which the Company determines would be impractical for it to comply with in order to permit Shareholders residing in that jurisdiction to receive a Buy-Back Invitation, or to participate in the Buy-Back); or
- (c) is acting on behalf of or for the account of a person who holds Shares and resides in a jurisdiction outside Australia or New Zealand where it would be illegal under the laws of that jurisdiction to permit such person residing in that jurisdiction to receive a Buy-Back Invitation, or to participate in the Buy-Back, for a Shareholder (or which has laws which the Company determines would be impractical for it to comply with in order to permit Shareholders residing in that jurisdiction to receive a Buy-Back Invitation, or to participate in the Buy-Back, for a Shareholder).

For the avoidance of doubt, Excluded Foreign Shareholders include any person who is (or who is acting on behalf of or for the account of a person who is) in the United States, a US Person or a resident of Canada.

**Final Price Tender** means a Tender in which an Eligible Shareholder elects to receive the Buy-Back Price determined in accordance with the Buy-Back Invitation.

**Market Price** means the VWAP of the Company's ordinary shares on ASX over the five trading days up to and including the date the Tender Period closes, calculated to four decimal places, as determined by the Company.

**Minimum Price** means one of the specified prices on the Tender Form that an Eligible Shareholder may select in order for their Tender to be conditional upon the Buy-Back Price being equal to or greater than that amount.

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**Priority Allocation** means, in the event of a scale-back, the first 166 Shares successfully tendered by each Eligible Shareholder that the Company will buy back, or such lesser number of Shares as determined by the Company.

**Record Date** means the date for eligibility to participate in the Buy-Back, being on or around 6 September 2022.

**Scale Back Mechanism** means the reduction of Shares bought back by the Company on the terms and conditions set out in the Buy-Back Invitations and to be applied to Tenders from Eligible Shareholders on a substantially pro-rata basis, except that it will not apply to Tenders from Small Holders or in relation to Priority Allocations.

**Shareholder** means a holder of Shares at the Record Date.

**Shares** means fully paid ordinary shares in the Company (other than Restricted Employee Shares).

**Small Holder** means:

- (a) an Eligible Shareholder who holds 166 Shares or fewer as at the Record Date; and
- (b) an Eligible Shareholder who tendered all their Shares at a Tender Discount which is equal to or greater than the Buy-Back Discount (and/or as a Final Price Tender) and would hold 41 or fewer Shares if the Scale Back Mechanism were applied to their Tender.

**Tender** means an offer made in accordance with the Buy-back Invitation by an Eligible Shareholder to sell to the Company a specified number of Shares at a specified Tender Discount or as a Final Price Tender by delivering to the Company a Tender Form (which has not been withdrawn).

**Tender Discount** means one of the specified discounts to the Market Price (from 10% to 14%, inclusive at 1% intervals) as set out in the Tender Form.

**Tender Form** means the form of offer by Eligible Shareholders to sell their nominated Shares to the Company, which accompany the Buy-Back Booklet or represented by instructions from its CHESS holder's controlling participant or any electronic offer form (and includes a form of offer amended in accordance with the procedure set out in the Buy-Back Booklet).

**Tender Period** means the period within which Eligible Shareholders may lodge, withdraw or amend a Tender in accordance with the procedures set out in the Buy-Back Booklet.

**US Persons** has the meaning given by Regulation S under the United States' Securities Act of 1933, as at the date of this instrument.

22-0756

**VWAP** means, for a Share, the volume weighted average price of all trades on ASX's trading platform including the closing single price auction, but excluding all off-market trades (including any transactions defined in the operating rules of the ASX as special crossings, crossings prior to the commencement of the open session state, crossings during overnight trading, overseas trades, trades pursuant to the exercise of options over Shares, and any other trades that the Company determines to exclude on the basis that the trades are not fairly reflective of natural supply and demand).

Dated this 26<sup>th</sup> day of August 2022



Signed by Brittany Jeffs  
as a delegate of the Australian Securities and Investments Commission

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraphs 951B(1)(a) — Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 951B(1)(a) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 22-0761.

**Commencement**

3. This instrument commences on 29 August 2022.

**Definitions**

4. In this Instrument:

**AMP** means AMP Financial Planning Pty Limited (ABN 89 051 208 327, AFSL 232706).

**AMP Group CAR** means a body corporate authorised representative of a financial services licensee in AMP Group FSL appointed under section 916A of the Act.

