



ASIC

Australian Securities &
Investments Commission

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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14-0801

**Australian Securities and Investments Commission
Corporations Act 2001 — Paragraphs 911A(2)(l), 992B(1)(a) and 1020F(1)(a) —
Revocation and Exemptions**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 911A(2)(l), 992B(1)(a) and 1020F(1)(a) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument [14-0801].

Commencement

3. This instrument commences on gazettal.

Revocation

4. ASIC revokes ASIC Instrument [06/0633].

Exemptions

5. Betfair Pty Limited ACN 110 084 985 (*Betfair*) does not have to comply with:
 - (a) subsection 911A(1) of the Act;
 - (b) section 992A of the Act; and
 - (c) Part 7.9 of the Act

in relation to the Betfair Facility and financial services provided in connection with the Betfair Facility.

Where exemptions apply

6. The exemptions in paragraph 5 apply where each of the following are satisfied:
 - (a) Betfair is authorised to conduct a betting exchange under a Tasmanian gaming licence;
 - (b) the financial services provided by Betfair are dealing in, and providing general advice in relation to, the Betfair Facility;
 - (c) Betfair provides a guarantee (*Guarantee*) to each person (*client*) who places a wager on the betting exchange operated by Betfair, under which Betfair will settle the wager where settlement has not been otherwise satisfied under the Betfair Facility; and

- (d) Betfair maintains adequate internal dispute resolution processes in accordance with the Australian Standard on *Consumer satisfaction – guidelines for complaints handling in organizations* (AS ISO 10002-2006).

Conditions

7. Betfair must ensure that, before or at the time the Betfair Facility is offered to a client, the client has been advised that a document (*disclosure document*) is available on Betfair's website which sets out the terms and conditions of the Betfair Facility, and which sets out in a prominent manner:
 - (a) information about whether any of the terms and conditions of the Betfair Facility may be varied by Betfair and how the client may get information about the new terms and conditions;
 - (b) information about the Guarantee and circumstances in which payments will be made under the Guarantee;
 - (c) information about whether there is a date (the *expiry date*) after which the Betfair Facility cannot be used for the making of non-cash payments and, if so, information about where the expiry date may be found out;
 - (d) information about the procedures for dealing with any unauthorised or mistaken transactions relating to the Betfair Facility; and
 - (e) fees or charges for using the Betfair Facility, and where any fees or charges are subject to change, information about how the client may obtain information about the new fees or charges.
 8. Betfair must ensure that the disclosure document is worded and presented in a clear, concise and effective manner.
 9. Betfair must ensure that a convenient means is available, that involves no charge by Betfair or its associates, for the client to:
 - (a) check the amount standing to the credit of the client in the Betfair Facility; and
 - (b) obtain at reasonable intervals a record of the past 10 transactions (or such lesser number of transactions that have occurred) made using the Betfair Facility.
 10. Betfair must ensure that, if the terms of the Betfair Facility are varied, or any fees or charges for using the Betfair Facility are changed:
 - (a) information about the variation or change is made available to the client in accordance with paragraphs 7(a) and 7(e); and
-

- (b) information about the variation or change, and the new terms and conditions or fees and charges are made available on Betfair's website in a manner reasonably likely to come to the attention of persons seeking information about the Betfair Facility.

Interpretation

11. In this instrument:

Betfair Facility means a non-cash payment facility issued by Betfair which satisfies each of the following:

- (a) the facility is part of an arrangement used for making a wager on a betting exchange operated by Betfair;
- (b) the facility may not be used to make payments for any other purpose; and
- (c) money paid by a client for the purpose of making payments through the facility is held in trust for the benefit of the client as required by the *Gaming Control Act 1993* of Tasmania.

betting exchange has the meaning given by section 76ZDB of the *Gaming Control Act 1993* of Tasmania.

deal has the meaning given by section 766C of the Act.

general advice has the meaning given by subsection 766B(4) of the Act.

issue has the meaning given by section 761E of the Act.

issuer has the meaning given by section 761E of the Act.

non-cash payment facility means a facility through which, or through the acquisition of which, a person makes non-cash payments within the meaning of section 763D of the Act.

Tasmanian gaming licence means a Tasmanian gaming licence that is in force under the *Gaming Control Act 1993* of Tasmania.

