



ASIC
Australian Securities &
Investments Commission

Commonwealth of Australia Gazette

No. A41/25, Tuesday 7 October 2025

Published by ASIC

ASIC Gazette

Contents

Notices under Corporations Act 2001

25-0552	25-0628	25-0640	25-0645	25-0648
25-0654	25-0656	25-0659	25-0670	25-0681
25-0692	25-0693	25-0697	25-0698	25-0700
25-0701				

Company/scheme deregistrations

Change of company type

RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

ISSN 1445-6060 (Online version)
ISSN 1445-6079 (CD-ROM version)

Available from www.asic.gov.au
Email gazette.publisher@asic.gov.au

© Commonwealth of Australia, 2022

This work is copyright. Apart from any use permitted under the *Copyright Act 1968*, all rights are reserved. Requests for authorisation to reproduce, publish or communicate this work should be made to: Gazette Publisher, Australian Securities and Investment Commission, GPO Box 9827, Melbourne Vic 3001



ASIC
Australian Securities &
Investments Commission

**Australian Securities
and Investments Commission**

Office address (inc. courier deliveries):
Level 7, 120 Collins Street,
Melbourne VIC 3000

Mail address for Melbourne office:
GPO Box 9827,
Brisbane QLD 4001

Tel: +61 1300 935 075
Fax: +61 1300 729 000

www.asic.gov.au

25-0552

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: BDO Corporate Finance (WA) Pty Ltd
ACN 124 031 045 ("the Licensee")
'Mia Yellagonga Tower 2'
Level 9, 5 Spring Street
PERTH WA 6000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 316158 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 September 2025

Signed 

Wesley Mercer
A delegate of the Australian Securities and Investments Commission



ASIC
Australian Securities &
Investments Commission

**Australian Securities
and Investments Commission**

Office address (inc courier deliveries):
Level 7, 120 Collins Street,
Melbourne VIC 3000

Mail address for Melbourne office:
GPO Box 9827,
Brisbane QLD 4001

Tel: +61 1300 935 075
Fax: +61 1300 729 000

www.asic.gov.au

25-0628

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: T Ventures Custodian Pty Ltd
ACN 664 960 374 ("the Licensee")
LEVEL 29 400 GEORGE STREET
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 554518 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29/09/2025

Signed *Julia Tomaskovic*

Julia Tomaskovic
A delegate of the Australian Securities and Investments Commission



ASIC
Australian Securities &
Investments Commission

**Australian Securities
and Investments Commission**

Office address (inc. courier deliveries):
Level 7, 120 Collins Street,
Melbourne VIC 3000

Mail address for Melbourne office:
GPO Box 9827,
Brisbane QLD 4001

Tel: +61 1300 935 075
Fax: +61 1300 729 000

www.asic.gov.au

25-0640

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Empire Insurance Group Pty Ltd
ACN 168 051 929 ("the Licensee")
'One International Towers Sydney'
Level 19, 100 Barangaroo Avenue
BARANGAROO NSW 2000

Pursuant to paragraph 915B(3)(d) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 484569 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 September 2025

Signed *George Podaras*
.....

George Podaras
A delegate of the Australian Securities and Investments Commission



ASIC
Australian Securities &
Investments Commission

**Australian Securities
and Investments Commission**

Office address (inc. courier deliveries):
Level 7, 120 Collins Street,
Melbourne VIC 3000

Mail address for Melbourne office:
GPO Box 9827,
Brisbane QLD 4001

Tel: +61 1300 935 075
Fax: +61 1300 729 000

www.asic.gov.au

25-0645

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Finance & Insurance (Brokers) Australia Pty. Ltd.
ACN 007 946 401 ("the Licensee")
Level 2, 208 Greenhill Road
EASTWOOD SA 5063

Pursuant to paragraph 915B(3)(d) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 237842 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 24 September 2025

Signed *George Podaras*

George Podaras
A delegate of the Australian Securities and Investments Commission

25-0648

**Australian Securities and Investments Commission
Corporations Act 2001 — s926A(2)(a) — Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under s926A(2)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 25-0648.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. ASIC exempts Bayview Asset Management, LLC, a limited liability company incorporated by the United States (US) Division of Corporations in the State of Delaware and registered with the US Securities and Exchange Commission under file number 801-73638 (the *body*) from the requirement to hold an Australian financial services (AFS) licence, in the case specified in Schedule A, on the conditions specified in Schedule B.

