



Australian insolvency statistics

Released: October 2015

Series 3: External administrators' reports

3.2 - External administrators's reports for selected industries, 1 July 2014–30 June 2015

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Inquiries

For further information about these and related statistics, email insolvencystatistics@asic.gov.au.



Australian insolvency statistics

Released: October 2015

Table 3.2.1.1 - Initial external administrators' reports for Other (business & personal) services industry—Size of company as measured by number of FTEs by region (1 July 2014–30 June 2015)

Full-time equivalent employees	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
Less than 5 FTE	23	748	3	249	54	5	378	86	1,546
Between 5 and 19 FTE	7	112	0	51	5	3	60	10	248
Between 20 and 199 FTE	0	38	0	23	1	0	19	4	85
200 or more FTE	0	1	0	5	0	0	0	0	6
Not known	2	239	0	94	17	0	97	16	465
Total	32	1,138	3	422	77	8	554	116	2,350

Note: One company with an international registered address has been excluded from these statistics.

Table 3.2.1.2 - Initial external administrators' reports for Other (business & personal) services industry—Nominated causes of failure by region (1 July 2014–30 June 2015)

Causes of company failure	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Nominated causes of failure
Under capitalisation	15	129	0	71	22	1	98	19	355
Poor financial control including lack of records	10	477	0	94	25	2	177	35	820
Poor management of accounts receivable	5	81	1	40	10	3	53	15	208
Poor strategic management of business	10	399	1	225	29	4	225	51	944
Inadequate cash flow or high cash use	17	450	2	146	25	1	241	45	927
Poor economic conditions	6	139	1	89	11	3	70	29	348
Natural disaster	0	3	0	9	0	0	2	0	14
Fraud	0	10	0	9	2	0	8	2	31
DOCA failed	0	5	0	0	3	0	0	5	13
Dispute among directors	3	29	0	14	2	1	18	4	71
Trading losses	15	293	0	116	30	1	181	41	677
Industry restructuring	0	15	0	5	0	0	11	1	32
Other	8	389	2	126	23	3	191	35	777
Total	89	2,419	7	944	182	19	1,275	282	5,217

Note: One company with an international registered address has been excluded from these statistics.

**Table 3.2.1.3 - Initial external administrators' reports for Other (business & personal) services industry—Possible misconduct by region
(1 July 2014–30 June 2015)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Total
Number of reports where external administrator has documentary evidence and recommended the case warranted inquiry by ASIC	7	246	0	71	10	0	92	25	451
PRE-APPOINTMENT CRIMINAL MISCONDUCT									
Section 184—Good faith, use of position and use of information—Directors', officers' and employees' duties	0	27	0	10	3	0	10	1	51
Section 206A—Disqualified persons not to manage corporations	0	1	0	1	1	0	2	0	5
Sections 286 & 344(2)—Obligation to keep financial records	1	40	0	9	3	0	13	3	69
Section 471A—Powers of other officers suspended during winding up	0	0	0	0	1	0	2	0	3
Section 588G(3)—Insolvent trading	0	15	0	2	9	1	7	1	35
Section 590—Offences by officers or employees	0	15	0	9	2	0	7	0	33
Section 596AB—Agreements to avoid employee entitlements	0	0	0	1	0	0	0	0	1
Other criminal offences under the Corporations Act	0	3	0	2	0	0	1	0	6
Sub-total	1	101	0	34	19	1	42	5	203
POST-APPOINTMENT CRIMINAL MISCONDUCT									
Sections 429, 438B & 475—Report as to company's affairs	1	104	0	28	8	0	30	8	179
Section 530A—Officers to help liquidator	1	122	0	29	13	1	44	10	220
Section 530B—Requirement to provide liquidator with company's books	1	120	0	31	14	0	37	13	216
Sub-total	3	346	0	88	35	1	111	31	615
ALLEGED BREACHES OF CIVIL OBLIGATIONS									
Section 180—Care and diligence—Directors' and officers' duties	7	453	1	148	16	1	147	50	823
Section 181—Good faith—Directors' and officers' duties	3	191	1	68	12	1	63	15	354
Section 182—Use of position—Directors', officer's and employees' duties	2	128	0	42	5	0	55	11	243
Section 183—Use of information—Directors', officers' and employees' duties	2	38	0	11	1	0	7	0	59
Sections 286 & 344(1)—Obligation to keep financial records	17	633	0	105	23	1	219	32	1,030
Section 588G(1)–(2)—Insolvent trading	21	728	2	187	52	5	278	77	1,350
Sub-total	52	2,171	4	561	109	8	769	185	3,859
OTHER CRIMINAL OFFENCES									
Criminal offence under the Corporations Act (if a member or contributory)	0	1	0	1	0	0	0	0	2
Criminal offence under another Commonwealth statute	0	0	0	1	0	0	1	0	2
Criminal offence under a state or territory law		1	0	1	0	0	1	0	3
Sub-total	0	1	0	2	0	0	2	0	7
OTHER POSSIBLE MISCONDUCT									
May have misapplied or retained, or may have become liable or accountable for money or property of the company	0	7	0	5	0	0	3	0	15
May have been guilty of negligence, default, breach of duty or breach of trust in relation to the company	0	9	0	1	2	0	2	2	16
Sub-total	0	16	0	6	2	0	5	2	31
Total misconduct reported	56	2,635	4	691	165	10	929	223	4,715
No misconduct reported	7	145	1	131	13	3	153	17	470

Note: One company with an international registered address has been excluded from these statistics.

Table 3.2.1.4 - Initial external administrators' reports for Other (business & personal) services industry—Assets, liabilities and deficiency by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
ASSET CATEGORIES									
Less than \$1	12	600	1	182	31	1	249	33	1,109
\$1–\$10,000	8	254	0	102	15	2	117	23	521
\$10,001–\$20,000	2	99	0	28	6	1	61	10	207
\$20,001–\$30,000	1	33	0	19	6	2	18	7	86
\$30,001–\$50,000	3	39	0	18	1	1	24	7	93
\$50,001–\$100,000	0	30	0	21	6	0	24	15	96
\$100,001–\$250,000	4	32	1	18	5	1	27	9	97
\$250,001–\$5 million	2	50	1	30	7	0	33	12	135
Over \$5 million	0	1	0	4	0	0	1	0	6
Total	32	1,138	3	422	77	8	554	116	2,350
LIABILITIES CATEGORIES									
\$1–\$250,000	19	652	1	196	23	5	215	46	1,157
\$250,001–less than \$1 million	10	320	1	148	34	1	211	50	775
\$1 million–less than \$5 million	3	132	1	57	15	2	74	10	294
\$5 million–\$10 million	0	19	0	8	3	0	14	5	49
Over \$10 million	0	15	0	13	2	0	40	5	75
Total	32	1,138	3	422	77	8	554	116	2,350
DEFICIENCY CATEGORIES									
\$0–\$50,000	5	257	0	56	6	1	49	10	384
\$50,001–\$250,000	17	417	1	153	25	4	186	46	849
\$250,001–less than \$500,000	4	190	1	95	19	1	133	27	470
\$500,000–less than \$1 million	4	117	0	46	11	0	66	19	263
\$1 million–less than \$5 million	2	126	1	53	11	2	68	5	268
\$5 million–\$10 million	0	18	0	7	3	0	13	5	46
Over \$10 million	0	13	0	12	2	0	39	4	70
Total	32	1,138	3	422	77	8	554	116	2,350

Note: One company with an international registered address has been excluded from these statistics.

Table 3.2.1.5 - Initial external administrators' reports for Other (business & personal) services industry—Unpaid employee entitlements by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
UNPAID WAGES									
\$1–\$1,000	0	18	0	19	4	2	10	0	53
\$1,001–\$10,000	1	97	1	27	8	1	55	8	198
\$10,001–\$50,000	1	43	0	14	0	0	20	5	83
\$50,001–\$150,000	0	13	0	9	1	0	5	1	29
\$150,001–\$250,000	0	0	0	2	0	0	3	0	5
\$250,001–\$500,000	0	2	0	0	0	0	5	1	8
\$500,001–less than \$1.5 million	0	0	0	2	0	1	0	0	3
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	1	0	0	0	0	0	0	1
Not Applicable	30	951	2	347	64	4	455	101	1,954
Total	32	1,125	3	420	77	8	553	116	2,334
UNPAID ANNUAL LEAVE									
\$1–\$1,000	0	11	0	15	1	2	5	1	35
\$1,001–\$10,000	2	86	0	27	12	0	48	11	186
\$10,001–\$50,000	3	49	1	28	3	3	34	8	129
\$50,001–\$150,000	2	19	0	12	2	0	16	3	54
\$150,001–\$250,000	0	2	0	2	1	0	2	0	7
\$250,001–\$500,000	0	3	0	0	0	0	0	0	3
\$500,001–less than \$1.5 million	0	0	0	1	0	0	0	1	2
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	25	955	2	335	58	3	448	92	1,918
Total	32	1,125	3	420	77	8	553	116	2,334
UNPAID PAY IN LIEU OF NOTICE									
\$1–\$1,000	0	11	0	13	1	2	4	1	32
\$1,001–\$10,000	0	30	0	17	5	1	20	3	76
\$10,001–\$50,000	4	20	0	16	2	2	22	4	70
\$50,001–\$150,000	0	8	0	10	1	0	1	2	22
\$150,001–\$250,000	0	1	0	1	0	0	1	0	3
\$250,001–\$500,000	0	1	0	0	0	0	0	1	2
\$500,001–less than \$1.5 million	0	0	0	1	0	0	0	0	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	28	1,054	3	362	68	3	505	105	2,128
Total	32	1,125	3	420	77	8	553	116	2,334
UNPAID REDUNDANCY									
\$1–\$1,000	0	6	0	13	1	1	2	0	23
\$1,001–\$10,000	0	4	0	5	2	1	6	3	21
\$10,001–\$50,000	1	18	0	7	1	0	16	1	44
\$50,001–\$150,000	0	8	0	11	1	1	5	1	27
\$150,001–\$250,000	0	5	0	4	1	0	2	1	13
\$250,001–\$500,000	0	3	0	2	1	0	1	0	7
\$500,001–less than \$1.5 million	0	1	0	0	0	0	0	0	1
\$1.5 million–\$5 million	0	0	0	1	0	0	0	0	1
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	31	1,080	3	377	70	5	521	110	2,197
Total	32	1,125	3	420	77	8	553	116	2,334
UNPAID LONG SERVICE LEAVE									
\$1–\$1,000	0	5	0	13	1	0	7	0	26
\$1,001–\$10,000	1	13	0	4	1	2	11	0	32
\$10,001–\$50,000	3	22	0	11	2	0	16	4	58
\$50,001–\$150,000	0	9	0	5	3	0	4	1	22
\$150,001–\$250,000	0	1	0	0	0	0	0	0	1
\$250,001–\$500,000	0	0	0	0	0	0	0	1	1
\$500,001–less than \$1.5 million	0	1	0	1	0	0	0	1	3
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	2	0	0	0	0	0	0	2
Not Applicable	28	1,072	3	386	70	6	515	109	2,189
Total	32	1,125	3	420	77	8	553	116	2,334
UNPAID SUPERANNUATION									
\$1–\$100,000	12	254	2	155	27	5	170	43	668
\$100,001–\$250,000	0	36	0	20	5	0	34	4	99
\$250,001–\$1 million	0	19	0	8	2	0	8	0	37
Over \$1 million	0	2	0	0	0	0	2	1	5
Not Applicable	20	827	1	239	43	3	340	68	1,541
Total	32	1,138	3	422	77	8	554	116	2,350

