



Australian insolvency statistics

Released: October 2014

Series 3: External administrators' reports

3.2 - External administrators' reports for selected industries, 1 July 2013–30 June 2014

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Inquiries

For further information about these and related statistics, email insolvencystatistics@asic.gov.au.

**ASIC**

Australian Securities & Investments Commission

Australian insolvency statistics

Released: October 2014

Table 3.2.1.1 - Initial external administrators' reports for Other (business & personal) services industry—Size of company as measured by number of FTEs by region (1 July 2013–30 June 2014)

Full-time equivalent employees	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
Less than 5 FTE	36	790	9	291	67	14	392	63	1,662
Between 5 and 19 FTE	7	107	2	41	6	3	42	16	224
Between 20 and 199 FTE	0	39	0	17	1	1	23	3	84
200 or more FTE	0	10	0	0	2	0	0	0	12
Not known	4	310	0	95	11	2	67	10	499
Total	47	1,256	11	444	87	20	524	92	2,481

Note: One company with an international registered address has been excluded from these statistics.

Table 3.2.1.2 - Initial external administrators' reports for Other (business & personal) services industry—Nominated causes of failure by region (1 July 2013–30 June 2014)

Causes of company failure	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Nominated causes of failure
Under capitalisation	12	143	1	66	19	1	88	19	349
Poor financial control including lack of records	17	555	0	105	24	4	159	30	894
Poor management of accounts receivable	1	97	1	46	8	1	51	16	221
Poor strategic management of business	12	508	3	217	32	7	176	44	999
Inadequate cash flow or high cash use	20	523	7	136	36	5	177	44	948
Poor economic conditions	11	201	3	99	15	4	97	14	444
Natural disaster	0	2	0	14	1	0	5	0	22
Fraud	1	9	0	8	5	0	6	3	32
DOCA failed	0	0	0	0	0	0	1	1	2
Dispute among directors	0	20	1	8	0	4	16	5	54
Trading losses	14	353	5	106	30	3	129	31	671
Industry restructuring	0	32	0	3	0	0	9	1	45
Other	15	359	3	133	24	8	174	23	739
Total	103	2,802	24	941	194	37	1,088	231	5,420

Note: One company with an international registered address has been excluded from these statistics.

**Table 3.2.1.3 - Initial external administrators' reports for Other (business & personal) services industry—Possible misconduct by region
(1 July 2013–30 June 2014)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Total
Number of reports where external administrator has documentary evidence and recommended the case warranted inquiry by ASIC	8	182	2	70	14	4	76	13	369
PRE-APPOINTMENT CRIMINAL MISCONDUCT									
Section 184—Good faith, use of position and use of information—Directors', officers' and employees' duties	1	21	1	9	7	0	7	4	50
Section 206A—Disqualified persons not to manage corporations	1	4	0	0	0	0	1	0	6
Sections 286 & 344(2)—Obligation to keep financial records	1	51	0	10	0	0	12	3	77
Section 471A—Powers of other officers suspended during winding up	0	3	0	0	0	0	5	0	8
Section 588G(3)—Insolvent trading	1	45	0	13	15	0	13	4	91
Section 590—Offences by officers or employees	0	8	0	2	0	0	4	2	16
Section 596AB—Agreements to avoid employee entitlements	0	0	0	1	0	0	0	0	1
Other criminal offences under the Corporations Act	0	8	0	1	1	0	1	0	11
Sub-total	4	140	1	36	23	0	43	13	260
POST-APPOINTMENT CRIMINAL MISCONDUCT									
Sections 429, 438B & 475—Report as to company's affairs	3	227	1	47	14	1	54	11	358
Section 530A—Officers to help liquidator	3	164	2	52	12	0	58	8	299
Section 530B—Requirement to provide liquidator with company's books	4	139	2	43	10	1	39	8	246
Sub-total	10	530	5	142	36	2	151	27	903
ALLEGED BREACHES OF CIVIL OBLIGATIONS									
Section 180—Care and diligence—Directors' and officers' duties	22	357	3	112	17	2	115	38	666
Section 181—Good faith—Directors' and officers' duties	6	140	3	64	11	2	53	25	304
Section 182—Use of position—Directors', officer's and employees' duties	5	96	3	27	7	0	42	14	194
Section 183—Use of information—Directors', officers' and employees' duties	0	26	1	7	1	0	10	3	48
Sections 286 & 344(1)—Obligation to keep financial records	23	666	3	113	22	5	209	35	1,076
Section 588G(1)–(2)—Insolvent trading	31	860	7	170	58	7	253	51	1,437
Sub-total	87	2,145	20	493	116	16	682	166	3,725
OTHER CRIMINAL OFFENCES									
Criminal offence under the Corporations Act (if a member or contributory)	0	0	0	1	0	0	0	0	1
Criminal offence under another Commonwealth statute	0	1	0	0	0	0	0	1	2
Criminal offence under a state or territory law		1	0	0	0	0	1	0	2
Sub-total	0	2	0	0	0	0	1	1	5
OTHER POSSIBLE MISCONDUCT									
May have misapplied or retained, or may have become liable or accountable for money or property of the company	0	5	0	4	1	2	2	0	14
May have been guilty of negligence, default, breach of duty or breach of trust in relation to the company	0	3	0	2	0	2	0	2	9
Sub-total	0	8	0	6	1	4	2	2	23
Total misconduct reported	101	2,825	26	677	176	22	879	209	4,916
No misconduct reported	10	167	2	153	12	10	157	22	533

Note: One company with an international registered address has been excluded from these statistics.

Table 3.2.1.4 - Initial external administrators' reports for Other (business & personal) services industry—Assets, liabilities and deficiency by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
ASSET CATEGORIES									
Less than \$1	16	708	5	204	39	11	217	42	1,242
\$1–\$10,000	13	247	3	96	22	0	126	13	520
\$10,001–\$20,000	5	77	0	31	7	0	48	6	174
\$20,001–\$30,000	5	46	1	22	1	1	31	4	111
\$30,001–\$50,000	3	35	0	19	6	2	22	7	94
\$50,001–\$100,000	2	40	0	25	4	1	29	7	108
\$100,001–\$250,000	2	41	1	16	6	1	19	4	90
\$250,001–\$5 million	1	57	1	31	2	4	32	9	137
Over \$5 million	0	5	0	0	0	0	0	0	5
Total	47	1,256	11	444	87	20	524	92	2,481
LIABILITIES CATEGORIES									
\$1–\$250,000	28	745	2	202	43	10	250	35	1,315
\$250,001–less than \$1 million	16	329	9	163	23	7	182	37	766
\$1 million–less than \$5 million	3	122	0	60	16	3	70	19	293
\$5 million–\$10 million	0	22	0	8	2	0	15	1	48
Over \$10 million	0	38	0	11	3	0	7	0	59
Total	47	1,256	11	444	87	20	524	92	2,481
DEFICIENCY CATEGORIES									
\$0–\$50,000	12	330	1	51	17	8	64	9	492
\$50,001–\$250,000	18	436	2	168	28	5	201	31	889
\$250,001–less than \$500,000	7	192	7	91	13	3	102	20	435
\$500,000–less than \$1 million	7	130	1	63	8	1	74	14	298
\$1 million–less than \$5 million	3	116	0	51	16	3	63	16	268
\$5 million–\$10 million	0	19	0	10	3	0	14	1	47
Over \$10 million	0	33	0	10	2	0	6	1	52
Total	47	1,256	11	444	87	20	524	92	2,481

Note: One company with an international registered address has been excluded from these statistics.

Table 3.2.1.5 - Initial external administrators' reports for Other (business & personal) services industry—Unpaid employee entitlements by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
UNPAID WAGES									
\$1–\$1 000	1	32	1	15	0	1	7	2	59
\$1,001–\$10,000	4	105	0	28	7	2	45	6	197
\$10,001–\$50,000	0	1	0	0	0	0	0	0	1
\$50,001–\$150,000	1	41	0	14	4	0	25	3	88
\$150,001–\$250,000	0	13	0	3	0	0	7	2	25
\$250,001–\$500,000	0	1	0	0	0	0	2	0	3
\$500,001–less than \$1.5 million	0	4	0	1	0	0	0	0	5
\$1.5 million–\$5 million	0	3	0	1	0	0	0	0	4
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	41	1,055	10	382	76	17	437	79	2,097
Total	47	1,255	11	444	87	20	523	92	2,479
UNPAID ANNUAL LEAVE									
\$1–\$1,000	2	22	0	15	0	2	4	1	46
\$1,001–\$10,000	5	93	0	29	14	2	48	8	199
\$10,001–\$50,000	2	58	1	19	4	1	26	4	115
\$50,001–\$150,000	0	18	0	11	0	1	10	3	43
\$150,001–\$250,000	0	5	0	1	0	0	2	0	8
\$250,001–\$500,000	0	4	0	0	0	0	2	0	6
\$500,001–less than \$1.5 million	0	2	0	0	0	0	0	0	2
\$1.5 million–\$5 million	0	2	0	0	0	0	0	0	2
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	38	1,051	10	369	69	14	431	76	2,058
Total	47	1,255	11	444	87	20	523	92	2,479
UNPAID PAY IN LIEU OF NOTICE									
\$1–\$1,000	1	24	0	14	0	1	2	1	43
\$1,001–\$10,000	1	32	0	18	5	0	17	3	76
\$10,001–\$50,000	1	23	1	18	1	1	14	4	63
\$50,001–\$150,000	0	11	0	1	0	1	6	1	20
\$150,001–\$250,000	0	4	0	0	0	0	2	0	6
\$250,001–\$500,000	0	4	0	0	0	0	1	0	5
\$500,001–less than \$1.5 million	0	2	0	0	0	0	2	0	4
\$1.5 million–\$5 million	0	1	0	0	0	0	0	0	1
Over \$5 million	0	1	0	0	0	0	0	0	1
Not Applicable	44	1,153	10	393	81	17	479	83	2,260
Total	47	1,255	11	444	87	20	523	92	2,479
UNPAID REDUNDANCY									
\$1–\$1,000	1	18	0	13	0	1	1	0	34
\$1,001–\$10,000	0	10	0	4	3	0	4	2	23
\$10,001–\$50,000	0	15	1	11	2	0	6	0	35
\$50,001–\$150,000	1	10	0	5	1	2	7	3	29
\$150,001–\$250,000	0	4	0	0	0	0	0	0	4
\$250,001–\$500,000	0	3	0	1	0	0	4	0	8
\$500,001–less than \$1.5 million	0	4	0	0	0	0	2	0	6
\$1.5 million–\$5 million	0	1	0	0	0	0	0	0	1
Over \$5 million	0	1	0	1	0	0	1	1	4
Not Applicable	45	1,189	10	409	81	17	498	86	2,335
Total	47	1,255	11	444	87	20	523	92	2,479
UNPAID LONG SERVICE LEAVE									
\$1–\$1,000	0	18	0	12	1	2	3	0	36
\$1,001–\$10,000	0	26	0	5	0	1	11	2	45
\$10,001–\$50,000	0	25	0	9	2	1	14	4	55
\$50,001–\$150,000	1	10	0	4	0	0	5	0	20
\$150,001–\$250,000	0	1	0	0	0	0	3	0	4
\$250,001–\$500,000	0	3	0	0	0	0	1	0	4
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	1	0	0	0	0	0	0	1
Over \$5 million	0	2	0	0	0	0	0	0	2
Not Applicable	46	1,169	11	414	84	16	486	86	2,312
Total	47	1,255	11	444	87	20	523	92	2,479
UNPAID SUPERANNUATION									
\$1–\$100,000	15	316	3	143	26	9	188	34	734
\$100,001–\$250,000	2	31	1	14	5	0	23	6	82
\$250,001–\$1 million	0	9	1	5	1	0	11	1	28
Over \$1 million	0	4	0	0	0	0	0	0	4
Not Applicable	30	896	6	282	55	11	302	51	1,633
Total	47	1,256	11	444	87	20	524	92	2,481

