



ASIC

Australian Securities &
Investments Commission

13-Mar-13

Marian Dunski
The Treasury
Parkes Place
PARKES ACT 2600

Dear Marian,

Please find enclosed four hard copies of indexed lists of departmental files as requested for senate order for the period 1st July to 30th December 2012.

I confirm that the contact officer for the Australian Securities & Investments Commission is Michael Carter (02) 9911 2469, GPO Box 9827 SYDNEY NSW 2001.

Yours sincerely

Michael Carter
Manager, Digital Transition & Enterprise Content Management
Australian Securities & Investments Commission



ASIC

Australian Securities &
Investments Commission

SENATE LIST ORDER NO 10

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

*Indexed File Lists Created between 1st July and 30th
December 2012*

Dates: 1st July and 30th December 2012

Contact: Michael Carter (02) 9911-2469

Michael.Carter@asic.gov.au

Website Details: Use link below
<http://www.asic.gov.au>

PMR 2012/027026	ADVICE GENERAL - ##### - CORPORATIONS - NOVEMBER 2012 TO
PMR 2012/028015	ADVICE TO ACCOUNTING ISSUES IN RELATION TO RG 166
PMR 2012/028010	ADVICE TO FMI IN RELATION TO APX
PMR 2012/024671	##### - 2012 SECTION 794C AND SECTION 823C ANNUAL ASSESSMENT ##### - APPLICATION FOR MIR CLASS WAIVER TO ALLOW AUCTION IMBALANCE ORDERS
PMR 2012/028415	##### - APPLICATION FOR MIR WAIVER
PMR 2012/027509	##### - APPLICATION FOR MIR WAIVER FROM THE MARKET INTEGRITY RULES
PMR 2012/030308	##### - S1020F(1)(A) - EX A PERSON OR CLASS FROM PART 7.9
PMR 2012/026797	##### - NOTIFICATION UNDER 792B(5)(A) - MKT LICENSEE PART 4
PMR 2012/029402	##### - RULE AMENDMENT ASX LISTING RULES - RESERVES AND RESOURCES REPORTING
PMR 2012/026426	##### ##### ASX24 OPERATING RULES - RESOURCES & FINANCIAL SECTOR FUTURES
PMR 2012/026672	CHAPTER 8 - NEW ZEALAND AND AUSTRALIA MATTERS - MUTUAL RECOGNITION OF SECURITIES OFFER - ISSUE NO. 1
PMR 2012/028278	##### ##### ##### AUSTRALIAN MARKET LICENCE APPLICATION PART 4
PMR 2012/025812	##### ##### ##### AUSTRALIAN MARKET LICENCE APPLICATION PART 5
PMR 2012/025813	##### ##### ##### AUSTRALIAN MARKET LICENCE APPLICATION PART 6
PMR 2012/025814	##### ##### ##### AUSTRALIAN MARKET LICENCE APPLICATION PART 7
PMR 2012/025816	##### ##### ##### AUSTRALIAN MARKET LICENCE APPLICATION PART 8
PMR 2012/025817	##### ##### ##### AUSTRALIAN MARKET LICENCE APPLICATION PART 9
PMR 2012/025818	##### ##### ##### POTENTIAL APPLICANT FOR AN AUSTRALIAN MARKETS LICENCE
PMR 2012/025595	##### ##### ##### POTENTIAL APPLICANT FOR AN AUSTRALIAN MARKETS LICENCE
PMR 2012/025597	CP182 - FOFA BEST INTERESTS DUTY AND RELATED OBLIGATIONS SUBMISSIONS
PMR 2012/026836	CP189 - CONFLICTED REMUNERATION - SUBMISSIONS
PMR 2012/027557	DELEGATION OF FUNCTIONS TO ASIC BY ACCC
PMR 2012/024126	DELEGATION OF FUNCTIONS UNDER THE COMPETITION AND CONSUMER ACT 2010 AND AUSTRALIAN CONSUMER LAW TO ASIC BY ACCC
PMR 2012/024127	DEPARTMENT OF TRANSPORT AND MAIN ROADS (158580593) - ADVICE - AAA - EXTERNAL -
PMR 2012/028641	FREEDOM OF INFORMATION REQUEST
PMR 2012/030484	HEDGE FUND SYSTEMIC RISK SURVEY 2012
PMR 2012/026687	IOSCO - SC2 SFP CONSULTATION FILE 2
PMR 2012/027139	IOSCO - SC2 SFP OTC MARKETS (FROM SURVEY TO ISSUE OF CP) FILE 1
PMR 2012/027138	IOSCO - SFP/BOND POST TRADE TRANSPARENCY PILOT SCHEME (WEEKLY DATA)
PMR 2012/027146	IOSCO - SFP/BOND POST TRADE TRANSPARENCY PILOT SCHEME PART 2
PMR 2012/027147	IOSCO SC2 POST-TRADE TRANSPARENCY SFP - RESPONSES FROM INDUSTRY & REGULATORS
PMR 2012/027142	MARKET INTEGRITY RULES: CROSSINGS DURING TAKEOVERS & ON-MARKET BUY-BACKS
PMR 2012/030092	MIR MANAGEMENT STRUCTURE
PMR 2012/029438	POLICY INITIATIVE
PMR 2012/030123	SMSF TASKFORCE
PMR 2012/028854	SMSF TASKFORCE PROJECT
PMR 2012/023715	