Note 1: One company with an international registered address has been excluded from these statistics.

Note 2: Reports identified as being internally inconsistent were excluded from this table. No reports were excluded from superannuation.

Table 3.2.1.6 - Initial external administrators' reports for Other (business & personal) services industry—Amount owed to secured creditors by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	26	934	2	294	47	4	442	83	1,832
\$1–less than \$500,000	4	153	0	82	23	4	77	22	365
\$500,000–less than \$1 million	1	18	0	18	2	0	10	3	52
\$1 million–less than \$5 million	1	24	1	16	4	0	12	4	62
\$5 million–\$10 million	0	4	0	2	0	0	3	3	12
Over \$10 million	0	5	0	10	1	0	10	1	27
Total	32	1,138	3	422	77	8	554	116	2,350

Note: One company with an international registered address has been excluded from these statistics.

Table 3.2.1.7 - Initial external administrators' reports for Other (business & personal) services industry—Unpaid taxes and charges by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	3	203	1	61	17	2	80	15	382
\$1–\$250,000	23	740	1	286	42	5	324	70	1,491
\$250,001–\$1 million	6	152	1	68	14	0	100	28	369
Over \$1 million	0	43	0	7	4	1	50	3	108
Total	32	1,138	3	422	77	8	554	116	2,350

Note: One company with an international registered address has been excluded from these statistics.

Table 3.2.1.8 - Initial external administrators' reports for Other (business & personal) services industry—Unsecured creditors by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
NUMBER OF UNSECURED CREDITORS									
Less than 25	28	1,054	3	350	62	8	457	102	2,064
25–50	3	49	0	27	6	0	47	6	138
51–200	0	22	0	23	7	0	13	5	70
More than 200	0	3	0	3	0	0	28	1	35
Unknown	1	10	0	19	2	0	9	2	43
Total	32	1,138	3	422	77	8	554	116	2,350
AMOUNT OWED TO UNSECURED CREDITORS									
Less than \$250,000	27	846	2	303	51	6	369	79	1,683
\$250,000–\$500,000	1	123	1	49	11	1	68	20	274
\$500,001–less than \$1 million	4	77	0	31	9	1	35	8	165
\$1 million–less than \$5 million	0	72	0	30	4	0	46	5	157
\$5 million–\$10 million	0	12	0	7	2	0	9	2	32
Over \$10 million	0	8	0	2	0	0	27	2	39
Total	32	1,138	3	422	77	8	554	116	2,350
MORE THAN 50% OF DEBT OWED TO RELATED PARTIES									
Number	2	238	1	61	14	1	105	26	448
ESTIMATED 'CENTS' IN THE \$ DIVIDEND TO UNSECURED CREDITORS									
\$0	27	1,089	2	394	74	7	530	99	2,222
Greater than 0 but less than 11 cents	1	26	0	17	1	0	15	8	68
11–20c	1	5	0	4	2	0	2	1	15
21–50c	1	8	1	5	0	1	3	3	22
51–100c	2	10	0	2	0	0	4	5	23
Total	32	1,138	3	422	77	8	554	116	2,350

Note: One company with an international registered address has been excluded from these statistics.

Table 3.2.1.9 - Initial external administrators' reports for Other (business & personal) services industry—External administrator's remuneration by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
VOLUNTARY ADMINISTRATOR FEES									
\$0	5	100	0	60	13	0	41	10	229
\$1–\$50,000	4	64	0	38	12	1	32	13	164
\$50,001–\$100,000	0	18	2	5	0	0	8	6	39
\$100,001–\$250,000	0	7	0	5	1	0	4	2	19
Over \$250,000	0	1	0	0	0	0	2	0	3
Not applicable	23	948	1	314	51	7	467	85	1,896
Total	32	1,138	3	422	77	8	554	116	2,350
DEED OF COMPANY ARRANGEMENT FEES									
\$0	7	97	0	62	13	0	40	8	227
\$1–\$50,000	0	15	1	4	2	0	3	4	29
\$50,001–\$100,000	0	2	0	1	1	0	1	2	7
\$100,001–\$250,000	0	1	0	1	0	0	0	0	2
Over \$250,000	1	3	0	1	1	0	0	0	6
Not applicable	24	1,020	2	353	60	8	510	102	2,079
Total	32	1,138	3	422	77	8	554	116	2,350
LIQUIDATOR FEES									
\$0	7	247	1	114	19	0	126	18	532
\$1–\$50,000	23	814	1	277	51	8	383	76	1,633
\$50,001–\$100,000	0	25	0	12	3	0	19	12	71
\$100,001–\$250,000	1	19	0	4	1	0	13	1	39
Over \$250,000	0	2	0	1	1	0	1	0	5
Not applicable	1	31	1	14	2	0	12	9	70
Total	32	1,138	3	422	77	8	554	116	2,350
RECEIVER/CONTROLLER FEES									
\$0	7	106	0	64	15	0	39	8	239
\$1–\$50,000	0	0	0	1	0	0	2	0	3
\$50,001–\$100,000	0	1	0	1	0	0	0	0	2
\$100,001–\$250,000	0	0	0	0	0	0	0	0	0
Over \$250,000	0	2	0	0	0	0	1	0	3
Not applicable	25	1,029	3	356	62	8	512	108	2,103
Total	32	1,138	3	422	77	8	554	116	2,350

Note: One company with an international registered address has been excluded from these statistics.



ASIC

Australian Securities & Investments Commission

Australian insolvency statistics

Released: October 2015

Table 3.2.2.1 - Initial external administrators' reports for Construction industry—Size of company as measured by number of FTEs by region (1 July 2014–30 June 2015)

Full-time equivalent employees	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
Less than 5 FTE	18	476	7	176	45	12	341	83	1,158
Between 5 and 19 FTE	10	72	1	44	18	7	58	28	238
Between 20 and 199 FTE	3	21	1	16	9	0	25	10	85
200 or more FTE	0	0	0	0	0	0	0	0	0
Not known	2	136	4	48	6	0	80	13	289
Total	33	705	13	284	78	19	504	134	1,770

Note: One company with an international registered address has been excluded from these statistics.

Table 3.2.2.2 - Initial external administrators' reports for Construction industry—Nominated causes of failure by region (1 July 2014–30 June 2015)

Causes of company failure	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Nominated causes of failure
Under capitalisation	7	111	1	73	16	4	97	32	341
Poor financial control including lack of records	12	249	4	73	16	6	134	37	531
Poor management of accounts receivable	6	83	1	52	11	2	88	32	275
Poor strategic management of business	9	297	5	123	40	6	202	73	755
Inadequate cash flow or high cash use	18	286	6	124	42	6	229	80	791
Poor economic conditions	8	126	1	85	15	7	105	38	385
Natural disaster	0	1	0	4	0	0	1	0	6
Fraud	0	3	0	3	1	0	6	2	15
DOCA failed	0	4	0	2	2	0	0	2	10
Dispute among directors	1	11	1	11	2	1	21	4	52
Trading losses	11	221	6	96	33	13	158	54	592
Industry restructuring	1	12	0	4	0	0	7	3	27
Other	8	264	6	78	24	3	136	43	562
Total	81	1,668	31	728	202	48	1,184	400	4,342

Note: One company with an international registered address has been excluded from these statistics.