Note 1: One company with an international registered address has been excluded from these statistics.

Note 2: Reports identified as being internally inconsistent were excluded from this table. No reports were excluded from superannuation.

Table 3.2.1.6 - Initial external administrators' reports for Other (business & personal) services industry—Amount owed to secured creditors by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	39	1,022	10	316	64	14	411	72	1,948
\$1–less than \$500,000	7	159	1	88	12	4	78	18	367
\$500,000–less than \$1 million	0	17	0	12	1	2	15	1	48
\$1 million–less than \$5 million	1	27	0	20	6	0	15	1	70
\$5 million–\$10 million	0	5	0	0	4	0	0	0	9
Over \$10 million	0	26	0	8	0	0	5	0	39
Total	47	1,256	11	444	87	20	524	92	2,481

Note: One company with an international registered address has been excluded from these statistics.

Table 3.2.1.7 - Initial external administrators' reports for Other (business & personal) services industry—Unpaid taxes and charges by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	5	221	2	69	17	9	63	5	391
\$1–\$250,000	33	837	1	285	55	9	355	65	1,640
\$250,001–\$1 million	8	148	8	73	14	2	72	17	342
Over \$1 million	1	50	0	17	1	0	34	5	108
Total	47	1,256	11	444	87	20	524	92	2,481

Note: One company with an international registered address has been excluded from these statistics.

Table 3.2.1.8 - Initial external administrators' reports for Other (business & personal) services industry—Unsecured creditors by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
NUMBER OF UNSECURED CREDITORS									
Less than 25	46	1,148	10	384	76	14	454	74	2,206
25–50	0	58	1	24	5	5	34	5	132
51–200	1	28	0	12	1	1	22	7	72
More than 200	0	8	0	2	1	0	1	0	12
Unknown	0	14	0	22	4	0	13	6	59
Total	47	1,256	11	444	87	20	524	92	2,481
AMOUNT OWED TO UNSECURED CREDITORS									
Less than \$250,000	37	939	10	305	68	15	374	58	1,806
\$250,000–\$500,000	2	120	1	58	6	1	64	11	263
\$500,001–less than \$1 million	7	84	0	43	4	1	42	12	193
\$1 million–less than \$5 million	1	75	0	31	7	3	30	10	157
\$5 million–\$10 million	0	12	0	3	1	0	13	1	30
Over \$10 million	0	26	0	4	1	0	1	0	32
Total	47	1,256	11	444	87	20	524	92	2,481
MORE THAN 50% OF DEBT OWED TO RELATED PARTIES									
Number	5	226	0	74	12	4	94	22	437
ESTIMATED 'CENTS' IN THE \$ DIVIDEND TO UNSECURED CREDITORS									
\$0	43	1,195	10	419	79	16	486	84	2,332
Greater than 0 but less than 11 cents	2	33	1	15	4	2	25	2	84
11–20c	2	11	0	2	2	0	4	2	23
21–50c	0	8	0	2	0	0	7	2	19
51–100c	0	9	0	6	2	2	2	2	23
Total	47	1,256	11	444	87	20	524	92	2,481

Note: One company with an international registered address has been excluded from these statistics.

Table 3.2.1.9 - Initial external administrators' reports for Other (business & personal) services industry—External administrator's remuneration by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
VOLUNTARY ADMINISTRATOR FEES									
\$0	10	131	0	43	8	1	36	2	231
\$1–\$50,000	0	82	2	32	3	1	19	1	140
\$50,001–\$100,000	0	10	0	3	1	1	2	4	21
\$100,001–\$250,000	0	9	0	2	0	0	3	0	14
Over \$250,000	0	2	0	0	0	0	0	1	3
Not applicable	37	1,022	9	364	75	17	464	84	2,072
Total	47	1,256	11	444	87	20	524	92	2,481
DEED OF COMPANY ARRANGEMENT FEES									
\$0	10	113	0	46	8	1	37	2	217
\$1–\$50,000	0	18	0	2	0	0	4	0	24
\$50,001–\$100,000	0	1	0	1	1	0	0	0	3
\$100,001–\$250,000	0	0	0	0	0	0	0	0	0
Over \$250,000	0	2	0	0	0	0	0	0	2
Not applicable	37	1,122	11	395	78	19	483	90	2,235
Total	47	1,256	11	444	87	20	524	92	2,481
LIQUIDATOR FEES									
\$0	12	416	2	129	38	9	143	22	771
\$1–\$50,000	33	757	7	289	46	5	341	54	1,532
\$50,001–\$100,000	2	37	2	18	1	4	22	11	97
\$100,001–\$250,000	0	12	0	3	1	2	10	4	32
Over \$250,000	0	4	0	0	1	0	2	0	7
Not applicable	0	30	0	5	0	0	6	1	42
Total	47	1,256	11	444	87	20	524	92	2,481
RECEIVER/CONTROLLER FEES									
\$0	10	114	0	44	9	1	35	2	215
\$1–\$50,000	0	1	0	3	0	0	1	0	5
\$50,001–\$100,000	0	4	0	0	0	0	0	0	4
\$100,001–\$250,000	0	0	0	0	0	0	0	0	0
Over \$250,000	0	2	0	1	0	0	0	0	3
Not applicable	37	1,135	11	396	78	19	488	90	2,254
Total	47	1,256	11	444	87	20	524	92	2,481

Note: One company with an international registered address has been excluded from these statistics.



Australian insolvency statistics

Released: October 2014

Table 3.2.2.1 - Initial external administrators' reports for Construction industry—Size of company as measured by number of FTEs by region (1 July 2013–30 June 2014)

Full-time equivalent employees	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
Less than 5 FTE	36	617	4	249	51	21	373	104	1,455
Between 5 and 19 FTE	11	91	0	66	12	5	85	33	303
Between 20 and 199 FTE	4	29	0	20	4	2	28	13	100
200 or more FTE	0	0	0	0	0	0	0	0	0
Not known	13	145	2	64	7	0	55	9	295
Total	64	882	6	399	74	28	541	159	2,153

Table 3.2.2.2 - Initial external administrators' reports for Construction industry—Nominated causes of failure by region (1 July 2013–30 June 2014)

Causes of company failure	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Nominated causes of failure
Under capitalisation	16	155	1	73	20	8	118	44	435
Poor financial control including lack of records	21	322	3	85	21	5	154	49	660
Poor management of accounts receivable	10	118	0	60	11	6	99	32	336
Poor strategic management of business	22	347	2	186	30	18	203	84	892
Idequate cash flow or high cash use	30	401	3	206	27	15	231	87	1,000
Poor economic conditions	7	194	2	159	26	5	118	47	558
Natural disaster	0	5	0	8	0	0	4	0	17
Fraud	1	7	0	3	0	0	17	2	30
DOCA failed	0	4	0	26	0	0	4	1	35
Dispute among directors	2	9	0	12	0	1	19	9	52
Trading losses	24	254	1	117	26	15	184	77	698
Industry restructuring	0	3	0	28	1	1	14	3	50
Other	19	277	3	84	16	8	160	44	611
Total	152	2,096	15	1,047	178	82	1,325	479	5,374