Cessation

5. The exemption in paragraph 4 ceases to have effect on the earlier of:
 - (a) subparagraph 1(1) of Schedule 2 of the *ASIC Corporations (Repeal and Transitional) Instrument 2016/396* ceasing to have effect in relation to the relief that was provided under ASIC Class Order [CO 03/1100] *US SEC regulated financial services providers*; or
 - (b) the body not complying with any written notice given by ASIC directing the body to give to ASIC, within the time specified in the notice, a written statement containing specified information about the financial service business operated by the body in this jurisdiction; or
 - (c) the body being notified in writing by ASIC that it is excluded from relying on this instrument.

25-0648

Schedule A

1. The exemption in paragraph 4 of this instrument applies where all of the following apply:
 - (a) the body is a registered investment adviser;
 - (b) the body is a body corporate incorporated in the US or a State of the US;
 - (c) the body has an Agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an Agent for any consecutive period of 10 business days;
 - (d) the body's primary business is the provision of financial services;
 - (e) neither the body nor its Agent has been notified by ASIC that the body is excluded from relying on this instrument;
 - (f) if the body becomes aware or should reasonably have become aware of matters that give it reason to believe that it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule B:
 - (i) 15 business days have not passed since the body became so aware or should reasonably have become so aware without the body providing full particulars of the failure to ASIC (to the extent that the body knows those particulars or would have known them if it had undertaken reasonable enquiries); and
 - (ii) 30 business days have not passed from ASIC receiving those particulars from the body without ASIC notifying the body that it may continue to rely on this instrument; and
 - (g) the body has not notified ASIC that it will not rely on this instrument.
2. Where the body provides any of the following financial services (the **financial services**) in this jurisdiction to wholesale clients (and the body is authorised under US regulatory requirements to provide the financial services in the US):
 - (a) providing financial product advice;
 - (b) dealing in a financial product;in respect of any of the following financial products (and in respect of which the body is authorised under US regulatory requirements to provide financial services in the US):
 - (c) derivatives;

25-0648

- (d) foreign exchange contracts;
 - (e) securities;
 - (f) debentures, stocks or bonds issued by a government;
 - (g) managed investment products; and
 - (h) interests in a managed investment scheme that is not required to be registered under Chapter 5C of the Act.
3. Where the body has provided ASIC with all of the following:
- (a) evidence and submissions that paragraph 1(a) of Schedule A is satisfied;
 - (b) a notice that it will provide financial services in this jurisdiction in reliance on this instrument;
 - (c) a deed of the body for the benefit of and enforceable by ASIC and the other persons referred to in s659B(1) of the Act that applies notwithstanding that the body may have ceased to rely, or never have relied, on this instrument, which deed provides that:
 - (i) the deed is irrevocable except with the prior written consent of ASIC;
 - (ii) the body submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under s50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in s659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise;
 - (iii) the body covenants to comply with any order of an Australian court in respect of any matter relating to the provision of the financial services;
 - (iv) if the body is not registered under Division 2 of Part 5B.2 of the Act, service of process on the body in relation to legal proceedings conducted by ASIC (including under s50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in s659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise can be effected by service on the Agent; and
 - (v) the body covenants that, on written request of either the SEC or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist the SEC to disclose to ASIC and ASIC to disclose to the SEC any information or document that the SEC or ASIC has that relates to the body; and

25-0648

- (d) written consents to the disclosure by the SEC to ASIC and ASIC to the SEC of any information or document that the SEC or ASIC has that relates to the body. The consents must be in such form (if any) as ASIC specifies in writing.

