



**ASIC**

Australian Securities &  
Investments Commission

Commonwealth of Australia Gazette  
No. A21/16, Friday 29 April 2016

Published by ASIC

# ASIC Gazette

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Notices under Corporations Act 2001

16-0361				
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Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at [www.asic.gov.au](http://www.asic.gov.au) or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

ISSN 1445-6060 (Online version)  
ISSN 1445-6079 (CD-ROM version)

Available from [www.asic.gov.au](http://www.asic.gov.au)  
Email [gazette.publisher@asic.gov.au](mailto:gazette.publisher@asic.gov.au)

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16-0361

## NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 27 April 2016.

## AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

NOTICE OF SUSPENSION OF  
AUSTRALIAN FINANCIAL SERVICES LICENCE

To: MASU Financial Management Pty Ltd ACN 069 358 498

Under s915C(1)(a) and s915C(1)(aa) of the *Corporations Act 2001* (Act), the Australian Securities and Investments Commission (ASIC) suspends Australian financial services licence number 231140 (Licence) held by MASU Financial Management Pty Ltd ACN 069 358 498 (MASU) for a period of eight weeks.

Under s915H of the Act, ASIC specifies that the Licence continues in effect, while suspended, as though the suspension had not happened for the purposes of:

- (a) Paragraphs 912A(1)(g) of the Act to the extent that it requires MASU to have a disputes resolution system complying with s912A(2)(b) of the Act (which requires MASU to be a member of one or more external dispute resolution schemes).
- (b) Section 912B of the Act and regulations 7.6.02AAA and 7.6.02AA of the Corporations Regulations 2001 (which require MASU to have arrangements for compensating retail clients including the holding of professional indemnity insurance cover).

Dated this 26<sup>th</sup> day of April 2016.

Signed:   
Graeme Darcy Plath  
Delegate of the Australian Securities and  
Investments Commission

Your attention is drawn to s911A(1) of the Corporations Act 2001 which provides that subject to this section, a person who carries on a financial services business in this jurisdiction must hold an Australian financial services licence covering the provision of the financial services and that a failure to comply with this subsection is an offence (see s1311(1) of the Corporations Act 2001).