Table 3.2.2.3 - Initial external administrators' reports for Construction industry—Possible misconduct by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Total
Number of reports where external administrator has documentary evidence and recommended the case warranted inquiry by ASIC	20	129	0	43	11	4	90	27	324
PRE-APPOINTMENT CRIMINAL MISCONDUCT									
Section 184—Good faith, use of position and use of information—Directors', officers' and employees' duties	1	15	0	6	0	3	15	2	42
Section 206A—Disqualified persons not to manage corporations	0	8	0	0	1	0	1	0	10
Sections 286 & 344(2)—Obligation to keep financial records	0	41	0	19	0	2	10	6	78
Section 471A—Powers of other officers suspended during winding up	0	2	0	0	0	1	1	0	4
Section 588G(3)—Insolvent trading	2	32	0	19	6	1	9	6	75
Section 590—Offences by officers or employees	0	6	1	4	0	0	13	1	25
Section 596AB—Agreements to avoid employee entitlements	0	1	0	0	0	0	0	0	1
Other criminal offences under the Corporations Act	0	8	0	2	0	0	2	0	12
Sub-total	3	113	1	50	7	7	51	15	247
POST-APPOINTMENT CRIMINAL MISCONDUCT									
Sections 429, 438B & 475—Report as to company's affairs	13	139	1	24	14	0	35	7	233
Section 530A—Officers to help liquidator	7	127	2	36	6	2	39	8	227
Section 530B—Requirement to provide liquidator with company's books	11	121	1	35	6	1	43	7	225
Sub-total	31	387	4	95	26	3	117	22	685
ALLEGED BREACHES OF CIVIL OBLIGATIONS									
Section 180—Care and diligence—Directors' and officers' duties	22	207	2	95	13	10	93	65	507
Section 181—Good faith—Directors' and officers' duties	12	94	1	51	5	5	70	42	280
Section 182—Use of position—Directors', officer's and employees' duties	9	69	0	25	4	4	55	30	196
Section 183—Use of information—Directors', officers' and employees' duties	0	29	0	11	0	4	22	7	73
Sections 286 & 344(1)—Obligation to keep financial records	28	400	1	84	15	9	204	41	782
Section 588G(1)–(2)—Insolvent trading	42	543	2	188	42	16	288	99	1,220
Sub-total	113	1,342	6	454	79	48	732	284	3,058
OTHER CRIMINAL OFFENCES									
Criminal offence under the Corporations Act (if a member or contributory)	0	0	0	0	0	0	1	1	2
Criminal offence under another Commonwealth statute	3	0	0	1	0	0	3	1	8
Criminal offence under a state or territory law	0	1	0	0	0	1	1	2	5
Sub-total	3	1	0	1	0	1	5	4	15
OTHER POSSIBLE MISCONDUCT									
May have misapplied or retained, or may have become liable or accountable for money or property of the company	1	3	0	1	2	0	4	1	12
May have been guilty of negligence, default, breach of duty or breach of trust in relation to the company	1	3	0	1	1	0	4	3	13
Sub-total	2	6	0	2	3	0	8	4	25
Total misconduct reported	152	1,849	11	602	115	59	913	329	4,030
No misconduct reported	4	170	2	135	17	8	142	42	520

**Table 3.2.2.4 - Initial external administrators' reports for Construction industry—Assets, liabilities and deficiency by region
(1 July 2013–30 June 2014)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
ASSET CATEGORIES									
Less than \$1	20	421	3	154	27	5	197	33	860
\$1–\$10,000	11	179	2	82	24	7	105	31	441
\$10,001–\$20,000	7	71	0	40	1	2	58	14	193
\$20,001–\$30,000	4	40	0	17	4	0	19	6	90
\$30,001–\$50,000	6	37	0	12	3	1	21	9	89
\$50,001–\$100,000	5	41	0	21	3	3	36	17	126
\$100,001–\$250,000	3	36	0	26	5	5	49	14	138
\$250,001–\$5 million	7	49	1	38	7	5	54	30	191
Over \$5 million	1	8	0	9	0	0	2	5	25
Total	64	882	6	399	74	28	541	159	2,153
LIABILITIES CATEGORIES									
\$1–\$250,000	34	462	3	137	32	6	182	45	901
\$250,001–less than \$1 million	18	234	1	131	26	12	215	56	693
\$1 million–less than \$5 million	7	131	2	90	11	9	124	39	413
\$5 million–\$10 million	4	21	0	16	2	1	13	8	65
Over \$10 million	1	34	0	25	3	0	7	11	81
Total	64	882	6	399	74	28	541	159	2,153
DEFICIENCY CATEGORIES									
\$0–\$50,000	13	180	2	24	7	1	41	12	280
\$50,001–\$250,000	27	309	1	128	26	7	166	37	701
\$250,001–less than \$500,000	8	136	1	60	13	8	114	33	373
\$500,000–less than \$1 million	6	86	0	64	16	6	93	28	299
\$1 million–less than \$5 million	8	122	2	83	8	5	109	35	372
\$5 million–\$10 million	2	18	0	20	1	1	13	6	61
Over \$10 million	0	31	0	20	3	0	5	8	67
Total	64	882	6	399	74	28	541	159	2,153

**Table 3.2.2.5 - Initial external administrators' reports for Construction industry—Unpaid employee entitlements by region
(1 July 2013–30 June 2014)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
UNPAID WAGES									
\$1–\$1 000	5	26	0	14	3	0	10	3	61
\$1,001–\$10,000	2	73	0	25	8	8	51	11	178
\$10,001–\$50,000	4	33	0	23	6	3	36	11	116
\$50,001–\$150,000	0	6	0	7	2	0	12	4	31
\$150,001–\$250,000	0	1	0	3	0	0	0	6	10
\$250,001–\$500,000	0	3	0	0	0	0	1	0	4
\$500,001–less than \$1.5 million	0	0	0	2	0	0	0	0	2
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	53	739	6	325	55	17	430	123	1,748
Total	64	881	6	399	74	28	540	158	2,150
UNPAID ANNUAL LEAVE									
\$1–\$1,000	8	25	0	11	0	0	8	2	54
\$1,001–\$10,000	3	65	0	26	8	4	52	13	171
\$10,001–\$50,000	1	49	0	35	8	6	44	18	161
\$50,001–\$150,000	2	13	0	12	8	2	19	6	62
\$150,001–\$250,000	1	4	0	3	0	0	5	5	18
\$250,001–\$500,000	0	3	0	0	0	0	2	1	6
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	1	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	1	0	0	0	0	0	0	1
Not Applicable	49	721	6	312	50	16	410	112	1,676
Total	64	881	6	399	74	28	540	158	2,150
UNPAID PAY IN LIEU OF NOTICE									
\$1–\$1,000	4	22	0	10	0	2	6	2	46
\$1,001–\$10,000	0	31	0	16	9	2	26	6	90
\$10,001–\$50,000	2	25	0	21	10	5	29	13	105
\$50,001–\$150,000	1	5	0	8	2	1	15	4	36
\$150,001–\$250,000	1	1	0	1	1	0	3	4	11
\$250,001–\$500,000	0	1	0	0	0	0	1	1	3
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	1	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	56	796	6	343	52	18	460	127	1,858
Total	64	881	6	399	74	28	540	158	2,150
UNPAID REDUNDANCY									
\$1–\$1,000	5	17	0	8	0	2	6	3	41
\$1,001–\$10,000	0	18	0	8	5	0	17	5	53
\$10,001–\$50,000	1	21	0	11	7	4	12	5	61
\$50,001–\$150,000	2	8	0	12	6	2	14	4	48
\$150,001–\$250,000	1	3	0	4	1	1	7	6	23
\$250,001–\$500,000	0	3	0	1	0	0	4	3	11
\$500,001–less than \$1.5 million	0	0	0	0	0	0	1	0	1
\$1.5 million–\$5 million	0	1	0	0	0	0	0	0	1
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	55	810	6	355	55	19	479	132	1,911
Total	64	881	6	399	74	28	540	158	2,150
UNPAID LONG SERVICE LEAVE									
\$1–\$1,000	7	18	0	8	2	2	4	2	43
\$1,001–\$10,000	2	18	0	4	1	0	19	14	58
\$10,001–\$50,000	2	12	0	14	5	1	22	4	60
\$50,001–\$150,000	0	5	0	6	2	0	7	3	23
\$150,001–\$250,000	1	1	0	1	0	0	0	0	3
\$250,001–\$500,000	0	2	0	0	0	0	1	1	4
\$500,001–less than \$1.5 million	0	1	0	0	0	0	0	0	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	1	0	0	0	0	0	0	1
Not Applicable	52	823	6	366	64	25	487	134	1,957
Total	64	881	6	399	74	28	540	158	2,150
UNPAID SUPERANNUATION									
\$1–\$100,000	18	253	0	126	34	13	203	60	707
\$100,001–\$250,000	1	13	0	21	3	6	13	15	72
\$250,001–\$1 million	0	9	0	6	0	1	2	2	20
Over \$1 million	0	1	0	0	0	0	1	1	3
Not Applicable	45	606	6	246	37	8	322	81	1,351
Total	64	882	6	399	74	28	541	159	2,153

Note: Reports identified as being internally inconsistent were excluded from this table. No reports were excluded from superannuation.

**Table 3.2.2.6 - Initial external administrators' reports for Construction industry—Amount owed to secured creditors by region
(1 July 2013–30 June 2014)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	47	669	5	230	49	9	353	95	1,457
\$1–less than \$500,000	14	132	1	105	16	16	119	33	436
\$500,000–less than \$1 million	0	17	0	23	3	1	22	11	77
\$1 million–less than \$5 million	2	29	0	16	4	2	36	12	101
\$5 million–\$10 million	0	10	0	8	1	0	6	3	28
Over \$10 million	1	25	0	17	1	0	5	5	54
Total	64	882	6	399	74	28	541	159	2,153

**Table 3.2.2.7 - Initial external administrators' reports for Construction industry—Unpaid taxes and charges by region
(1 July 2013–30 June 2014)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	12	146	2	63	9	3	53	15	303
\$1–\$250,000	39	582	3	254	50	21	370	85	1,404
\$250,001–\$1 million	10	120	1	67	14	3	94	49	358
Over \$1 million	3	34	0	15	1	1	24	10	88
Total	64	882	6	399	74	28	541	159	2,153