**AMP Group FSL** means, together and separately as the case requires:

- (a) AMP; and
- (b) Hillross; and
- (c) Charter.

**AMP Group IAR** means a natural person authorised representative of a financial services licensee in AMP Group FSL or an AMP Group CAR appointed under sections 916A or 916B of the Act.

**Charter** means Charter Financial Planning Limited (ABN 35 002 976 294, AFSL 234665).

**exemption provision** means section 946B(2) of the Act as notionally substituted by regulation 7.7.10AE of the *Corporations Regulations 2001*.

**Hillross** means Hillross Financial Services Limited (ABN 77 003 323 055, AFSL 232705).

**related body corporate** has the meaning given in section 9 of the Act.

**Exemption**

5. Part 7.7 of the Act applies in relation to AMP Group FSL as if the exemption provision was modified or varied by inserting the following after subsection 946B(2):
- “(2AA) Subsection (2)(a) does not prevent an AMP Group CAR (*Current CAR*) from relying on subsection (1) where it gives further advice to a client who received the previous advice from another AMP Group CAR that the Current CAR has purchased or merged with (*Previous CAR*) where all the following are satisfied:
- (a) the Previous CAR was authorised by the same financial services licensee as the Current CAR or by a financial services licensee which is a related body corporate of the authorising financial services licensee of the Current CAR; and
  - (b) the further advice is provided to the client by the same financial adviser who gave the previous advice; and
  - (c) subsections (2)(b) and (2)(c) are satisfied; and
  - (d) the Current CAR keeps a record of the further advice in accordance with paragraph 7.7.09.
- (2AB) Subsection (2)(a) does not prevent an authorised representative of a financial services licensee in the AMP Group FSL (*Current AR*) from relying on subsection (1) where all the following are satisfied:
- (a) the Current AR gives further advice to a client who received the previous advice from:
    - (i) an AMP Group IAR who has transferred to become a financial adviser authorised by the Current AR, where the AMP Group IAR was authorised by the same financial services licensee as the Current AR or by a financial services licensee which is a related body corporate of the authorising financial services licensee of the Current AR; or
    - (ii) a representative of an AMP Group CAR who has transferred to become authorised by the Current AR, where the representative of the AMP Group CAR was authorised by the same financial services licensee as the Current AR or by a financial services licensee which is a related body corporate of the authorising financial services licensee of the Current AR; and
  - (b) the further advice is provided to the client by the same financial adviser who gave the previous advice; and
  - (c) subsections (2)(b) and (2)(c) are satisfied; and
  - (d) the Current AR keeps a record of the further advice in accordance with paragraph 7.7.09.”

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22-0761

Dated this 29 August 2022

A handwritten signature in black ink, appearing to read "Kelly Fung".

Signed by Kelly Fung  
as a delegate of the Australian Securities and Investments Commission

22-0763

**NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001**

Notice is given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 23 August 2022.

**AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION****NOTICE OF CANCELLATION OF AUSTRALIAN FINANCIAL SERVICES LICENCE**

To: **Australian Property Custodian Holdings Ltd**

Under paragraph 915B(3) of the *Corporations Act 2001* (the Act) the Australian Securities and Investments Commission (ASIC) hereby cancels Australian financial services licence numbered 226692 held by Australian Property Custodian Holdings Ltd ACN 095 474 436.

Under s915H of the Act the licence continues in effect as though the cancellation had not happened until 16 August 2023 for the purposes of the provisions of the Act specified in Schedule A regarding the matters specified in Schedule B.

**Schedule A**

1. the provisions of Chapter 5C; and
2. the provisions of Chapter 7, other than the provisions in Parts 7.2, 7.2A, 7.3, 7.4 and 7.5.

**Schedule B**

The provision by the Licensee of financial services which are reasonably necessary for, or incidental to, the winding up of The Prime Retirement and Aged Care Property Trust ARSN 097 514 746.

Dated this 16<sup>th</sup> day of August 2022.

Signed: 

ANDREW STECHER  
Delegate of the  
Australian Securities and Investments Commission.



22-0764

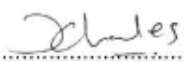
**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Space Financial Services Pty Ltd  
ACN: 626 189 740 ("the Licensee")  
Unit 3, 43 Hunter Street  
PIALBA QLD 4655

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 508795 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 31 August 2022

Signed  .....