Table 3.2.2.3 - Initial external administrators' reports for Construction industry—Possible misconduct by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Total
Number of reports where external administrator has documentary evidence and recommended the case warranted inquiry by ASIC	6	147	4	36	19	1	99	28	340
PRE-APPOINTMENT CRIMINAL MISCONDUCT									
Section 184—Good faith, use of position and use of information—Directors', officers' and employees' duties	0	2	0	5	2	0	11	2	22
Section 206A—Disqualified persons not to manage corporations	0	3	0	0	1	0	4	0	8
Sections 286 & 344(2)—Obligation to keep financial records	0	25	0	14	1	0	6	5	51
Section 471A—Powers of other officers suspended during winding up	0	2	0	1	0	0	1	0	4
Section 588G(3)—Insolvent trading	1	12	0	1	4	0	14	3	35
Section 590—Offences by officers or employees	1	3	0	4	1	0	7	3	19
Section 596AB—Agreements to avoid employee entitlements	0	0	0	0	0	0	0	0	0
Other criminal offences under the Corporations Act	0	4	0	2	1	0	3	0	10
Sub-total	2	51	0	27	10	0	46	13	149
POST-APPOINTMENT CRIMINAL MISCONDUCT									
Sections 429, 438B & 475—Report as to company's affairs	1	68	1	21	8	0	42	5	146
Section 530A—Officers to help liquidator	2	71	2	27	6	0	43	9	160
Section 530B—Requirement to provide liquidator with company's books	2	74	2	21	6	0	44	7	156
Sub-total	5	213	5	69	20	0	129	21	462
ALLEGED BREACHES OF CIVIL OBLIGATIONS									
Section 180—Care and diligence—Directors' and officers' duties	13	265	5	83	32	1	102	57	558
Section 181—Good faith—Directors' and officers' duties	5	100	6	50	15	0	57	28	261
Section 182—Use of position—Directors', officer's and employees' duties	4	71	5	29	12	0	50	23	194
Section 183—Use of information—Directors', officers' and employees' duties	2	18	2	5	2	0	11	4	44
Sections 286 & 344(1)—Obligation to keep financial records	14	351	4	83	12	6	171	39	680
Section 588G(1)–(2)—Insolvent trading	21	474	8	132	60	6	273	81	1,055
Sub-total	59	1,279	30	382	133	13	664	232	2,792
OTHER CRIMINAL OFFENCES									
Criminal offence under the Corporations Act (if a member or contributory)	0	0	0	0	0	0	0	0	0
Criminal offence under another Commonwealth statute	0	0	0	0	0	0	3	0	3
Criminal offence under a state or territory law	0	0	0	0	0	0	8	0	8
Sub-total	0	0	0	0	0	0	11	0	11
OTHER POSSIBLE MISCONDUCT									
May have misapplied or retained, or may have become liable or accountable for money or property of the company	0	3	0	0	0	0	2	1	6
May have been guilty of negligence, default, breach of duty or breach of trust in relation to the company	0	2	0	1	1	0	5	0	9
Sub-total	0	5	0	1	1	0	7	1	15
Total misconduct reported	66	1,548	35	479	164	13	857	267	3,429
No misconduct reported	8	91	0	93	9	11	134	29	375

Note: One company with an international registered address has been excluded from these statistics.

**Table 3.2.2.4 - Initial external administrators' reports for Construction industry—Assets, liabilities and deficiency by region
(1 July 2014–30 June 2015)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
ASSET CATEGORIES									
Less than \$1	10	329	3	100	13	5	176	33	669
\$1–\$10,000	8	161	1	57	14	0	122	26	389
\$10,001–\$20,000	2	68	3	11	6	1	51	14	156
\$20,001–\$30,000	1	31	0	18	6	0	17	7	80
\$30,001–\$50,000	2	30	0	16	7	5	30	6	96
\$50,001–\$100,000	3	35	1	22	10	2	34	12	119
\$100,001–\$250,000	5	25	2	20	6	0	28	20	106
\$250,001–\$5 million	2	26	3	38	15	6	46	15	151
Over \$5 million	0	0	0	2	1	0	0	1	4
Total	33	705	13	284	78	19	504	134	1,770
LIABILITIES CATEGORIES									
\$1–\$250,000	17	365	2	100	19	8	173	32	716
\$250,001–less than \$1 million	10	198	6	90	29	4	182	55	574
\$1 million–less than \$5 million	6	116	5	70	28	7	125	38	395
\$5 million–\$10 million	0	15	0	11	1	0	14	5	46
Over \$10 million	0	11	0	13	1	0	10	4	39
Total	33	705	13	284	78	19	504	134	1,770
DEFICIENCY CATEGORIES									
\$0–\$50,000	7	128	2	29	1	3	41	5	216
\$50,001–\$250,000	12	247	1	79	21	5	147	30	542
\$250,001–less than \$500,000	6	129	3	54	15	2	101	32	342
\$500,000–less than \$1 million	3	64	2	39	15	4	83	26	236
\$1 million–less than \$5 million	5	114	5	61	25	5	112	35	362
\$5 million–\$10 million	0	12	0	10	0	0	13	2	37
Over \$10 million	0	11	0	12	1	0	7	4	35
Total	33	705	13	284	78	19	504	134	1,770

Note: One company with an international registered address has been excluded from these statistics.

**Table 3.2.2.5 - Initial external administrators' reports for Construction industry—Unpaid employee entitlements by region
(1 July 2014–30 June 2015)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
UNPAID WAGES									
\$1–\$1,000	0	13	0	5	5	0	18	10	51
\$1,001–\$10,000	3	57	4	22	8	1	48	7	150
\$10,001–\$50,000	5	22	0	13	7	2	34	12	95
\$50,001–\$150,000	0	5	0	5	2	0	6	3	21
\$150,001–\$250,000	0	4	0	2	1	0	1	0	8
\$250,001–\$500,000	0	1	0	0	0	0	1	1	3
\$500,001–less than \$1.5 million	0	1	0	0	0	0	0	0	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	25	596	9	237	55	16	396	101	1,435
Total	33	699	13	284	78	19	504	134	1,764
UNPAID ANNUAL LEAVE									
\$1–\$1,000	0	10	1	3	0	1	10	5	30
\$1,001–\$10,000	1	48	2	15	7	3	59	15	150
\$10,001–\$50,000	4	25	1	23	12	3	35	17	120
\$50,001–\$150,000	0	10	0	7	6	2	19	6	50
\$150,001–\$250,000	0	3	0	2	2	0	2	0	9
\$250,001–\$500,000	1	2	0	1	1	0	2	1	8
\$500,001–less than \$1.5 million	0	0	0	1	0	0	1	0	2
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	27	602	9	232	50	9	376	90	1,395
Total	33	700	13	284	78	18	504	134	1,764
UNPAID PAY IN LIEU OF NOTICE									
\$1–\$1,000	0	9	0	5	1	2	8	4	29
\$1,001–\$10,000	1	14	0	9	7	1	27	9	68
\$10,001–\$50,000	1	15	1	17	12	3	29	15	93
\$50,001–\$150,000	0	4	0	6	5	1	13	2	31
\$150,001–\$250,000	0	2	0	1	0	0	0	1	4
\$250,001–\$500,000	1	0	0	2	0	0	2	1	6
\$500,001–less than \$1.5 million	0	0	0	0	0	0	1	0	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	1	0	0	0	0	0	0	1
Not Applicable	30	655	12	244	53	11	424	102	1,531
Total	33	700	13	284	78	18	504	134	1,764
UNPAID REDUNDANCY									
\$1–\$1,000	0	11	0	3	0	1	6	4	25
\$1,001–\$10,000	0	5	0	5	4	0	16	5	35
\$10,001–\$50,000	1	15	1	7	10	2	19	8	63
\$50,001–\$150,000	1	4	0	5	4	2	12	10	38
\$150,001–\$250,000	0	1	0	2	4	0	5	0	12
\$250,001–\$500,000	1	1	0	2	0	0	3	2	9
\$500,001–less than \$1.5 million	0	1	0	3	1	0	1	0	6
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	30	662	12	257	55	13	442	105	1,576
Total	33	700	13	284	78	18	504	134	1,764
UNPAID LONG SERVICE LEAVE									
\$1–\$1,000	1	9	0	4	0	3	8	7	32
\$1,001–\$10,000	2	15	0	3	0	0	25	3	48
\$10,001–\$50,000	0	8	0	12	11	1	26	6	64
\$50,001–\$150,000	0	1	0	3	5	1	9	2	21
\$150,001–\$250,000	1	1	0	3	0	0	0	1	6
\$250,001–\$500,000	0	1	0	1	0	0	2	0	4
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	29	665	13	258	62	13	434	115	1,589
Total	33	700	13	284	78	18	504	134	1,764
UNPAID SUPERANNUATION									
\$1–\$100,000	13	193	7	99	36	9	174	64	595
\$100,001–\$250,000	1	15	2	20	6	3	15	13	75
\$250,001–\$1 million	0	5	0	4	1	0	1	5	16
Over \$1 million	0	1	0	0	0	0	0	0	1
Not Applicable	19	491	4	161	35	7	314	52	1,083
Total	33	705	13	284	78	19	504	134	1,770

Note 1: One company with an international registered address has been excluded from these statistics.

Note 2: Reports identified as being internally inconsistent were excluded from this table. No reports were excluded from superannuation.

Table 3.2.2.6 - Initial external administrators' reports for Construction industry—Amount owed to secured creditors by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	21	555	7	164	40	7	343	84	1,221
\$1–less than \$500,000	11	112	4	75	21	8	101	31	363
\$500,000–less than \$1 million	0	13	0	16	6	1	22	8	66
\$1 million–less than \$5 million	1	16	2	16	10	3	29	10	87
\$5 million–\$10 million	0	3	0	8	1	0	2	1	15
Over \$10 million	0	6	0	5	0	0	7	0	18
Total	33	705	13	284	78	19	504	134	1,770

Note 1: One company with an international registered address has been excluded from these statistics.

Table 3.2.2.7 - Initial external administrators' reports for Construction industry—Unpaid taxes and charges by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	5	102	2	48	10	3	47	9	226
\$1–\$250,000	18	462	8	169	48	13	323	79	1,120
\$250,001–\$1 million	10	105	2	58	15	3	110	36	339
Over \$1 million	0	36	1	9	5	0	24	10	85
Total	33	705	13	284	78	19	504	134	1,770

Note 1: One company with an international registered address has been excluded from these statistics.