**Table 3.2.2.8 - Initial external administrators' reports for Construction industry—Unsecured creditors by region
(1 July 2013–30 June 2014)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
NUMBER OF UNSECURED CREDITORS									
Less than 25	53	760	4	300	47	14	390	99	1,667
25–50	3	65	1	41	12	7	80	22	231
51–200	8	50	1	48	13	7	55	35	217
More than 200	0	1	0	4	1	0	8	1	15
Unknown	0	6	0	6	1	0	8	2	23
Total	64	882	6	399	74	28	541	159	2,153
AMOUNT OWED TO UNSECURED CREDITORS									
Less than \$250,000	47	609	3	203	44	13	288	76	1,283
\$250,000–\$500,000	6	102	1	49	11	5	97	25	296
\$500,001–less than \$1 million	3	64	1	58	7	5	68	18	224
\$1 million–less than \$5 million	8	85	1	62	11	4	78	30	279
\$5 million–\$10 million	0	12	0	18	0	1	9	7	47
Over \$10 million	0	10	0	9	1	0	1	3	24
Total	64	882	6	399	74	28	541	159	2,153
MORE THAN 50% OF DEBT OWED TO RELATED PARTIES									
Number	6	151	1	81	10	3	87	24	363
ESTIMATED 'CENTS' IN THE \$ DIVIDEND TO UNSECURED CREDITORS									
\$0	53	828	6	364	71	22	497	133	1,974
Greater than 0 but less than 11 cents	5	33	0	22	1	3	28	12	104
11–20c	0	6	0	7	1	0	9	9	32
21–50c	3	8	0	3	0	2	3	4	23
51–100c	3	7	0	3	1	1	4	1	20
Total	64	882	6	399	74	28	541	159	2,153

**Table 3.2.2.9 - Initial external administrators' reports for Construction industry—External administrator's remuneration by region
(1 July 2012–30 June 2013)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
VOLUNTARY ADMINISTRATOR FEES									
\$0	12	73	0	53	12	1	53	15	219
\$1–\$50,000	4	71	1	50	2	0	21	12	161
\$50,001–\$100,000	1	11	0	9	1	2	9	5	38
\$100,001–\$250,000	2	7	0	2	1	1	5	9	27
Over \$250,000	0	2	0	1	1	0	0	0	4
Not applicable	45	718	5	284	57	24	453	118	1,704
Total	64	882	6	399	74	28	541	159	2,153
DEED OF COMPANY ARRANGEMENT FEES									
\$0	12	78	0	75	12	1	54	14	246
\$1–\$50,000	1	17	0	8	1	0	7	7	41
\$50,001–\$100,000	1	0	0	3	1	0	1	0	6
\$100,001–\$250,000	1	0	0	2	0	0	1	0	4
Over \$250,000	0	2	0	0	0	0	0	0	2
Not applicable	49	785	6	311	60	27	478	138	1,854
Total	64	882	6	399	74	28	541	159	2,153
LIQUIDATOR FEES									
\$0	12	282	4	130	28	2	139	27	624
\$1–\$50,000	42	520	2	225	32	20	337	90	1,268
\$50,001–\$100,000	4	34	0	26	9	5	35	20	133
\$100,001–\$250,000	3	17	0	8	2	1	13	8	52
Over \$250,000	1	0	0	1	0	0	5	4	11
Not applicable	2	29	0	9	3	0	12	10	65
Total	64	882	6	399	74	28	541	159	2,153
RECEIVER/CONTROLLER FEES									
\$0	12	79	0	53	12	1	53	16	226
\$1–\$50,000	0	2	0	0	1	0	3	0	6
\$50,001–\$100,000	0	0	0	0	0	0	2	0	2
\$100,001–\$250,000	0	0	0	0	0	0	0	0	0
Over \$250,000	0	0	0	1	0	0	2	1	4
Not applicable	52	801	6	345	61	27	481	142	1,915
Total	64	882	6	399	74	28	541	159	2,153



Australian insolvency statistics

Released: October 2014

Table 3.2.3.1 - Initial external administrators' reports for Accommodation & food services industry—Size of company as measured by number of FTEs by region (1 July 2013–30 June 2014)

Full-time equivalent employees	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
Less than 5 FTE	16	217	0	100	31	4	138	43	549
Between 5 and 19 FTE	6	71	0	53	14	4	37	10	195
Between 20 and 199 FTE	4	22	0	14	1	2	9	3	55
200 or more FTE	0	0	0	0	0	0	1	0	1
Not known	2	61	0	19	4	1	26	3	116
Total	28	371	0	186	50	11	211	59	916

Table 3.2.3.2 - Initial external administrators' reports for Accommodation & food services industry—Nominated causes of failure by region (1 July 2013–30 June 2014)

Causes of company failure	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Nominated causes of failure
Under capitalisation	6	69	0	25	15	4	50	19	188
Poor financial control including lack of records	10	134	0	42	7	4	60	13	270
Poor management of accounts receivable	0	8	0	4	3	0	5	4	24
Poor strategic management of business	10	173	0	95	20	6	87	35	426
Inadequate cash flow or high cash use	11	161	0	71	28	6	94	34	405
Poor economic conditions	4	90	0	77	16	3	53	15	258
Natural disaster	0	2	0	12	0	0	3	0	17
Fraud	0	4	0	0	0	0	2	0	6
DOCA failed	0	2	0	0	0	0	1	1	4
Dispute among directors	3	16	0	6	1	0	7	3	36
Trading losses	12	155	0	87	30	6	97	23	410
Industry restructuring	0	2	0	0	1	0	5	0	8
Other	7	91	0	32	8	1	50	16	205
Total	63	907	0	451	129	30	514	163	2,257

**Table 3.2.3.3 - Initial external administrators' reports for Accommodation & food services industry—Possible misconduct by region
(1 July 2013–30 June 2014)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Total
Number of reports where external administrator has documentary evidence and recommended the case warranted inquiry by ASIC	7	55	0	16	4	2	31	12	127
PRE-APPOINTMENT CRIMINAL MISCONDUCT									
Section 184—Good faith, use of position and use of information—Directors', officers' and employees' duties	2	5	0	3	0	0	2	3	15
Section 206A—Disqualified persons not to manage corporations	1	0	0	0	0	0	2	1	4
Sections 286 & 344(2)—Obligation to keep financial records	1	16	0	7	0	0	4	2	30
Section 471A—Powers of other officers suspended during winding up	1	4	0	0	0	0	0	0	5
Section 588G(3)—Insolvent trading	1	13	0	11	5	0	3	5	38
Section 590—Offences by officers or employees	1	4	0	0	0	0	3	1	9
Section 596AB—Agreements to avoid employee entitlements	0	0	0	0	0	0	0	0	0
Other criminal offences under the Corporations Act	0	1	0	0	0	0	2	0	3
Sub-total	7	43	0	21	5	0	16	12	104
POST-APPOINTMENT CRIMINAL MISCONDUCT									
Sections 429, 438B & 475—Report as to company's affairs	3	45	0	14	6	0	17	2	87
Section 530A—Officers to help liquidator	2	42	0	18	3	0	16	3	84
Section 530B—Requirement to provide liquidator with company's books	1	33	0	13	2	0	14	2	65
Sub-total	6	120	0	45	11	0	47	7	236
ALLEGED BREACHES OF CIVIL OBLIGATIONS									
Section 180—Care and diligence—Directors' and officers' duties	18	109	0	46	13	2	34	17	239
Section 181—Good faith—Directors' and officers' duties	11	43	0	26	0	0	12	12	104
Section 182—Use of position—Directors', officer's and employees' duties	4	23	0	10	1	1	15	6	60
Section 183—Use of information—Directors', officers' and employees' duties	1	7	0	3	0	0	2	2	15
Sections 286 & 344(1)—Obligation to keep financial records	17	152	0	52	14	2	81	18	336
Section 588G(1)–(2)—Insolvent trading	23	253	0	94	35	7	118	41	571
Sub-total	74	587	0	231	63	12	262	96	1,325
OTHER CRIMINAL OFFENCES									
Criminal offence under the Corporations Act (if a member or contributory)	0	1	0	0	0	0	0	0	1
Criminal offence under another Commonwealth statute	0	1	0	1	0	0	3	0	5
Criminal offence under a state or territory law	0	0	0	0	0	0	0	0	0
Sub-total	0	2	0	1	0	0	3	0	6
OTHER POSSIBLE MISCONDUCT									
May have misapplied or retained, or may have become liable or accountable for money or property of the company	0	2	0	1	0	0	1	1	5
May have been guilty of negligence, default, breach of duty or breach of trust in relation to the company	0	2	0	0	0	0	1	0	3
Sub-total	0	4	0	1	0	0	2	1	8
Total misconduct reported	87	756	0	299	79	12	330	116	1,679
No misconduct reported	2	52	0	57	8	3	57	16	195

Table 3.2.3.4 - Initial external administrators' reports for Accommodation & food services industry—Assets, liabilities and deficiency by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
ASSET CATEGORIES									
Less than \$1	9	152	0	81	19	2	87	17	367
\$1–\$10,000	3	90	0	39	10	2	48	10	202
\$10,001–\$20,000	1	34	0	21	6	1	23	4	90
\$20,001–\$30,000	5	29	0	15	3	1	8	7	68
\$30,001–\$50,000	1	18	0	10	6	1	14	7	57
\$50,001–\$100,000	3	17	0	8	5	4	10	4	51
\$100,001–\$250,000	3	15	0	3	1	0	10	2	34
\$250,001–\$5 million	3	16	0	9	0	0	11	8	47
Over \$5 million	0	0	0	0	0	0	0	0	0
Total	28	371	0	186	50	11	211	59	916
LIABILITIES CATEGORIES									
\$1–\$250,000	11	172	0	66	28	4	79	13	373
\$250,001–less than \$1 million	14	144	0	73	17	5	88	33	374
\$1 million–less than \$5 million	3	47	0	39	4	0	39	11	143
\$5 million–\$10 million	0	4	0	7	0	0	1	2	14
Over \$10 million	0	4	0	1	1	2	4	0	12
Total	28	371	0	186	50	11	211	59	916
DEFICIENCY CATEGORIES									
\$0–\$50,000	3	53	0	19	0	1	11	1	88
\$50,001–\$250,000	9	127	0	51	29	3	71	15	305
\$250,001–less than \$500,000	7	81	0	52	10	4	49	18	221
\$500,000–less than \$1 million	6	63	0	22	6	1	38	15	151
\$1 million–less than \$5 million	3	39	0	35	4	0	37	8	126
\$5 million–\$10 million	0	4	0	6	0	0	1	2	13
Over \$10 million	0	4	0	1	1	2	4	0	12
Total	28	371	0	186	50	11	211	59	916

