



ASIC

Australian Securities &
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Contents

Notices under Corporations Act 2001

15-0910	15-0985	15-0986	15-0990	15-0991
15-0996	15-0997			

Company/scheme deregistrations

Change of company type

RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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[15/0910]

Australian Derivative Trade Repository Licence (Chicago Mercantile Exchange Inc) 2015

1. Name of Licence

This Licence is the *Australian Derivative Trade Repository Licence (Chicago Mercantile Exchange Inc.) 2015*.

2. Commencement

This Licence commences when it is granted.

3. Authority

This Licence is granted under section 905C of the Act.

4. Definitions

In this Licence:

Act means the *Corporations Act 2001*.

APRA means the Australian Prudential Regulation Authority.

ASIC means the Australian Securities and Investments Commission.

Australian Court has the meaning given by section 9 of the Act.

Australian Regulator means each of APRA, ASIC and RBA.

Business Day has the meaning given by section 9 of the Act.

Derivative has the meaning given by section 761D of the Act.

Derivative Trade Data has the meaning given by section 761A of the Act.

Derivative Trade Repository has the meaning given by section 761A of the Act.

CME means Chicago Mercantile Exchange Inc (ARBN 103 432 391).

CFTC means the Commodity Futures Trading Commission or any successor organisation in the United States responsible for the supervision of the Derivative Trade Repository operated by CME.

Participant has the meaning given by Rule 1.2.3 of the *ASIC Derivative Trade Repository Rules 2013*.

RBA means the Reserve Bank of Australia.

Regulations means the *Corporations Regulations 2001*.

Swap Data Repository Licence means the licence granted to CME by the CFTC pursuant to 17 C.F.R. Part 49 to operate a swap data repository, whether provisionally registered or registered, in the United States.

1

[15/0910]

5. Facility

CME is authorised under this Licence to operate a Derivative Trade Repository in this jurisdiction.

6. Classes of Derivatives

(1) The classes of Derivatives in respect of which CME may provide services for the purposes of Part 7.5A of the Act are:

- (a) commodity derivatives;
- (b) credit derivatives;
- (c) foreign exchange derivatives; and
- (d) interest rate derivatives.

7. Requirement to notify ASIC of matters

(1) It is a condition of this Licence that CME notify ASIC in writing:

- (a) as soon as practicable and in any event within 2 Business Days of any of the following:
 - (i) any application by CME to the CFTC to cancel CME's Swap Data Repository Licence in the United States;
 - (ii) becoming aware that the CFTC has revoked CME's Swap Data Repository Licence in the United States, regardless of whether CME intends to appeal the decision;
 - (iii) becoming aware that the CFTC intends to, or is taking action to, revoke CME's Swap Data Repository Licence in the United States;
 - (iv) becoming aware that the CFTC has exercised its emergency powers in relation to CME;
 - (v) becoming aware that the CFTC intends to, is taking action to, or has given written notice to, vary the conditions and restrictions on CME's Swap Data Repository Licence in the United States, or impose further conditions and restrictions on CME's Swap Data Repository Licence in the United States;
 - (vi) receiving a direction from the CFTC requiring the removal of an officer of CME;
- (b) as soon as practicable and in any event within 5 Business Days of the appointment of a person as CME's chairman, chief executive officer, director or to serve in any key management position or committee of CME affecting CME's operation of the Derivative Trade Repository.

Note: CME may also have an obligation to notify ASIC of these matters, and other matters, under section 904C of the Act.

2

[15/0910]

8. Governing Law

- (1) It is a condition of this Licence that:
- (a) CME submits to the jurisdiction of Australian Courts in any action brought by ASIC in relation to CME's Derivative Trade Repository in this jurisdiction or to the operation of CME's Derivative Trade Repository in this jurisdiction; and
 - (b) CME must comply with any order of an Australian Court in relation to any matter relating to CME's Derivative Trade Repository in this jurisdiction or to the operation of CME's Derivative Trade Repository in this jurisdiction.