Table 3.2.2.8 - Initial external administrators' reports for Construction industry—Unsecured creditors by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
NUMBER OF UNSECURED CREDITORS									
Less than 25	25	622	6	201	41	9	380	91	1,375
25–50	4	53	2	41	9	5	47	14	175
51–200	3	22	5	31	21	4	59	22	167
More than 200	1	1	0	5	4	1	7	1	20
Unknown	0	7	0	6	3	0	11	6	33
Total	33	705	13	284	78	19	504	134	1,770
AMOUNT OWED TO UNSECURED CREDITORS									
Less than \$250,000	25	488	5	151	34	10	285	68	1,066
\$250,000–\$500,000	2	81	2	43	13	5	74	14	234
\$500,001–less than \$1 million	1	49	2	35	17	2	57	24	187
\$1 million–less than \$5 million	5	75	3	43	13	2	76	23	240
\$5 million–\$10 million	0	9	1	6	0	0	9	2	27
Over \$10 million	0	3	0	6	1	0	3	3	16
Total	33	705	13	284	78	19	504	134	1,770
MORE THAN 50% OF DEBT OWED TO RELATED PARTIES									
Number	2	119	2	34	10	0	93	22	282
ESTIMATED 'CENTS' IN THE \$ DIVIDEND TO UNSECURED CREDITORS									
\$0	27	665	12	258	68	17	476	117	1,640
Greater than 0 but less than 11 cents	4	23	1	17	8	1	17	12	83
11–20c	0	7	0	3	2	1	6	0	19
21–50c	1	7	0	4	0	0	3	2	17
51–100c	1	3	0	2	0	0	2	3	11
Total	33	705	13	284	78	19	504	134	1,770

Note: One company with an international registered address has been excluded from these statistics.

**Table 3.2.2.9 - Initial external administrators' reports for Construction industry—External administrator's remuneration by region
(1 July 2014–30 June 2015)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
VOLUNTARY ADMINISTRATOR FEES									
\$0	5	63	3	34	12	1	43	15	176
\$1–\$50,000	2	36	0	14	7	1	30	12	102
\$50,001–\$100,000	1	12	0	7	2	2	12	5	41
\$100,001–\$250,000	0	7	2	3	3	0	3	2	20
Over \$250,000	0	1	0	2	2	0	1	2	8
Not applicable	25	586	8	224	52	15	415	98	1,423
Total	33	705	13	284	78	19	504	134	1,770
DEED OF COMPANY ARRANGEMENT FEES									
\$0	6	63	3	30	11	1	46	14	174
\$1–\$50,000	0	9	1	2	0	0	2	3	17
\$50,001–\$100,000	0	0	0	1	1	0	2	1	5
\$100,001–\$250,000	0	1	0	0	1	0	0	2	4
Over \$250,000	0	0	0	2	0	0	1	0	3
Not applicable	27	632	9	249	65	18	453	114	1,567
Total	33	705	13	284	78	19	504	134	1,770
LIQUIDATOR FEES									
\$0	5	194	3	70	14	1	118	27	432
\$1–\$50,000	24	454	5	172	35	13	325	82	1,110
\$50,001–\$100,000	3	22	3	19	14	3	38	15	117
\$100,001–\$250,000	1	8	1	14	6	1	9	5	45
Over \$250,000	0	0	0	2	2	0	3	1	8
Not applicable	0	27	1	7	7	1	11	4	58
Total	33	705	13	284	78	19	504	134	1,770
RECEIVER/CONTROLLER FEES									
\$0	6	64	3	30	13	1	46	12	175
\$1–\$50,000	0	0	0	1	0	0	3	0	4
\$50,001–\$100,000	0	1	0	1	0	0	0	0	2
\$100,001–\$250,000	0	0	0	0	0	0	0	1	1
Over \$250,000	0	0	0	2	0	0	1	0	3
Not applicable	27	640	10	250	65	18	454	121	1,585
Total	33	705	13	284	78	19	504	134	1,770

Note: One company with an international registered address has been excluded from these statistics.



ASIC

Australian Securities & Investments Commission

Australian insolvency statistics

Released: October 2015

Table 3.2.3.1 - Initial external administrators' reports for Accommodation & food services industry—Size of company as measured by number of FTEs by region (1 July 2014–30 June 2015)

Full-time equivalent employees	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
Less than 5 FTE	16	190	1	86	38	6	150	22	509
Between 5 and 19 FTE	10	75	0	23	14	4	25	10	161
Between 20 and 199 FTE	2	19	0	7	1	4	13	3	49
200 or more FTE	0	1	0	0	0	0	0	0	1
Not known	4	74	1	25	2	6	26	12	150
Total	32	359	2	141	55	20	214	47	870

Table 3.2.3.2 - Initial external administrators' reports for Accommodation & food services industry—Nominated causes of failure by region (1 July 2014–30 June 2015)

Causes of company failure	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Nominated causes of failure
Under capitalisation	14	91	1	28	14	5	50	11	214
Poor financial control including lack of records	7	129	0	42	10	6	64	26	284
Poor management of accounts receivable	1	14	0	8	3	0	11	2	39
Poor strategic management of business	8	158	1	66	19	5	91	23	371
Inadequate cash flow or high cash use	22	161	1	69	26	10	110	27	426
Poor economic conditions	9	69	0	43	22	5	46	5	199
Natural disaster	0	3	0	6	0	0	4	0	13
Fraud	0	3	0	1	0	0	2	1	7
DOCA failed	0	1	0	0	0	0	2	0	3
Dispute among directors	0	11	0	0	0	1	7	6	25
Trading losses	17	175	2	64	36	8	77	24	403
Industry restructuring	0	3	0	0	0	0	2	0	5
Other	6	97	0	21	9	4	56	9	202
Total	84	915	5	348	139	44	522	134	2,191

Table 3.2.3.3 - Initial external administrators' reports for Accommodation & food services industry—Possible misconduct by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Total
Number of reports where external administrator has documentary evidence and recommended the case warranted inquiry by ASIC	7	61	0	25	15	7	26	12	153
PRE-APPOINTMENT CRIMINAL MISCONDUCT									
Section 184—Good faith, use of position and use of information—Directors', officers' and employees' duties	0	5	1	2	3	1	1	2	15
Section 206A—Disqualified persons not to manage corporations	0	2	0	0	0	0	0	0	2
Sections 286 & 344(2)—Obligation to keep financial records	0	7	0	1	3	0	4	0	15
Section 471A—Powers of other officers suspended during winding up	0	0	0	1	0	0	0	0	1
Section 588G(3)—Insolvent trading	0	6	0	2	9	1	3	1	22
Section 590—Offences by officers or employees	0	3	0	1	0	0	2	0	6
Section 596AB—Agreements to avoid employee entitlements	0	1	0	2	0	0	0	0	3
Other criminal offences under the Corporations Act	0	2	0	0	0	0	1	0	3
Sub-total	0	26	1	9	15	2	11	3	67
POST-APPOINTMENT CRIMINAL MISCONDUCT									
Sections 429, 438B & 475—Report as to company's affairs	0	35	1	8	4	0	13	4	65
Section 530A—Officers to help liquidator	0	32	0	8	3	1	13	5	62
Section 530B—Requirement to provide liquidator with company's books	1	31	1	6	1	0	14	7	61
Sub-total	1	98	2	22	8	1	40	16	188
ALLEGED BREACHES OF CIVIL OBLIGATIONS									
Section 180—Care and diligence—Directors' and officers' duties	10	146	1	52	17	8	51	25	310
Section 181—Good faith—Directors' and officers' duties	5	38	1	29	5	6	18	14	116
Section 182—Use of position—Directors', officer's and employees' duties	1	25	0	13	4	3	18	6	70
Section 183—Use of information—Directors', officers' and employees' duties	0	3	0	5	3	1	4	2	18
Sections 286 & 344(1)—Obligation to keep financial records	9	156	2	39	15	7	75	25	328
Section 588G(1)–(2)—Insolvent trading	26	248	2	74	49	12	110	32	553
Sub-total	51	616	6	212	93	37	276	104	1,395
OTHER CRIMINAL OFFENCES									
Criminal offence under the Corporations Act (if a member or contributory)	0	0	0	0	0	0	0	0	0
Criminal offence under another Commonwealth statute	0	0	1	0	1	0	2	0	4
Criminal offence under a state or territory law	0	0	0	0	0	0	2	0	2
Sub-total	0	0	1	0	1	0	4	0	6
OTHER POSSIBLE MISCONDUCT									
May have misapplied or retained, or may have become liable or accountable for money or property of the company	0	0	0	0	0	0	0	0	0
May have been guilty of negligence, default, breach of duty or breach of trust in relation to the company	0	1	0	0	1	0	0	0	2
Sub-total	0	1	0	0	1	0	0	0	2
Total misconduct reported	52	741	10	243	118	40	331	123	1,658
No misconduct reported	5	37	0	41	3	4	63	4	157

Table 3.2.3.4 - Initial external administrators' reports for Accommodation & food services industry—Assets, liabilities and deficiency by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
ASSET CATEGORIES									
Less than \$1	7	167	1	52	21	6	74	19	347
\$1–\$10,000	5	89	1	37	5	4	62	11	214
\$10,001–\$20,000	4	34	0	11	4	2	19	5	79
\$20,001–\$30,000	0	14	0	10	5	1	15	1	46
\$30,001–\$50,000	9	10	0	5	9	2	13	1	49
\$50,001–\$100,000	2	14	0	9	4	2	11	2	44
\$100,001–\$250,000	2	12	0	5	6	2	8	3	38
\$250,001–\$5 million	3	17	0	12	1	0	12	5	50
Over \$5 million	0	2	0	0	0	1	0	0	3
Total	32	359	2	141	55	20	214	47	870
LIABILITIES CATEGORIES									
\$1–\$250,000	16	168	2	62	18	6	87	15	374
\$250,001–less than \$1 million	11	135	0	52	26	10	92	24	350
\$1 million–less than \$5 million	5	45	0	22	10	3	29	7	121
\$5 million–\$10 million	0	4	0	5	1	0	3	0	13
Over \$10 million	0	7	0	0	0	1	3	1	12
Total	32	359	2	141	55	20	214	47	870
DEFICIENCY CATEGORIES									
\$0–\$50,000	3	45	0	23	2	0	13	5	91
\$50,001–\$250,000	15	137	2	42	18	7	76	11	308
\$250,001–less than \$500,000	6	74	0	37	16	7	41	10	191
\$500,000–less than \$1 million	5	51	0	17	9	2	53	15	152
\$1 million–less than \$5 million	3	42	0	18	9	3	25	5	105
\$5 million–\$10 million	0	4	0	4	1	1	4	0	14
Over \$10 million	0	6	0	0	0	0	2	1	9
Total	32	359	2	141	55	20	214	47	870