Dated this 7th day of August 2014



Signed by Richard McMahon
as a delegate of the Australian Securities and Investments Commission



14 - 0803

ASIC

Australian Securities & Investments Commission

**Australian Securities & Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: IPSOS Renewables Pty Ltd
ACN 137 633 080 ("the Licensee")
'RUNDLES'
L 1, 500 Collins St
MELBOURNE VIC 3000

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 341685 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 8 August 2014

Signed

Joyce Krashow, a delegate of the Australian Securities and Investments Commission

14-0805

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 601QA(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 601QA(1) of the *Corporations Act 2001*.

Title

2. This instrument is ASIC Instrument 14-0805.

Commencement

3. This instrument commences on the day it is signed.

Declaration

4. Chapter 5C of the Act applies to Retail Responsible Entity Limited ACN 145 213 663 in its capacity as responsible entity of the Retail Direct Property 12 ARSN 092 414 812 and Retail Direct Property 12 Unit Trust ARSN 092 414 965 (the *Schemes*) as if section 601FL were modified or varied by:
 - (a) omitting all the text after the word “it” of subsection 601FL(1) and substituting:

"must either:

 - (a) call a members' meeting to explain its reason for wanting to retire and to enable the members to vote on a resolution (which must be an extraordinary resolution if the scheme is not listed) to choose a company to be the new responsible entity; or
 - (b) propose a company (*proposed responsible entity*) to be the new responsible entity in accordance with subsection (1A)."; and
 - (b) after subsection 601FL(1) inserting:

"(1A) The responsible entity can retire and the proposed responsible entity can become the new responsible entity if all of the following requirements are met:

 - (a) the proposed responsible entity must provide its consent in writing to become the scheme's responsible entity;
 - (b) the responsible entity must provide each member with:

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- (i) notice of a proposal to choose the proposed responsible entity to be the scheme's new responsible entity;
 - (ii) sufficient information to explain its reason for wanting to retire and to enable each member to decide whether to consent to the appointment of the proposed responsible entity as the new responsible entity;
 - (c) all members must consent in writing to the retirement of the responsible entity and choose the proposed responsible entity to be the new responsible entity;
 - (d) as soon as practicable and in any event within 2 business days after the last member has given its written consent, the responsible entity must lodge a notice with ASIC asking it to alter the record of the scheme's registration to name the proposed responsible entity as the scheme's responsible entity; and
 - (e) ASIC must comply with the notice when it is lodged.
- (1B) The responsible entity must arrange for a vote within a reasonable time under subsection (1)(a) on the choice of the proposed responsible entity, unless all members give their prior consent in writing to choose the proposed responsible entity without a meeting being held under subsection (1)(a)."

Where this declaration applies

5. Federation Manager Ltd ACN 051 908 984 has agreed in writing to become the new responsible entity of the Schemes.

Dated this 8th day of August 2014.

Signed by Tony Tran
as a delegate of the Australian Securities and Investments Commission

14-0807

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 250PAB(4) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 250PAB(4) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 14-0807.

Commencement

3. This instrument commences on the day it is signed.

Exemption order

4. ASIC exempts Ansett Australia Limited (subject to deed of company arrangement) ACN 004 209 410 (*AAL*) from the obligation to hold an annual general meeting (*AGM*) under section 250N of the Act:
 - (a) at least once in each calendar year ending 31 December 2015 and 31 December 2016; and
 - (b) within 5 months after the end of each financial year ending 30 June 2015 and 30 June 2016.

Conditions

5. This exemption order applies only for so long as AAL maintains arrangements for answering, free of charge, reasonable enquiries from its members about the consequences of the external administration.
6. Within 3 months of the termination of the deed of company arrangement, AAL must hold an AGM.
7. Within 2 business days of commencement of this exemption order or of any subsequent order that amends this exemption order, make the exemption order available on a website that is maintained by or on behalf of the deed administrators for AAL in a way that is readily accessible from the website.

Where this instrument ceases to apply

8. This instrument ceases to apply on the date this is the earlier of:
 - (a) 16 December 2016; or
 - (b) 3 months after the termination of the deed of company arrangement.

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Interpretation

deed administrator has the meaning given by paragraph (b) of the definition of *administrator* in section 9 of the Act.

deed of company arrangement means the deed of company arrangement in respect of AAL, executed and dated 2 May 2002 (and varied on 31 August 2006), appointing Mark Anthony Korda and Mark Francis Mentha as deed administrators.

