



ASIC Staff

Gifts, benefits and hospitality register

ASIC staff have accepted the following gifts and benefits valued at \$100 and over from **1 April 2023 to 30 June 2023**

Date Received	Date Recorded	Provider	Additional information	Value of gift / benefit / hospitality
31/05/2023	01/05/2023	Financial Industry Regulatory Authority (FINRA) + New York Federal Reserve Bank (FRBNY)	FINRA - lunches during IOSCO Committee C8 meeting FRBNY - lunch and tour following IOSCO C8 meeting	\$140
01/06/2023	08/06/2023	Financial Industry Regulatory Authority (FINRA)	FINRA - additional dinner during IOSCO Committee C8 meeting*	\$75
17/05/2023	02/05/2023	IPAA ACT Events	Complimentary ticket to APS Data Awards Dinner 2023.	\$360
10/05/2023	05/05/2023	RIAA	Complimentary ticket to Responsible Investment Conference	\$1436
01/06/2023	19/05/2023	ASFA	Complimentary ticket to ASFA Spotlight on Insurance in Super Conference	\$1170
31/05/2023	29/05/2023	Law Society of Western Australia	Attendance at CPD Training event held by Law Society WA	\$200
31/05/2023	01/06/2023	The Law Society of Western Australia	Attendance at WA Law Society CPD Seminar	\$200
31/05/2023	01/06/2023	The Law Society of Western Australia	Attendance at WA Law Society CPD Seminar	\$200
20/06/2023	30/06/2023	ISDA AFMA	ISDA AFMA Derivatives Forum 2023	\$500
20/06/2023	09/06/2023	ISDA AFMA	ISDA AFMA Derivatives Forum 2023	\$500
16/06/2023	20/06/2023	NDLI Pty Limited	Flowers sent to ASIC's office addressed to a staff member, unbeknown to the staff member. As the gift could not be returned, it was surrendered to ASIC.	\$139.95
11/08/2023	14/06/2023	AICD	Complimentary attendance at AICD Climate Governance Forum	\$204
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11/08/2023	05/06/2023	AICD	Complimentary attendance at AICD Climate Governance Forum	\$204
07/06/2023	09/06/2023	Australian Banking Association (ABA)	ABA annual dinner	\$150
07/06/2023	09/06/2023	Australian Banking Association (ABA)	ABA annual dinner	\$150

*Disclosure of \$75, which is below the threshold, is included in the table for completeness, as this disclosure is related to the first line item of lunches by FINRA and FRBNY during IOSCO meeting (\$140)