**Table 3.2.3.5 - Initial external administrators' reports for Accommodation & food services industry—Unpaid employee entitlements by region
(1 July 2014–30 June 2015)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
UNPAID WAGES									
\$1–\$1,000	4	9	0	9	2	2	9	1	36
\$1,001–\$10,000	2	36	0	19	13	2	21	4	97
\$10,001–\$50,000	2	18	0	3	4	0	10	7	44
\$50,001–\$150,000	0	11	0	3	1	0	3	0	18
\$150,001–\$250,000	0	1	0	0	0	0	1	0	2
\$250,001–\$500,000	0	0	0	0	0	0	0	0	0
\$500,001–less than \$1.5 million	0	0	0	1	0	0	0	0	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	1	0	0	0	0	1
Not Applicable	23	282	2	106	35	16	170	35	669
Total	31	357	2	142	55	20	214	47	868
UNPAID ANNUAL LEAVE									
\$1–\$1,000	0	11	0	5	2	1	2	0	21
\$1,001–\$10,000	5	26	0	25	9	3	33	4	105
\$10,001–\$50,000	2	29	0	16	3	3	18	3	74
\$50,001–\$150,000	0	4	0	0	0	0	0	0	4
\$150,001–\$250,000	0	0	0	1	0	0	1	0	2
\$250,001–\$500,000	0	2	0	1	0	0	0	0	3
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	1	0	0	0	0	0	0	0	1
Not Applicable	23	285	2	94	41	13	160	40	658
Total	31	357	2	142	55	20	214	47	868
UNPAID PAY IN LIEU OF NOTICE									
\$1–\$1,000	0	6	0	5	0	1	0	0	12
\$1,001–\$10,000	3	8	0	13	6	3	11	2	46
\$10,001–\$50,000	1	10	0	3	2	1	13	0	30
\$50,001–\$150,000	0	1	0	0	0	0	1	0	2
\$150,001–\$250,000	0	2	0	0	0	0	0	0	2
\$250,001–\$500,000	0	0	0	0	0	0	0	0	0
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	27	331	2	120	47	15	189	45	776
Total	31	358	2	141	55	20	214	47	868
UNPAID REDUNDANCY									
\$1–\$1,000	0	4	0	4	0	1	1	0	10
\$1,001–\$10,000	1	5	0	1	3	1	4	0	15
\$10,001–\$50,000	0	4	0	1	2	1	7	1	16
\$50,001–\$150,000	0	4	0	1	0	1	1	0	7
\$150,001–\$250,000	0	0	0	0	0	0	1	0	1
\$250,001–\$500,000	0	1	0	0	0	0	0	0	1
\$500,001–less than \$1.5 million	0	1	0	0	0	0	0	0	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	30	339	2	134	50	16	200	46	817
Total	31	358	2	141	55	20	214	47	868
UNPAID LONG SERVICE LEAVE									
\$1–\$1,000	0	4	0	2	0	1	0	0	7
\$1,001–\$10,000	0	5	0	2	1	2	4	0	14
\$10,001–\$50,000	1	7	0	4	5	0	6	0	23
\$50,001–\$150,000	0	7	0	1	0	0	0	0	8
\$150,001–\$250,000	0	0	0	0	0	0	0	0	0
\$250,001–\$500,000	0	0	0	0	0	0	0	0	0
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	1	0	0	0	0	0	0	1
Not Applicable	30	334	2	132	49	17	204	47	815
Total	31	358	2	141	55	20	214	47	868
UNPAID SUPERANNUATION									
\$1–\$100,000	20	131	1	74	39	12	103	28	408
\$100,001–\$250,000	1	18	0	16	3	4	17	0	59
\$250,001–\$1 million	0	7	0	2	1	0	2	0	12
Over \$1 million	0	0	0	0	0	0	1	0	1
Not Applicable	11	203	1	49	12	4	91	19	390
Total	32	359	2	141	55	20	214	47	870

Note: Reports identified as being internally inconsistent were excluded from this table. No reports were excluded from superannuation.

Table 3.2.3.6 - Initial external administrators' reports for Accommodation & food services industry—Amount owed to secured creditors by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	25	259	2	89	34	11	147	28	595
\$1–less than \$500,000	6	71	0	34	13	7	54	13	198
\$500,000–less than \$1 million	1	9	0	2	2	1	4	2	21
\$1 million–less than \$5 million	0	15	0	15	6	1	8	3	48
\$5 million–\$10 million	0	2	0	1	0	0	0	1	4
Over \$10 million	0	3	0	0	0	0	1	0	4
Total	32	359	2	141	55	20	214	47	870

Table 3.2.3.7 - Initial external administrators' reports for Accommodation & food services industry—Unpaid taxes and charges by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	4	45	0	4	0	2	15	3	73
\$1–\$250,000	23	256	2	111	39	15	162	32	640
\$250,001–\$1 million	5	53	0	24	16	3	35	12	148
Over \$1 million	0	5	0	2	0	0	2	0	9
Total	32	359	2	141	55	20	214	47	870

Table 3.2.3.8 - Initial external administrators' reports for Accommodation & food services industry—Unsecured creditors by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
NUMBER OF UNSECURED CREDITORS									
Less than 25	22	294	2	110	33	13	160	33	667
25–50	8	41	0	16	14	3	32	7	121
51–200	1	15	0	12	7	2	14	4	55
More than 200	0	0	0	0	0	0	2	0	2
Unknown	1	9	0	3	1	2	6	3	25
Total	32	359	2	141	55	20	214	47	870
AMOUNT OWED TO UNSECURED CREDITORS									
Less than \$250,000	24	237	2	102	34	10	132	28	569
\$250,000–\$500,000	4	67	0	18	7	6	41	9	152
\$500,001–less than \$1 million	1	30	0	12	10	3	22	6	84
\$1 million–less than \$5 million	3	17	0	6	4	0	17	3	50
\$5 million–\$10 million	0	3	0	3	0	0	0	1	7
Over \$10 million	0	5	0	0	0	1	2	0	8
Total	32	359	2	141	55	20	214	47	870
MORE THAN 50% OF DEBT OWED TO RELATED PARTIES									
Number	2	89	0	20	12	3	51	10	187
ESTIMATED 'CENTS' IN THE \$ DIVIDEND TO UNSECURED CREDITORS									
\$0	27	343	2	137	55	16	206	43	829
Greater than 0 but less than 11 cents	2	10	0	1	0	2	6	2	23
11–20c	1	1	0	0	0	0	0	0	2
21–50c	1	3	0	0	0	2	0	1	7
51–100c	1	2	0	3	0	0	2	1	9
Total	32	359	2	141	55	20	214	47	870

Table 3.2.3.9 - Initial external administrators' reports for Accommodation & food services industry—External administrator's remuneration by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
VOLUNTARY ADMINISTRATOR FEES									
\$0	9	25	0	16	7	1	15	3	76
\$1–\$50,000	1	31	0	8	5	3	14	4	66
\$50,001–\$100,000	0	7	0	2	1	0	1	0	11
\$100,001–\$250,000	1	4	0	2	0	0	3	3	13
Over \$250,000	0	2	0	0	0	1	2	0	5
Not applicable	21	290	2	113	42	15	179	37	699
Total	32	359	2	141	55	20	214	47	870
DEED OF COMPANY ARRANGEMENT FEES									
\$0	9	26	0	15	7	1	12	3	73
\$1–\$50,000	1	1	0	1	0	2	1	0	6
\$50,001–\$100,000	0	2	0	0	0	0	0	0	2
\$100,001–\$250,000	0	1	0	0	0	0	0	0	1
Over \$250,000	0	3	0	0	0	0	1	0	4
Not applicable	22	326	2	125	48	17	200	44	784
Total	32	359	2	141	55	20	214	47	870
LIQUIDATOR FEES									
\$0	1	76	1	26	7	4	61	12	188
\$1–\$50,000	27	250	1	98	44	13	139	26	598
\$50,001–\$100,000	2	10	0	3	3	0	7	6	31
\$100,001–\$250,000	0	1	0	5	1	0	1	1	9
Over \$250,000	0	0	0	0	0	1	1	0	2
Not applicable	2	22	0	9	0	2	5	2	42
Total	32	359	2	141	55	20	214	47	870
RECEIVER/CONTROLLER FEES									
\$0	9	24	0	15	7	1	12	3	71
\$1–\$50,000	0	0	0	0	0	0	0	0	0
\$50,001–\$100,000	0	0	0	0	0	0	0	0	0
\$100,001–\$250,000	0	0	0	1	0	0	0	0	1
Over \$250,000	0	1	0	1	0	0	1	0	3
Not applicable	23	334	2	124	48	19	201	44	795
Total	32	359	2	141	55	20	214	47	870



ASIC

Australian Securities & Investments Commission

Australian insolvency statistics

Released: October 2015

Table 3.2.4.1 - Initial external administrators' reports for Retail trade industry—Size of company as measured by number of FTEs by region (1 July 2014–30 June 2015)

Full-time equivalent employees	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
Less than 5 FTE	9	174	2	93	34	3	137	31	483
Between 5 and 19 FTE	2	54	0	25	7	1	38	9	136
Between 20 and 199 FTE	0	10	0	11	1	0	7	5	34
200 or more FTE	0	2	0	0	0	0	1	0	3
Not known	0	37	0	19	1	0	23	3	83
Total	11	277	2	148	43	4	206	48	739

Table 3.2.4.2 - Initial external administrators' reports for Retail trade industry—Nominated causes of failure by region (1 July 2014–30 June 2015)

