



ASIC

Australian Securities & Investments Commission

User guide

ASIC Search

Professional Registers Search

The screens and data pictured in this guide are examples only. Actual screens may have minor differences in text and layout.

Searching the ASIC registers

- ASIC's registers can be searched directly from the [ASIC website](#).
- The more criteria you enter about the search you want to conduct, the more relevant the results will be.
- Assistance with using the search facility and purchasing search items can be accessed via the help function.

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Screen layout

The screenshot shows the ASIC Connect website's search interface. At the top, there is a navigation bar with the ASIC logo and the text 'Connect'. Below this, there is a search bar with the text 'Search ASIC Registers' and a 'Go' button. The search bar has two dropdown menus: 'Within:' set to 'Select' and 'For:' set to 'Name or Number'. A red arrow points from the text 'Search ASIC Registers' at the top right of the page to the search bar. Below the search bar, there is a main content area with a sidebar on the left and a main search area on the right. The sidebar contains a list of navigation options: 'Organisations & Business Names', 'Check Name Availability', 'Documents', 'Banned & Disqualified', and 'Professional Registers'. The main search area contains a search box with the text 'Search ASIC Registers' and a 'Go' button. Below the search box, there are two sections: 'More About' and 'Need Help'. The 'More About' section contains three items: 'Information Brokers', 'Payment by Credit Card', and 'Search Other Registers'. The 'Need Help' section contains a list of links: 'Using Search', 'Understanding free vs paid searches', 'Fees', 'Search Tips', 'Using the shopping cart', 'Viewing your search results', 'Frequently asked questions', 'Product examples', and 'Glossary of terms'. On the right side of the page, there are several utility boxes: 'Shopping Cart (0) View Cart', 'Quick Links' (with links like 'Report a data issue', 'Look up ABN information', etc.), 'Recent Purchases', 'Recent Searches', and 'Follow ASIC' (with links to 'FOLLOW US ON twitter' and 'See more on YouTube').

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Screen layout

- Shopping cart
- Related links
- Recent purchases
- Recent searches
- Follow ASIC

- Help links

Search ASIC Registers

Search ASIC Registers

Search information on companies or other organisations. [Learn More](#)

Search Within: Select

For: Name or Number

Go

Organisations & Business Names

Check Name Availability

Documents

Banned & Disqualified

Professional Registers

More About

Information Brokers
Information Brokers can offer additional information and services in conducting your searches. [Learn More](#)

Payment by Credit Card
Purchase paid searches with ASIC online now using your credit card. [Learn More](#)

Search Other Registers
Search for gazettes, product disclosure statements, enforceable undertakings and other ASIC registers. [Learn More](#)

Need Help

- Using Search
- Understanding free vs paid searches
- Fees
- Search Tips
- Using the shopping cart
- Viewing your search results
- Frequently asked questions
- Product examples
- Glossary of terms

Shopping Cart (0) [View Cart](#)

Quick Links

- Report a data issue
- Look up ABN information
- ASIC Website
- Setup an alert
- Information brokers
- NZ Companies
- Ask a question
- Service availability

Recent Purchases

Recent Searches

Follow ASIC

FOLLOW US ON [twitter](#)

See more on [YouTube](#)

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Screen layout



Information about searching the register.

Search ASIC Registers

A vertical navigation menu with a dark blue background and white text. The items are: 'Organisations & Business Names', 'Check Name Availability', 'Documents', 'Banned & Disqualified', and 'Professional Registers'. Each item has a right-pointing arrow. To the right of the menu is a large image of a modern building with the text 'Search information on companies or other organisations. [Learn More](#)'.

A search form with a magnifying glass icon and the title 'Search ASIC Registers'. It includes a 'Search Within:' dropdown menu set to 'Select', a 'For:' text input field containing 'Name or Number', and a blue 'Go' button.

An orange button with a shopping cart icon, the text 'Shopping Cart (0)', and a 'View Cart' link with a right-pointing arrow.

A sidebar titled 'Quick Links' with a dropdown arrow. It contains a list of links: 'Report a data issue', 'Look up ABN information', 'ASIC Website', 'Setup an alert', 'Information brokers', 'NZ Companies', 'Ask a question', and 'Service availability'.

A sidebar titled 'Recent Purchases' with a dropdown arrow.

A sidebar titled 'Recent Searches' with a dropdown arrow.

A sidebar titled 'Follow ASIC' with a dropdown arrow. It contains two buttons: 'FOLLOW US ON twitter' and 'See more on YouTube'.