Dated this 7th day of August 2014



Signed by Pamela Smith
as a delegate of the Australian Securities and Investments Commission

14-0808

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 259C(2) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 259C(2) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument [14-0808].

Commencement

3. This instrument commences on 13 August 2014.

Variation

4. This instrument varies ASIC Instrument [12-0670] dated 23 May 2012 by, after paragraph 8(b) of that instrument, inserting the following paragraph:

“(ba) that in calculating the percentage referred to in paragraph 8(b), do not include those CBA shares acquired in reliance on and in compliance with ASIC Instrument [14-0808] dated 13 August 2014; and”.

Exemption

5. Commonwealth Bank of Australia ACN 123 123 124 (*CBA*) is exempt from the operation of section 259C of the Act.

Where this exemption applies

6. Subject to paragraph 7 of this instrument, this exemption applies to the transfer of ordinary shares in CBA (*CBA Shares*) to a prescribed controlled entity in the following circumstances:
 - (a) the transfer occurs by way of an acquisition on the financial market operated by ASX Limited ACN 008 624 691 (*ASX*) or the financial market operated by Chi-X Australia Pty Ltd ACN 129 584 667 (*Chi-X*);
 - (b) the acquisition is for the purpose of transferring CBA Shares to participants in CBA's dividend reinvestment plan (*DRP*) in accordance with the rules of the *DRP* as released on 19 May 2014 on the market announcements platform operated by ASX and effective that date (*DRP Rules*); and;
 - (c) the acquisition occurs within the number of trading days that the directors of CBA announce to ASX in compliance with the *DRP Rules* and commencing

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within 3 trading days of the Record Date, the prescribed controlled entity making the acquisition over not more than 20 consecutive trading days, with only non-material adjustments made within 2 trading days of the end of this period.

7. This exemption does not apply unless CBA has given to ASIC a deed for the benefit of, and enforceable by, ASIC, which provides that:
- (a) CBA and the prescribed controlled entities do not:
 - (i) exercise votes attaching to CBA Shares; and/or
 - (ii) control or influence the exercise of votes attached to those CBA Shares, in circumstances where, but for this exemption, the transfer of the CBA Shares to a prescribed controlled entity would contravene section 259C of the Act;
 - (b) no more than 1% of the issued ordinary capital of CBA is transferred to the prescribed controlled entities at any point in time;
 - (c) within 10 trading days of a prescribed controlled entity transferring CBA Shares to participants in the DRP, any remaining CBA Shares held by a prescribed controlled entity and acquired for the purposes of the DRP, are disposed of by the prescribed controlled entity in the ordinary course of trading on the financial markets operated by ASX or Chi-X, except that, if there are reasonable grounds to suspect that a disposal would contravene any applicable laws of Australia, the disposal must take place at the earliest time practicable after the time that the disposal would not result in a contravention of those laws;
 - (d) commencing on the date of this exemption, the prescribed controlled entities maintain records of trading (*Records*) by the prescribed controlled entities in CBA Shares acquired for the purposes of transferring the CBA Shares to participants in the DRP;
 - (e) the prescribed controlled entities retain the Records for a period of 12 months from the date of each trade;
 - (f) the prescribed controlled entities make the Records available for inspection by ASIC during business hours and within 5 business days of receipt of a written request for such access from ASIC;
 - (g) within 2 trading days of the commencement of this instrument, CBA makes an announcement on the market announcements platform operated by ASX including a copy of this instrument accompanied by an explanation of the effect of the relief in this instrument; and

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- (h) CBA gives a written notice to ASIC within 5 business days after becoming aware that it has failed to comply with the terms of the deed, other than in an immaterial respect, and setting out the details of the non-compliance.