**Table 3.2.3.5 - Initial external administrators' reports for Accommodation & food services industry—Unpaid employee entitlements by region
(1 July 2013–30 June 2014)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
UNPAID WAGES									
\$1–\$1 000	0	8	0	9	1	1	6	0	25
\$1,001–\$10,000	4	45	0	11	5	2	23	4	94
\$10,001–\$50,000	5	15	0	5	2	2	14	1	44
\$50,001–\$150,000	1	6	0	0	1	0	1	2	11
\$150,001–\$250,000	0	0	0	3	0	0	2	0	5
\$250,001–\$500,000	0	0	0	0	0	0	1	0	1
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	18	296	0	157	41	6	163	50	731
Total	28	370	0	185	50	11	210	57	911
UNPAID ANNUAL LEAVE									
\$1–\$1,000	0	8	0	8	1	0	2	0	19
\$1,001–\$10,000	2	36	0	16	4	1	33	9	101
\$10,001–\$50,000	2	29	0	17	1	5	17	5	76
\$50,001–\$150,000	2	7	0	1	1	1	3	1	16
\$150,001–\$250,000	0	1	0	0	0	0	1	0	2
\$250,001–\$500,000	0	0	0	0	0	0	0	0	0
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	22	289	0	143	43	4	154	42	697
Total	28	370	0	185	50	11	210	57	911
UNPAID PAY IN LIEU OF NOTICE									
\$1–\$1,000	0	2	0	7	1	0	4	1	15
\$1,001–\$10,000	2	17	0	8	3	1	12	5	48
\$10,001–\$50,000	2	9	0	6	0	2	2	1	22
\$50,001–\$150,000	1	2	0	2	0	1	1	0	7
\$150,001–\$250,000	0	0	0	0	0	0	1	0	1
\$250,001–\$500,000	0	0	0	0	0	0	0	0	0
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	23	340	0	162	46	7	190	50	818
Total	28	370	0	185	50	11	210	57	911
UNPAID REDUNDANCY									
\$1–\$1,000	0	1	0	5	0	0	2	0	8
\$1,001–\$10,000	1	2	0	4	0	0	7	2	16
\$10,001–\$50,000	1	8	0	4	2	2	3	2	22
\$50,001–\$150,000	1	3	0	2	0	1	2	0	9
\$150,001–\$250,000	1	1	0	0	0	0	1	0	3
\$250,001–\$500,000	0	1	0	0	0	0	0	0	1
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	1	0	0	1
Not Applicable	24	354	0	170	48	7	195	53	851
Total	28	370	0	185	50	11	210	57	911
UNPAID LONG SERVICE LEAVE									
\$1–\$1,000	0	4	0	5	0	0	1	0	10
\$1,001–\$10,000	0	10	0	2	1	0	6	1	20
\$10,001–\$50,000	0	4	0	0	3	2	4	2	15
\$50,001–\$150,000	1	2	0	1	0	0	0	0	4
\$150,001–\$250,000	0	0	0	0	0	0	0	0	0
\$250,001–\$500,000	0	0	0	0	0	0	0	0	0
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	27	350	0	177	46	9	199	54	862
Total	28	370	0	185	50	11	210	57	911
UNPAID SUPERANNUATION									
\$1–\$100,000	13	163	0	104	30	10	95	37	452
\$100,001–\$250,000	1	19	0	9	3	0	19	8	59
\$250,001–\$1 million	2	8	0	4	0	1	6	0	21
Over \$1 million	0	1	0	0	0	0	0	0	1
Not Applicable	12	180	0	69	17	0	91	14	383
Total	28	371	0	186	50	11	211	59	916

Note: Reports identified as being internally inconsistent were excluded from this table. No reports were excluded from superannuation.

Table 3.2.3.6 - Initial external administrators' reports for Accommodation & food services industry—Amount owed to secured creditors by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	23	255	0	117	36	5	153	38	627
\$1–less than \$500,000	5	86	0	46	12	3	41	12	205
\$500,000–less than \$1 million	0	16	0	4	1	0	6	3	30
\$1 million–less than \$5 million	0	11	0	16	0	2	9	6	44
\$5 million–\$10 million	0	1	0	2	1	1	0	0	5
Over \$10 million	0	2	0	1	0	0	2	0	5
Total	28	371	0	186	50	11	211	59	916

Table 3.2.3.7 - Initial external administrators' reports for Accommodation & food services industry—Unpaid taxes and charges by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	4	47	0	16	4	0	21	5	97
\$1–\$250,000	20	264	0	130	42	9	159	32	656
\$250,001–\$1 million	2	53	0	38	4	2	30	22	151
Over \$1 million	2	7	0	2	0	0	1	0	12
Total	28	371	0	186	50	11	211	59	916

Table 3.2.3.8 - Initial external administrators' reports for Accommodation & food services industry—Unsecured creditors by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
NUMBER OF UNSECURED CREDITORS									
Less than 25	15	305	0	150	34	6	153	40	703
25–50	10	40	0	22	10	2	31	13	128
51–200	3	22	0	12	5	3	23	6	74
More than 200	0	1	0	0	0	0	1	0	2
Unknown	0	3	0	2	1	0	3	0	9
Total	28	371	0	186	50	11	211	59	916
AMOUNT OWED TO UNSECURED CREDITORS									
Less than \$250,000	16	242	0	107	38	6	130	37	576
\$250,000–\$500,000	7	60	0	29	6	2	38	5	147
\$500,001–less than \$1 million	3	43	0	21	1	2	15	13	98
\$1 million–less than \$5 million	2	23	0	25	4	0	23	4	81
\$5 million–\$10 million	0	2	0	4	1	0	2	0	9
Over \$10 million	0	1	0	0	0	1	3	0	5
Total	28	371	0	186	50	11	211	59	916
MORE THAN 50% OF DEBT OWED TO RELATED PARTIES									
Number	5	99	0	44	9	1	48	17	223
ESTIMATED 'CENTS' IN THE \$ DIVIDEND TO UNSECURED CREDITORS									
\$0	26	351	0	177	50	10	202	49	865
Greater than 0 but less than 11 cents	0	14	0	7	0	1	7	5	34
11–20c	1	2	0	1	0	0	2	2	8
21–50c	1	4	0	0	0	0	0	2	7
51–100c	0	0	0	1	0	0	0	1	2
Total	28	371	0	186	50	11	211	59	916

Table 3.2.3.9 - Initial external administrators' reports for Accommodation & food services industry—External administrator's remuneration by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
VOLUNTARY ADMINISTRATOR FEES									
\$0	2	30	0	14	5	1	20	1	73
\$1–\$50,000	2	33	0	8	3	2	12	7	67
\$50,001–\$100,000	0	9	0	3	0	0	3	2	17
\$100,001–\$250,000	0	5	0	1	0	0	0	0	6
Over \$250,000	0	0	0	2	0	0	2	0	4
Not applicable	24	294	0	158	42	8	174	49	749
Total	28	371	0	186	50	11	211	59	916
DEED OF COMPANY ARRANGEMENT FEES									
\$0	3	33	0	14	4	1	24	1	80
\$1–\$50,000	0	9	0	2	1	0	0	0	12
\$50,001–\$100,000	0	0	0	0	0	0	0	2	2
\$100,001–\$250,000	0	1	0	0	0	0	0	0	1
Over \$250,000	0	0	0	0	0	0	0	0	0
Not applicable	25	328	0	170	45	10	187	56	821
Total	28	371	0	186	50	11	211	59	916
LIQUIDATOR FEES									
\$0	3	90	0	37	10	1	61	10	212
\$1–\$50,000	20	250	0	139	35	7	133	39	623
\$50,001–\$100,000	3	12	0	3	4	2	7	6	37
\$100,001–\$250,000	0	3	0	2	0	0	4	3	12
Over \$250,000	2	0	0	0	0	0	2	0	4
Not applicable	0	16	0	5	1	1	4	1	28
Total	28	371	0	186	50	11	211	59	916
RECEIVER/CONTROLLER FEES									
\$0	3	33	0	13	4	1	19	1	74
\$1–\$50,000	0	0	0	1	0	0	1	0	2
\$50,001–\$100,000	0	1	0	1	0	0	0	0	2
\$100,001–\$250,000	0	1	0	0	0	0	1	0	2
Over \$250,000	0	0	0	0	0	0	0	0	0
Not applicable	25	336	0	171	46	10	190	58	836
Total	28	371	0	186	50	11	211	59	916

**ASIC**

Australian Securities & Investments Commission

Australian insolvency statistics

Released: October 2014

Table 3.2.4.1 - Initial external administrators' reports for Retail trade industry—Size of company as measured by number of FTEs by region (1 July 2013–30 June 2014)

Full-time equivalent employees	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
Less than 5 FTE	11	234	3	119	32	9	158	32	598
Between 5 and 19 FTE	5	45	1	30	7	1	41	8	138
Between 20 and 199 FTE	1	16	1	4	4	0	12	2	40
200 or more FTE	0	4	0	1	0	0	0	0	5
Not known	1	30	0	27	1	0	26	4	89
Total	18	329	5	181	44	10	237	46	870

Table 3.2.4.2 - Initial external administrators' reports for Retail trade industry—Nominated causes of failure by region (1 July 2013–30 June 2014)

Causes of company failure	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Nominated causes of failure
Under capitalisation	6	62	0	31	7	1	50	8	165
Poor financial control including lack of records	5	112	2	29	7	2	72	11	240
Poor management of accounts receivable	2	22	0	10	2	0	14	3	53
Poor strategic management of business	3	137	2	83	21	4	87	26	363
Inadequate cash flow or high cash use	8	159	2	80	18	6	103	23	399
Poor economic conditions	4	74	1	84	14	6	83	17	283
Natural disaster	0	1	0	11	0	0	3	0	15
Fraud	0	2	0	4	0	0	4	0	10
DOCA failed	1	0	0	0	0	0	2	0	3
Dispute among directors	1	5	0	5	4	0	7	5	27
Trading losses	8	135	3	71	27	6	77	25	352
Industry restructuring	0	9	0	3	2	0	4	6	24
Other	5	101	1	26	9	2	65	11	220
Total	43	819	11	437	111	27	571	135	2,154