Jedo Charles

A delegate of the Australian Securities and Investments Commission

22-0766

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: The Financial Advice Shop Pty Ltd  
ACN 162 572 901 ("the Licensee")  
PO Box 4636  
Kingston ACT 2604

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 501742 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 30 August 2022

Signed *N Vonarx* .....

Nicholas Vonarx  
a delegate of the Australian Securities and Investments Commission

22-0767

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: OHM Australian Services Pty Ltd  
ACN 166 990 503 ("the Licensee")  
55 Jersey Road  
Greystanes NSW 2145

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 487931 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 30 August 2022

Signed *N Vonarx* .....

Nicholas Vonarx  
a delegate of the Australian Securities and Investments Commission

22-0769

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 655A(1)(b) – Declaration**

**Enabling Legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 655A(1)(b) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 22-0769.

**Commencement**

3. This instrument commences on the date it is signed.

**Declaration**

4. Chapter 6 of the Act applies to the Bidder as if the following provisions were omitted, modified or varied as follows:

(a) Section 650C was modified by inserting after subsection 650C(2):

“(3) Notwithstanding subsections (1) and (2), the Bidder may extend the offer period from 5.00pm AEST on 19 August 2022 until 5.00pm AEST on 30 August 2022, solely for the purposes of permitting the Bidder to submit Valid Acceptances to ASX Settlement to be processed in accordance with the ASX Settlement Operating Rules.

(4) For the purposes of subsection (3):

*ASX Settlement* means ASX Settlement Pty Ltd ACN 008 504 532, the operator of the Clearing House Electronic Subregister System (*CHESS*).

*ASX Settlement Operating Rules* means the operating rules of ASX Settlement.

*Bid* means the off-market takeover bid by the Bidder for all of the ordinary shares in the Target on issue as at 7.00pm AEST on 27 June 2022 which the Bidder does not own.

*Bidder* means Complii FinTech Solutions Ltd ACN 098 238 585.

*Bidder's Statement* means the bidder's statement lodged by the Bidder with ASIC on 20 June 2022, in relation to the Bid.

*Target* means Registry Direct Limited ACN 160 181 840.

*Valid Acceptances* means any acceptances of the Bid that were received by the Bidder up to 5.00pm AEST on 19 August 2022 and that the Bidder has determined to be valid acceptances in accordance with the terms of the Bidder's Statement, except to the extent that such acceptances were not effective in accordance with section 653A of the Act."

- (b) Section 650A(2) was modified by removing "in accordance with s650B, 650C or 650D" and inserting:

"in accordance with s650B, 650C(1) or (2), or 650D"

- (c) Section 650A was modified by inserting after subsection 650A(2):

"(3) If the Bidder varies the offer under the Bid in accordance with section 650C(3), the Bidder must only vary Valid Acceptances under the bid in the same way.

(4) For the purposes of subsection (3):

*Bid* means the off-market takeover bid by the Bidder for all of the ordinary shares in the Target on issue as at 7.00pm AEST on 27 June 2022 which the Bidder does not own.

*Bidder* means Complii FinTech Solutions Ltd ACN 098 238 585.

*Bidder's Statement* means the bidder's statement lodged by the Bidder with ASIC on 20 June 2022, in relation to the Bid.

*Target* means Registry Direct Limited ACN 160 181 840.

*Valid Acceptances* means any acceptances of the Bid that were received by the Bidder up to 5.00pm AEST on 19 August 2022 and that the Bidder has determined to be valid acceptances in accordance with the terms of the Bidder's Statement, except to the extent that such acceptances were not effective in accordance with section 653A of the Act."

- (d) Subsection 624(1) was modified by inserting after "in the offer":

"except where the bid was extended under subsection 650C(3), the period of that extension."

- (e) Subparagraphs (ii) and (iii) of paragraph 650D(1)(c) (as modified by *ASIC Class Order [CO 13/521]*) were omitted for a notice of a variation to extend the offer under subsection 650C(3).

