

**WITHDRAWAL of
ENFORCEABLE UNDERTAKING**

Australian Securities and Investments Commission Act 2001

Subsection 93AA(2)

WealthSure Pty Ltd ACN 097 405 108
WealthSure Financial Services Pty Ltd ACN 130 288 578

1. DEFINITIONS

1.1 In this withdrawal of undertaking:

ASIC means the Australian Securities and Investments Commission.

ASIC Act means the *Australian Securities and Commission Act 2001*.

Sentry means Sentry Financial Planning Pty Ltd ACN 099 029 526.

WFS means WealthSure Financial Services Pty Ltd ACN 130 288 578.

WealthSure means WealthSure Pty Ltd ACN 097 405 108.

WealthSure Group means collectively WealthSure and WFS.

2. BACKGROUND

2.1 On 29 August 2013, WealthSure and WFS offered, and ASIC accepted, an enforceable undertaking (the *original undertaking*) under section 93AA of the ASIC Act.

2.2 On 1 March 2015, the WealthSure Group merged with Sentry. Following the restructure, WFS will continue to provide financial services and Wealthsure will cease to provide financial services. In light of the restructure, ASIC is of the view that WealthSure and WFS should be subject to separate enforceable undertakings relevant to their new business activities.

2.3 On 29 April 2015, WealthSure and WFS respectively offered, and ASIC accepted, separate enforceable undertakings (the *new separate undertakings*) under section 93AA of the ASIC Act.

3. WITHDRAWAL OF ORIGINAL UNDERTAKING

3.1 WealthSure and WFS seek to withdraw the original undertaking.

3.2 ASIC consents to the withdrawal of the original undertaking.

3.3 The withdrawal of the original undertaking takes effect from the date that ASIC signs this withdrawal of undertaking.

4. ACKNOWLEDGEMENTS

4.1 WFS acknowledges that ASIC:

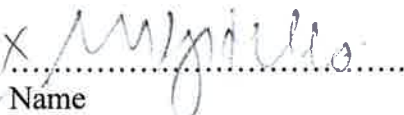
4.1.1 may issue a media release on or after the signing of this withdrawal of undertaking by ASIC, referring to its terms and to the circumstances which led to its signing;

4.1.2 may from time to time publically refer to this withdrawal of undertaking;

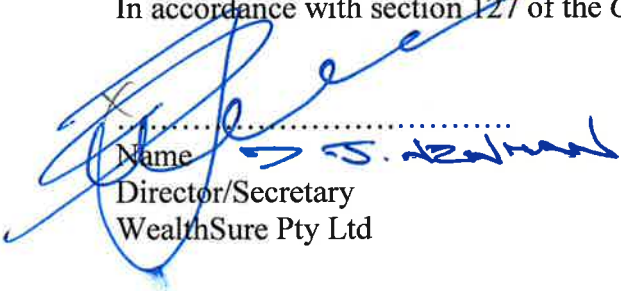
4.1.3 will make this withdrawal of undertaking available for public inspection.


4.2 WFS also acknowledges that the withdrawal of the original undertaking does not affect any obligation or liability of the WealthSure Group under the original undertaking that was accrued or incurred before the date this withdrawal of undertaking took effect.

Executed by
WealthSure Financial Services Pty Ltd ACN 130 288 578
In accordance with section 127 of the *Corporations Act 2001*:


x 
.....
Name
Director/Secretary
WealthSure Financial Services Pty Ltd

Executed by
WealthSure Pty Ltd ACN 097 405 108
In accordance with section 127 of the *Corporations Act 2001*:

x 
.....
Name
Director/Secretary
WealthSure Pty Ltd

x 
.....
Name K A Humphries
Director
WEALTHSURE PTY. LTD.

**Consented to by the Australian Securities and Investments Commission
Under subsection 93AA(2) of the ASIC Act by its duly authorised delegate:**


.....
Senior Executive Leader
Delegate of the Australian Securities and Investments Commission

12/5/15
.....
Date