Schedule B

1. The body must provide each of the financial services in this jurisdiction in a manner which would comply, so far as is possible, with the US regulatory requirements if the financial service were provided in the US in like circumstances.
2. The body must:
 - (a) notify ASIC, as soon as practicable and in any event within 15 business days after the body became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
 - (i) each significant change to, including the termination of, the registration as a registered investment adviser applying to the body relevant to the financial services the body provides or intends to provide in this jurisdiction; and
 - (ii) each significant particular exemption or other relief which the body obtains from the US regulatory requirements relevant to the financial services the body provides or intends to provide in this jurisdiction; and
 - (iii) each action or investigation of the following kinds taken by the SEC or other overseas regulatory authority against the body in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
 - (A) significant enforcement action;
 - (B) significant disciplinary action;
 - (C) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, the body is prohibited by law from giving such notification but only to the extent of the prohibition); and
 - (b) provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
 - (i) the body is exempt from the requirement to hold an AFS licence under the Act in respect of the financial services; and

25-0648

- (ii) the body is regulated by the SEC under US laws, which differ from Australian laws; and
- (c) if ASIC gives the body a written notice directing the body to lodge with ASIC, within the time specified in the notice, a written statement containing specified information about any financial service provided by the body in this jurisdiction—comply with the notice.

Interpretation

In this instrument:

Act means the *Corporations Act 2001*;

address, in relation to a company, means the address of the registered office of the company;

Agent means a natural person resident in this jurisdiction or a company, whose name and address were last notified to ASIC by the body for the purposes of this instrument, and who is authorised to accept on the body's behalf, service of process from ASIC and, in relation to proceedings relating to a financial services law, from any person referred to in s659B(1) of the Act;

ASIC Act means the *Australian Securities and Investments Commission Act 2001*;

dealing has the meaning given by section 9 of the Act;

derivatives has the meaning given by section 9 of the Act;

financial product has the meaning given by section 9 of the Act;

financial product advice has the meaning given by section 766B of the Act;

financial services law has the meaning given by section 761A of the Act;

foreign exchange contracts has the meaning given by section 9 of the Act;

interest in a managed investment scheme has the meaning given by section 9 of the Act;

managed investment product has the meaning given by section 9 of the Act;

managed investment scheme has the meaning given by section 9 of the Act;

notice and *notified* mean, respectively, written notice and notified in writing;

overseas regulatory authority means a foreign regulatory authority (other than the SEC) which regulates financial services and which is established by or for the purposes of a foreign government or legislative body;

25-0648

registered investment adviser means a body corporate or a partnership formed in the US or a State of the US registered under s203(c) of the *Investment Advisers Act 1940* (US);

SEC means the Securities and Exchange Commission of the US;

securities has the meaning given by section 9 of the Act;

US means the United States of America;

US regulatory requirements means the rules that apply in relation to the financial services including any applicable legislation, instruments made under that legislation and any relevant policies or other documents (however described) issued by the SEC;

wholesale client has the meaning given in section 9 of the Act.

Dated this 30th day of September 2025.



S

Signed by Keith Tong
as a delegate of the Australian Securities and Investments Commission



ASIC
Australian Securities &
Investments Commission

**Australian Securities
and Investments Commission**

Office address (inc. courier deliveries):
Level 7, 120 Collins Street,
Melbourne VIC 3000

Mail address for Melbourne office:
GPO Box 9827,
Brisbane QLD 4001

Tel: +61 1300 935 075
Fax: +61 1300 729 000

www.asic.gov.au

25-0654

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Fire & General Insurance Brokers Pty Ltd
ACN 069 889 587 ("the Licensee")
30 Calderwood Road
GALSTON NSW 2159

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 231110 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 September 2025

Signed 

Wesley Mercer
A delegate of the Australian Securities and Investments Commission



ASIC
Australian Securities &
Investments Commission

**Australian Securities
and Investments Commission**

Office address (inc courier deliveries):
Level 7, 120 Collins Street,
Melbourne VIC 3000

Mail address for Melbourne office:
GPO Box 9827,
Brisbane QLD 4001

Tel: +61 1300 935 075
Fax: +61 1300 729 000

www.asic.gov.au

25-0656

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: UHY Haines Norton Super Advisory Perth Pty Ltd
ACN 609 346 376 ("the Licensee")
Level 2 35-37 Havelock Street
WEST PERTH WA 6005