**Where this declaration applies**

## 5. This declaration applies where:

- (a) The Bid is for all of the ordinary shares in the Target on issue as at 7.00pm AEST on 27 June 2022 which the Bidder does not own, in respect of which a Bidder's Statement was lodged by the Bidder with ASIC and a supplementary bidder's statement was lodged by the Bidder with ASIC on 3 August 2022.
- (b) The Bidder gave notice of an extension of offer period on 28 July 2022 extending the offer period from 5.00pm AEST on 5 August 2022 to 5.00pm AEST on 19 August 2022. In accordance with subsection 630(2) of the Act, the new date for giving notice of the status of defeating conditions was 12 August 2022.
- (c) On 12 August 2022, the Bidder gave notice of the status of defeating conditions as required under section 630(3) of the Act.
- (d) On 22 August 2022, the Bidder and Target entered a trading halt.
- (e) On 24 August 2022, the Bidder sought voluntary suspension from the ASX until 26 August 2022.
- (f) On 24 August 2022, the Target sought voluntary suspension from the ASX until 26 August 2022.
- (g) On 26 August 2022, the Bidder sought an extension of voluntary suspension from the ASX until 30 August 2022.
- (h) On 30 August 2022, the Bidder sought an extension of voluntary suspension from the ASX until 31 August 2022.
- (i) The Bidder determined that the Valid Acceptances were valid in accordance with the terms of the Bidder's Statement and received by the Bidder before 5.00pm AEST on 19 August 2022.
- (j) The Bidder has provided a deed undertaking to ASIC that where it extends the offer period of the Bid from 5.00pm AEST on 19 August 2022 to 5.00pm AEST on 30 August 2022 it will:
  - (i) not submit to ASX Settlement for processing in accordance with the ASX Settlement Operating Rules any acceptances other than the Valid Acceptances;
  - (ii) reject the reservation of the Financial Products in an Offer Accepted Subposition for any acceptance submitted to ASX Settlement for processing in accordance with the ASX Settlement Operating Rules by a Controlling Participant after 5.00pm AEST on 19 August 2022;
  - (iii) prepare a notice:

- (A) extending the offer period of the Bid to 5.00pm AEST on 30 August 2022;
  - (B) explaining the circumstances which led to, and the effect of, this instrument of relief
- (*Notice*);
- (iv) lodge the Notice with ASIC; and
  - (v) give the Notice to:
    - (A) the Target; and
    - (B) ASX;
  - (vi) not extend the offer period beyond 5.00pm AEST on 30 August 2022;
  - (vii) not vary the offers other than to extend the offer period to 5.00pm AEST on 30 August 2022; and
  - (viii) provide payment of the consideration under the Bid for the Valid Acceptances by 31 August 2022 as a result of the time delay that has arisen as a result of the offer period being extended to 5.00pm AEST on 30 August 2022.

#### **Interpretation**

6. In this instrument:

*ASX* means ASX Limited ACN 008 624 691.

*ASX Settlement* means ASX Settlement Pty Ltd ACN 008 504 532, the operator of the Clearing House Electronic Subregister System (*CHESS*).

*ASX Settlement Operating Rules* means the operating rules of ASX Settlement.

*Bid* means the off-market takeover bid by the Bidder for all of the ordinary shares in the Target on issue as at 7.00pm AEST on 27 June 2022 which the Bidder does not own.

*Bidder* means Complii FinTech Solutions Ltd ACN 098 238 585.

*Bidder's Statement* means the bidder's statement lodged by the Bidder with ASIC on 20 June 2022, in relation to the Bid.

*Controlling Participant* has the same meaning as in the ASX Settlement Operating Rules.

*Financial Products* has the same meaning as in the ASX Settlement Operating Rules.

*Offer Accepted Subposition* has the same meaning as in the ASX Settlement Operating Rules.

*Target* means Registry Direct Limited ACN 160 181 840.

*Valid Acceptances* means any acceptances of the Bid that were received by the Bidder up to 5.00pm AEST on 19 August 2022 and that the Bidder has determined to be valid acceptances in accordance with the terms of the Bidder's Statement, except to the extent that such acceptances were not effective in accordance with section 653A of the Act.

Dated this 30<sup>th</sup> day of August 2022



Signed by Genevieve Lai  
as a delegate of the Australian Securities and Investments Commission



22-0770

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 741(1)(b) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 22-0770.

**Commencement**

3. This instrument commences on the date it is signed.

**Declaration**

4. Chapter 6D of the Act applies in relation to Move Logistics Group Limited ARBN 659 671 762 as if the following provisions were modified or varied as follows:

(a) in section 708A:

- (i) in paragraph (1)(a) omit “(12G) or (12H),” and substitute “(12G), (12H) or (12J),”;

Note: ASIC Corporations (Non-Traditional Rights Issues) Instrument 2016/84 notionally inserted section 708A(12A) of the Act, ASIC Corporations (Sale Offers: Securities Issued on Conversion of Convertible Notes) Instrument 2016/82 notionally inserted section 708A(12C) of the Act and ASIC Corporations (Regulatory Capital Securities) Instrument 2016/71 notionally inserted section 708A (12G) and (12H) of the Act.