PMR 2012/023889 SUBMISSIONS TO CP171 -RESEARCH HOUSES. INVESTMENT BANKS RESEARCH AND INDUSTRY ASSOCIATION - GENERAL PROJECT FINANCIAL SERVICES APPLICATIONS FOR EARLY DESTRUCTION OF BOOKS AND RECORDS FORM 574 AND 5026

FSR 2012/017765 BRISBANE SCN DELETED DOCUMENTS 2011 TO 2013

FSR 2012/022612 BRISBANE SCN DELETED DOCUMENTS 2011 TO 2013 PART 2

FSR 2012/022613 LICENSING - FREEDOM OF INFORMATION REQUESTS

FSR 2012/022947 LICENSING - FREEDOM OF INFORMATION REQUESTS

FSR 2012/023151 LICENSING REGISTER INTEGRITY PROJECT - COMPANIES WITH STATUS DRGD, EXAD OR SOFF

FSR 2012/025416 LICENSING REGISTER INTEGRITY PROJECT - COMPANIES WITH STATUS DRGD, EXAD OR SOFF PART 2

FSR 2012/026310 LIQUIDATOR REGISTRATION - RS&L LEGAL ISSUES

FSR 2012/017906 MONEY MARKETS FUNDS PROJECT - QLD

FSR 2012/015828 OUTPUT REPORTING: PDS IN USE NOTICES

SA Births Deaths and Marriages	Access Agreements	2012 - 003127
Insolvency & Trustee Service Australia	Access Agreements	2012 - 004847
ASP Master Records	Account Surveillances	2012 - 006075
MDP - Delegations Advice	Advice - Other	2012 - 005464
QLD - ##### & #####	ASIC Act Releases - s127	2012 - 004392
Clean Energy Regulator - s127 releases	ASIC Act Releases - s127	2012 - 005761
Audit Reports 2012 - 2013	Audit	2012 - 003290
Overpayments	Audit	2012 - 004338
2012 - 2013 #####	Audit Inspections	2012 - 004436
##### - ##### & ##### Company Pty Ltd	Audit Relief	2012 - 003578
##### Exploration Limited	Audit Relief	2012 - 003579
##### & ##### Pty Ltd	Audit Relief	2012 - 003580
##### Qld Pty Ltd	Audit Relief	2012 - 004072
Background	CAMAC FEE REVIEW	2012 - 004784
Treasury Portfolio CIO meetings	CIO folder	2012 - 005383
General Insurance Code of Practice 2012	Code Reviews & Approvals	2012 - 003255
FOFA code applications	Code Reviews & Approvals	2012 - 005680
FOFA CP 191 submissions	Code Reviews & Approvals	2012 - 006001
Link Web Services	Community Engagement (news & publicity)	2012 - 003773
##### Public Relations	Community Engagement (news & publicity)	2012 - 003774
##### Research	Community Engagement (news & publicity)	2012 - 003775
##### Pty Ltd	Community Engagement (news & publicity)	2012 - 003776