9. Access, validation and notification requirements for Derivative Trade Data and information that is created or derived from Derivative Trade Data

- (1) It is a condition of this Licence that:
- (a) CME notify the Australian Regulators, in writing, as soon as CME becomes aware that Derivative Trade Data or information (including statistical data) that is created or derived from Derivative Trade Data, that has been provided to the Australian Regulators:
 - (i) is affected by material data quality issues due to technical faults; or
 - (ii) is materially incomplete or materially inaccurate;
 - (b) CME perform data validation checks when requested by an Australian Regulator, to determine whether Derivative Trade Data accepted by CME from Participants:
 - (i) is in the correct format, of an appropriate type (e.g. numeric, alphabetic);
 - (ii) is within a particular value range (where a range is applied by CME); and
 - (iii) to the extent appropriate, consistent with Derivative Trade Data previously reported in relation to the same Derivative (e.g. for data unaffected by a modification to the Derivative); and
 - (iv) contains all required data fields;

[15/0910]

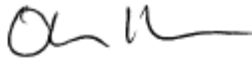
(c) CME:

- (i) if requested by an Australian Regulator, checks that its systems are operating to provide the Australian Regulators with current Derivative Trade Data;
- (ii) regularly monitors its weekly statistical data processes and data validation processes to check that those processes are operating satisfactorily; and
- (iii) performs spot checks of the accuracy of its systems for processing position reports, where the volume of transactions materially increases or decreases compared to previous reporting dates;

(d) CME:

- (i) notifies and consults each Australian Regulator about any proposed changes which would materially impact the content or format of Derivative Trade Data made available to the Australian Regulators; and
- (ii) does not implement any notified changes until one month has passed from the notification date, unless each Australian Regulator has agreed that the notified change may be implemented before one month has passed from the notification date.

Dated this 23rd day of October 2015



Signed by Oliver Harvey

as a delegate of the Australian Securities and Investments Commission

15-0985

**Australian Securities and Investments Commission
Corporations Act 2001 — Paragraph 926A(2)(a) — Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 926A(2)(a) and (c) of the *Corporations Act 2001*(the *Act*).

Title

2. This instrument is ASIC Instrument 15-0985.

Commencement

3. This instrument commences on 23 October 2015.

Declaration**Part 7.6**

4. Part 7.6 of the Act (other than Divisions 4 and 8) applies in relation to RBC Investor Services Trust ARBN 116 809 824 (RBCIST) as if section 912AC (as notionally inserted into the Act by the Class Order) were amended by inserting at the end of subsection (2) the following subsection (2A) :

" (2A) A financial services licensee that is regulated by the Office of the Superintendent of Financial Institutions of Canada as a trust company under the Trust and Loan Companies Act (S.C. 1991, c. 45) of Canada complies with this section."

Interpretation

5. In this instrument *Class Order* means ASIC Class Order [CO 13/761].

15-0985

Dated this 23rd day of October 2015

Andrew Mitchell

.....
Signed by Andrew Mitchell

as a delegate of the Australian Securities and Investments Commission

15-0986

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 992B(1)(a) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (**ASIC**) makes this instrument under paragraph 992B(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 15-0986.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. ASIC exempts AGL Macquarie Pty Limited ACN 167 859 494 from section 989B of the Act for the financial year ended 30 June 2015.

Dated this 23rd day of October 2015



Signed by Junghee Ryu
as a delegate of the Australian Securities and Investments Commission.

15-0990

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 655A(1)(a) and 673(1)(a) – Exemptions**

Enabling Legislation

1. The Australian Securities and Investments Commission makes this instrument under paragraphs 655A(1)(a) and 673(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 15-0990.

Commencement

3. This instrument commences on the date it is signed.

Cessation

4. This instrument ceases to have effect on the earlier of:
 - (a) the completion of the Transaction Implementation Agreement; and
 - (b) 31 December 2016.

Exemptions

5. Nippon Life Insurance Company, a company incorporated under the laws of Japan, (*Nippon*) and its related bodies corporate do not have to comply with subsection 606(1) of the Act.
6. Nippon and its related bodies corporate do not have to comply with section 671B of the Act.