Interpretation

8. In this instrument:

- (a) **Record Date** means the date nominated by the directors of CBA from time to time for the purpose of identifying the shareholders of CBA who are entitled to receive a particular dividend;
- (b) **non-material adjustments** mean an acquisition or disposal of CBA Shares by a prescribed controlled entity where the acquisition or disposal of those CBA Shares:
 - (i) is required only to rectify any shortfall or surplus in the number of CBA Shares that were acquired by the prescribed controlled entities during the period referred to in subparagraph 6(c) of this instrument;
 - (ii) is required to satisfy the entitlements of participants in the DRP in accordance with the DRP Rules; and
 - (iii) has no impact on the market price of the CBA Shares, as determined in accordance with the DRP Rules, to be transferred to participants in the DRP;
- (c) **prescribed controlled entity** and **prescribed controlled entities** means CBA Equities Limited ACN 003 485 952, Nimitz Nominees Pty Limited ACN 003 505 959 and/or SBN Nominees Pty Limited ACN 003 501 773; and
- (d) **trading day** has the meaning given by the ASX Market Rules as in force on the date of this instrument.

Dated this 13th day of August 2014



Signed by Yu-chin Hsu
as a delegate of the Australian Securities and Investments Commission

14-0810

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 911A(2)(l), 992B(1)(a), 1020F(1)(a) and
1020F(1)(b) – Exemptions**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 911A(2)(l), 992B(1)(a), 1020F(1)(a) and 1020F(1)(b) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument [14-0810].

Commencement

3. This instrument commences on gazettal.

Exemptions

4. The issuer does not have to comply with Part 7.9 of the Act where the issuer:
 - (a) makes an eligible incentive plan offer;
 - (b) offers to arrange for the issue of financial products under an eligible incentive plan offer; or
 - (c) issues a financial product under an eligible incentive plan offer;on the conditions set out in this instrument and for so long as the conditions are met.
5. Where the issuer is exempt from Part 7.9 in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the issuer is also exempt from the requirement to hold an Australian financial services licence for the provision of the following financial services:
 - (a) a financial service consisting of general advice reasonably given in connection with the eligible incentive plan offer (including any general advice given in the offer document) where the issuer ensures that the offer document for the offer includes a statement to the effect that any advice given by the issuer in connection with the offer is general advice only, and that employees should consider obtaining their own financial product advice from an independent person who is licensed by ASIC to give such advice;

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- (b) a custodial or depositary service in connection with an eligible incentive plan offer where the issuer performs their duties in good faith and has sufficient resources to perform those duties;
 - (c) dealing in a financial product in the course of providing a custodial or depositary service covered by paragraph (b);
 - (d) issuing a financial product under an eligible incentive plan offer covered by paragraph 4 of this instrument; and
 - (e) dealing in a financial product in connection with an eligible incentive plan offer where any acquisition by purchase or disposal of the product by the issuer occurs either:
 - (i) through a person who holds an Australian financial services licence authorising the holder to deal in financial products; or
 - (ii) outside this jurisdiction and through a person who is licensed or otherwise authorised to deal in financial products in the relevant place.
6. Where the issuer is exempt from Part 7.9 in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the issuer also does not have to comply with section 992A of the Act in relation to an eligible incentive plan offer made in the course of, or because of, unsolicited meetings or telephone calls reasonably held or made in connection with the offer.
7. ASIC exempts a financial product that is the subject of an eligible incentive plan offer from Part 7.9 of the Act where:
- (a) a recommendation is made by a person (other than the issuer) that a person to whom an eligible incentive plan offer has been made, acquire the financial product as a retail client; and
 - (b) the person who made the recommendation is not aware, and ought not reasonably to be aware, that any of the conditions set out in this instrument have not been met;
- but only in relation to the recommendation by the person.

Where this instrument applies

8. This instrument applies where the issuer:
- (a) meets the requirements (including, for the avoidance of doubt, definitions) of the class order, except the definitions of:
 - (i) "eligible offer" in paragraph 9 of the Interpretation; and

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- (ii) "issuer" in paragraph 12 of the Interpretation; and
- (b) would meet the requirements of the class order if:
 - (i) the definition of an "eligible offer" in paragraph 9 of the Interpretation were to read:

"9. "eligible offer" means an offer for issue or sale of performance rights in relation to fully-paid shares in an issuer in the same class as shares which have been quoted on the financial market operated by the New York Stock Exchange throughout the 4 month period immediately before the offer without suspension for more than a total of 2 trading days during that period made under an employee share scheme extended only to eligible employees of the issuer;"; and
 - (ii) in the Interpretation, the following definition were inserted:

"9A. "employee share scheme", for a body corporate, means a scheme under which shares (or units of shares or options to acquire unissued shares or performance rights) in the body or a related body corporate may be acquired:

 - (a) by, or for the benefit of:
 - (i) employees of the body, or of the related body corporate; or
 - (ii) directors of the body, or of a related body corporate, who hold a salaried employment or office in the body or in a related body corporate; or
 - (b) by a corporation all of whose members are:
 - (i) employees of the body, or of a related body corporate; or
 - (ii) directors of the body, or of a related body corporate, who hold a salaried employment or office in the body or in a related body corporate;"; and
- (iii) in the definition of an "offer document" in paragraph 15 of the Interpretation, subparagraphs (c) and (d) were to read:

"(c) specifies in respect of the performance rights or the shares to which the performance rights relate:

 - (i) the acquisition price in Australian dollars;

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- (ii) where the acquisition price is specified in a foreign currency, the Australian dollar equivalent of that price at the date of the eligible offer; or
 - (iii) where the acquisition price is to be worked out in the future under a formula, the Australian dollar or Australian dollar equivalent of that price were the formula applied at the date of the eligible offer; and
- (d) includes an undertaking, and an explanation of the way in which, the issuer who has a registered office in this jurisdiction will, during the period in which an eligible employee may acquire the shares, within a reasonable period of the employee requesting, make available to the employee:
- (i) the current market price (or, where that price is denominated in a foreign currency, the Australian dollar equivalent of that price) of the shares to which the performance rights relate; and
 - (ii) where sub-subparagraph (c)(ii) or (iii) applies, the information referred to in that subparagraph as updated to that date.”; and
- (iv) in the Interpretation, the following definition were inserted:
- “16A. “performance right” means a conditional right:
- (a) to be issued or transferred a fully-paid ordinary share in the capital of the issuer; or
 - (b) to receive a cash amount equivalent to the value of a fully paid ordinary share in the capital of the issuer; or
 - (c) either to be issued or transferred a fully paid ordinary share in the capital of the issuer or to receive a cash amount equivalent to the value of such a share,
- where the performance right is offered for no more than nominal monetary consideration;”.

Conditions

9. The issuer can only rely on the exemptions in this instrument if the issuer complies with the following conditions:
- (a) the conditions of the class order (excluding the condition specified in paragraph 3 of the Schedule to the class order) as expressed to apply to them; and

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- (b) the issuer must take reasonable steps to ensure that the number of shares that may be issued in connection with any eligible incentive plan offer, when aggregated with:
- (i) the number of shares in the same class which would be issued were every other outstanding offer with respect to shares, units of shares, options to acquire unissued shares and performance rights under every other employee share schemes of the issuer to vest or to be accepted or exercised;
 - (ii) the number of shares in the same class issued during the previous 5 years pursuant to:
 - (A) an eligible incentive plan offer extended only to eligible employees; and
 - (B) any other employee share scheme extended only to eligible employees of the issuer;

but disregarding any offer made, option or right acquired or share issued by way of or as a result of:

- (iii) an offer to a person situated at the time of receipt of the offer outside this jurisdiction; or
- (iv) an offer that did not need disclosure to investors because of section 708 of the Act; or
- (v) an offer that did not require the giving of a Product Disclosure Statement because of section 1012D of the Act; or
- (vi) an offer made under a disclosure document or Product Disclosure Statement,

must not exceed 5% of the total number of issued shares in that class of the issuer as at the time of the offer.

Interpretation

10. In this instrument:

- (a) a word and phrase used in this instrument has the same meaning as it has in the class order unless the word or phrase is defined in paragraph 8 of this instrument in which case the word or phrase has that meaning;

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- (b) *class order* means ASIC Class Order [CO 03/184] as in force on the date of this instrument and as amended from time to time by a disallowable legislative instrument within the meaning of the Legislative Instruments Act 2003;
- (c) *eligible incentive plan offer* means an offer for the issue or sale of performance rights, made under an arrangement known as the A10 Networks, Inc. 2014 Equity Incentive Plan (under which the performance rights are referred to as restricted stock units), the Australian offer document and the Restricted Stock Unit Agreement, the terms of which are substantially in the same form as those provided to ASIC on 17 July 2014; and
- (d) *issuer* means A10 Networks, Inc., a body corporate incorporated under the laws of the State of Delaware, United States of America, and any related body corporate.

