Haydar Tuncer

From: Warren Day
Sent: Thursday, 27 October 2022 7:11 PM
To: Brendan Facey; s 22
Subject: Re: Inquiry into ASIC [SEC=OFFICIAL:Sensitive]

Thanks Brendan. Appreciated. Speak tomorrow.

Kind regards

Warren Day
Chief Operating Officer

Australian Securities and Investments Commission

Tel: s 11C(1)(a)
s 11C(1)(a)

EA: s 22

From: Brendan Facey s 11C(1)(a) >
Sent: Thursday, October 27, 2022 4:48:30 PM
To: Warren Day <s 11C(1)(a) >; s 22
Subject: RE: Inquiry into ASIC [SEC=OFFICIAL:Sensitive]
Yes, we'll sort out quickly. And this is not the only one so far today of course.
Brendan

Brendan Facey
Senior Executive Leader, Misconduct & Breach Reporting
Senior Executive Leader, Criminal Intelligence Unit

Australian Securities and Investments Commission

Level 7, 120 Collins Street, Melbourne, 3000
Tel: s 11C(1)(a)

EA: s 22

From: Warren Day <s 11C(1)(a) >
Sent: Thursday, 27 October 2022 4:44 PM
To: Brendan Facey s 11C(1)(a) >; s 22 >
Subject: Fwd: Inquiry into ASIC [SEC=OFFICIAL:Sensitive]
Hi
This might turn into an epidemic. Brendan could we arrange a space to collect these please and a standard response acknowledging and noting they will make a submission.
s 22 FYI. Might discuss tomorrow how to stand up a small team to deal.
Kind regards

Warren Day
Chief Operating Officer

Australian Securities and Investments Commission

Tel: s 11C(1)(a)

s 11C(1)(a) [redacted]

EA: s 22 [redacted]

From: Tim Mullaly s 11C(1)(a) [redacted] >
Sent: Thursday, October 27, 2022 4:33:46 PM
To: s 22 [redacted] ; Sarah Court < s 11C(1)(a) [redacted] >; Warren Day s 11C(1)(a) [redacted] >
Cc: s 22 [redacted] >
Subject: RE: Inquiry into ASIC [SEC=OFFICIAL:Sensitive]
Thanks for sending through, s 22 [redacted]
Sarah & Warren, fyi

Tim Mullaly
Executive Director, Financial Services Enforcement
Office of Enforcement
Australian Securities and Investments Commission
Level 7, 120 Collins Street, Melbourne, 3000
Tel: s 11C(1)(a) [redacted] | Mob: s 11C(1)(a) [redacted]
[redacted]

EA: s 22 [redacted]
(Please note that I work flexible hours and at different times of the day - I do not expect that you will respond to this email outside your regular working hours)

From: s 22 [redacted]
Sent: Thursday, 27 October 2022 4:17 PM
To: Tim Mullaly s 11C(1)(a) [redacted] >; s 22 [redacted]
Cc: s 22 [redacted]
Subject: FW: Inquiry into ASIC [SEC=OFFICIAL:Sensitive]
Importance: High
Dear Tim, s 22 [redacted],

The M&BR team have just received the below email from s 22 [redacted] about the matters s 22 [redacted] intends to raise at the Inquiry established by Senator Bragg.

s 22 [redacted]
Senior Manager, Wealth Management Enforcement
Office of Enforcement
Australian Securities and Investments Commission
Level 5, 100 Market Street, Sydney, 2000
Tel: s 22 [redacted]
[redacted]

Please note: I don't work on Wednesdays.

s 22 [redacted]

S 22
SOI 090-2023