Learn more about searching and lodging..

A section titled 'More About' with an information icon. It contains three items: 'Information Brokers' with a photo of people and a 'Learn More' link; 'Payment by Credit Card' with a photo of a credit card and a 'Learn More' link; and 'Search Other Registers' with a photo of people and a 'Learn More' link.

A section titled 'Need Help' with a question mark icon. It contains a list of links: 'Using Search', 'Understanding free vs paid searches', 'Fees', 'Search Tips', 'Using the shopping cart', 'Viewing your search results', 'Frequently asked questions', 'Product examples', and 'Glossary of terms'. Each link is accompanied by a small icon.

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Screen layout

Select one of these sub-menus to search a particular register.

Select this link to access a list of Information Brokers and alternative search services.

The screenshot displays the ASIC Connect website interface. At the top, the ASIC logo and 'Connect' branding are visible. A search bar is located in the top right corner, labeled 'Search ASIC Registers', with dropdown menus for 'Within:' (set to 'Select') and 'For:' (set to 'Name or Number'), and a 'Go' button. Below the search bar, a navigation menu includes 'Search ASIC Registers', 'Check Name Availability', 'Professional Registers', and 'Information Brokers'. The 'Search ASIC Registers' link is highlighted with a red box, and a red arrow points from the text 'Select one of these sub-menus to search a particular register.' to it. Another red arrow points from the text 'Select this link to access a list of Information Brokers and alternative search services.' to the 'Information Brokers' link in the navigation menu.

The main content area features a 'Search ASIC Registers' section with a search bar and a 'Go' button. Below this, there are several informational sections:

- Organisations & Business Names**
- Check Name Availability**
- Documents**
- Banned & Disqualified**
- Professional Registers**

Additional sections include:

- More About**
 - Information Brokers**: Information Brokers can offer additional information and services in conducting your searches. [Learn More](#)
 - Payment by Credit Card**: Purchase paid searches with ASIC online now using your credit card. [Learn More](#)
 - Search Other Registers**: Search for gazettes, product disclosure statements, enforceable undertakings and other ASIC registers. [Learn More](#)
- Need Help**
 - Using Search
 - Understanding free vs paid searches
 - Fees
 - Search Tips
 - Using the shopping cart
 - Viewing your search results
 - Frequently asked questions
 - Product examples
 - Glossary of terms

On the right side, there are utility sections: 'Shopping Cart (0) View Cart', 'Quick Links' (including Report a data issue, Look up ABN information, ASIC Website, Setup an alert, Information brokers, NZ Companies, Ask a question, Service availability), 'Recent Purchases', 'Recent Searches', and 'Follow ASIC' (with links to follow on Twitter and YouTube).

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Start to browse Professional Registers

Visit our website at www.asic.gov.au.

Click on **Companies and organisations**.

This will take you to ASIC Connect Search.

The screenshot shows the ASIC website homepage. At the top, there is a search bar with the text "Start searching" and a "SEARCH" button. Below the search bar is a navigation menu with tabs for "FOR BUSINESS", "FOR FINANCE PROFESSIONALS", "FOR CONSUMERS", "REGULATORY RESOURCES", and "ABOUT ASIC".

On the left side, there is a "Find out more" section with links to "About business names", "Starting a company", "Making a complaint", "Paying fees", "Using our online services", and "Frequently asked questions".

In the center, there is a banner for "ASIC's small business hub" with the text "Legal information for small business operators." and a red box with the number "1" next to it.

On the right side, there is an "ASIC Connect" section with a "Search our registers" dropdown menu. The "Companies and organisations" option is highlighted with a red box. Below this, there are links for "Business names", "Professional registers", and "View all registers".

Below the banner, there are three columns of content:

- For business:** Links to "How to register or renew a business name", "Are your company details up to date?", and "Your legal obligations as a small business operator".
- For finance professionals:** Links to "Your obligations as an AFS licensee", "Do you need a credit licence?", and "Looking for a regulatory document?".
- For consumers:** Links to "MONEYSMART", "Our consumer website, MoneySmart, has tips and tools to help you manage your money", and "Looking for unclaimed money?".

At the bottom, there are sections for "Media releases", "Hot topics", and "Contact us".

At the bottom right, there is a "Log in" button and a "Service availability" section. Below that, there is a "Find a form" section with links to "484 Change company details", "201 Register a company", and "370 Officeholder notifies resignation/retirement".