- (ii) in paragraph (1A)(a) omit “(5),” and substitute “(5) or (12J),” and

(iii) after subsection (12I) insert:

*“Sale offers of quoted securities: NZ foreign exempt listed body—case 8*

- (12J) The sale offer of securities in a New Zealand foreign exempt listed body does not need disclosure to investors under this Part if:

- (a) the relevant securities are in a class of securities that were quoted securities at all times in the following period:

- (i) if this section applies because of subsection (1)—  
3 months before the day on which the relevant securities were issued; or

- (ii) if this section applies because of subsection (1A)—

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3 months before the day on which the relevant securities were sold by the controller; and

- (b) trading in the class of securities on a prescribed financial market on which they were quoted was not suspended for more than a total of 5 days during:
  - (i) if this section applies because of subsection (1) – the shorter of the period during which the class of securities were quoted, and the period of 12 months before the day on which the relevant securities were issued; or
  - (ii) if this section applies because of subsection (1A) – the shorter of the period during which the class of securities were quoted, and the period of 12 months before the day on which the relevant securities were sold by the controller; and
- (c) either:
  - (i) if this section applies because of subsection (1)—the body gives the relevant market operators for the body a notice that the body reasonably believes complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand before the sale offer is made; or
  - (ii) if this section applies because of subsection (1A)—both the body, and the controller, gives the relevant market operators for the body a notice that the body and the controller reasonably believe complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand before the sale offer is made; and
- (d) the notice given under paragraph (c) states, in addition to any requirements of the *Financial Markets Conduct Regulations 2014* of New Zealand at the time the notice is given, that:
  - (i) if this section applies because of subsection (1)—the body issued the relevant securities without disclosure to investors under this Part; or
  - (ii) if this section applies because of subsection (1A)—the controller sold the securities without disclosure to investors under this Part; and
  - (iii) the notice is being given under paragraph 708A(12J) as notionally inserted by instrument 22-0770;

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- (iv) as at the date of the notice, the body has complied with its obligations under rule 1.15.2 of the listing rules of ASX Limited.

In this subsection:

*New Zealand foreign exempt listed body* means a body that is:

- (a) listed on the financial market operated by NZX Limited; and
- (b) listed on the financial market operated by ASX Limited as a foreign exempt listing.

*relevant market operator* means both ASX Limited and NZX Limited.”

- (b) in section 708AA after paragraph (2)(f) insert:

“(2A) In this section, relevant market operator means both ASX Limited and NZX Limited”; and

- (c) omit subsections 708AA(7) to (11), and substitute:

“(7) For a New Zealand foreign exempt listed body, a notice complies with this subsection if:

- (a) the body reasonably believes the notice complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand; and
- (b) the notice states, in addition to any requirements of the *Financial Markets Conduct Regulations 2014* of New Zealand at the time the notice is given, that:
  - (i) the body will offer the relevant securities for issue without disclosure under this Part;
  - (ii) the notice is being given under paragraph (2)(f); and
  - (iii) as at the date of the notice, the body has complied with its obligations under rule 1.15.2 of the listing rules of ASX Limited.

In this subsection:

*New Zealand foreign exempt listed body* means a body that is:

- (a) listed on the financial market operated by NZX Limited; and

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- (b) listed on the financial market operated by ASX Limited as a foreign exempt listing.”
- (d) in subsection 708AA(12) as notionally inserted by ASIC Corporations (Non-Traditional Rights Issues) Instrument 2016/84 omit subsection (a) and substitute:
- “(a) any information that would be excluded information under clause 20(5) of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand (as that clause exists on the date the notice is given) and that would need to be included in the notice if the notice had been given at that time and that has not been included in the notice or otherwise provided to the relevant market operator, or”

#### Interpretation

5. In this instrument:

*relevant market operators* means both ASX Limited and NZX Limited.

Dated this 31<sup>st</sup> day of August 2022



Signed by Sheranga Perera  
as a delegate of the Australian Securities and Investments Commission

22-0771

**Australian Securities and Investments Commission  
Corporations Act 2001 – Subsection 741(1) – Exemption and Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 741(1) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 22-0771.