Table 3.2.4.3 - Initial external administrators' reports for Retail trade industry—Possible misconduct by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Total
Number of reports where external administrator has documentary evidence and recommended the case warranted inquiry by ASIC	1	58	1	19	8	0	46	6	139
PRE-APPOINTMENT CRIMINAL MISCONDUCT									
Section 184—Good faith, use of position and use of information—Directors', officers' and employees' duties	0	6	0	5	0	0	3	1	15
Section 206A—Disqualified persons not to manage corporations	0	0	0	0	0	0	1	0	1
Sections 286 & 344(2)—Obligation to keep financial records	0	12	0	8	1	0	6	3	30
Section 471A—Powers of other officers suspended during winding up	0	0	0	0	0	0	1	0	1
Section 588G(3)—Insolvent trading	0	17	0	5	6	1	8	5	42
Section 590—Offences by officers or employees	0	3	0	3	0	0	5	1	12
Section 596AB—Agreements to avoid employee entitlements	0	0	0	0	0	0	0	1	1
Other criminal offences under the Corporations Act	0	0	0	0	0	0	2	0	2
Sub-total	0	38	0	21	7	1	26	11	104
POST-APPOINTMENT CRIMINAL MISCONDUCT									
Sections 429, 438B & 475—Report as to company's affairs	1	33	0	9	4	0	10	3	60
Section 530A—Officers to help liquidator	1	30	0	7	4	0	16	5	63
Section 530B—Requirement to provide liquidator with company's books	1	35	0	13	3	0	20	3	75
Sub-total	3	98	0	29	11	0	46	11	198
ALLEGED BREACHES OF CIVIL OBLIGATIONS									
Section 180—Care and diligence—Directors' and officers' duties	6	113	0	35	10	1	47	21	233
Section 181—Good faith—Directors' and officers' duties	4	57	0	19	3	1	27	9	120
Section 182—Use of position—Directors', officer's and employees' duties	1	42	0	10	2	1	14	3	73
Section 183—Use of information—Directors', officers' and employees' duties	1	23	0	6	0	1	3	1	35
Sections 286 & 344(1)—Obligation to keep financial records	8	143	1	27	6	2	87	18	292
Section 588G(1)–(2)—Insolvent trading	13	219	2	76	32	8	121	32	503
Sub-total	33	597	3	173	53	14	299	84	1,256
OTHER CRIMINAL OFFENCES									
Criminal offence under the Corporations Act (if a member or contributory)	0	0	0	0	0	0	0	0	0
Criminal offence under another Commonwealth statute	0	0	0	0	0	0	0	0	0
Criminal offence under a state or territory law	0	0	0	1	0	0	2	0	3
Sub-total	0	0	0	1	0	0	2	0	3
OTHER POSSIBLE MISCONDUCT									
May have misapplied or retained, or may have become liable or accountable for money or property of the company	0	2	0	1	1	0	2	1	7
May have been guilty of negligence, default, breach of duty or breach of trust in relation to the company	0	1	1	3	0	0	3	0	8
Sub-total	0	3	1	4	1	0	5	1	15
Total misconduct reported	36	736	4	228	72	15	378	107	1,576
No misconduct reported	5	64	2	82	11	2	63	7	236

**Table 3.2.4.4 - Initial external administrators' reports for Retail trade industry—Assets, liabilities and deficiency by region
(1 July 2013–30 June 2014)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
ASSET CATEGORIES									
Less than \$1	5	132	1	55	12	2	74	9	290
\$1–\$10,000	1	75	0	53	9	3	73	10	224
\$10,001–\$20,000	0	34	1	22	8	1	23	2	91
\$20,001–\$30,000	1	14	0	7	0	1	10	2	35
\$30,001–\$50,000	4	22	1	13	5	1	13	4	63
\$50,001–\$100,000	5	18	0	10	4	0	14	9	60
\$100,001–\$250,000	0	13	2	12	2	0	16	7	52
\$250,001–\$5 million	2	19	0	9	4	2	14	3	53
Over \$5 million	0	2	0	0	0	0	0	0	2
Total	18	329	5	181	44	10	237	46	870
LIABILITIES CATEGORIES									
\$1–\$250,000	7	138	1	69	19	5	78	12	329
\$250,001–less than \$1 million	7	102	3	68	15	4	99	20	318
\$1 million–less than \$5 million	4	47	0	36	9	1	53	13	163
\$5 million–\$10 million	0	21	1	3	1	0	4	1	31
Over \$10 million	0	21	0	5	0	0	3	0	29
Total	18	329	5	181	44	10	237	46	870
DEFICIENCY CATEGORIES									
\$0–\$50,000	3	40	0	8	3	2	16	3	75
\$50,001–\$250,000	4	106	1	64	17	4	68	12	276
\$250,001–less than \$500,000	4	57	1	35	7	3	53	10	170
\$500,000–less than \$1 million	4	42	2	33	7	1	46	9	144
\$1 million–less than \$5 million	3	43	0	33	10	0	47	12	148
\$5 million–\$10 million	0	23	1	3	0	0	4	0	31
Over \$10 million	0	18	0	5	0	0	3	0	26
Total	18	329	5	181	44	10	237	46	870

**Table 3.2.4.5 - Initial external administrators' reports for Retail trade industry—Unpaid employee entitlements by region
(1 July 2013–30 June 2014)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
UNPAID WAGES									
\$1–\$1 000	1	20	0	14	2	1	3	1	42
\$1,001–\$10,000	2	42	1	9	7	2	34	9	106
\$10,001–\$50,000	1	17	0	4	5	0	17	2	46
\$50,001–\$150,000	0	8	0	3	0	0	3	0	14
\$150,001–\$250,000	0	1	0	0	0	0	0	0	1
\$250,001–\$500,000	0	0	0	1	0	0	0	0	1
\$500,001–less than \$1.5 million	0	0	0	1	0	0	0	0	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	14	239	4	149	30	7	180	34	657
Total	18	327	5	181	44	10	237	46	868
UNPAID ANNUAL LEAVE									
\$1–\$1,000	2	11	0	11	0	0	3	0	27
\$1,001–\$10,000	3	42	0	16	2	0	40	6	109
\$10,001–\$50,000	1	29	1	12	7	3	22	5	80
\$50,001–\$150,000	1	10	1	3	3	0	9	4	31
\$150,001–\$250,000	0	3	0	0	1	0	1	0	5
\$250,001–\$500,000	0	1	0	2	0	0	1	0	4
\$500,001–less than \$1.5 million	0	2	0	1	0	0	0	0	3
\$1.5 million–\$5 million	0	0	0	1	0	0	0	0	1
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	11	229	3	135	31	7	161	31	608
Total	18	327	5	181	44	10	237	46	868
UNPAID PAY IN LIEU OF NOTICE									
\$1–\$1,000	2	12	0	9	2	0	4	0	29
\$1,001–\$10,000	1	25	0	10	1	2	16	4	59
\$10,001–\$50,000	1	17	1	11	5	1	17	6	59
\$50,001–\$150,000	0	5	1	1	3	0	3	0	13
\$150,001–\$250,000	0	1	0	0	0	0	1	0	2
\$250,001–\$500,000	0	0	0	0	0	0	0	0	0
\$500,001–less than \$1.5 million	0	1	0	0	0	0	0	0	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	14	266	3	150	33	7	196	36	705
Total	18	327	5	181	44	10	237	46	868
UNPAID REDUNDANCY									
\$1–\$1,000	1	7	0	8	2	0	1	0	19
\$1,001–\$10,000	3	5	0	3	0	0	2	2	15
\$10,001–\$50,000	0	12	0	6	1	1	14	3	37
\$50,001–\$150,000	0	8	1	2	3	0	6	4	24
\$150,001–\$250,000	0	1	0	1	1	0	1	0	4
\$250,001–\$500,000	0	3	0	0	0	0	1	0	4
\$500,001–less than \$1.5 million	0	2	0	1	0	0	0	0	3
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	14	289	4	160	37	9	212	37	762
Total	18	327	5	181	44	10	237	46	868
UNPAID LONG SERVICE LEAVE									
\$1–\$1,000	0	11	0	9	0	1	2	0	23
\$1,001–\$10,000	2	5	1	2	0	1	16	1	28
\$10,001–\$50,000	1	14	1	11	5	0	16	4	52
\$50,001–\$150,000	0	6	0	2	2	0	3	2	15
\$150,001–\$250,000	0	2	0	0	2	0	0	1	5
\$250,001–\$500,000	0	2	0	1	0	0	1	0	4
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	1	0	0	0	0	1
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	15	287	3	155	35	8	199	38	740
Total	18	327	5	181	44	10	237	46	868
UNPAID SUPERANNUATION									
\$1–\$100,000	10	128	1	72	28	8	104	26	377
\$100,001–\$250,000	1	8	0	12	1	1	10	0	33
\$250,001–\$1 million	1	8	0	4	1	0	2	0	16
Over \$1 million	0	2	0	1	0	0	0	0	3
Not Applicable	6	183	4	92	14	1	121	20	441
Total	18	329	5	181	44	10	237	46	870

Note: Reports identified as being internally inconsistent were excluded from this table. No reports were excluded from superannuation.