Causes of company failure	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Nominated causes of failure
Under capitalisation	2	61	0	23	11	0	45	14	156
Poor financial control including lack of records	3	94	1	35	11	0	51	11	206
Poor management of accounts receivable	2	18	1	21	3	0	11	4	60
Poor strategic management of business	0	127	1	64	17	1	77	24	311
Inadequate cash flow or high cash use	5	108	2	54	20	1	109	33	332
Poor economic conditions	7	75	0	57	15	2	59	17	232
Natural disaster	0	1	0	4	0	0	1	0	6
Fraud	0	5	0	1	0	0	1	1	8
DOCA failed	0	0	0	4	0	0	1	0	5
Dispute among directors	1	6	0	4	1	0	6	1	19
Trading losses	8	102	2	68	23	4	78	31	316
Industry restructuring	0	8	0	5	0	0	9	0	22
Other	2	73	1	22	13	1	50	14	176
Total	30	678	8	362	114	9	498	150	1,849

Table 3.2.4.3 - Initial external administrators' reports for Retail trade industry—Possible misconduct by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Total
Number of reports where external administrator has documentary evidence and recommended the case warranted inquiry by ASIC	2	51	0	19	9	0	30	10	121
PRE-APPOINTMENT CRIMINAL MISCONDUCT									
Section 184—Good faith, use of position and use of information—Directors', officers' and employees' duties	0	8	0	4	0	0	4	1	17
Section 206A—Disqualified persons not to manage corporations	0	1	0	0	0	0	1	0	2
Sections 286 & 344(2)—Obligation to keep financial records	0	8	0	5	1	0	3	0	17
Section 471A—Powers of other officers suspended during winding up	0	2	0	0	0	0	0	0	2
Section 588G(3)—Insolvent trading	0	4	0	2	2	1	3	0	12
Section 590—Offences by officers or employees	0	1	0	3	0	0	1	0	5
Section 596AB—Agreements to avoid employee entitlements	0	1	0	1	0	0	0	0	2
Other criminal offences under the Corporations Act	0	1	0	0	1	0	1	0	3
Sub-total	0	26	0	15	4	1	13	1	60
POST-APPOINTMENT CRIMINAL MISCONDUCT									
Sections 429, 438B & 475—Report as to company's affairs	0	28	0	11	5	0	13	2	59
Section 530A—Officers to help liquidator	0	32	0	9	3	0	15	2	61
Section 530B—Requirement to provide liquidator with company's books	0	26	0	8	4	0	11	1	50
Sub-total	0	86	0	28	12	0	39	5	170
ALLEGED BREACHES OF CIVIL OBLIGATIONS									
Section 180—Care and diligence—Directors' and officers' duties	4	102	1	39	12	2	34	22	216
Section 181—Good faith—Directors' and officers' duties	1	53	1	24	5	3	21	11	119
Section 182—Use of position—Directors', officer's and employees' duties	1	34	1	12	2	0	17	7	74
Section 183—Use of information—Directors', officers' and employees' duties	0	11	0	4	0	0	6	2	23
Sections 286 & 344(1)—Obligation to keep financial records	2	132	1	28	14	1	66	11	255
Section 588G(1)–(2)—Insolvent trading	7	171	2	73	35	2	113	39	442
Sub-total	15	503	6	180	68	8	257	92	1,129
OTHER CRIMINAL OFFENCES									
Criminal offence under the Corporations Act (if a member or contributory)	0	1	0	0	0	0	1	0	2
Criminal offence under another Commonwealth statute	0	1	0	0	0	0	3	0	4
Criminal offence under a state or territory law	0	1	0	0	0	0	1	0	2
Sub-total	0	3	0	0	0	0	5	0	8
OTHER POSSIBLE MISCONDUCT									
May have misapplied or retained, or may have become liable or accountable for money or property of the company	0	4	0	0	0	0	1	0	5
May have been guilty of negligence, default, breach of duty or breach of trust in relation to the company	0	4	0	1	0	0	1	0	6
Sub-total	0	8	0	1	0	0	2	0	11
Total misconduct reported	15	626	6	224	84	9	316	98	1,378
No misconduct reported	3	43	0	50	3	1	65	5	170

**Table 3.2.4.4 - Initial external administrators' reports for Retail trade industry—Assets, liabilities and deficiency by region
(1 July 2014–30 June 2015)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
ASSET CATEGORIES									
Less than \$1	0	114	0	45	10	1	66	13	249
\$1–\$10,000	4	58	0	33	3	0	51	9	158
\$10,001–\$20,000	1	30	0	17	7	0	25	3	83
\$20,001–\$30,000	2	8	0	6	3	0	21	3	43
\$30,001–\$50,000	0	15	0	15	8	1	10	3	52
\$50,001–\$100,000	1	20	1	9	7	1	11	6	56
\$100,001–\$250,000	2	19	0	9	3	0	11	5	49
\$250,001–\$5 million	1	13	1	14	2	1	11	5	48
Over \$5 million	0	0	0	0	0	0	0	1	1
Total	11	277	2	148	43	4	206	48	739
LIABILITIES CATEGORIES									
\$1–\$250,000	3	105	1	50	13	1	75	11	259
\$250,001–less than \$1 million	7	117	1	62	24	1	87	16	315
\$1 million–less than \$5 million	1	40	0	29	6	2	40	13	131
\$5 million–\$10 million	0	9	0	5	0	0	3	1	18
Over \$10 million	0	6	0	2	0	0	1	7	16
Total	11	277	2	148	43	4	206	48	739
DEFICIENCY CATEGORIES									
\$0–\$50,000	2	24	0	8	1	0	11	3	49
\$50,001–\$250,000	1	91	1	48	16	1	72	9	239
\$250,001–less than \$500,000	3	58	1	38	13	1	42	10	166
\$500,000–less than \$1 million	4	51	0	20	8	1	39	7	130
\$1 million–less than \$5 million	1	40	0	28	5	1	38	12	125
\$5 million–\$10 million	0	7	0	4	0	0	3	0	14
Over \$10 million	0	6	0	2	0	0	1	7	16
Total	11	277	2	148	43	4	206	48	739

**Table 3.2.4.5 - Initial external administrators' reports for Retail trade industry—Unpaid employee entitlements by region
(1 July 2014–30 June 2015)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
UNPAID WAGES									
\$1–\$1,000	0	8	1	5	6	0	7	2	29
\$1,001–\$10,000	3	23	0	17	9	1	27	8	88
\$10,001–\$50,000	0	17	0	3	2	0	9	1	32
\$50,001–\$150,000	0	5	0	2	2	0	2	0	11
\$150,001–\$250,000	0	0	0	0	0	0	1	1	2
\$250,001–\$500,000	0	0	0	0	0	0	0	1	1
\$500,001–less than \$1.5 million	0	1	0	0	0	0	1	0	2
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	8	223	1	121	23	3	158	35	572
Total	11	277	2	148	42	4	205	48	737
UNPAID ANNUAL LEAVE									
\$1–\$1,000	2	8	0	6	1	0	2	0	19
\$1,001–\$10,000	5	33	1	16	6	2	31	8	102
\$10,001–\$50,000	0	1	0	0	0	0	0	0	1
\$50,001–\$150,000	1	25	0	19	10	0	25	9	89
\$150,001–\$250,000	0	2	0	5	1	0	10	0	18
\$250,001–\$500,000	0	4	0	2	0	0	0	1	7
\$500,001–less than \$1.5 million	0	1	0	0	0	0	0	0	1
\$1.5 million–\$5 million	0	1	0	0	0	0	0	0	1
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	3	202	1	100	24	2	137	30	499
Total	11	277	2	148	42	4	205	48	737
UNPAID PAY IN LIEU OF NOTICE									
\$1–\$1,000	0	5	1	7	0	0	1	0	14
\$1,001–\$10,000	5	16	0	16	13	2	15	7	74
\$10,001–\$50,000	0	1	0	0	0	0	0	0	1
\$50,001–\$150,000	1	18	0	5	2	0	12	2	40
\$150,001–\$250,000	0	3	0	3	0	0	4	1	11
\$250,001–\$500,000	0	2	0	1	0	0	0	0	3
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	5	231	1	116	28	2	174	37	594
Total	11	276	2	148	43	4	206	47	737
UNPAID REDUNDANCY									
\$1–\$1,000	0	6	1	5	0	0	1	0	13
\$1,001–\$10,000	2	5	0	5	4	0	4	0	20
\$10,001–\$50,000	0	12	0	1	6	0	3	1	23
\$50,001–\$150,000	0	4	0	4	1	0	4	0	13
\$150,001–\$250,000	0	2	0	2	0	0	1	1	6
\$250,001–\$500,000	0	1	0	2	0	0	3	1	7
\$500,001–less than \$1.5 million	0	1	0	0	0	0	0	0	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	1	0	0	0	0	0	0	1
Not Applicable	9	245	1	129	31	4	189	45	653
Total	11	277	2	148	42	4	205	48	737
UNPAID LONG SERVICE LEAVE									
\$1–\$1,000	0	6	1	5	0	0	1	0	13
\$1,001–\$10,000	2	5	0	5	4	0	4	0	20
\$10,001–\$50,000	0	12	0	1	6	0	3	1	23
\$50,001–\$150,000	0	4	0	4	1	0	4	0	13
\$150,001–\$250,000	0	2	0	2	0	0	1	1	6
\$250,001–\$500,000	0	1	0	2	0	0	3	1	7
\$500,001–less than \$1.5 million	0	1	0	0	0	0	0	0	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	1	0	0	0	0	1	0	2
Not Applicable	9	245	1	129	31	4	188	45	652
Total	11	277	2	148	42	4	205	48	737
UNPAID SUPERANNUATION									
\$1–\$100,000	9	115	1	82	29	2	88	25	351
\$100,001–\$250,000	0	9	0	5	2	0	7	3	26
\$250,001–\$1 million	0	1	0	0	1	0	1	1	4
Over \$1 million	0	2	0	1	0	0	0	1	4
Not Applicable	2	150	1	60	11	2	110	18	354
Total	11	277	2	148	43	4	206	48	739

Note: Reports identified as being internally inconsistent were excluded from this table. No reports were excluded from superannuation.

