

ASIC surveillances and enforcement outcomes

1 July 2011 – 31 March 2016

6000+
high-intensity
surveillances
undertaken

900+
investigations
completed

CRIMINAL ACTIONS



100+ criminal convictions,
65 people jailed

CIVIL ACTIONS

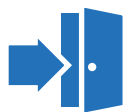


\$25m+ in civil penalties

ADMINISTRATIVE ACTIONS



400+ people/companies banned from
providing financial services or credit



250+ people disqualified or removed
from directing companies

ENFORCEABLE UNDERTAKINGS



70+ enforceable undertakings secured

COMPENSATION



\$550m+ compensation secured for
investors and financial consumers

INDUSTRY REPORTS



50+ industry reports promoting changes
in industry behaviour and informing
government policy and law reform