**Commencement**

3. This instrument commences on the day it is signed.

**Exemption**

4. A shareholder of MOVE who makes an offer of ordinary shares for sale (*sale offer*) within 12 months after their issue does not have to comply with subsection 707(3) of the Act where:
  - (a) at the time of the issue of shares, MOVE was listed on the financial market operated by NZX Limited for a period of at least 3 months and a notice that complied with clause 20 of schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand was given to NZX Limited; and
  - (b) at the time of the sale offer, MOVE is listed on the financial market operated by ASX Limited as an foreign exempt listing.

**Declaration**

5. Chapter 6D of the Act applies in relation to MOVE as if the following provisions were modified or varied:

- (a) in section 708A:

- (i) in paragraph (1)(a) omit “(12G) or (12H),” and substitute “(12G), (12H) or (12J),”;

Note: ASIC Corporations (Non-Traditional Rights Issues) Instrument 2016/84 notionally inserted section 708A(12A) of the Act and ASIC Corporations (Sale Offers: Securities Issued on Conversion of Convertible Notes) Instrument 2016/82 notionally inserted section 708A(12C) of the Act and ASIC Corporations (Regulatory Capital Securities) Instrument 2016/71 notionally inserted section 708A(12G) and (12H) of the Act.

- (ii) in paragraph (1A)(a) omit “(5),” and substitute “(5) or (12J),” and

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(iii) after subsection (12I) insert:

*“Sale offers of quoted securities: NZ foreign exempt listed body—case 8*

(12J) The sale offer of securities in a New Zealand foreign exempt listed body does not need disclosure to investors under this Part if:

(a) trading in that class of securities on a prescribed financial market on which they were quoted was not suspended for more than a total of 5 trading days during:

(i) if this section applies because of subsection (1) – the shorter of the period during which the class of securities were quoted, and the period of 12 months before the day on which the relevant securities were issued; or

(ii) if this section applies because of subsection (1A) – the shorter of the period during which the class of securities were quoted, and the period of 12 months before the day on which the relevant securities were sold by the controller; and

(b) either:

(i) if this section applies because of subsection (1) – the body gives the relevant market operators for the body a notice that the body reasonably believes complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand before the sale offer is made; or

(ii) if this section applies because of subsection (1A) – both the body, and the controller, gives the relevant market operators for the body a notice that the body and the controller reasonably believe complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand before the sale offer is made; and

(c) the notice given under paragraph (b) states, in addition to any requirements of the *Financial Markets Conduct Regulations 2014* of New Zealand at the time the notice is given, that:

(i) if this section applies because of subsection (1) – the body issued the relevant securities without disclosure to investors under this Part; or

(ii) if this section applies because of subsection (1A) – the controller sold the securities without disclosure to investors under this Part;

**22-0771**

- (iii) the notice is being given under paragraph 708A(12J) as notionally inserted by instrument 22-0771; and
- (iv) as at the date of the notice, the body has complied with its obligations under rule 1.15.2 of the listing rules of ASX Limited.

In this subsection:

*New Zealand foreign exempt listed body* means a body that is:

- (a) listed on the financial market operated by NZX Limited; and
- (b) listed on the financial market operated by ASX Limited as a foreign exempt listing.

*relevant market operators* means both ASX Limited and NZX Limited.”

- (b) in section 708AA after paragraph (2)(f) insert:

“(2A) In this section, relevant market operator means both ASX Limited and NZX Limited”.

- (c) omit subsections 708AA(7) to (11), and substitute:

“(7) For a New Zealand foreign exempt listed body, a notice complies with this subsection if:

- (a) the body reasonably believes the notice complies with clause 20 of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand; and
- (b) the notice states, in addition to any requirements of the *Financial Markets Conduct Regulations 2014* of New Zealand at the time the notice is given, that
  - (i) the body will offer the relevant securities for issue without disclosure under this Part;
  - (ii) the notice is being given under paragraph (2)(f); and
  - (iii) as at the date of the notice, the body has complied with its obligations under rule 1.15.2 of the listing rules of ASX Limited.