Where this instrument applies

7. The exemption in paragraph 5 of this instrument applies to an acquisition of any relevant interest in issued voting shares or voting interests, except an acquisition of a relevant interest in MLC Limited ACN 000 000 402 (*Sale Company*), as a result of entry into, but not completion of, the Transaction Implementation Agreement.
8. The exemption in paragraph 6 of this instrument applies to a substantial holding in a listed company, a listed registered scheme or an unlisted company with more than 50 members to the extent the substantial holding arises because of entry into

15-0990

the Transaction Implementation Agreement but not as a result of completion of the transaction contemplated by the Transaction Implementation Agreement.

Interpretation

9. In this instrument:

Transaction Implementation Agreement means the agreement dated on or about 27 October 2015 between Nippon and National Australia Bank Limited ACN 004 044 937 in connection with the proposed acquisition by Nippon of 80% of the ordinary shares in the Sale Company;

voting interests has the meaning given by section 9 of the Act; and

voting shares has the meaning given by section 9 of the Act.

Dated this 27th day of October 2015



Signed by Nathania Nero
as a delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

ASIC Corporations (Amendment) Instrument 2015/991

I, Anthony Graham, delegate of the Australian Securities and Investments Commission, make the following legislative instrument.

Date 29 October 2015

A handwritten signature in cursive script, appearing to read 'A. Graham'.

Anthony Graham

ASIC Corporations (Amendment) Instrument 2015/991

Contents

Part 1—Preliminary	3
1 Name of legislative instrument	3
2 Commencement	3
3 Authority	3
4 Schedules	3
Schedule 1—Amendments	4
ASIC Class Order [CO 03/1063]	4

Part 1—Preliminary

1 Name of legislative instrument

This instrument is the *ASIC Corporations (Amendment) Instrument 2015/991*.

2 Commencement

This instrument commences on the later of:

- (a) the day after it is registered on the Federal Register of Legislative Instruments; and
- (b) the day after its gazettal.

Note: The register may be accessed at www.comlaw.gov.au.

3 Authority

This instrument is made under paragraph 911A(2)(i) of the *Corporations Act 2001*.

4 Schedules

Each instrument that is specified in a Schedule to this instrument is amended as set out in the applicable items in the Schedule concerned.

Schedule 1—Amendments**ASIC Class Order [CO 03/1063]****1 In paragraph (c)**

After “financial counselling service”, insert “, other than fees or charges (if any) that are payable on behalf of the client by the Commonwealth, a State or a Territory”.

15-0996

**Australian Securities and Investments Commission
Corporations Act 2001 — Paragraph 741(1)(a) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission makes this instrument under paragraph 741(1)(a) of the *Corporations Act 2001*(the *Act*).

Title

2. This instrument is ASIC Instrument 15-0996.

Commencement

3. This instrument commences on 28 October 2015.

Exemption

4. Wellard and WGH do not have to comply with subsection 734(2) of the Act.

Where this instrument applies

5. Subject to paragraph 6, this instrument applies to the following information communicated by Wellard and WGH to Employees:
 - (i) information about the ways in which Employees may participate in the Initial Public Offer;
 - (ii) details of the participation in any employee incentive plan under which offers to Employees may be made at or about the same time as the Initial Public Offer;
 - (iii) information about the ways in which Employees may participate in the Initial Public Offer;
 - (iv) matters relating to:
 - A. changes which may be made to the structure and administration of Wellard, WGH or a related body corporate in connection with the Initial Public Offer; and
 - B. the appointment of management and executive officers of Wellard, WGH or a related body corporate;
 - C. the timetable of the Initial Public Offer, and any proposed updates to the timetable; and
 - D. information alerting them to impending announcements in relation to the Initial Public Offer.

15-0996

Conditions

6. Wellard and WGH must do all things necessary to ensure that:
- (i) the content of the advertising or publicity is authorised by Wellard and WGH;
 - (ii) the content of the advertising or publicity does not refer to the content, or proposed content, of the Prospectus other than as permitted by paragraph 5 above;
 - (iii) no advantages, benefits, merits or disadvantages of the Initial Public Offer are communicated; and
 - (iv) the advertising or publicity occurs before the date on which the Prospectus is lodged with ASIC.

