



**ASIC**

Australian Securities &  
Investments Commission

Commonwealth of Australia Gazette  
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# ASIC Gazette

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### RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at [www.asic.gov.au](http://www.asic.gov.au) or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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16-0399

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 1020F(1)(b) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 1020F(1)(b) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 16-0399.

**Commencement**

3. This instrument commences on 11 May 2016.

**Exemption**

4. A fully paid ordinary share (*Share*) in GTN Limited ACN 606 841 801 (*GTN*) is exempt from subsection 1020B(2) of the Act.

**Where exemption applies**

5. The exemption applies to a sale of Shares where all of the following are satisfied:
  - (a) ASX has declared a conditional market in relation to the trading of Shares in accordance with the ASX operating rules;
  - (b) the Shares are sold within the period covered by the declaration where:
    - (i) the sale occurs on a financial market operated by ASX or Chi-X; or
    - (ii) the sale is required to be reported to an operator of a financial market under the *ASIC Market Integrity Rules (Competition in Exchange Markets) 2011*;
  - (c) the seller of those Shares has, before the time of sale, entered into a contract to buy those Shares (including a contract formed under the Prospectus) and has a right to have those Shares vested in the seller that is conditional only upon all or any of the following:
    - (i) payment of the consideration in respect of the purchase;
    - (ii) the receipt by the seller of a proper instrument of transfer in respect of the Shares;

16-0399

- (iii) the issue of New Shares by GTN to successful applicants under the Prospectus;
- (iv) the transfer of Existing Shares by the Management Shareholder to successful applicants under the Prospectus; and
- (v) completion of the restructure whereby GTN will become the ultimate holding company of GTCR Gridlock Holdings (Cayman), L.P.

**Interpretation**

6. In this instrument:

*ASX* means ASX Limited ACN 008 624 691.

*ASX operating rules* means the operating rules of ASX as in force as at the date of this instrument.

*Existing Shares* means Shares held by shareholders of GTN as at 11 May 2016.

*Management Shareholder* means William Yde III.

*New Shares* means the Shares to be issued by GTN under the Prospectus.

*Prospectus* means the prospectus dated on or about 12 May 2016 under which the Shares of GTN will be offered for issue.

*sell* has a meaning affected by subsection 1020B(7) of the Act.

Dated this 11<sup>th</sup> of May 2016



.....  
Signed by Shaw Nomura  
as a delegate of the Australian Securities and Investments Commission

16-0400

**Australian Securities and Investments Commission  
Corporations Act 2001 - Paragraphs 655A(1)(b) and 673(1)(b) – Declarations**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 655A(1)(b) and 673(1)(b) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 16-0400.

**Commencement**

3. This instrument commences on 11 May 2016.

**Declaration**

4. Chapters 6 and 6C of the Act apply to GTN Limited ACN 606 841 801 (*GTN*) as if section 9, Chapter 6 and Chapter 6C were modified or varied as follows:
  - (a) in the definition of *substantial holding* in section 9, as notionally modified by ASIC Class Order [CO 13/520], at the end of subparagraph (ii)(C) insert:

“or  
(D) subsection 609(13A) (company securities escrowed under voluntary agreement);”;
  - (b) after subsection 609(13), as notionally inserted by ASIC Class Order [CO 13/520], insert:

“*Securities escrowed under voluntary agreement*  
(13A) A company does not have a relevant interest in its own securities merely because under an escrow agreement entered into by the company, the company applies restrictions on the disposal of the securities by the holder.”; and
  - (c) in subsection 671B(7), as notionally modified by ASIC Class Order [CO 13/520], at the end of paragraph (c) omit “.”, substitute:

“;or  
(d) subsection 609(13A) (company securities escrowed under voluntary agreement).”.