Table 3.2.4.6 - Initial external administrators' reports for Retail trade industry—Amount owed to secured creditors by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	9	193	2	106	31	3	146	25	515
\$1–less than \$500,000	6	80	2	49	9	6	55	14	221
\$500,000–less than \$1 million	3	5	0	14	0	1	16	4	43
\$1 million–less than \$5 million	0	17	0	7	4	0	18	3	49
\$5 million–\$10 million	0	17	0	0	0	0	1	0	18
Over \$10 million	0	17	1	5	0	0	1	0	24
Total	18	329	5	181	44	10	237	46	870

Table 3.2.3.7 - Initial external administrators' reports for Retail trade industry—Unpaid taxes and charges by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	2	72	1	22	3	0	35	7	142
\$1–\$250,000	14	221	4	135	36	7	175	35	627
\$250,001–\$1 million	1	29	0	21	4	3	23	4	85
Over \$1 million	1	7	0	3	1	0	4	0	16
Total	18	329	5	181	44	10	237	46	870

Table 3.2.4.8 - Initial external administrators' reports for Retail trade industry—Unsecured creditors by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
NUMBER OF UNSECURED CREDITORS									
Less than 25	13	253	3	129	24	8	143	24	597
25–50	3	36	0	32	11	2	51	11	146
51–200	2	33	1	13	8	0	36	10	103
More than 200	0	5	1	3	0	0	3	0	12
Unknown	0	2	0	4	1	0	4	1	12
Total	18	329	5	181	44	10	237	46	870
AMOUNT OWED TO UNSECURED CREDITORS									
Less than \$250,000	10	195	1	99	28	8	116	19	476
\$250,000–\$500,000	6	53	1	24	5	1	45	11	146
\$500,001–less than \$1 million	1	41	2	32	3	1	40	10	130
\$1 million–less than \$5 million	1	31	1	21	8	0	33	5	100
\$5 million–\$10 million	0	7	0	1	0	0	2	1	11
Over \$10 million	0	2	0	4	0	0	1	0	7
Total	18	329	5	181	44	10	237	46	870
MORE THAN 50% OF DEBT OWED TO RELATED PARTIES									
Number	6	79	2	44	9	0	63	11	214
ESTIMATED 'CENTS' IN THE \$ DIVIDEND TO UNSECURED CREDITORS									
\$0	17	312	5	171	40	7	232	44	828
Greater than 0 but less than 11 cents	1	10	0	7	4	2	4	2	30
11–20c	0	2	0	2	0	0	0	0	4
21–50c	0	3	0	1	0	0	1	0	5
51–100c	0	2	0	0	0	1	0	0	3
Total	18	329	5	181	44	10	237	46	870

**Table 3.2.4.9 - Initial external administrators' reports for Retail trade industry—External administrator's remuneration by region
(1 July 2013–30 June 2014)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
VOLUNTARY ADMINISTRATOR FEES									
\$0	1	24	1	23	3	3	18	4	77
\$1–\$50,000	1	53	1	14	1	0	13	3	86
\$50,001–\$100,000	2	10	0	4	1	0	6	2	25
\$100,001–\$250,000	0	4	0	2	1	0	3	0	10
Over \$250,000	0	3	0	0	0	0	1	0	4
Not applicable	14	235	3	138	38	7	196	37	668
Total	18	329	5	181	44	10	237	46	870
DEED OF COMPANY ARRANGEMENT FEES									
\$0	1	27	1	24	3	3	17	5	81
\$1–\$50,000	1	1	0	0	1	0	1	1	5
\$50,001–\$100,000	0	0	0	0	0	0	2	0	2
\$100,001–\$250,000	0	0	0	1	0	0	0	0	1
Over \$250,000	0	0	0	0	0	0	0	0	0
Not applicable	16	301	4	156	40	7	217	40	781
Total	18	329	5	181	44	10	237	46	870
LIQUIDATOR FEES									
\$0	1	84	1	40	6	3	61	5	201
\$1–\$50,000	16	217	2	124	31	5	151	37	583
\$50,001–\$100,000	0	15	1	14	4	1	19	3	57
\$100,001–\$250,000	1	6	0	2	1	1	4	0	15
Over \$250,000	0	1	0	0	1	0	1	0	3
Not applicable	0	6	1	1	1	0	1	1	11
Total	18	329	5	181	44	10	237	46	870
RECEIVER/CONTROLLER FEES									
\$0	2	27	1	26	3	3	19	4	85
\$1–\$50,000	0	0	0	0	0	0	0	1	1
\$50,001–\$100,000	0	1	0	0	0	0	0	0	1
\$100,001–\$250,000	0	0	0	0	0	0	0	0	0
Over \$250,000	0	0	0	0	0	0	1	0	1
Not applicable	16	301	4	155	41	7	217	41	782
Total	18	329	5	181	44	10	237	46	870



Australian insolvency statistics

Released: October 2014

Table 3.2.5.1 - Initial external administrators' reports for Transport, postal & warehousing industry—Size of company as measured by number of FTEs by region (1 July 2013–30 June 2014)

Full-time equivalent employees	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
Less than 5 FTE	2	153	0	62	10	3	97	11	338
Between 5 and 19 FTE	3	21	0	15	6	2	19	4	70
Between 20 and 199 FTE	1	5	1	4	2	1	9	2	25
200 or more FTE	0	0	0	0	0	0	1	0	1
Not known	0	34	0	21	0	0	13	5	73
Total	6	213	1	102	18	6	139	22	507

Note: One company with an international registered address has been excluded from these statistics.

Table 3.2.5.2 - Initial external administrators' reports for Transport, postal & warehousing industry—Nominated causes of failure by region (1 July 2013–30 June 2014)

Causes of company failure	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Nominated causes of failure
Under capitalisation	0	38	1	9	2	0	22	10	82
Poor financial control including lack of records	1	85	0	31	6	2	49	8	182
Poor management of accounts receivable	0	19	0	17	0	1	18	2	57
Poor strategic management of business	0	90	1	52	7	2	56	12	220
Inadequate cash flow or high cash use	5	91	1	49	5	3	51	17	222
Poor economic conditions	0	24	0	27	6	2	25	4	88
Natural disaster	0	2	0	6	0	0	1	1	10
Fraud	0	4	0	3	0	0	3	1	11
DOCA failed	0	1	0	0	0	0	0	0	1
Dispute among directors	0	4	0	2	0	0	2	0	8
Trading losses	5	52	1	29	8	4	44	7	150
Industry restructuring	0	3	0	1	1	0	4	0	9
Other	1	76	0	26	5	1	43	7	159
Total	12	489	4	252	40	15	318	69	1,199

Note: One company with an international registered address has been excluded from these statistics.

Table 3.2.5.3 - Initial external administrators' reports for Transport, postal & warehousing industry—Possible misconduct by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	Total
Number of reports where external administrator has documentary evidence and recommended the case warranted inquiry by ASIC	0	45	1	9	2	0	43	3	103
PRE-APPOINTMENT CRIMINAL MISCONDUCT									
Section 184—Good faith, use of position and use of information—Directors', officers' and employees' duties	0	4	0	3	0	0	2	1	10
Section 206A—Disqualified persons not to manage corporations	0	0	0	3	0	0	0	0	3
Sections 286 & 344(2)—Obligation to keep financial records	0	10	0	4	0	0	3	2	19
Section 471A—Powers of other officers suspended during winding up	0	2	0	1	0	0	0	1	4
Section 588G(3)—Insolvent trading	0	8	0	5	3	1	4	1	22
Section 590—Offences by officers or employees	0	0	0	4	0	0	2	3	9
Section 596AB—Agreements to avoid employee entitlements	0	0	0	0	0	0	0	0	0
Other criminal offences under the Corporations Act	0	3	0	1	0	0	0	0	4
Sub-total	0	27	0	21	3	1	11	8	71
POST-APPOINTMENT CRIMINAL MISCONDUCT									
Sections 429, 438B & 475—Report as to company's affairs	0	46	0	11	2	1	15	3	78
Section 530A—Officers to help liquidator	0	42	0	21	1	1	16	4	85
Section 530B—Requirement to provide liquidator with company's books	0	30	0	15	0	1	16	4	66
Sub-total	0	118	0	47	3	3	47	11	229
ALLEGED BREACHES OF CIVIL OBLIGATIONS									
Section 180—Care and diligence—Directors' and officers' duties	1	64	1	26	1	2	22	11	128
Section 181—Good faith—Directors' and officers' duties	1	27	1	18	0	3	11	6	67
Section 182—Use of position—Directors', officer's and employees' duties	0	24	0	9	0	1	11	5	50
Section 183—Use of information—Directors', officers' and employees' duties	0	9	0	4	0	1	5	2	21
Sections 286 & 344(1)—Obligation to keep financial records	1	98	0	24	7	2	57	10	199
Section 588G(1)–(2)—Insolvent trading	3	136	1	33	12	4	83	17	289
Sub-total	6	358	3	114	20	13	189	51	754
OTHER CRIMINAL OFFENCES									
Criminal offence under the Corporations Act (if a member or contributory)	0	0	0	1	0	0	0	0	1
Criminal offence under another Commonwealth statute	0	0	0	1	0	0	0	0	1
Criminal offence under a state or territory law	0	2	0	1	0	0	0	1	4
Sub-total	0	2	0	3	0	0	0	1	6
OTHER POSSIBLE MISCONDUCT									
May have misapplied or retained, or may have become liable or accountable for money or property of the company	0	1	0	3	0	0	2	1	7
May have been guilty of negligence, default, breach of duty or breach of trust in relation to the company	0	0	0	2	0	0	1	2	5
Sub-total	0	1	0	5	0	0	3	3	12
Total misconduct reported	6	506	3	190	26	17	250	74	1,072
No misconduct reported	2	25	0	41	1	0	34	3	106

Note: One company with an international registered address has been excluded from these statistics.

Table 3.2.5.4 - Initial external administrators' reports for Transport, postal & warehousing industry—Assets, liabilities and deficiency by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
ASSET CATEGORIES									
Less than \$1	1	92	0	38	5	0	66	3	205
\$1–\$10,000	0	40	0	19	3	1	22	2	87
\$10,001–\$20,000	1	21	0	12	1	1	11	2	49
\$20,001–\$30,000	0	10	0	3	0	0	7	0	20
\$30,001–\$50,000	0	15	0	6	1	0	5	4	31
\$50,001–\$100,000	0	13	0	4	2	0	5	4	28
\$100,001–\$250,000	0	11	0	7	2	0	8	4	32
\$250,001–\$5 million	4	11	1	13	4	4	14	3	54
Over \$5 million	0	0	0	0	0	0	1	0	1
Total	6	213	1	102	18	6	139	22	507
LIABILITIES CATEGORIES									
\$1–\$250,000	0	131	0	44	6	1	71	10	263
\$250,001–less than \$1 million	0	58	0	36	8	3	45	6	156
\$1 million–less than \$5 million	1	19	0	17	3	2	15	6	63
\$5 million–\$10 million	0	4	1	3	1	0	4	0	13
Over \$10 million	5	1	0	2	0	0	4	0	12
Total	6	213	1	102	18	6	139	22	507
DEFICIENCY CATEGORIES									
\$0–\$50,000	0	66	0	12	2	1	14	1	96
\$50,001–\$250,000	1	69	0	37	5	0	64	11	187
\$250,001–less than \$500,000	0	38	0	22	6	3	17	1	87
\$500,000–less than \$1 million	0	21	0	12	2	1	24	3	63
\$1 million–less than \$5 million	0	14	0	15	3	1	13	6	52
\$5 million–\$10 million	1	4	1	3	0	0	4	0	13
Over \$10 million	4	1	0	1	0	0	3	0	9
Total	6	213	1	102	18	6	139	22	507

Note: One company with an international registered address has been excluded from these statistics.