22-0771

In this subsection:

*New Zealand foreign exempt listed body* means a body that is:

- (a) listed on the financial market operated by NZX Limited; and
  - (b) listed on the financial market operated by ASX Limited as a foreign exempt listing.”
- (d) in subsection 708AA(12) as notionally inserted by ASIC Corporations (Non-Traditional Rights Issues) Instrument 2016/84 omit subsection (a) and substitute:
- “(a) any information that would be excluded information under clause 20(5) of Schedule 8 of the *Financial Markets Conduct Regulations 2014* of New Zealand (as that clause exists on the date the notice is given) and that would need to be included in the notice if the notice had been given at that time and that has not been included in the notice or otherwise provided to the relevant market operator; or”

#### Interpretation

6. In this instrument:

**MOVE** means MOVE Logistics Group Limited ARBN 659 671 762 (New Zealand Company Number 1015212), a body incorporated under the *Companies Act 1993* of New Zealand.

Dated this 31<sup>st</sup> day of August 2022



Signed by Sheranga Perera  
as a delegate of the Australian Securities and Investments Commission



22-0776

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: JONGWON MOON  
ABN 54 180 628 064 ("the Licensee")  
109 Boundary Street  
ROSEVILLE NSW 2069

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 488943 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 31 August 2022

Signed  .....

Gerard Mithen  
A delegate of the Australian Securities and Investments Commission

22-0777

**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: ELIAS HADDAD  
ABN 75 415 838 313 ("the Licensee")  
PO Box 2056  
BRUNSWICK EAST 3057

Pursuant to paragraph 915B(1)(e) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 224323 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 31 August 2022

Signed *Gerard Mithen*.....

Gerard Mithen  
A delegate of the Australian Securities and Investments Commission

22-0778


**Australian Securities and Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Sequoia Wealth Pty Ltd  
ACN 008 059 765 ("the Licensee")  
C/- Piper Alderman  
Level 16, 70 Franklin Street  
ADELAIDE SA 5000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 499729 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 31 August 2022

Signed  .....

Gerard Mithen  
a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001  
Section 601CL(5)

ASIC has struck the foreign companies listed  
below off the register.

Dated this second day of September 2022

**Name of Company**

**ARBN**

INTERACTIVE BROKERS LLC

091 191 141

CORPORATIONS ACT 2001  
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this second day of September 2022

**Name of Company**

**ARBN**

ABN AMRO BANK N.V.

621 415 110

HUADIAN HEAVY INDUSTRIES CO., LTD.

165 835 567

SIEMENS LOGISTICS GMBH

169 438 091

CORPORATIONS ACT 2001  
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

**GRESHAM CAPITAL SOLUTIONS LIMITED**

ACN 003 217 703 will change to a proprietary company limited by shares. The new name will be GRESHAM CAPITAL SOLUTIONS PTY LIMITED ACN 003 217 703.

**GRESHAM PRIVATE EQUITY LIMITED**

ACN 084 509 946 will change to a proprietary company limited by shares. The new name will be GRESHAM PRIVATE EQUITY PTY LIMITED ACN 084 509 946.

**MACKEREL COPPER LIMITED**

ACN 149 819 223 will change to a proprietary company limited by shares. The new name will be MACKEREL COPPER PTY LTD ACN 149 819 223.

**MARANDOO ESTATE LIMITED**

ACN 007 587 751 will change to a proprietary company limited by shares. The new name will be MARANDOO ESTATE PTY LTD ACN 007 587 751.

**NOKIA SERVICES LIMITED** ACN 000 005 363 will change to a proprietary company limited by shares. The new name will be NOKIA SERVICES PTY LIMITED ACN 000 005 363.

**GRESHAM FUNDS MANAGEMENT LIMITED**

ACN 109 020 153 will change to a proprietary company limited by shares. The new name will be GRESHAM FUNDS MANAGEMENT PTY LIMITED ACN 109 020 153.

**GRESHAM PROPERTY INVESTMENTS LIMITED**

ACN 078 108 086 will change to a proprietary company limited by shares. The new name will be GRESHAM PROPERTY INVESTMENTS PTY LIMITED ACN 078 108 086.

**MACQUARIE FINANCE HOLDINGS LIMITED**

ACN 118 817 440 will change to a proprietary company limited by shares. The new name will be MACQUARIE FINANCE HOLDINGS PTY LIMITED ACN 118 817 440.

**MINEMET RECYCLING GROUP PTY LTD**

ACN 629 010 484 will change to a public company limited by shares. The new name will be MINEMET RECYCLING GROUP LIMITED ACN 629 010 484.

**OVIER PTY LIMITED** ACN 077 611 280 will change to a public company limited by shares. The new name will be OVIER LIMITED ACN 077 611 280.