16-0400

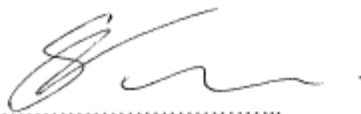
**Where this instrument applies**

5. This instrument applies in relation to relevant interests GTN has in securities of GTN (*Escrowed Securities*) merely because it has entered into one or more escrow agreements or deeds (each an *Escrow Arrangement*) with a Shareholder in connection with the proposed admission of GTN's securities to the official list of the Australian Securities Exchange where each Escrow Arrangement:
- (a) restricts the disposal of, but not the exercise of voting rights attaching to, Escrowed Securities;
  - (b) in the case of a takeover bid (including a proportional takeover bid):
    - (i) allows each Shareholder to accept into a takeover bid (including a proportional takeover bid) where holders of at least half of the bid class securities, that are not subject to an Escrow Arrangement, have accepted into the bid; and
    - (ii) requires that the Escrowed Securities be returned to escrow if the bid does not become unconditional;
  - (c) allows the Escrowed Securities the subject of the Escrow Arrangement to be transferred or cancelled as part of an arrangement under Part 5.1 of the Act;
  - (d) terminates no later than the end of the date on which the financial results of GTN with respect to the full year ended 30 June 2017 are released to ASX Limited ACN 008 624 691; and
  - (e) is in a form, which is the same in all material respects, as the escrow deeds provided to ASIC on 6 May 2016.

**Interpretation**

6. In this instrument, **Shareholder** means any of the following persons who hold shares in GTN:
- (i) William Yde III; and
  - (ii) GTCR Gridlock II (Cayman), L.P. and a custodian that holds the shares on behalf of GTCR Gridlock II (Cayman), L.P.

Dated this 11<sup>th</sup> of May 2016



.....  
Signed by Shaw Nomura  
as a delegate of the Australian Securities and Investments Commission

16-0403

**Australian Securities and Investments Commission**  
**Corporations Act 2001 – Subsections 655A(1) and 673(1) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsections 655A(1) and 673(1) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC instrument 16-0403.

**Commencement**

3. This instrument commences on 17 May 2016.

**Declaration**

4. Chapters 6 and 6C of the Act apply to Westpac Banking Corporation Limited ACN 007 457 141 (*Westpac*) as if section 609 were modified or varied by inserting after subsection (13) (as notionally inserted by ASIC Class Order [CO 13/520]):

“(13A) A person does not have a relevant interest in securities merely because it seeks to enforce restrictions on the disposal of securities by applying, or having another person apply on its behalf, for a holding lock in accordance with the listing rules of a financial market.”

**Where this instrument applies**

5. This instrument applies in relation to the relevant interest that Westpac has in TPS merely because a Participating Holder has agreed to the application of the Holding Lock in respect of those TPS by accepting the Reinvestment Offer.

**Interpretation**

6. In this instrument:

***Holding Lock*** means the holding lock to be applied in accordance with ASX Listing Rule 8.10.1(i) to restrict the transfer of TPS in respect of which the holder has elected to reinvest TPS Redemption proceeds otherwise due to that holder in accordance with the Reinvestment Offer.

***Participating Holding*** means a TPS holder who is eligible and elects to participate in the Reinvestment Offer.

***Reinvestment Offer*** mean the reinvestment offer made by Westpac as part of its offer for the issue of a new Additional Tier I capital security, Westpac Capital Notes 4, under a prospectus to be issued in accordance with Chapter 6D.2 of the Act and lodged with ASIC on or around 17 May 2016.

**16-0403**

**TPS** means Westpac Trust Preferred Securities, being voting interests in Westpac TPS Trust ARSN 1190504 380, a listed registered managed investment scheme.

**TPS Redemption** means the proposed redemption on 30 June 2016 of all outstanding TPS by Westpac RE Limited ACN 000 742 478 as responsible entity of the Westpac TPS Trust ARSN 1190504 380.

Dated this 17<sup>th</sup> day of May 2016



Signed by Nathania Nero  
as a delegate of the Australian Securities and Investments Commission

16-0404

**Australian Securities and Investments Commission  
Corporations Act 2001 – Subsection 741(1) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 741(1) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 16-0404.

**Commencement**

3. This instrument commences on 17 May 2016.

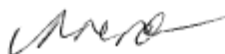
**Declaration**

4. Chapter 6D of the Act applies to Westpac Banking Corporation ACN 007 457 141 (the *issuer*) as if subparagraph (b)(ii) of the definition of "continuously quoted securities" in section 9 of the Act as modified by *ASIC Corporations (Disregarding Technical Relief) Instrument 2016/73* was further modified or varied by omitting the text ", or declaration under paragraph 741(1)(b),".