#####	Community Engagement (news & publicity)	2012 - 003777
##### Pty Ltd	Community Engagement (news & publicity)	2012 - 003778
##### Australia	Community Engagement (news & publicity)	2012 - 003779
Primary Communications	Community Engagement (news & publicity)	2012 - 003780
Professional Public Relations	Community Engagement (news & publicity)	2012 - 003781
Proof etc	Community Engagement (news & publicity)	2012 - 003782
2B Advertising & Design	Community Engagement (news & publicity)	2012 - 003783
#####	Community Engagement (news & publicity)	2012 - 003784
#####	Community Engagement (news & publicity)	2012 - 003785
#####	Community Engagement (news & publicity)	2012 - 003786
##### Pty Ltd	Community Engagement (news & publicity)	2012 - 003787
##### Productions	Community Engagement (news & publicity)	2012 - 003788
##### Australia	Community Engagement (news & publicity)	2012 - 003789
#####	Community Engagement (news & publicity)	2012 - 003790
#####	Community Engagement (news & publicity)	2012 - 003791
##### Consulting	Community Engagement (news & publicity)	2012 - 003792
#####	Community Engagement (news & publicity)	2012 - 003793
#####Communications	Community Engagement (news & publicity)	2012 - 003794
##### Designs	Community Engagement (news & publicity)	2012 - 003795
#####	Community Engagement (news & publicity)	2012 - 003796
##### #####	Community Engagement (news & publicity)	2012 - 003797
##### and #####	Community Engagement (news & publicity)	2012 - 003798
##### #####	Community Engagement (news & publicity)	2012 - 003799

##### Pty Ltd	Community Engagement (news & publicity)	2012 - 003800
##### & Associates	Community Engagement (news & publicity)	2012 - 003801
#####	Community Engagement (news & publicity)	2012 - 003802
##### Solutions	Community Engagement (news & publicity)	2012 - 003803
#####Enterprises Pty Ltd	Community Engagement (news & publicity)	2012 - 003804
#####	Community Engagement (news & publicity)	2012 - 003805
#####	Community Engagement (news & publicity)	2012 - 003806
#####	Community Engagement (news & publicity)	2012 - 003807
#####Group	Community Engagement (news & publicity)	2012 - 003808
#####	Community Engagement (news & publicity)	2012 - 003809
#####	Community Engagement (news & publicity)	2012 - 003810
#####	Community Engagement (news & publicity)	2012 - 003811
##### Pty Ltd	Community Engagement (news & publicity)	2012 - 003812
#####	Community Engagement (news & publicity)	2012 - 003813
#####	Community Engagement (news & publicity)	2012 - 003814
#####	Community Engagement (news & publicity)	2012 - 003815
#####	Community Engagement (news & publicity)	2012 - 003816
#####	Community Engagement (news & publicity)	2012 - 003817
#####	Community Engagement (news & publicity)	2012 - 003818
#####	Community Engagement (news & publicity)	2012 - 003819
#####	Community Engagement (news & publicity)	2012 - 003820
#####	Community Engagement (news & publicity)	2012 - 003821
#####	Community Engagement (news & publicity)	2012 - 003822