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 483977 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated **30 September 2025**

Signed

Sandra Holdaway
A delegate of the Australian Securities and Investments Commission



ASIC
Australian Securities &
Investments Commission

Australian Securities
and Investments Commission

Office address (inc courier deliveries):
Level 7, 120 Collins Street,
Melbourne VIC 3000

Mail address for Melbourne office:
GPO Box 9827,
Brisbane QLD 4001

Tel: +61 1300 935 075

Fax: +61 1300 729 000

www.asic.gov.au

25-0659

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Private Wealth Architects Licensee Pty Ltd
ACN 673 690 625 ("the Licensee")
136 Stirling Highway
NEDLANDS WA 6009

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 554648 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 16/09/2025

Signed

Julia Tomaskovic

A delegate of the Australian Securities and Investments Commission



ASIC
Australian Securities &
Investments Commission

**Australian Securities
and Investments Commission**

Office address (inc. courier deliveries):
Level 7, 120 Collins Street,
Melbourne VIC 3000

Mail address for Melbourne office:
GPO Box 9827,
Brisbane QLD 4001

Tel: +61 1300 935 075
Fax: +61 1300 729 000

www.asic.gov.au

25-0670

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Exelsuper Advice Pty Ltd
ABN 72 080 419 745 ("the Licensee")
Suite 1
50 King William Rd
Goodwood SA 5034

Pursuant to paragraph 915B(3)(d) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 428272 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 28/09/2025

Signed *Julia Tomaskovic*

Julia Tomaskovic
A delegate of the Australian Securities and Investments Commission



ASIC
Australian Securities &
Investments Commission

**Australian Securities
and Investments Commission**

Office address (inc. courier deliveries):
Level 7, 120 Collins Street,
Melbourne VIC 3000

Mail address for Melbourne office:
GPO Box 9827,
Brisbane QLD 4001

Tel: +61 1300 935 075
Fax: +61 1300 729 000

www.asic.gov.au

25-0681

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Allan Hall SMSF Advisory Pty Ltd
ACN 608 966 276 ("the Licensee")
Suite 126, 117 Old Pittwater Road
BROOKVALE NSW 2100

Pursuant to paragraph 915B(3)(d) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 485203 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29 September 2025

Signed *George Podaras*
.....

George Podaras
A delegate of the Australian Securities and Investments Commission



ASIC
Australian Securities &
Investments Commission

**Australian Securities
and Investments Commission**

Office address (inc courier deliveries):
Level 7, 120 Collins Street,
Melbourne VIC 3000

Mail address for Melbourne office:
GPO Box 9827,
Brisbane QLD 4001

Tel: +61 1300 935 075
Fax: +61 1300 729 000

www.asic.gov.au

25-0692

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: T Ventures Management (Aus) Pty Ltd
ACN 625 685 118 ("the Licensee")
Level 29 400 George Street
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 554517 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29/09/2025

Signed

.....*Julia Tomaskovic*.....

Julia Tomaskovic

A delegate of the Australian Securities and Investments Commission

25-0693

NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001

Notice is given under section 920E of the *Corporations Act 2001* that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 17 September 2025.

In the matter of Munther EL-ALAMI

**Banning order under sections 920A and 920B
of the *Corporations Act 2001***

To: Munther El-Alami

Take notice that under sections 920A(1) and 920B(2) of the *Corporations Act 2001* the Australian Securities and Investments Commission prohibits Munther El-Alami for a period of eight (8) years from:

- (a) providing any financial service;
- (b) controlling, whether alone or in concert with one or more other entities, an entity that carries on a financial services business; and
- (c) performing any function involved in the carrying on of a financial services business (including as an officer, manager, employee, contractor or in some other capacity).

Dated this 15th day of September 2025.

Signed:



Cameron Walter

Delegate of the Australian Securities and Investments Commission

Your attention is drawn to subsection 920C(2) of the *Corporations Act 2001* which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of subsection 920C(2) is an offence.