**Where this instrument applies**

5. This instrument applies:
  - (a) in relation to an offer (*offer*) by the issuer to issue regulatory capital securities (as defined in section 9 of the Act as notionally inserted by *ASIC Corporations (Regulatory Capital Securities) Instrument 2016/71*) where the terms of the offer are substantially the same as the terms provided to ASIC on 11 April 2016 and the offer is made under a prospectus (*prospectus*) lodged with ASIC on or about 17 May 2016 to which section 713 of the Act applies or a replacement prospectus lodged with ASIC on or about 26 May 2016 in relation to the prospectus; and
  - (b) where, except for ASIC Instruments [15-0366], [15-0632], [15-0698], [15-0950] and [16-0404], no declaration under paragraph 741(1)(b) relating to a disclosing entity provision for the purposes of Division 4 of Part 1.2A of the Act covered the issuer.

Dated this 17<sup>th</sup> day of May 2016



Signed by Nathania Nero  
as a delegate of the Australian Securities and Investments Commission





16-0406

**ASIC**

Australian Securities &amp; Investments Commission

**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

**TO:** Lincoln Indicators Pty Limited  
ACN 006 715 573 ("the Licensee")  
Level 2 379 Collins Street  
Melbourne VIC 3000

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 237740 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 17 May 2016

Signed

A handwritten signature in cursive script, appearing to read 'James Nott', written over a dotted line.

James Nott, a delegate of the Australian Securities and Investments Commission

16-0407

**NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001**

Notice is given under s915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 12 May 2016.

**Australian Securities and Investments Commission  
Section 915C Corporations Act 2001****Notice of Cancellation of Australian Financial Services Licence**

To: TMK Index Ltd ACN 123 936 336

TAKE NOTICE that under s915C(1)(a) and s915C(1)(aa) of the Corporations Act 2001 the Australian Securities and Investments Commission hereby cancels Australian financial services licence number 314823 held by TMK Index Ltd ACN 123 936 336.

Dated this 11<sup>th</sup> day of May 2016

Signed   
Graeme Darcy Plath, a delegate of the Australian Securities and Investments Commission

16-0411

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 741(1)(a) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(a) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 16-0411.

**Commencement**

3. This instrument commences on 17 May 2016.

**Exemption**

4. InfoTrack Pty Limited ACN 092 724 251 (*InfoTrack*) and ListCo do not have to comply with subsection 734(2) of the Act.

**Where this instrument applies**

5. Subject to paragraph 6, this exemption applies to information communicated by InfoTrack and ListCo to:
  - (a) InfoTrack Shareholders regarding:
    - i. information in relation to an offer of ListCo Shares in connection with an invitation to InfoTrack Shareholders to sell their InfoTrack Shares to ListCo in return for cash, ListCo Shares or a combination of both in connection with the IPO (*Vendor Sell Down*), including the process and implications for InfoTrack Shareholders in relation to selling or disposing of their interests in InfoTrack Shares under the Vendor Sell Down;
    - ii. information about the structure of the offer and the offer periods under the IPO;
    - iii. the timetable of the IPO, including updates to the timetable for the IPO;
    - iv. information regarding the InfoTrack Shareholders' escrow arrangements with respect to the Shares that they may hold in ListCo after the IPO;

16-0411

- v. matters that may require approval from InfoTrack Shareholders in connection with the IPO; and
- vi. any information alerting InfoTrack Shareholders to impending announcements about the IPO.

(b) Employees of the InfoTrack Group (*Employees*) regarding:

- i. the fact that InfoTrack is proposing to undertake the IPO;
- ii. changes to the internal administration of a member of the InfoTrack Group;
- iii. details of any employee incentive plan under which offers to Employees will be made at or about the same time as the IPO;
- iv. the timetable of the IPO, and any proposed updates to the timetable;
- v. any information alerting Employees to impending announcements about the IPO; and
- vi. details of the potential participation of employees in the issue of Shares as part of the IPO.

that do not communicate any advantages, benefits or merits of the IPO.