At the bottom of the page, there is a footer with the text "Information Public Scheme (IPS) | Freedom of information | Copyright | Privacy | Contact us | Accessibility statement | Disclaimer | Site map" and social media icons for YouTube and Facebook.

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User guide: ASIC Search

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Start to browse Professional Registers

1. Click on **Professional Registers**.
2. In the keyword field, enter the family name or organisation name. Alternatively you can enter the Licence or Registration Number, if known.

The screenshot shows the ASIC Connect website interface. At the top, there is a search bar for 'Search ASIC Registers' with a dropdown menu for 'Within:' set to 'Select' and a text input for 'For:' set to 'Name or Number'. Below the search bar, there are navigation links: 'Check Name Availability', 'Professional Registers' (highlighted with a red box), and 'Information Brokers'. The main content area is titled 'Browse' and contains a 'Professional Registers' section. This section has a '*Enter keyword(s)' field with two input options: 'Family or Organisation Name' and 'Licence or Registration Number', both highlighted with a red box. Below this is a '*In' dropdown menu labeled 'Select Register' with 'Select One' chosen. There is also a 'With' section with a 'Status' label and a large empty text area. At the bottom of the search area, there is a 'Back' button, a 'Clear' button, and a 'Search' button. On the right side of the page, there are several utility boxes: 'Shopping Cart (0)' with a 'View Cart' link, 'Quick Links' with a list of actions like 'Report a data issue', 'Look up ABN information', 'ASIC Website', 'Setup an alert', 'Information brokers', 'NZ Companies', 'Ask a question', and 'Service availability'; 'Recent Purchases'; 'Recent Searches'; and 'Follow ASIC' with links to 'FOLLOW US ON twitter' and 'See more on YouTube'. At the bottom of the page, there is a footer with links for 'Help', 'Copyright', 'Privacy', 'Accessibility', and 'Contact us'.

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Start to browse Professional Registers

1. Click on **Professional Registers**.
2. In the keyword field, enter the family name or organisation name. Alternatively you can enter the Licence or Registration Number, if known.
3. Select one category from the drop down list of registers. e.g. *Australian Financial Services Authorised Representative register*.

Search ASIC Registers

Within: Select

For: Name or Number

Go

Check Name Availability Professional Registers Information Brokers

Browse

Professional Registers

*Enter keyword(s) Family or Organisation Name or Licence or Registration Number

*In

Select Register

Select One

Australian Financial Services Licensee

Australian Financial Services Authorised Representative

Registered Auditor

Official Liquidator

Registered Liquidator

Credit Registered Person

Credit Representative

Credit Licensee

Futures Broker (Pre-FSR)

Futures Adviser (Pre-FSR)

Investment Adviser (Pre-FSR)

Securities Dealer (Pre-FSR)

Life Insurance Broker (Pre-FSR)

General Insurance Broker (Pre-FSR)

Foreign Insurance Agent (Pre-FSR)

Futures Representative (Pre-FSR)

Securities Representative (Pre-FSR)

With

Status:

Did you know a search under Organisations & Business Names may reveal more information?

Back Clear Search

Shopping Cart (0) View Cart

Quick Links

- Report a data issue
- Look up ABN information
- ASIC Website
- Setup an alert
- Information brokers
- NZ Companies
- Ask a question
- Service availability

Recent Purchases

Recent Searches

Follow ASIC

FOLLOW US ON twitter

See more on YouTube

Help

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Start to browse Professional Registers

1. Click on **Professional Registers**.
2. In the keyword field, enter the family name or organisation name. Alternatively you can enter the Licence or Registration Number, if known.
3. Select one category from the drop down list of registers. e.g. *Australian Financial Services Authorised Representative register*.
4. You can filter your results by selecting the status.
5. Click **Search** to proceed.

The screenshot displays the ASIC Connect website's search interface for Professional Registers. At the top, there is a search bar with the text "Search ASIC Registers" and a "Go" button. Below the search bar, there are navigation links for "Check Name Availability", "Professional Registers", and "Information Brokers". The main content area is titled "Browse" and contains a "Professional Registers" section with "Mandatory Fields". It includes a keyword search field, a "Select Register" dropdown menu, and a "Status" filter with checkboxes for "Select All", "Current", and "Ceased". A "Search" button is at the bottom right. A red arrow points from step 4 of the instructions to the "Status" filter. Another red arrow points from step 5 to the "Search" button. The right sidebar contains "Quick Links", "Recent Purchases", "Recent Searches", and "Follow ASIC" sections.

