

Australian Securities and Investments Commission Level 5, 100 Market Street Sydney NSW 2000

Via email: OTCD@asic.gov.au

29 February 2016

Dear Sirs

ASIC Derivative Transaction Rules (Clearing) 2015 (the Rules)

In accordance with the requirements of Rule 3.1.1 of the above-referenced Rules, we hereby provide notification to ASIC of the Clearing Start Date as follows:

- a. Entity: The Hongkong and Shanghai Banking Corporation Limited, Sydney branch
- b. LEI: 2HI3YI5320L3RW6NJ957
- c. Capacity: Personal Capacity
- d. Clearing Start Date: 4 April 2016
- e. Clearing Entity type: Foreign Clearing Entity

Should you require any additional information, please do not hesitate to contact either Sarah Craven, Senior Manager, GBM Regulatory Compliance (sarahcraven@hsbc.com.au) or Gunalan Bhaskaran, Head of Regulatory Compliance (gunalanbhaskaran@hsbc.com.au).

Yours faithfully

Gavin Powell

For and on behalf of

The Hongkong and Shanghai Banking Corporation Limited

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