##### Pty Ltd	Community Engagement (news & publicity)	2012 - 003823
#####	Community Engagement (news & publicity)	2012 - 003824
#####	Community Engagement (news & publicity)	2012 - 003825
#####	Community Engagement (news & publicity)	2012 - 003826
#####	Community Engagement (news & publicity)	2012 - 003827
#####	Community Engagement (news & publicity)	2012 - 003828
#####	Community Engagement (news & publicity)	2012 - 003829
#####	Community Engagement (news & publicity)	2012 - 003830
#####	Community Engagement (news & publicity)	2012 - 003831
#####	Community Engagement (news & publicity)	2012 - 003832
#####	Community Engagement (news & publicity)	2012 - 003833
#####	Community Engagement (news & publicity)	2012 - 003834
#####	Community Engagement (news & publicity)	2012 - 003835
#####	Community Engagement (news & publicity)	2012 - 003836
#####	Community Engagement (news & publicity)	2012 - 003837
#####	Community Engagement (news & publicity)	2012 - 003838
#####	Community Engagement (news & publicity)	2012 - 003854
#####	Community Engagement (news & publicity)	2012 - 003855
#####	Community Engagement (news & publicity)	2012 - 003856
##### Co Pty Ltd	Community Engagement (news & publicity)	2012 - 003857
#####	Community Engagement (news & publicity)	2012 - 003858
#####	Community Engagement (news & publicity)	2012 - 003859
#####	Community Engagement (news & publicity)	2012 - 003860

#####	Community Engagement (news & publicity)	2012 - 003861
#####	Community Engagement (news & publicity)	2012 - 003862
#####	Community Engagement (news & publicity)	2012 - 003863
#####	Community Engagement (news & publicity)	2012 - 003864
#####	Community Engagement (news & publicity)	2012 - 003865
##### & #####	Community Engagement (news & publicity)	2012 - 003866
#####	Community Engagement (news & publicity)	2012 - 003867
#####	Community Engagement (news & publicity)	2012 - 003868
#####	Community Engagement (news & publicity)	2012 - 003869
#####	Community Engagement (news & publicity)	2012 - 003870
#####	Community Engagement (news & publicity)	2012 - 003871
#####	Community Engagement (news & publicity)	2012 - 003872
Australian Market Structure: 4. Draft Rules and Guidance on Automated Trading	Consultation Papers	2012 - 003191
XXX Spare File	Consultation Papers	2012 - 003509
Greyhound Racing and Breeding Syndicates	Consultation Papers	2012 - 004078
Small Business Lending Complaints - Update to RG 139	Consultation Papers	2012 - 004277
Clearing and Settlement Facilities - Update to RG 211	Consultation Papers	2012 - 004295
Managed Investments - Update to RG 133	Consultation Papers	2012 - 004791
FOFA - Approval of Codes of Conduct	Consultation Papers	2012 - 004862
Market Integrity Rules - ASX 24 and FEX - Foreign Participants and Related Requirements	Consultation Papers	2012 - 004977
SMSF Auditor Registrations	Consultation Papers	2012 - 005477
Periodic Statements for Quoted and Listed Managed Investment Products and Relief for AQUA products	Consultation Papers	2012 - 005663
Managed Discretionary Accounts	Consultation Papers	2012 - 005676
Holding Managed Investment Scheme Property - Update to RG 133	Consultation Papers	2012 - 006033
Training of Financial Advisers - Amendments to RG 146 December 2012	Consultation Papers	2012 - 006038
Market Integrity Obligations for Securities Dealers	Consultation Papers	2012 - 006236