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Search results

Name matches found

This screen shows the results of your search.

Up to 20 results are listed per page.

To view or print the results list in PDF format click on **View Results List**.

To view more information about each result click on the name, or tick the box next to the name (you may select more than one at a time) and click on **View Details**.

The screenshot shows the ASIC Connect search results page. At the top, there is a navigation bar with the ASIC logo and 'Connect' text. A search bar is located on the right with the text 'Search ASIC Registers'. Below the search bar, there are dropdown menus for 'Within: Select' and 'For: Name or Number', and a 'Go' button. Below the navigation bar, there are links for 'Check Name Availability', 'Professional Registers', and 'Information Brokers'. The main content area is titled 'Browse Results' and contains a search bar with 'Within: Select' and 'For: ' and a 'Go' button. Below the search bar, there is a heading 'Australian Financial Services Authorised Representative Register' and a sub-heading 'Starting at "test"'. A table of results is displayed with columns for Name, Number, Type, Status, and Address. The table contains 10 rows of results. Below the table, there is a 'View Results List' button and a 'View Details' button. The page also features a 'Shopping Cart (0)' button, a 'Help' button, and a 'Quick Links' sidebar with various links like 'Report a data issue', 'Look up ABN information', etc. At the bottom, there are links for 'Back', 'New Search', and 'View Details'.

Name (*indicates former name)	Number	Type	Status	Address
<input type="checkbox"/> TEST, APPOINT	228030	Person	Current	'L19' 1 Martin Place SYDNEY
<input checked="" type="checkbox"/> TEST, ASIC	227972	Person	Ceased	Address Unknown
<input type="checkbox"/> TEST, DATE	227883	Person	Current	'L19' 1 Martin Place SYDNEY
<input type="checkbox"/> TEST, JASON	226972	Person	Current	51A Hume Street CROWS NE
<input type="checkbox"/> TEST, ROD	226734	Person	Current	Unit 10 66-68 Chapel Street
<input type="checkbox"/> TEST, STEVE	226971	Person	Current	51A Hume Street CROWS NE
<input type="checkbox"/> *TEST_CHANGE, FIRST	226824	Person	Ceased	Address Unknown
<input type="checkbox"/> TEST_CHANGE LAST, FIRST	226824	Person	Ceased	Address Unknown
<input type="checkbox"/> TEST_CHANGE NAME LTD	227104	Organisation	Current	1 Martin Place SYDNEY NSW
<input type="checkbox"/> *TEST_COMPANY FOUR_PTY LTD	227483	Organisation	Current	11 Oceanview Street POINT

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Search results

This screen displays further information about the name you have selected.

If there is any further information relevant to the search, it will be displayed in this section.

To start a new search click **New Search**.

The screenshot shows the ASIC Connect website interface. At the top, there is a search bar with the text 'Search ASIC Registers' and a 'Go' button. Below the search bar, there are navigation links: 'Check Name Availability', 'Professional Registers', and 'Information Brokers'. The main content area is titled 'View Details' and 'Professional Registers'. It shows a 'Representative Summary' for 'ASIC TEST' with the following details: AFS Representative Number: 227972, Status: Ceased, Commenced: 28/06/2006, and Ceased: 05/07/2006. Below this is a 'Licenses Represented' section with a table. The table has columns for Name, Number, ABN, Commenced as Representative, and Ceased as Representative. The only entry is 'QBE MM TEST LIMITED' with Number 227416, ABN 70 550 468 801, Commenced as Representative 28/06/2006, and Ceased as Representative 05/07/2006. At the bottom of the page, there is a 'Back' button and a 'New Search' button. A red line with arrows points from the text on the left to the 'New Search' button and the 'Licenses Represented' table.

Search ASIC Registers

Within: Select

For: Name or Number

Go

Check Name Availability Professional Registers Information Brokers

View Details

Professional Registers

Australian F...

Representative Summary

Name: ASIC TEST

AFS Representative Number: 227972

Status: Ceased

Commenced: 28/06/2006

Ceased: 05/07/2006

View PDF

Licenses Represented

Name (* indicates former name)	Number	ABN	Commenced as Representative	Ceased as Representative
QBE MM TEST LIMITED	227416	70 550 468 801	28/06/2006	05/07/2006

Back New Search

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Shopping Cart (0) View Cart

Quick Links

- Report a data issue
- Look up ABN information
- ASIC Website
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- Information brokers
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