Table 3.2.4.6 - Initial external administrators' reports for Retail trade industry—Amount owed to secured creditors by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	6	181	1	80	21	1	133	18	441
\$1–less than \$500,000	3	69	1	51	20	2	45	13	204
\$500,000–less than \$1 million	2	6	0	5	1	0	13	6	33
\$1 million–less than \$5 million	0	16	0	11	1	1	13	4	46
\$5 million–\$10 million	0	2	0	1	0	0	1	0	4
Over \$10 million	0	3	0	0	0	0	1	7	11
Total	11	277	2	148	43	4	206	48	739

Table 3.2.3.7 - Initial external administrators' reports for Retail trade industry—Unpaid taxes and charges by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	2	40	0	18	5	1	39	4	109
\$1–\$250,000	7	200	1	113	37	3	150	36	547
\$250,001–\$1 million	2	33	1	15	1	0	17	8	77
Over \$1 million	0	4	0	2	0	0	0	0	6
Total	11	277	2	148	43	4	206	48	739

Table 3.2.4.8 - Initial external administrators' reports for Retail trade industry—Unsecured creditors by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
NUMBER OF UNSECURED CREDITORS									
Less than 25	5	206	1	98	27	3	142	28	510
25–50	4	38	0	22	9	0	36	11	120
51–200	1	25	1	20	7	1	23	8	86
More than 200	1	4	0	5	0	0	2	1	13
Unknown	0	4	0	3	0	0	3	0	10
Total	11	277	2	148	43	4	206	48	739
AMOUNT OWED TO UNSECURED CREDITORS									
Less than \$250,000	6	164	1	84	25	1	109	19	409
\$250,000–\$500,000	2	47	0	24	10	2	35	10	130
\$500,001–less than \$1 million	3	30	1	17	4	0	42	7	104
\$1 million–less than \$5 million	0	31	0	18	4	1	19	5	78
\$5 million–\$10 million	0	3	0	3	0	0	0	1	7
Over \$10 million	0	2	0	2	0	0	1	6	11
Total	11	277	2	148	43	4	206	48	739
MORE THAN 50% OF DEBT OWED TO RELATED PARTIES									
Number	5	69	1	30	7	1	71	11	195
ESTIMATED 'CENTS' IN THE \$ DIVIDEND TO UNSECURED CREDITORS									
\$0	11	258	0	140	42	4	196	44	695
Greater than 0 but less than 11 cents	0	14	0	7	0	0	6	3	30
11–20c	0	3	2	1	0	0	2	0	8
21–50c	0	1	0	0	1	0	1	0	3
51–100c	0	1	0	0	0	0	1	1	3
Total	11	277	2	148	43	4	206	48	739

**Table 3.2.4.9 - Initial external administrators' reports for Retail trade industry—External administrator's remuneration by region
(1 July 2014–30 June 2015)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
VOLUNTARY ADMINISTRATOR FEES									
\$0	4	30	1	11	7	2	20	2	77
\$1–\$50,000	0	14	0	14	1	0	10	8	47
\$50,001–\$100,000	0	8	1	5	1	0	4	5	24
\$100,001–\$250,000	0	5	0	3	0	0	5	0	13
Over \$250,000	0	2	0	2	0	0	1	2	7
Not applicable	7	218	0	113	34	2	166	31	571
Total	11	277	2	148	43	4	206	48	739
DEED OF COMPANY ARRANGEMENT FEES									
\$0	4	30	1	11	7	2	21	2	78
\$1–\$50,000	0	1	1	2	1	0	2	0	7
\$50,001–\$100,000	0	1	0	1	0	0	0	1	3
\$100,001–\$250,000	0	0	0	1	0	0	0	0	1
Over \$250,000	0	0	0	0	0	0	0	0	0
Not applicable	7	245	0	133	35	2	183	45	650
Total	11	277	2	148	43	4	206	48	739
LIQUIDATOR FEES									
\$0	0	62	0	30	5	0	50	9	156
\$1–\$50,000	10	190	1	100	33	4	140	28	506
\$50,001–\$100,000	1	9	0	9	2	0	11	5	37
\$100,001–\$250,000	0	3	0	6	2	0	4	1	16
Over \$250,000	0	2	0	1	0	0	1	1	5
Not applicable	0	11	1	2	1	0	0	4	19
Total	11	277	2	148	43	4	206	48	739
RECEIVER/CONTROLLER FEES									
\$0	4	32	1	11	7	2	20	3	80
\$1–\$50,000	0	0	0	1	0	0	0	0	1
\$50,001–\$100,000	0	1	0	0	0	0	0	0	1
\$100,001–\$250,000	0	0	0	0	0	0	0	0	0
Over \$250,000	0	0	0	1	0	0	0	0	1
Not applicable	7	244	1	135	36	2	186	45	656
Total	11	277	2	148	43	4	206	48	739



Australian insolvency statistics

Released: October 2015

Table 3.2.5.1 - Initial external administrators' reports for Transport, postal & warehousing industry—Size of company as measured by number of FTEs by region (1 July 2014–30 June 2015)

Full-time equivalent employees	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
Less than 5 FTE	2	115	1	55	22	1	63	16	275
Between 5 and 19 FTE	1	21	0	19	6	1	17	6	71
Between 20 and 199 FTE	0	12	0	9	0	0	7	3	31
200 or more FTE	0	0	0	0	0	0	1	0	1
Not known	0	46	1	12	5	0	15	1	80
Total	3	194	2	95	33	2	103	26	458

Table 3.2.5.2 - Initial external administrators' reports for Transport, postal & warehousing industry—Nominated causes of failure by region (1 July 2014–30 June 2015)

Causes of company failure	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Nominated causes of failure
Under capitalisation	0	36	0	16	12	0	10	11	85
Poor financial control including lack of records	1	84	0	25	9	0	30	10	159
Poor management of accounts receivable	2	27	1	9	6	0	11	6	62
Poor strategic management of business	2	93	1	45	17	0	42	13	213
Inadequate cash flow or high cash use	1	84	1	46	14	0	51	16	213
Poor economic conditions	1	20	0	26	6	0	13	9	75
Natural disaster	0	1	0	2	0	0	0	1	4
Fraud	0	3	0	1	0	0	1	0	5
DOCA failed	0	0	0	0	0	0	1	1	2
Dispute among directors	0	6	0	2	1	0	5	1	15
Trading losses	0	67	1	26	9	0	32	12	147
Industry restructuring	0	0	0	3	0	0	2	2	7
Other	1	59	1	21	10	2	38	6	138
Total	8	480	5	222	84	2	236	88	1,125

**Table 3.2.5.3 - Initial external administrators' reports for Transport, postal & warehousing industry—Possible misconduct by region
(1 July 2014–30 June 2015)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Total
Number of reports where external administrator has documentary evidence and recommended the case warranted inquiry by ASIC	1	51	0	13	7	0	29	8	109
PRE-APPOINTMENT CRIMINAL MISCONDUCT									
Section 184—Good faith, use of position and use of information—Directors', officers' and employees' duties	0	1	0	1	1	0	4	1	8
Section 206A—Disqualified persons not to manage corporations	0	1	0	0	1	0	0	0	2
Sections 286 & 344(2)—Obligation to keep financial records	0	11	0	4	1	0	4	0	20
Section 471A—Powers of other officers suspended during winding up	0	0	0	1	1	0	4	0	6
Section 588G(3)—Insolvent trading	0	5	0	2	3	0	1	1	12
Section 590—Offences by officers or employees	0	3	0	0	0	0	3	1	7
Section 596AB—Agreements to avoid employee entitlements	0	0	0	0	0	0	1	0	1
Other criminal offences under the Corporations Act	0	0	0	1	0	0	1	0	2
Sub-total	0	21	0	9	7	0	18	3	58
POST-APPOINTMENT CRIMINAL MISCONDUCT									
Sections 429, 438B & 475—Report as to company's affairs	0	38	1	10	5	0	4	2	60
Section 530A—Officers to help liquidator	0	37	1	9	6	0	8	2	63
Section 530B—Requirement to provide liquidator with company's books	0	31	1	7	7	0	10	1	57
Sub-total	0	106	3	26	18	0	22	5	180
ALLEGED BREACHES OF CIVIL OBLIGATIONS									
Section 180—Care and diligence—Directors' and officers' duties	2	75	0	27	7	0	31	10	152
Section 181—Good faith—Directors' and officers' duties	1	34	0	11	1	0	20	4	71
Section 182—Use of position—Directors', officer's and employees' duties	0	15	0	10	3	0	16	3	47
Section 183—Use of information—Directors', officers' and employees' duties	0	7	0	4	2	0	7	1	21
Sections 286 & 344(1)—Obligation to keep financial records	1	115	0	24	10	0	46	11	207
Section 588G(1)–(2)—Insolvent trading	2	134	0	45	21	2	69	21	294
Sub-total	6	380	0	121	44	2	189	50	792
OTHER CRIMINAL OFFENCES									
Criminal offence under the Corporations Act (if a member or contributory)	0	0	0	0	0	0	0	0	0
Criminal offence under another Commonwealth statute	0	0	0	1	0	0	0	1	2
Criminal offence under a state or territory law	0	0	0	1	0	0	1	0	2
Sub-total	0	0	0	2	0	0	1	1	4
OTHER POSSIBLE MISCONDUCT									
May have misapplied or retained, or may have become liable or accountable for money or property of the company	0	0	0	1	0	0	3	0	4
May have been guilty of negligence, default, breach of duty or breach of trust in relation to the company	0	1	0	1	0	0	1	0	3
Sub-total	0	1	0	2	0	0	4	0	7
Total misconduct reported	6	508	3	160	69	2	234	59	1,041
No misconduct reported	1	14	1	33	5	0	16	4	74