Dated this 13th day of August 2014



Signed by Elizabeth Korpi
as a delegate of the Australian Securities and Investments Commission

14-0814

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 911A(2)(l), 992B(1)(a), 1020F(1)(a) and
1020F(1)(b) – Exemptions**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 911A(2)(l), 992B(1)(a), 1020F(1)(a) and 1020F(1)(b) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument [14-0814].

Commencement

3. This instrument commences on gazettal.

Exemptions

4. The Issuer does not have to comply with Part 7.9 of the Act where the Issuer:
 - (a) makes an eligible incentive plan offer;
 - (b) offers to arrange for the issue of financial products under an eligible incentive plan offer; or
 - (c) issues a financial product under an eligible incentive plan offer;on the conditions set out in this instrument and for so long as the conditions are met.
5. Where the Issuer is exempt from Part 7.9 in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the Issuer is also exempt from the requirement to hold an Australian financial services licence for the provision of the following financial services:
 - (a) a financial service consisting of general advice reasonably given in connection with the eligible incentive plan offer (including any general advice given in the offer document) where the Issuer ensures that the offer document for the offer includes a statement to the effect that any advice given by the Issuer in connection with the offer is general advice only, and that employees should consider obtaining their own financial product advice from an independent person who is licensed by ASIC to give such advice;
 - (b) a custodial or depositary service in connection with an eligible incentive plan offer where the Issuer performs their duties in good faith and has sufficient resources to perform those duties;

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- (c) dealing in a financial product in the course of providing a custodial or depository service covered by paragraph (b);
 - (d) issuing a financial product under an eligible incentive plan offer covered by paragraph 4 of this instrument;
 - (e) dealing in a financial product in connection with an eligible incentive plan offer where any acquisition by purchase or disposal of the product by the Issuer occurs either:
 - (i) through a person who holds an Australian financial services licence authorising the holder to deal in financial products; or
 - (ii) outside this jurisdiction and through a person who is licensed or otherwise authorised to deal in financial products in the relevant place.
6. Where the Issuer is exempt from Part 7.9 in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the Issuer also does not have to comply with section 992A of the Act in relation to an eligible incentive plan offer made in the course of, or because of, unsolicited meetings or telephone calls reasonably held or made in connection with the offer.
7. ASIC exempts a financial product that is the subject of an eligible incentive plan offer from Part 7.9 of the Act where:
- (a) a recommendation is made by a person (other than the Issuer) that a person to whom an eligible incentive plan offer has been made, acquire the financial product as a retail client; and
 - (b) the person who made the recommendation is not aware, and ought not reasonably to be aware, that any of the conditions set out in this instrument have not been met;
- but only in relation to the recommendation by the person.

Where this instrument applies

8. This instrument applies where the Issuer:
- (a) meets the requirements (including, for the avoidance of doubt, definitions) of the class order, except the definitions of:
 - (i) "eligible employee" in paragraph 8 of the Interpretation; and
 - (ii) "eligible offer" in paragraph 9 of the Interpretation; and
 - (iii) "issuer" in paragraph 12 of the Interpretation; and
 - (iv) "offer document" in paragraph 15 of the Interpretation; and

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- (b) would meet the requirements of the class order if the definition of an "eligible employee" in paragraph 9 of the Interpretation was to read:
- "8. "eligible employee" means, in relation to the issuer, a person who is at the time of an offer under the employee share scheme:
- (a) a full or part-time employee of the issuer or of an associated body corporate of the issuer; or
 - (b) a director of the issuer, or of an associated body corporate of the issuer, who holds a salaried employment or office in the issuer or in a related body corporate; or
 - (c) a contractor which is:
 - (i) an individual that has:
 - (A) performed work for the issuer, or a related body corporate of the issuer, for more than 12 months; and
 - (B) received 80% or more of their income in the preceding year from the issuer or a related body corporate of the issuer; or
 - (ii) a company where each of the following are satisfied in relation to the company:
 - (A) throughout the previous 12 months, the company has had a contract in place with the issuer, or a related body corporate of the issuer, for the provision of the services of an individual (the *contracting individual*) to the issuer;
 - (B) the contracting individual has performed work for the issuer, or a related body corporate of the issuer, for more than 12 months;
 - (C) the contracting individual has been the only member of the company for more than 12 months; andmore than 80% of the aggregate income of the company and the contracting individual from all sources (other than from each other) in the preceding 12 months was received from the issuer or a related body corporate of the issuer; and
- (c) would meet the requirements of the class order if the definition of an "eligible offer" in paragraph 9 of the Interpretation was to read:
- "9. "eligible offer" means an offer for issue of performance rights in relation to fully-paid shares in the issuer for no monetary consideration where:
- (a) there is no further offer involved in exercising the performance right;