#### **Conditions**

6. This exemption does not apply if, in communicating any of the information set out in paragraph 5 of this instrument, InfoTrack or ListCo communicate any advantages, benefits, or merits of the IPO.

#### **Cessation**

7. This exemption shall remain effective, unless otherwise revoked, until the date on which the IPO Prospectus is lodged with ASIC.

#### **Interpretation**

In this instrument:

*InfoTrack Group* means InfoTrack and its related entities.

*InfoTrack Shares* means shares in InfoTrack.

16-0411

*InfoTrack Shareholders* means registered holders or beneficial owners of InfoTrack Shares.

*IPO* means the initial public offering of ListCo Shares, to be made under the IPO Prospectus.

*IPO Prospectus* means a disclosure document proposed to be lodged with ASIC by InfoTrack or ListCo on or around 18 July 2016 in relation to the IPO.

*ListCo* refers to a company yet to be incorporated which is intended will acquire all of the issued shares in InfoTrack and conduct the IPO.

*ListCo Shares* means fully paid ordinary shares in ListCo.

Dated this 17<sup>th</sup> day of May 2016



Signed by Sarah-Jane Farlow  
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001

Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twentieth day of May 2016

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Scheme**

**ARSN**

EMERGING RESOURCES COMPANY SHARE FUND

118 630 541

VICINITY NVN TRUST

090 150 280

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CORPORATIONS ACT 2001

Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twentieth day of May 2016

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

BUCKLAND PRODUCTIONS LIMITED

**ARBN**

136 625 011

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CORPORATIONS ACT 2001

Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twentieth day of May 2016

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Scheme**

**ARSN**

AMP CAPITAL DIRECT PROPERTY PORTFOLIO

104 327 933

PROPERTY INCOME FUND

093 326 179

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CORPORATIONS ACT 2001

Section 601CL(5)

ASIC has struck the foreign companies listed  
below off the register.

Dated this twentieth day of May 2016

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

ADVANCED MARKETS LLC

**ARBN**

163 705 879

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Corporations Act 2001  
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

**BEST TELECOM AUSTRALIA LIMITED**

ACN 161 237 467 will change to a proprietary company limited by shares. The new name will be BEST TELECOM AUSTRALIA PTY LTD ACN 161 237 467.

**JPMORGAN INVESTMENTS AUSTRALIA**

**LIMITED** ACN 056 751 716 will change to a proprietary company limited by shares. The new name will be JPMORGAN INVESTMENTS AUSTRALIA PTY LIMITED ACN 056 751 716.

**PRIORITY ONE FINANCIAL SERVICES LIMITED**

ACN 069 778 883 will change to a proprietary company limited by shares. The new name will be PRIORITY ONE FINANCIAL SERVICES PTY LTD ACN 069 778 883.

**RV PARKS AUSTRALIA LIMITED**

ACN 100 800 619 will change to a proprietary company limited by shares. The new name will be RV PARKS AUSTRALIA PTY LTD ACN 100 800 619.

**WA WINE PRESS CLUB EST 1976 LTD**

ACN 601 970 549 will change to a proprietary company limited by shares. The new name will be WA WINE PRESS CLUB EST 1976 PTY LTD ACN 601 970 549.

**FALKINER GLOBAL INVESTORS LIMITED**

ACN 108 103 028 will change to a proprietary company limited by shares. The new name will be FALKINER GLOBAL INVESTORS PTY LTD ACN 108 103 028.

**MILLENNIUM ASSET MANAGEMENT LIMITED**

ACN 082 567 235 will change to a proprietary company limited by shares. The new name will be MILLENNIUM ASSET MANAGEMENT PTY LIMITED ACN 082 567 235.

**QUESTUS CAPITAL SOLUTIONS PTY LTD**

ACN 090 768 719 will change to a public company limited by shares. The new name will be QUESTUS CAPITAL SOLUTIONS LIMITED ACN 090 768 719.

**SEDGMAN LIMITED** ACN 088 471 667 will

change to a proprietary company limited by shares. The new name will be SEDGMAN PTY LIMITED ACN 088 471 667.

**XDM RESOURCES LIMITED** ACN 113 295 471

will change to a proprietary company limited by shares. The new name will be XDM RESOURCES PTY LIMITED ACN 113 295 471.