



INQUIRIES

Payment assistance:

Billingenquiries@asic.gov.au

1300 300 630

(Including assistance with late payment fees)

All other queries:

market.participants@asic.gov.au

1300 029 454

(Select Option 3 - Participant inquiries)

MR J SMITH, CHIEF OPERATING OFFICER
BROKER ABCDEFG PTY LTD
LEVEL 100
1 GEORGE STREET
SYDNEY NSW 2000

CORPORATIONS (FEES) REGULATIONS 2001
REGULATIONS 8 & 9
APPLYING FROM 1 JULY 2013
ASIC MARKET SUPERVISION FOR THE
QUARTER SEP - DEC 2013

THIS IS A SAMPLE / ILLUSTRATIVE INVOICE STATEMENT¹ FOR TRADING MARKET PARTICIPANTS

Issue date: 12 Jan 2014

BROKER ABCDEFG PTY LTD:
TRADING PID NO. XXX

Account No 70 000000XXX

Summary

Balance outstanding	\$ 17,190.23
New items	\$ 19,735.12
Payments and credits	\$ -
TOTAL DUE	\$ 36,925.35

Please pay

Immediately	\$ 17,190.23
By 1 Apr 2014	\$ 19,735.12

If you have already paid please ignore this invoice statement.

- Amounts are not subject to GST (Treasurer's determination - exempt taxes, fees and charges)

- Regulation 9 of the Corporations (Fees) Regulations imposes the following fees for late payment on the balance of any unpaid and overdue ASIC market supervision fees on your account:

Transaction details are listed on the back of this page

Total unpaid market integrity fees and penalty fees (\$X)	Fee if one billing period late	Fee if two or more billing periods late
\$X < \$10,000	\$150	\$250
\$10,000 ≥ \$X < \$50,000	\$300	\$500
\$50,000 ≥ \$X < \$100,000	\$1,200	\$2,000
\$X ≥ \$100,000	\$2,400	\$4,000

¹ Amounts shown assume FIDA payment partially offsets market participants' variable charges. See Cost Recovery Impact Statement for more information.



PAYMENT SLIP

BROKER ABCDEFG PTY LTD:
TRADING PID NO. XXX

TOTAL DUE	\$ 36,925.35
Immediately	\$ 17,190.23
By 1 Apr 2014	\$ 19,735.12

Payment options are listed on the back of this payment slip



Bill code: 17301
Ref: 709000009XXX

ASIC MARKET SUPERVISION FOR THE QUARTER SEP - DEC 2013

Your market share of transaction count:	0.494%	Equivalent to $\frac{TR}{TTR}$ in the billing formula in Regulation 8 (9)
Total ASIC IMSS transaction count ¹ :	112,396,322	Equivalent to TTR in the in the billing formula in Regulation 8 (9)
Your market share of message count:	0.485%	Equivalent to $\frac{MR}{TMR}$ in the billing formula in Regulation 8 (9)
Total ASIC IMSS message count ¹ :	485,505,408	Equivalent to TMR in the in the billing formula in Regulation 8 (9)

¹ See the FAQ at <http://www.asic.gov.au/asic/ASIC.NSF/byHeadline/Market-supervision-and-competition-cost-recovery> for more information

Transaction details

Payments received since your last invoice statement**\$ Amount**

Nil

\$ -

New items since your last invoice statement - market supervision fees**\$ Amount**

12 Jan 2014	Fee for minimum participant supervision for quarter Sep - Dec 2013	\$ 1,835.00
12 Jan 2014	Market supervision fee for the quarter Sep - Dec 2013: Allocated by % transaction count ¹	\$ 8,842.72
12 Jan 2014	Market supervision fee for the quarter Sep - Dec 2013: Allocated by % message count ¹	\$ 8,757.40

New items since your last invoice statement - late payment fees**\$ Amount**

12 Jan 2014	ASIC market supervision fees for the quarter Jul - Sep 2013: Late payment fee	\$ 300.00
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¹ Amounts shown assume FIDA payment partially offsets market participants' variable charges.
See Cost Recovery Impact Statement for more information.

**PAYMENT
OPTIONS**

Mail Mail this payment slip and cheque (do not staple) to ASIC Finance, Locked Bag 5000, Gippsland Mail Centre VIC 3841

ASIC Service Centre Present this payment slip. Pay by cash or cheque.

**Telephone & Internet
Banking - BPAY®**



Biller code: 17301
Ref: 709000009XXX

Contact your bank or financial institution to make this payment from your cheque, savings, debit, credit card or transaction account. More info: www.bpay.com.au

Electronic Funds Transfer

ASIC's account details are:

Bank: Reserve Bank of Australia
BSB: 093-003
Bank account: 110635
Account name: ASIC Official Administered STAN EFT Account
Reference: 709000009XXX