25-0697

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 926A(2)(a) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under s926A(2)(a) of the *Corporations Act 2001* (Cth) (*Act*).

Title

2. This instrument is ASIC Instrument [25-0697].

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. ASIC exempts Ardian Investment UK Limited, a private limited company incorporated in England and Wales (registered company number 05654928) and registered with the Financial Conduct Authority, firm reference number 462092, (the *body*), from the requirement to hold an Australian financial services (*AFS*) licence, upon the conditions referred to in Schedule A and in the case referred to in Schedule B.

Cessation

5. The exemption in paragraph 4 ceases to have effect on the earlier of:
 - (a) the date specified in subsection 1(3) of Schedule 2 of the *ASIC Corporations (Repeal and Transitional) Instrument 2016/396* as amended by subitem 1 of Schedule 1 of *ASIC Corporations (Amendment) Instrument 2024/497* and as may be further amended from time to time; or
 - (b) the body failing to comply with any written notice given by ASIC directing the body to give to ASIC, within the time specified in the notice, a written statement containing specified information about the financial service business operated by the body in this jurisdiction; or
 - (c) the body being notified in writing by ASIC that it is excluded from relying on this instrument.

25-0697

Schedule A

1. The exemption in paragraph 4 applies where all of the following apply:
 - (a) the body has a current Part 4A Permission;
 - (b) the body is either a body corporate incorporated in the United Kingdom or a partnership formed in the United Kingdom;
 - (c) the body:
 - (i) is registered under Division 2 of Part 5B.2 of the Act; or
 - (ii) has an Agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an Agent for any consecutive period of 10 business days;
 - (d) the body's primary business is the provision of financial services;
 - (e) neither the body nor its Agent has been notified by ASIC that the body is excluded from relying on this instrument;
 - (f) if the body becomes aware or should reasonably have become aware of matters that give it reason to believe that it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule C:
 - (i) 15 business days have not passed since the body became so aware or should reasonably have become so aware without the body providing full particulars of the failure to ASIC (to the extent that the body knows those particulars or would have known them if it had undertaken reasonable enquiries); and
 - (ii) 30 business days have not passed from ASIC receiving those particulars from the body without ASIC notifying the body that it may continue to rely on this instrument; and
 - (g) the body has not notified ASIC that it will not rely on this instrument.

Schedule B

1. Where the body provides any of the following financial services (the *financial services*) in this jurisdiction to wholesale clients:
 - (a) providing financial product advice; or
 - (b) dealing in financial product;in respect of any of the following financial products:
 - (i) securities;

25-0697

- (ii) managed investment products; or
 - (iii) interests in a managed investment scheme that is not required to be registered under Chapter 5C of the Act; and
- 2. Where the body has provided ASIC with:
 - (a) a copy of the Part 4A Permission applying to the body;
 - (b) a notice that the body will provide financial services in this jurisdiction in reliance on this instrument;
 - (c) a deed of the body for the benefit of and enforceable by ASIC and the other persons referred to in subsection 659B(1) of the Act that applies notwithstanding that the body may have ceased to rely, or never have relied, on this instrument, which provides that:
 - (i) the deed is irrevocable except with the prior written consent of ASIC; and
 - (ii) the body submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise; and
 - (iii) the body covenants to comply with any order of an Australian court in respect of any matter relating to the provision of the financial services; and
 - (iv) if the body is not registered under Division 2 of Part 5B.2 of the Act, service of process on the body in relation to legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise can be effected by service on the Agent; and
 - (v) the body covenants that, on written request of either the FCA or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist the FCA to disclose to ASIC and ASIC to disclose to the FCA any information or document that FCA or ASIC has that relates to the body; and

25-0697

- (d) written consents to the disclosure by the FCA to ASIC and ASIC to the FCA of any information or document that the FCA or ASIC has that relates to the body. The consents must be in such form (if any) as ASIC specifies in writing.

