

Citigroup Global Markets Limited  
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Registered in England    Registered in Australia  
Authorised by the Prudential Regulation Authority  
Regulated by the Financial Conduct Authority

1 March, 2016

**PRIVATE AND CONFIDENTIAL**

Australian Securities & Investments Commission ("ASIC")  
Level 5, 100 Market Street  
SYDNEY NSW 2000  
Financial Market Infrastructure  
Attention: The Manager  
**BY EMAIL:** OTCD@asic.gov.au

Dear Sir/Madam,

**ASIC Derivative Transaction Rules (Clearing) 2015 ("ASIC Clearing Rules")**

The entity named below (the "Named Entity") makes written notification to ASIC of the following to meet its requirements under Rule 3.1.1 of the ASIC Clearing Rules:

Full legal name of the Named Entity:	Citigroup Global Markets Limited
LEI of the Named Entity:	XKZZ2JZF41MRHTR1V493
The capacity in which we notify ASIC is in its:	Personal Capacity
The type of Clearing Entity you are (or will you become) in your Personal Capacity under the ASIC Clearing Rules?	Foreign Clearing Entity
The Clearing Start Date that applies or will apply to the Named Entity in the relevant capacity is:	Monday 4 April, 2016

Capitalised or defined terms used in this letter that are not otherwise defined have the same meaning as in the ASIC Clearing Rules, *Corporations Act 2001* (Clth) or *Corporations Regulations 2001* (Clth).

Executed and delivered with effect from the date stated above.

Full legal name of the Named Entity: **Citigroup Global Markets Limited**

Signature:

A handwritten signature in black ink, appearing to read "Lauren McCoy", written over a horizontal line.

Name of signatory:

**Lauren McCoy**

Title of signatory:

**Delegated Signatory**