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- (b) each share issued on vesting or exercise of a performance right is issued for no monetary consideration; and
- (c) the offer is made under an employee share scheme extended only to eligible employees of the issuer"; and
- (c) would meet the requirements of the class order if, in the Interpretation, the following definition was inserted:
 - "9A. "employee share scheme", for a body corporate, means a scheme under which shares (or units of shares or options to acquire unissued shares or performance rights) in the body or a related body corporate may be acquired by, or for the benefit of, eligible employees." and
- (d) would meet the requirements of the class order if the definition of an "issuer" in paragraph 12 of the Interpretation were to read:
 - "12. "issuer" means a body that issues shares that are the subject of an employee share scheme (including where those shares are subject to an option or a performance right, offered through a trust or are a component of stapled securities.)"; and
- (e) would meet the requirements of the class order if, in the definition of an "offer document" in paragraph 15 of the Interpretation were to read:
 - "15. "offer document" means a document setting out an offer under an employee share scheme that:
 - (a) includes or is accompanied by a copy, or a summary, of the rules of the employee share scheme under which the offer is made; and
 - (b) if a summary (rather than a copy) of the rules of the employee shares scheme is given — includes an undertaking that during the period (the *offer period*) during which an eligible employee may acquire the financial products offered or exercise the performance rights acquired under the employee share scheme, the issuer will, within a reasonable period of the eligible employee so requesting, provide the eligible employee without charge with a copy of the rules of the employee share scheme."; and
- (f) would meet the requirements of the class order if, in the Interpretation, the following definition was inserted:
 - "16A. "performance right" means a conditional right to be issued a fully-paid ordinary share in the capital of the issuer".

Conditions

9. The Issuer can only rely on the exemptions in this instrument if the Issuer complies with the following conditions:

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- (a) the conditions of the class order (excluding the condition specified in paragraph 3 of the Schedule to the class order) as expressed to apply to them;
- (b) the Issuer must take reasonable steps to ensure that the number of shares that may be issued in connection with Performance Rights, the subject of an eligible incentive plan offer, when aggregated with:
 - (i) the number of shares in the same class which would be issued were each outstanding offer with respect to shares, units of shares and options to acquire unissued shares under any other employee share scheme of the Issuer to be accepted or exercised;
 - (ii) the number of shares in the same class issued during the previous 5 years pursuant to:
 - (A) an eligible incentive plan offer extended only to eligible employees; and
 - (B) any other employee share scheme extended only to eligible employees of the Issuer;

but disregarding any offer made, option or right acquired or share issued by way of or as a result of:

- (iii) an offer to a person situated at the time of receipt of the offer outside this jurisdiction; or
 - (iv) an offer that did not need disclosure to investors because of section 708 of the Act; or
 - (v) an offer that did not require the giving of a Product Disclosure Statement because of section 1012D of the Act; or
 - (vi) an offer made under a disclosure document or Product Disclosure Statement,
- must not exceed 5% of the total number of issued shares in that class of the Issuer as at the time of the offer; and
- (c) the terms of the Rampart Energy Limited Performance Rights Plan must provide that on or before the vesting of Performance Rights either:
 - (i) the shares in the Issuer that may be issued in connection with the Performance Rights are in the same class as shares which have been quoted on a prescribed financial market or approved foreign market throughout the 3 month period immediately before vesting of the Performance Rights without suspension for more than a total of 2 trading days during the period; or

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- (ii) the Issuer has lodged a disclosure document with ASIC in relation to the shares in the Issuer that may be issued in connection with the Performance Rights and:
 - (A) the disclosure document has not expired; and
 - (B) the Issuer has provided a copy of the disclosure document to the eligible employee;

and for so long as the conditions are met.