Schedule C

The body must:

1. provide each of the financial services in this jurisdiction in a manner which would comply, so far as is possible, with the United Kingdom regulatory requirements if the financial service were provided in the United Kingdom in like circumstances; and
2. notify ASIC, as soon as practicable and in any event within 15 business days after the body became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
 - (a) each significant change to, including the termination of, the Part 4A Permission applying to the body relevant to the financial services the body provides or intends to provide in this jurisdiction; and
 - (b) each significant particular exemption or other relief which the body obtains from the United Kingdom regulatory requirements relevant to the financial services the body provides or intends to provide in this jurisdiction; and
 - (c) each action or investigation of the following kinds taken by the FCA, PRA or other overseas regulatory authority against the body in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
 - (i) significant enforcement action;
 - (ii) significant disciplinary action;
 - (iii) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, the body is prohibited by law from giving such notification but only to the extent of the prohibition); and
3. provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
 - (a) the body is exempt from the requirement to hold an AFS licence under the Act in respect of the financial services; and
 - (b) as applicable:

25-0697

- (i) for a dual-regulated body - the body is authorised by the PRA and regulated by the FCA and PRA under United Kingdom laws, which differ from Australian laws;
 - (ii) otherwise – the body is authorised and regulated by the FCA under the United Kingdom’s laws, which differ from Australian laws; and
- 4. if ASIC gives the body a written notice directing the body to lodge with ASIC, within the time specified in the notice, a written statement containing specified information about any financial service provided by the body in this jurisdiction—comply with the notice.

Interpretation

In this instrument:

Act means the *Corporations Act 2001* (Cth);

address in relation to a company, means the address of the registered office of the company;

Agent means a natural person resident in this jurisdiction or a company, whose name and address were last notified to ASIC by the body for the purposes of this instrument, and who is authorised to accept on the body's behalf, service of process from ASIC and, in relation to proceedings relating to a financial services law, from any person referred to in s659B(1) of the Act;

dealing has the meaning given by section 766C of the Act;

dual-regulated body means a body whose regulated activities in the United Kingdom include a PRA-regulated activity within section 22A of the FSM Act.

financial product has the meaning given by section 9 of the Act;

financial product advice has the meaning given by section 766B of the Act;

financial services law has the meaning given by section 761A of the Act;

FCA means the Financial Conduct Authority of the United Kingdom and, for the avoidance of doubt, includes the Financial Services Authority of the United Kingdom as it was previously known before 1 April 2013;

FSM Act means the *Financial Services and Markets Act 2000* of the United Kingdom;

managed investment product has the meaning given by section 9 of the Act;

managed investment scheme has the meaning given by section 9 of the Act;

notice and *notified* mean, respectively, written notice and notified in writing;

25-0697

overseas regulatory authority means a foreign regulatory authority (other than the FCA or PRA) which regulates financial services and which is established by or for the purposes of a foreign government or legislative body;

Part 4A Permission means a permission given under Part 4A of FSM Act or having effect as if so given under that Part;

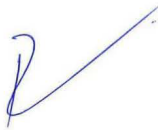
PRA means the Prudential Regulation Authority of the United Kingdom.

securities has the meaning given by section 9 of the Act;

United Kingdom regulatory requirements means the rules that apply in relation to the financial services including any applicable legislation, instruments made under that legislation and any relevant policies or other documents (however described) issued by the FCA or PRA; and

wholesale client has the meaning given in section 761G of the Act.

Dated this 1st day of October 2025



Signed by Timothy O'Neill
as delegate of the Australian Securities and Investments Commission

25-0698

**Australian Securities and Investments Commission
Corporations Act 2001 — Paragraphs 951B(1)(a) — Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 951B(1)(a) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 25-0698.