Consumer Policy Register	Consumers & Investors	2012 - 004304
Electronic Disclosure - Policy and Class Order Review	Corporate Finance Corporations & Corporate Governance	2012 - 005121
Risk Register	Enforcement	2012 - 005085
COSL Consumer Forum	Credit Ombudsman Service Ltd (COSL)	2012 - 005410
COSL Reports - Special Credit 2011	Credit Ombudsman Service Ltd (COSL)	2013 - 000011
COSL Reports - Annual	Credit Ombudsman Service Ltd (COSL)	2013 - 000012
Criminal Law Community of Practice	Criminal Practice	2012 - 003722
CDPP Search Warrant Manual	Criminal Practice	2012 - 003987
Preparation - Non SES September 2012	Declaration Cycle 2012 September	2012 - 004143
Preparation - Senior Executives September 2012	Declaration Cycle 2012 September	2012 - 004144
Declarations Status	Declaration Cycle 2012 September	2012 - 005027
August 2012 Update	Delegations	2012 - 003568
October 2012 update_s 102 and s 119A	Delegations	2012 - 005804
November 2012 update	Delegations	2012 - 005959
December 2012 update_s 102	Delegations	2012 - 006172
L&D Panel Request Form	DIISRTE Learning and Development Panel	2012 - 005494
Copies of Deeds	DIISRTE Learning and Development Panel	2012 - 005572
Templates	DIISRTE Learning and Development Panel	2012 - 005596
List of Providers Contacts - November 2012	DIISRTE Learning and Development Panel	2012 - 005722
QLD - CDPP - 2013	Director of Public Prosecutions (CDPP)	2012 - 005805
Disclosure	Disclosure	2012 - 005847
Process Administration Guides	Disclosure of Interests	2012 - 004162
Registry Services and Licensing	External Speeches & Presentations	2012 - 004300
RPG Dec 12	F A MDA Policy review	2012 - 006174
MOU	FaHCSIA ATM MOU	2012 - 005717
Outreach	FaHCSIA ATM MOU	2012 - 005718
FOS Media	Financial Ombudsman Service (FOS)	2012 - 004645
FOS Organisational Structure	Financial Ombudsman Service (FOS)	2012 - 004833

FOS Reports - Annual	Financial Ombudsman Service (FOS)	2012 - 004837
FOS Code Compliance Committee Contacts	Financial Ombudsman Service (FOS)	2012 - 005057
FOS Reports - Special Credit 2011	Financial Ombudsman Service (FOS)	2013 - 000008
Self Managed Super Funds	Financial Services Regulation (FSR)	2012 - 002950
RG105 Licensing Organisational Competence - update	Financial Services Regulation (FSR)	2012 - 003269
Securities Dealers - Modified Obligations - 2012-2013	Financial Services Regulation (FSR)	2012 - 004153
RG 229 Superannuation Forecasts - update 2013	Financial Services Regulation (FSR)	2013 - 000043
2011-12	FMA Certificate of Compliance	2012 - 004303
Fraud Survey 2011 -12	Fraud	2012 - 004387
NSW - ##### (#####)	Freedom of Information (FOI) Act Applications	2012 - 003022
NSW - ##### ##### No 53 (Corro from ##### to #####)	Freedom of Information (FOI) Act Applications	2012 - 003023
NSW - ##### #####, #####	Freedom of Information (FOI) Act Applications	2012 - 003120
VIC - ##### ##### - Internal Review	Freedom of Information (FOI) Act Applications	2012 - 003960
VIC - ##### #####- Internal Review	Freedom of Information (FOI) Act Applications	2012 - 003961
VIC - ##### ##### - Internal Review 20120726	Freedom of Information (FOI) Act Applications	2012 - 003962
QLD - ##### ##### - Internal Review of 3 April 2012 Request	Freedom of Information (FOI) Act Applications	2012 - 004407
NSW - ##### ##### - ##### #####	Freedom of Information (FOI) Act Applications	2012 - 004633
NSW - Consumer Action Law Centre (41178/12)	Freedom of Information (FOI) Act Applications	2012 - 004671
NSW - ##### #####	Freedom of Information (FOI) Act Applications	2012 - 004810
NSW - ##### #####	Freedom of Information (FOI) Act Applications	2012 - 004864
WA - ##### #####Group - ENF2012/23622	Freedom of Information (FOI) Act Applications	2012 - 005098
NSW - ##### #####	Freedom of Information (FOI) Act Applications	2012 - 005179
VIC - ##### ##### -	Freedom of Information (FOI) Act Applications	2012 - 005326