Interpretation

- 10. In this instrument, unless a contrary intention appears, a word and phrase used in this instrument has the same meaning as it has in the class order.
- 11. In this instrument:

class order means ASIC Class Order [CO 03/184] as in force on the date of this instrument and as amended from time to time by a disallowable legislative instrument within the meaning of the *Legislative Instruments Act 2003*;

eligible incentive plan offer means an offer for the issue of performance rights, made under an arrangement known as the Rampart Energy Limited Performance Rights Plan (under which performance rights are referred to as "Performance Rights") the terms of which are substantially in the same form as those provided to ASIC on 18 July 2014;

Issuer means Rampart Energy Limited ACN 115 229 984 and any related body corporate.

Dated this 12th day of August 2014



Signed by Sebastian Strykowski
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001

Section 601CL(5)

ASIC has struck the foreign companies listed below off the register.

Dated this fifteenth day of August 2014

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

COMMARC AUSTRALIA LIMITED

153 251 284

DORSEY & WHITNEY (AUSTRALIA) LLP

132 742 931

SONOVATE LIMITED

162 375 528

CORPORATIONS ACT 2001

Subsection 601CC(4)

ASIC has struck the registered Australian bodies listed below off the register.

Dated this fifteenth day of August 2014

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ANIMAL JUSTICE PARTY INCORPORATED

ARBN

161 185 119

CORPORATIONS ACT 2001

Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this fifteenth day of August 2014

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

AAT ATLANTIC AUSTRALIEN TREUHAND GMBH	144 878 768
AUSTRALIAN COAL INTER HOLDINGS (NL) IIA B.V.	132 685 977
OILFIELD WORKFORCE INTERNATIONAL LIMITED	154 467 535
SOURCEFIRE SINGAPORE PTE. LTD.	142 582 303
YUMA ENERGY, INC.	166 853 005

Corporations Act 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

BALLINA MORTGAGE MANAGEMENT LIMITED ACN 092 594 477 will change to a proprietary company limited by shares. The new name will be BALLINA MORTGAGE MANAGEMENT PTY LTD ACN 092 594 477.

DIAMOND CIRCLE PTY LTD ACN 162 250 704 will change to a public company limited by shares. The new name will be DIAMOND CIRCLE LTD ACN 162 250 704.

ENVIRONMENTAL FOREST FARMS MANAGEMENT LIMITED ACN 087 201 670 will change to a proprietary company limited by shares. The new name will be ENVIRONMENTAL FOREST FARMS MANAGEMENT PTY LTD ACN 087 201 670.

FORESTRY FINANCE LTD ACN 108 513 239 will change to a proprietary company limited by shares. The new name will be FORESTRY FINANCE PTY LTD ACN 108 513 239.

INDOCOAL LIMITED ACN 151 210 810 will change to a proprietary company limited by shares. The new name will be INDOCOAL PTY LTD ACN 151 210 810.

SKIN CANCER TREATMENT LTD ACN 101 377 922 will change to a proprietary company limited by shares. The new name will be SKIN CANCER TREATMENT PTY LTD ACN 101 377 922.

TRANSPORT FRIENDLY SOCIETY LTD ACN 052 046 625 will change to a public company limited by shares.

CYTOMATRIX PTY. LTD. ACN 109 903 737 will change to a public company limited by shares. The new name will be CYTOMATRIX LIMITED ACN 109 903 737.

E-PAY ASIA LIMITED ACN 089 227 887 will change to a proprietary company limited by shares. The new name will be E-PAY ASIA PTY LTD ACN 089 227 887.

EV ENGINEERING LIMITED ACN 144 471 705 will change to a proprietary company limited by shares. The new name will be EV ENGINEERING PTY LTD ACN 144 471 705.

FSP FUNDS MANAGEMENT LIMITED ACN 102 944 598 will change to a proprietary company limited by shares. The new name will be FSP FUNDS MANAGEMENT PTY LIMITED ACN 102 944 598.

POWTON LAND HOLDINGS LIMITED ACN 087 201 652 will change to a proprietary company limited by shares. The new name will be POWTON LAND HOLDINGS PTY LTD ACN 087 201 652.

TANDEM FINANCIAL ADVICE LIMITED ACN 006 226 777 will change to a proprietary company limited by shares. The new name will be TANDEM FINANCIAL ADVICE PTY LIMITED ACN 006 226 777.