Commencement

3. This instrument commences on the date this instrument is signed.

Exemption

4. Altitude Wealth Management Pty Ltd ACN 618 850 347 (*Altitude Wealth Management*) does not have to comply with section 946A of the Act in relation to the provision of personal advice (the *further advice*), on behalf of Altitude Wealth Management by an employee representative, who is authorised to provide personal advice to retail clients in relation to relevant financial products, (the *adviser*) to a person (the *existing client*) where all the following are satisfied:
 - (a) the existing client was a client of the adviser when the adviser was an authorised representative of Altitude Wealth Management;
 - (b) the adviser provides the further advice to the existing client in circumstances where:
 - (i) the adviser has previously given the existing client a Statement of Advice that sets out the client's relevant personal circumstances in relation to the advice (the *previous advice*) set out in that Statement of Advice;
 - (ii) the existing client's relevant personal circumstances in relation to the further advice (determined having regard to the existing client's objectives, financial situation and needs as currently known to the adviser) are not significantly different from the existing client's relevant personal circumstances in relation to the previous advice; and
 - (iii) so far as the basis on which the advice is given relates to other matters – the basis on which the further advice is given is not significantly different from the basis on which the previous advice was given; and

2

25-0698

- (c) had the adviser remained an authorised representative of Altitude Wealth Management, the adviser would have been able to rely on section 946B of the Act as notionally substituted by regulation 7.7.10AE of the *Corporations Regulations 2001* in respect of the further advice; and
- (d) the adviser keeps a record of the further advice in accordance with regulation 7.7.09 of the *Corporations Regulations 2001*.

Dated this 1st day of October 2025



Signed by Nessa Foo
as a delegate of the Australian Securities and Investments Commission

25-0700


Australian Securities and Investments Commission

Notice of cancellation of Australian financial services licence

To: Velos Global Markets Pty Ltd
ACN 604 251 416

TAKE NOTICE that under s915B(3) of the *Corporations Act 2001*, the Australian Securities and Investments Commission hereby cancels Australian financial services licence number 500701 held by Velos Global Markets Pty Ltd ACN 604 251 416.

Dated this 23rd day of September 2025.


Signed

Kate Dluzniak
Delegate of the Australian Securities and Investments Commission

25-0701

Australian Securities and Investments Commission

In the matter of Timothy John Archibald

Banning order under sections 920A and 920B
of the Corporations Act 2001

To: Timothy John Archibald

Take notice that under s920A and 920B of the *Corporations Act 2001* the Australian Securities and Investments Commission prohibits Timothy John Archibald for a period of ten (10) years from:

- (a) providing any financial services;
- (b) performing any function involved in the carrying on of a financial services business (including as an officer, manager, employee, contractor or in some other capacity);
and
- (c) controlling an entity that carries on a financial services business.

Dated this 30th day of June 2025.

Signed:



Kate Dluzniak
As a delegate of the Australian Securities and Investments Commission

Your attention is drawn to s920C(2) of the *Corporations Act 2001* which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of s920C(2) is an offence.

CORPORATIONS ACT 2001
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this third day of October 2025

Name of Scheme**ARSN**

ANGAS ASSET MANAGEMENT FUND	633 454 832
ASGARD INVESTMENT FUNDS ACCOUNT	088 579 622
ATRIUM GLOBAL EQUITIES MANDATE NO.1	621 759 779
BLACKROCK GLOBAL IMPACT FUND (AUST)	649 967 331
CELESTE AUSTRALIAN SMALL COMPANIES FUND	093 539 416
CLEARBRIDGE RARE EMERGING MARKETS FUND	132 182 462
COLONIAL FIRST STATE ASIAN SHARE FUND 1	123 800 911
COLONIAL FIRST STATE ASIAN SHARE FUND 3	123 801 105
COLONIAL FIRST STATE AUSTRALIAN BOUTIQUE SHARE FUND 3	108 230 646
COLONIAL FIRST STATE FIXED INTEREST FUND 17	123 809 192
COLONIAL FIRST STATE GLOBAL SHARES FUND 1	099 913 809
COLONIAL FIRST STATE INVESTMENT FUND 115	652 575 203
COLONIAL FIRST STATE INVESTMENT FUND 211	669 610 682
COLONIAL FIRST STATE INVESTMENT FUND 226	669 603 392
COLONIAL FIRST STATE INVESTMENT FUND 227	669 603 178
COLONIAL FIRST STATE INVESTMENT FUND 234	669 601 165
COLONIAL FIRST STATE INVESTMENT FUND 240	669 600 702
COLONIAL FIRST STATE INVESTMENT FUND 255	669 596 598
COLONIAL FIRST STATE INVESTMENT FUND 259	669 592 876
COLONIAL FIRST STATE INVESTMENT FUND 263	669 588 738
COLONIAL FIRST STATE INVESTMENT FUND 27	614 724 597
COLONIAL FIRST STATE INVESTMENT FUND 274	669 583 046