**Table 3.2.5.5 - Initial external administrators' reports for Transport, postal & warehousing industry—Unpaid employee entitlements by region
(1 July 2013–30 June 2014)**

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
UNPAID WAGES									
\$1–\$1 000	0	5	0	4	0	0	4	1	14
\$1,001–\$10,000	0	23	0	5	3	0	14	2	47
\$10,001–\$50,000	2	10	0	6	1	2	3	1	25
\$50,001–\$150,000	0	3	0	0	0	0	4	0	7
\$150,001–\$250,000	1	1	0	0	0	0	0	0	2
\$250,001–\$500,000	0	0	0	0	0	0	1	0	1
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	3	169	1	86	14	4	112	18	407
Total	6	211	1	101	18	6	138	22	503
UNPAID ANNUAL LEAVE									
\$1–\$1,000	0	4	0	2	0	0	1	1	8
\$1,001–\$10,000	0	18	0	7	4	0	19	1	49
\$10,001–\$50,000	1	12	0	12	1	1	6	2	35
\$50,001–\$150,000	2	6	1	2	0	2	3	1	17
\$150,001–\$250,000	0	1	0	0	1	0	2	1	5
\$250,001–\$500,000	1	1	0	0	0	0	1	0	3
\$500,001–less than \$1.5 million	0	0	0	0	0	0	2	0	2
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	2	169	0	78	12	3	104	16	384
Total	6	211	1	101	18	6	138	22	503
UNPAID PAY IN LIEU OF NOTICE									
\$1–\$1,000	0	4	0	2	0	0	2	0	8
\$1,001–\$10,000	0	4	0	6	1	0	6	1	18
\$10,001–\$50,000	1	10	0	7	1	1	4	4	28
\$50,001–\$150,000	2	2	1	0	0	0	2	0	7
\$150,001–\$250,000	0	0	0	0	1	1	2	0	4
\$250,001–\$500,000	1	0	0	0	0	0	1	0	2
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	2	191	0	86	15	4	121	17	436
Total	6	211	1	101	18	6	138	22	503
UNPAID REDUNDANCY									
\$1–\$1,000	0	4	0	2	0	0	1	0	7
\$1,001–\$10,000	0	2	0	0	0	0	1	0	3
\$10,001–\$50,000	0	6	0	4	0	0	2	3	15
\$50,001–\$150,000	1	2	0	1	1	0	2	0	7
\$150,001–\$250,000	1	1	1	0	0	0	2	0	5
\$250,001–\$500,000	1	1	0	0	1	1	2	0	6
\$500,001–less than \$1.5 million	0	0	0	0	0	0	1	0	1
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	3	195	0	94	16	5	127	19	459
Total	6	211	1	101	18	6	138	22	503
UNPAID LONG SERVICE LEAVE									
\$1–\$1,000	0	3	0	2	0	0	0	0	5
\$1,001–\$10,000	0	5	0	0	0	0	2	2	9
\$10,001–\$50,000	3	7	0	6	0	1	1	1	19
\$50,001–\$150,000	1	2	1	0	1	1	5	0	11
\$150,001–\$250,000	0	1	0	0	1	0	1	0	3
\$250,001–\$500,000	0	0	0	0	0	0	0	0	0
\$500,001–less than \$1.5 million	0	0	0	0	0	0	0	0	0
\$1.5 million–\$5 million	0	0	0	0	0	0	0	0	0
Over \$5 million	0	0	0	0	0	0	0	0	0
Not Applicable	2	193	0	93	16	4	129	19	456
Total	6	211	1	101	18	6	138	22	503
UNPAID SUPERANNUATION									
\$1–\$100,000	2	62	0	34	9	4	41	13	165
\$100,001–\$250,000	1	7	0	8	2	1	11	4	34
\$250,001–\$1 million	0	2	1	4	1	0	4	1	13
Over \$1 million	1	0	0	1	0	0	1	0	3
Not Applicable	2	142	0	55	6	1	82	4	292
Total	6	213	1	102	18	6	139	22	507

Note 1: One company with an international registered address has been excluded from these statistics.

Note 2: Reports identified as being internally inconsistent were excluded from this table. No reports were excluded from superannuation.

Table 3.2.5.6 - Initial external administrators' reports for Transport, postal & warehousing industry—Amount owed to secured creditors by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	1	157	0	60	7	1	90	15	331
\$1–less than \$500,000	0	41	0	30	6	3	33	4	117
\$500,000–less than \$1 million	1	6	0	4	2	1	2	1	17
\$1 million–less than \$5 million	0	6	1	7	2	1	13	2	32
\$5 million–\$10 million	0	3	0	0	1	0	1	0	5
Over \$10 million	4	0	0	1	0	0	0	0	5
Total	6	213	1	102	18	6	139	22	507

Note: One company with an international registered address has been excluded from these statistics.

Table 3.2.5.7 - Initial external administrators' reports for Transport, postal & warehousing industry—Unpaid taxes and charges by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
\$0	1	26	0	9	3	0	14	2	55
\$1–\$250,000	3	164	0	73	12	4	98	14	368
\$250,001–\$1 million	2	20	1	16	3	2	20	6	70
Over \$1 million	0	3	0	4	0	0	7	0	14
Total	6	213	1	102	18	6	139	22	507

Note: One company with an international registered address has been excluded from these statistics.

Table 3.2.5.8 - Initial external administrators' reports for Transport, postal & warehousing industry—Unsecured creditors by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
NUMBER OF UNSECURED CREDITORS									
Less than 25	3	191	0	81	12	4	117	19	427
25–50	0	10	0	9	4	1	7	1	32
51–200	2	10	1	9	2	1	9	1	35
More than 200	1	0	0	1	0	0	3	0	5
Unknown	0	2	0	2	0	0	3	1	8
Total	6	213	1	102	18	6	139	22	507
AMOUNT OWED TO UNSECURED CREDITORS									
Less than \$250,000	2	173	0	69	12	4	100	14	374
\$250,000–\$500,000	0	20	0	16	3	1	14	3	57
\$500,001–less than \$1 million	0	13	0	5	2	1	12	2	35
\$1 million–less than \$5 million	2	6	0	10	1	0	10	3	32
\$5 million–\$10 million	2	1	1	2	0	0	0	0	6
Over \$10 million	0	0	0	0	0	0	3	0	3
Total	6	213	1	102	18	6	139	22	507
MORE THAN 50% OF DEBT OWED TO RELATED PARTIES									
Number	3	22	0	15	1	0	18	4	63
ESTIMATED 'CENTS' IN THE \$ DIVIDEND TO UNSECURED CREDITORS									
\$0	6	198	1	96	18	5	129	18	471
Greater than 0 but less than 11 cents	0	6	0	5	0	0	7	2	20
11–20c	0	5	0	0	0	1	0	1	7
21–50c	0	2	0	0	0	0	3	0	5
51–100c	0	2	0	1	0	0	0	1	4
Total	6	213	1	102	18	6	139	22	507

Note: One company with an international registered address has been excluded from these statistics.

Table 3.2.5.9 - Initial external administrators' reports for Transport, postal & warehousing industry—External administrator's remuneration by region (1 July 2013–30 June 2014)

	Australian Capital Territory	New South Wales	Northern Territory	Queensland	South Australia	Tasmania	Victoria	Western Australia	No. of reports
VOLUNTARY ADMINISTRATOR FEES									
\$0	0	20	0	7	3	1	9	1	41
\$1–\$50,000	5	7	0	4	1	0	11	2	30
\$50,001–\$100,000	1	7	0	3	2	0	2	2	17
\$100,001–\$250,000	0	3	1	1	1	1	0	0	7
Over \$250,000	0	0	0	0	0	0	1	0	1
Not applicable	0	176	0	87	11	4	116	17	411
Total	6	213	1	102	18	6	139	22	507
DEED OF COMPANY ARRANGEMENT FEES									
\$0	0	18	0	7	3	1	8	1	38
\$1–\$50,000	0	3	0	0	0	0	0	0	3
\$50,001–\$100,000	0	3	0	0	0	0	0	1	4
\$100,001–\$250,000	0	0	0	0	0	0	0	0	0
Over \$250,000	0	0	0	0	0	0	0	0	0
Not applicable	6	189	1	95	15	5	131	20	462
Total	6	213	1	102	18	6	139	22	507
LIQUIDATOR FEES									
\$0	0	82	0	32	8	1	35	4	162
\$1–\$50,000	0	109	0	61	6	2	82	14	274
\$50,001–\$100,000	0	8	0	6	2	3	6	3	28
\$100,001–\$250,000	0	5	0	1	1	0	8	0	15
Over \$250,000	0	0	0	0	0	0	2	0	2
Not applicable	6	9	1	2	1	0	6	1	26
Total	6	213	1	102	18	6	139	22	507
RECEIVER/CONTROLLER FEES									
\$0	0	20	0	7	3	1	9	1	41
\$1–\$50,000	0	3	0	0	0	0	1	0	4
\$50,001–\$100,000	0	0	0	0	0	0	0	0	0
\$100,001–\$250,000	0	0	0	0	0	0	0	0	0
Over \$250,000	0	1	0	0	0	0	0	0	1
Not applicable	6	189	1	95	15	5	129	21	461
Total	6	213	1	102	18	6	139	22	507

Note: One company with an international registered address has been excluded from these statistics.