



ASIC

Australian Securities & Investments Commission

[CO 02/436]

Old Corporations Act and responsible entities — certain named schemes

Issued 11/4/2002

This class order deals with varying old Corporations Act licences to authorise the operation of additional named scheme(s) of the same kind (and sub-kind, if applicable) as the scheme(s) that the licensee was previously authorised to operate.

Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 1437(2) – Declaration

Under subsection 1437(2) of the *Corporations Act 2001* (the “Act”), the Australian Securities and Investments Commission hereby declares that Subdivision D of Division 1 of Part 10.2 (other than section 1436A) and any associated provisions have effect in relation to the class of persons referred to in the Schedule as if:

- (1) the third column of item 1 of the table in subsection 1430(1) were modified or varied by omitting the word “on.” and substituting the words “on; and where those activities included the operation of a managed investment scheme specified by name in the licence — any activities of the same kind related to the operation of one or more additional schemes specified by name in the licence which the person is authorised to carry on by reason of a variation to the licence made after the FSR commencement.”; and
- (2) paragraph 1432(1)(a) were modified or varied by omitting the word “activities;” and substituting the words “activities (including in the case of a regulated principal referred to in item 1 of the table in subsection 1430(1), the making of an application to vary the relevant dealers licence and the granting or refusal of that application);”; and
- (3) paragraph 1432(1)(b) were modified or varied by omitting the word “activities;” and substituting the words “activities (including in the case of a regulated principal referred to in

item 1 of the table in subsection 1430(1), the making of an application to vary the relevant dealers licence and the granting or refusal of that application).”.

Schedule

A regulated principal of a kind referred to in item 1 of the table in subsection 1430(1) which is authorised by its dealers licence to operate one or more managed investment schemes specified by name in that licence.

Interpretation

In this instrument:

1. “associated provisions” has the meaning given by subsection 1410(1); and
2. references to provisions are references to provisions in the Act.

Dated this 11th day of April 2002

Signed by Brendan Byrne
as a delegate of the Australian Securities and Investments
Commission