Interpretation

7. In this Instrument:
- (a) **Wellard** means Wellard Limited ACN 607 708 190.
 - (b) **WGH** means Wellard Group Holdings Pty Ltd ACN 009 263 423.
 - (c) **Employees** means employees of Wellard and WGH and their related bodies corporate.
 - (d) **Initial Public Offer** means the sale and issue of fully paid ordinary shares in Wellard to occur under the Prospectus;
 - (e) **Prospectus** means the prospectus to be lodged by Wellard with ASIC in respect of the Initial Public Offer, on or around the 19 November 2015 in compliance with Chapter 6D of the Corporations Act.

Dated this 28th day of October 2015



Signed by Tashreen Tourabaly

As a delegate of the Australian Securities and Investments Commission

15-0997

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 992B(1)(a) - Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 992B(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 15-0997.

Commencement

3. This instrument commences on 29 October 2015.

Exemption

4. Quay Capital Pty Ltd ACN 111 078 543 (*Quay Capital*) does not have to comply with section 989B of the Act for the financial year ended 30 June 2015.

Where this instrument applies

5. This instrument applies where Quay Capital's Australian Financial Services Licence number 284596 was cancelled by ASIC under subsection 915B(3) of the Act, effective 2 September 2015.

Dated this 29th day of October 2015



Signed by Sarah-Jane Farlow
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001

Section 601CL(5)

ASIC has struck the foreign companies listed below off the register.

Dated this thirtieth day of October 2015

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

AVIC INTERNATIONAL RENEWABLE ENERGY (HONG KONG) CORPORATION LIMITED	161 334 863
EMPIRE EQUITY (AUST) LTD	603 914 021
EMPIRE EQUITY INVESTMENTS LIMITED	603 556 421
MEGA WILL INVESTMENTS LIMITED	127 647 607
SOUTHERN AMITY INC.	078 820 961
TACTILE, INC.	160 813 758

CORPORATIONS ACT 2001

Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this thirtieth day of October 2015

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

CELSTREAM TECHNOLOGIES PRIVATE LIMITED

102 668 431

HOULIHAN LOKEY (CHINA) LIMITED

602 600 822

CORPORATIONS ACT 2001

Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this thirtieth day of October 2015

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme

HASTINGS YIELD FUND

ARSN

089 948 541

CORPORATIONS ACT 2001

Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this thirtieth day of October 2015

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme

ARSN

ACCESS SRA FUND	127 228 675
HFA OCTANE GLOBAL FUND ASIA	124 045 503
HFA OCTANE GLOBAL FUND EUROPE	124 045 674
HFA OCTANE GLOBAL USA FUND	120 674 900
OPUS PROPERTY TRUST NO.8	100 341 071
WEST COAST HARDWOOD PROJECT NO. 3	086 867 923
WEST COAST HARDWOOD PROJECT NO. 4	095 680 452
WEST COAST HARDWOOD PROJECT NO 1	124 152 236
WEST COAST HARDWOOD PROJECT NO 2	124 572 532

CORPORATIONS ACT 2001

Section 601CC(3)

ASIC will strike the companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this thirtieth day of October 2015

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

AUSTRALIAN MINIATURE GOAT ASSOCIATION INC

ARBN

120 957 815

Corporations Act 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

AUSTRALIAN TRADING & INVESTMENT

CORPORATION PTY LTD ACN 153 288 870 will change to a public company limited by shares. The new name will be AUSTRALIAN TRADING & INVESTMENT CORPORATION LTD ACN 153 288 870.

RBS SECURITIES (AUSTRALIA) LIMITED

ACN 120 541 988 will change to a proprietary company limited by shares. The new name will be RBS SECURITIES (AUSTRALIA) PTY LIMITED ACN 120 541 988.

MINERAL INTELLIGENCE PTY LTD

ACN 146 928 958 will change to a public company limited by shares. The new name will be MINERAL INTELLIGENCE LTD ACN 146 928 958.

WALLOON ENERGY PTY. LTD.

ACN 131 402 063 will change to a public company limited by shares. The new name will be WALLOON ENERGY LIMITED ACN 131 402 063.