Table 3.2.5.4 - Initial external administrators' reports for Transport, postal & warehousing industry—Assets, liabilities and deficiency by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
ASSET CATEGORIES									
Less than \$1	1	82	2	34	15	0	41	11	186
\$1–\$10,000	0	42	0	21	4	0	21	3	91
\$10,001–\$20,000	0	20	0	7	1	0	6	1	35
\$20,001–\$30,000	0	4	0	7	2	0	5	2	20
\$30,001–\$50,000	0	8	0	6	2	0	7	0	23
\$50,001–\$100,000	1	8	0	6	3	0	9	0	27
\$100,001–\$250,000	0	9	0	6	3	0	3	2	23
\$250,001–\$5 million	1	20	0	8	3	2	10	7	51
Over \$5 million	0	1	0	0	0	0	1	0	2
Total	3	194	2	95	33	2	103	26	458
LIABILITIES CATEGORIES									
\$1–\$250,000	2	98	1	43	16	0	32	12	204
\$250,001–less than \$1 million	0	52	1	32	11	1	53	6	156
\$1 million–less than \$5 million	1	36	0	16	6	1	14	5	79
\$5 million–\$10 million	0	4	0	3	0	0	1	3	11
Over \$10 million	0	4	0	1	0	0	3	0	8
Total	3	194	2	95	33	2	103	26	458
DEFICIENCY CATEGORIES									
\$0–\$50,000	0	34	1	5	3	0	9	1	53
\$50,001–\$250,000	2	66	0	41	15	1	26	11	162
\$250,001–less than \$500,000	0	35	1	17	9	0	31	5	98
\$500,000–less than \$1 million	1	22	0	16	1	0	19	1	60
\$1 million–less than \$5 million	0	32	0	13	5	1	16	7	74
\$5 million–\$10 million	0	2	0	2	0	0	0	1	5
Over \$10 million	0	3	0	1	0	0	2	0	6
Total	3	194	2	95	33	2	103	26	458

**Table 3.2.5.5 - Initial external administrators' reports for Transport, postal & warehousing industry—Unpaid employee entitlements by region
(1 July 2014–30 June 2015)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
UNPAID WAGES									
\$1–\$1,000	2	9	0	9	2	1	4	2	29
\$1,001–\$10,000	0	16	1	6	6	0	7	1	37
\$10,001–\$50,000	0	0	0	1	0	0	0	0	1
\$50,001–\$150,000	0	10	0	7	0	0	8	2	27
\$150,001–\$250,000	0	0	0	2	1	0	2	1	6
\$250,001–\$500,000	0	1	0	0	0	0	0	0	1
\$500,001–less than \$1.5 million	0	1	0	0	0	0	0	0	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	1	157	1	70	24	1	81	19	354
Total	3	194	2	95	33	2	102	25	456
UNPAID ANNUAL LEAVE									
\$1–\$1,000	2	7	0	7	1	0	5	0	22
\$1,001–\$10,000	0	15	1	5	5	1	11	1	39
\$10,001–\$50,000	0	11	0	7	1	1	10	0	30
\$50,001–\$150,000	0	6	0	3	0	0	2	5	16
\$150,001–\$250,000	0	1	0	0	0	0	0	1	2
\$250,001–\$500,000	0	1	0	2	0	0	0	0	3
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	1	153	1	71	26	0	74	18	344
Total	3	194	2	95	33	2	102	25	456
UNPAID PAY IN LIEU OF NOTICE									
\$1–\$1,000	0	5	0	5	1	0	3	0	14
\$1,001–\$10,000	0	7	0	3	5	2	5	0	22
\$10,001–\$50,000	0	10	0	6	0	0	6	1	23
\$50,001–\$150,000	0	2	0	1	0	0	4	3	10
\$150,001–\$250,000	0	1	0	0	0	0	0	0	1
\$250,001–\$500,000	0	0	0	1	0	0	0	1	2
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	3	169	2	79	27	0	84	20	384
Total	3	194	2	95	33	2	102	25	456
UNPAID REDUNDANCY									
\$1–\$1,000	0	4	0	5	1	0	2	0	12
\$1,001–\$10,000	0	3	0	1	1	0	1	0	6
\$10,001–\$50,000	0	5	0	4	0	1	4	0	14
\$50,001–\$150,000	0	3	0	2	0	0	3	0	8
\$150,001–\$250,000	0	0	0	0	0	0	1	4	5
\$250,001–\$500,000	0	1	0	2	0	0	0	0	3
\$500,001–less than \$1.5 million	0	1	0	0	0	0	0	0	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	3	177	2	81	31	1	91	21	407
Total	3	194	2	95	33	2	102	25	456
UNPAID LONG SERVICE LEAVE									
\$1–\$1,000	0	5	0	5	1	0	1	0	12
\$1,001–\$10,000	0	4	0	0	1	0	1	0	6
\$10,001–\$50,000	0	8	0	5	0	1	3	1	18
\$50,001–\$150,000	0	4	0	1	0	0	0	0	5
\$150,001–\$250,000	0	0	0	0	0	0	0	0	0
\$250,001–\$500,000	0	0	0	0	0	0	0	0	0
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	3	173	2	84	31	1	97	24	415
Total	3	194	2	95	33	2	102	25	456
UNPAID SUPERANNUATION									
\$1–\$100,000	3	63	1	36	15	2	32	14	166
\$100,001–\$250,000	0	12	0	4	3	0	5	1	25
\$250,001–\$1 million	0	6	0	6	0	0	1	2	15
Over \$1 million	0	0	0	1	0	0	0	0	1
Not Applicable	0	113	1	48	15	0	65	9	251
Total	3	194	2	95	33	2	103	26	458

Note: Reports identified as being internally inconsistent were excluded from this table. No reports were excluded from superannuation.

Table 3.2.5.6 - Initial external administrators' reports for Transport, postal & warehousing industry—Amount owed to secured creditors by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	2	130	2	56	19	0	61	14	284
\$1–less than \$500,000	0	41	0	27	11	2	30	8	119
\$500,000–less than \$1 million	0	4	0	4	1	0	4	0	13
\$1 million–less than \$5 million	1	18	0	6	2	0	6	4	37
\$5 million–\$10 million	0	0	0	2	0	0	0	0	2
Over \$10 million	0	1	0	0	0	0	2	0	3
Total	3	194	2	95	33	2	103	26	458

Table 3.2.5.7 - Initial external administrators' reports for Transport, postal & warehousing industry—Unpaid taxes and charges by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	0	23	1	11	4	0	16	2	57
\$1–\$250,000	3	139	1	62	21	2	59	17	304
\$250,001–\$1 million	0	22	0	17	7	0	25	6	77
Over \$1 million	0	10	0	5	1	0	3	1	20
Total	3	194	2	95	33	2	103	26	458

Table 3.2.5.8 - Initial external administrators' reports for Transport, postal & warehousing industry—Unsecured creditors by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
NUMBER OF UNSECURED CREDITORS									
Less than 25	3	160	2	72	28	1	84	16	366
25–50	0	11	0	10	3	0	7	3	34
51–200	0	18	0	9	1	1	10	7	46
More than 200	0	1	0	1	0	0	0	0	2
Unknown	0	4	0	3	1	0	2	0	10
Total	3	194	2	95	33	2	103	26	458
AMOUNT OWED TO UNSECURED CREDITORS									
Less than \$250,000	3	134	2	63	26	1	59	13	301
\$250,000–\$500,000	0	22	0	12	4	0	22	2	62
\$500,001–less than \$1 million	0	17	0	10	2	0	12	5	46
\$1 million–less than \$5 million	0	20	0	9	1	1	9	6	46
\$5 million–\$10 million	0	1	0	1	0	0	0	0	2
Over \$10 million	0	0	0	0	0	0	1	0	1
Total	3	194	2	95	33	2	103	26	458
MORE THAN 50% OF DEBT OWED TO RELATED PARTIES									
Number	0	32	1	8	2	1	12	7	63
ESTIMATED 'CENTS' IN THE \$ DIVIDEND TO UNSECURED CREDITORS									
\$0	2	182	2	88	30	1	98	24	427
Greater than 0 but less than 11 cents	1	8	0	2	3	0	4	1	19
11–20c	0	2	0	3	0	0	0	0	5
21–50c	0	2	0	2	0	0	0	0	4
51–100c	0	0	0	0	0	1	1	1	3
Total	3	194	2	95	33	2	103	26	458

Table 3.2.5.9 - Initial external administrators' reports for Transport, postal & warehousing industry—External administrator's remuneration by region (1 July 2014–30 June 2015)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
VOLUNTARY ADMINISTRATOR FEES									
\$0	1	12	0	12	3	0	7	0	35
\$1–\$50,000	0	13	0	5	1	0	8	0	27
\$50,001–\$100,000	0	3	0	3	1	0	2	5	14
\$100,001–\$250,000	0	7	0	1	0	0	1	3	12
Over \$250,000	0	0	0	0	0	0	1	0	1
Not applicable	2	159	2	74	28	2	84	18	369
Total	3	194	2	95	33	2	103	26	458
DEED OF COMPANY ARRANGEMENT FEES									
\$0	1	11	0	12	3	0	7	0	34
\$1–\$50,000	0	2	0	1	0	0	3	0	6
\$50,001–\$100,000	0	1	0	0	0	0	0	0	1
\$100,001–\$250,000	0	0	0	0	0	0	0	0	0
Over \$250,000	0	1	0	0	0	0	0	1	2
Not applicable	2	179	2	82	30	2	93	25	415
Total	3	194	2	95	33	2	103	26	458
LIQUIDATOR FEES									
\$0	0	58	2	28	12	0	24	4	128
\$1–\$50,000	3	108	0	55	17	1	62	16	262
\$50,001–\$100,000	0	12	0	6	3	0	10	3	34
\$100,001–\$250,000	0	4	0	3	1	1	4	0	13
Over \$250,000	0	0	0	0	0	0	1	0	1
Not applicable	0	12	0	3	0	0	2	3	20
Total	3	194	2	95	33	2	103	26	458
RECEIVER/CONTROLLER FEES									
\$0	1	11	0	11	3	0	7	0	33
\$1–\$50,000	0	1	0	0	0	0	2	0	3
\$50,001–\$100,000	0	1	0	0	0	0	0	0	1
\$100,001–\$250,000	0	1	0	0	0	0	0	0	1
Over \$250,000	0	0	0	0	0	0	1	0	1
Not applicable	2	180	2	84	30	2	93	26	419
Total	3	194	2	95	33	2	103	26	458