



ASIC

Australian Securities & Investments Commission

SENATE LIST ORDER NO 6
AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Indexed File Lists Created between 1st January and 30th June 2005

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Group	Year	Prefix	File	Description
RPOL	2005	PMR	278	NON-CASH PAYMENTS FACILITIES PPP (EKH)
RPOL	2005	PMR	485	NCPF POLICY
RPOL	2005	PMR	493	CODES POLICY
RPOL	2005	PMR	500	RECONSTRUCTIONS
RPOL	2005	PMR	515	PERIODIC STATEMENTS
RPOL	2005	PMR	521	TAXATION ISSUES
RPOL	2005	PMR	590	GROUP INSURANCE ARRANGERS
RPOL	2005	PMR	684	ATO ISSUES
RPOL	2005	PMR	745	CONFLICTS MANAGEMENT POLICY PART 3
RPOL	2005	PMR	797	MANAGED DISCRETIONARY ACCOUNTS PART 3
RPOL	2005	PMR	874	AUDIT ISSUES
RPOL	2005	PMR	921	CONDUCT AND DISCLOSURE POLICY PART 4
RPOL	2005	PMR	939	RESEARCH ANALYSTS - PART 13
RPOL	2005	PMR	1234	STOCK BROKERS
RPOL	2005	PMR	1236	INSIDER TRADING
RPOL	2005	PMR	1298	MANAGED INVESTMENTS - CONSTITUTIONS & TRANSACTION COSTS
RPOL	2005	PMR	1699	INTERNATIONAL FINANCIAL REPORTING STANDARDS IMPACT ON MANAGED INVESTMENT SCHEMES
RPOL	2005	PMR	1706	PS176 FOREIGN FINANCIAL SERVICE PROVIDERS
RPOL	2005	PMR	2888	CREDIT RATING SERVICES AND FINANCIAL SERVICES LICENSING
RPOL	2005	PMR	3235	FTA
RPOL	2005	PMR	3746	REVIEW OF POLICY STATEMENT 172
RPOL	2005	PMR	4070	PS 108 NO ACTION LETTERS: REVIEW OF POLICY
RPOL	2005	PMR	4422	UPDATE PN 55 (INCLUDING COMMENTS RECEIVED)
RPOL	2005	PMR	4450	INSURANCE ARRANGERS
RPOL	2005	PMR	5840	PS 87: CHARITABLE INVESTMENT SCHEMES AND SCHOOL ENROLMENT DEPOSITS POLICY AND IMPLEMENTATION
RPOL	2005	PMR	5895	PJC- TIMESHARE INQUIRY
RPOL	2005	PMR	5956	NON-CASH PAYMENTS FACILITIES PPP- SUBMISSIONS
RPOL	2005	PMR	6097	EXPLANATORY STATEMENTS

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RPOL	2005	PMR	6116	REGULATED MDA SERVICES PLATFORM
RPOL	2005	PMR	6408	PS 166: FINANCIAL REQUIREMENTS- FUTURE POLICY REVIEW ISSUES
RPOL	2005	PMR	6690	REVIEW OF POLICY STATEMENT 176: FOREIGN FINANCIAL SERVICE PROVIDERS(FFSP) PART 2
RPOL	2005	PMR	6935	RESPONSIBLE OFFICERS
RPOL	2005	PMR	6937	OFFERS TO BUY
RPOL	2005	PMR	6938	BOND MARKET TRANSPARENCY
RPOL	2005	PMR	6939	SUPERANNUATION SWITCHING GUIDE
RPOL	2005	PMR	7127	1012IA PROJECT
RPOL	2005	PMR	7128	ANTI-MONEY LAUNDERING PART 2
RPOL	2005	PMR	7255	ASIC MODEL STATEMENT OF ADVICE (SOA) PROJECT
RPOL	2005	PMR	7391	CLERP 8(INSOLVENCY): GENERAL PART 2
RPOL	2005	PMR	7396	ANTI- MONEY LAUNDERING BILL 2005
RPOL	2005	PMR	7488	TRUSTEE COMMON FUNDS
RPOL	2005	PMR	7512	INSURANCE ARRANGERS
RPOL	2005	PMR	7513	NON-CASH PAYMENTS
RPOL	2005	PMR	7663	BUY BACKS: SMALL PARCELS
RPOL	2005	PMR	7971	TAKEOVERS AND SUBSTANTIAL HOLDING RELIEF FOR INVESTMENT FUND MANAGERS: SECTION 671B
RPOL	2005	PMR	8135	INSOLVENCY: CREDITORS' TRUSTS AND PT 5.3A PART 2
RPOL	2005	PMR	8196	FINALISING INTERIM POLICY STATEMENT 159 TAKEOVERS: DISCRETIONARY POWERS PART 2 OF RPB2001-9286
RPOL	2005	PMR	9063	NO ACTION LETTERS: PS 108
RPOL	2005	PMR	9280	1012IA PROJECT PART 4
RPOL	2005	PMR	9610	PLACEMENTS/ESS/SPP HARMONISATION AND REVIEW
RPOL	2005	PMR	9749	MODEL SOA
RPOL	2005	PMR	9762	FOREIGN FINANCIAL SERVICE PROVIDERS PART 6 1 MARCH 2005
RPOL	2005	PMR	9763	CREDIT RATING AGENCIES PART 2
RPOL	2005	PMR	9917	SUPERANNUATION SWITCHING
RPOL	2005	PMR	10115	RELIEF- S601GA:MANAGED INVESTMENT SCHEME CONSTITUTIONS
RPOL	2005	PMR	10119	SUPERANNUATION CHOICE PART 2
RPOL	2005	PMR	10129	TAX ISSUES

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RPOL	2005	PMR	10549	MARKET STABILISATION PART 2
RPOL	2005	PMR	10691	RESEARCH ANALYSTS - PART 14
RPOL	2005	PMR	10874	NON-CASH PAYMENT FACILITIES POLICY STATEMENT
RPOL	2005	PMR	11007	UPDATE OF POLICY STATEMENT 166: FINANCIAL REQUIREMENTS PART 3
RPOL	2005	PMR	11094	OMNIBUS: FSG AND WARNINGS DISCLOSURE ISSUES
RPOL	2005	PMR	11786	PART 7.8 CORPORATIONS ACT- TRUST ACCOUNTS
RPOL	2005	PMR	11871	REVIEW OF PN 40 PART 2
RPOL	2005	PMR	12119	INSOLVENCY:PRACTITIONER CONDUCT GUIDANCE
RPOL	2005	PMR	12842	PS 146: REVIEW OF BDP POLICY (FSR REFINEMENTS POLICY)
RPOL	2005	PMR	13211	TERMINATION VALUES PERIODIC STATEMENTS FOR DEPOSIT PRODUCTS
RPOL	2005	PMR	14305	CORPORATE BOND MARKET TRANSPARENCY
RPOL	2005	PMR	15014	CPA STATEMENT OF FINANCIAL ADVISORY SERVICE STANDARDS
RPOL	2005	PMR	15215	FINANCIAL ADVISERS PART 2
RPOL	2005	PMR	15486	ACTUARIES CO 03/1096 ROLLOVER
RPOL	2005	PMR	15488	DOLLAR DISCLOSURE TRANSITIONAL RELIEF AS AT 30 JUNE 2005
RPOL	2005	PMR	15650	GENERAL ADVICE WARNING- REFINEMENT PROPSAL 5.2
RPOL	2005	PMR	15744	COMPLEX DEBT PRODUCTS PROJECT