COLONIAL FIRST STATE INVESTMENT FUND 276	669 580 778
COLONIAL FIRST STATE INVESTMENT FUND 33	614 165 909
COLONIAL FIRST STATE INVESTMENT FUND 65	624 509 637
COLONIAL FIRST STATE SPECIALIST FUND 25	148 859 527
COLONIAL FIRST STATE SPECIALIST FUND 29	160 368 356
CREDIT SUISSE GLOBAL PRIVATE EQUITY FUND	110 077 382
CT SDG ENGAGEMENT GLOBAL EQUITY FUND	667 800 197
DENT SECTOR FUND	642 661 729
DEXUS CORE PROPERTY FUND	114 235 326
EVEN KEEL	631 673 151
GAM SYSTEMATIC CORE MACRO AU	622 868 268
GLOBAL OPPORTUNITIES ALTERNATIVES TRUST (AUSTRALIA)	632 959 749
INSIGHT DIVERSIFIED INFLATION PLUS FUND	167 582 818
LENNOX AUSTRALIAN MICROCAP FUND	623 308 869
MACQUARIE WHOLESALE AUSTRALIAN EQUITIES FUND	096 152 911
MACQUARIE WHOLESALE PLUS CORPORATE BOND FUND	601 829 529
MAPLE-BROWN ABBOTT ASIAN INVESTMENT TRUST	102 593 457
MAPLE-BROWN ABBOTT AUSTRALIAN EQUITY TRUST	091 136 266
MAPLE-BROWN ABBOTT AUSTRALIAN SUSTAINABLE FUTURE FUND	616 876 263
MAPLE-BROWN ABBOTT GLOBAL EMERGING MARKETS EQUITY FUND	657 440 894
MAPLE-BROWN ABBOTT INTERNATIONAL EQUITY TRUST	098 266 758
MARTIN CURRIE PROPERTY SECURITIES FUND	088 677 187
MERCER GLOBAL LISTED INFRASTRUCTURE FUND	141 046 344
MERLON AUSTRALIAN SHARE CORE INCOME FUND	143 890 920
MILFORD DIVERSIFIED INCOME FUND (AU)	638 846 321
MILFORD GLOBAL REAL ASSET FUND (AU)	652 991 243
MORNINGSTAR MULTI-ASSET DEFENSIVE FUND	140 450 728
SCHRODER ALL CHINA EQUITY OPPORTUNITIES FUND	645 361 628
SCHRODER GLOBAL RECOVERY FUND	603 473 329

TT GLOBAL ENVIRONMENTAL IMPACT FUND	650 685 439
TYNDALL WHOLESALE PLUS AUSTRALIAN SHARE FUND	602 109 231
TYNDALL WHOLESALE PLUS AUSTRALIAN SHARE INCOME FUND	622 486 917

CORPORATIONS ACT 2001
Section 601CC(3)

ASIC will strike the companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this third day of October 2025

Name of Company

ARBN

G4 CHURCH INCORPORATED

635 955 118

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this third day of October 2025

Name of Company

ARBN

AETOS MARKETS (V) LTD

647 625 250

SHINSHO CORPORATION

611 853 519

CORPORATIONS ACT 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

GASCO HOLDINGS PTY LTD ACN 644 905 055 will change to a public company limited by shares. The new name will be GASCO HOLDINGS LIMITED ACN 644 905 055.

TASMAN COMMUNITY FINANCIAL SERVICES LIMITED ACN 159 606 881 will change to a proprietary company limited by shares. The new name will be TASMAN COMMUNITY FINANCIAL SERVICES PTY LTD ACN 159 606 881.

HSE GROUP LIMITED ACN 147 892 064 will change to a proprietary company limited by shares. The new name will be HSE GROUP PTY LIMITED ACN 147 892 064.