VIC - ##### -	Freedom of Information (FOI) Act Applications	2012 - 005607
NSW - ##### WA LTD - #####	Freedom of Information (FOI) Act Applications	2012 - 005796
NSW - #####	Freedom of Information (FOI) Act Applications	2012 - 005978
NSW - #####	Freedom of Information (FOI) Act Applications	2012 - 006022
VIC - #####20121203	Freedom of Information (FOI) Act Applications	2012 - 006035
##### - ##### Pty Ltd	Freedom of Information (FOI) Act Applications	2012 - 006151
VIC - ##### - 20121217 - Procedure Manuals	Freedom of Information (FOI) Act Applications	2013 - 000076
NSW - #####	Freedom of Information (FOI) Act Applications	2013 - 000077
Structured products review 2012	FS1-CARI	2012 - 004390
Fact Sheets	Indigenous Outreach	2012 - 005240
2012 - 2013 IOP	Indigenous Outreach Program	2012 - 005444
APY Consumer Protection Project	Indigenous Outreach Program	2012 - 006148
IMS External Liaison Meetings	Industry & Market Participants	2012 - 003119
Australian Finance Conference	Industry Associations	2012 - 004577
Records and Information Management and Digital Transition Policy	Information Resource Centre	2012 - 003978
IRC - Legislation Standards and Guidelines	Information Resource Centre	2012 - 005465
Regulatory Powers Bill 2012	Law Reform	2012 - 003192
Managed Investments Legislative Review	Law Reform	2012 - 005118
Legal Service Directions - Issues and Advice - 2012-2013	Legal Services Directions	2012 - 004732
Legal Services Directions - Reporting - 2012-2013	Legal Services Directions	2012 - 004733
Publishing Info	LPP Project	2012 - 003223
Procurement of AGS	LPP Project	2012 - 003224
Training Materials	LPP Project	2012 - 005450
AGS Corro	LPP Project	2012 - 005451
AGS Legal Advice(s)	LPP Project	2012 - 005452
Commission & Deterrence Committee Meeting	LPP Project	2012 - 005453
External Consultation	LPP Project	2012 - 005454
Miscellaneous (Research, Early Drafts of Documents etc)	LPP Project	2012 - 005455
LPP Guide & Disclosure Agreement	LPP Project	2012 - 005459
Expedited Communication	M&BR Policies Procedures & Guidelines	2012 - 005537

Complex products working group	Other - Policy Development	2012 - 003567
Strategy and Policy - Standing Commission papers	Other - Policy Development	2012 - 004544
Personal Information Digest 2012	Privacy Act Matters	2012 - 004152
M&BR external online survey	Privacy Act Matters	2012 - 004165
TBG priorities	Project Management	2012 - 003681
Insolvency Reform Programme 2012 - 2013	Project Management	2012 - 004327
Insolvency Notices Website 2012	Project Management	2012 - 004393
Business Names - Reporting - ASIC BN Board	Project Management	2012 - 004486
Projects@ASIC Reform Project 2012	Project Management	2012 - 004672
Investigation Management Framework	Project Management	2012 - 005260
RG 000 ASX 24 Market - Client Recording and Documentary Obligations	Regulatory Guides	2012 - 003715
RG 000 ASX Market - Client Documentation and Record Keeping	Regulatory Guides	2012 - 004340
RG 240 Hedge Funds: Improving Disclosure	Regulatory Guides	2012 - 004381
RG 235 Registering Your Business Name	Regulatory Guides	2012 - 004565
RG 241 Electronic Trading	Regulatory Guides	2012 - 004824
RG 242 ASIC's Power to Wind Up Companies	Regulatory Guides	2012 - 005001
RG 243 Self-managed Superannuation Fund Auditor Registration	Regulatory Guides	2012 - 005142
RG 244 Giving Information, General Advice and Scaled Advice	Regulatory Guides	2012 - 005540
Questions on Notice	Reporting	2012 - 003087
Property Law Reports - 2013	Reporting	2012 - 003254
IFIAR Survey 2012	Reporting	2012 - 003284
Solicitors' representation letters 2012	Reporting	2012 - 003287
SQL Report parameters 2012-2013	Reporting	2012 - 003534
FOI Monthly Publication Reports	Reporting	2012 - 003758
Enforcement Homepage Reports	Reporting	2012 - 005177
#####	Reports - Market Assessment	2012 - 004482
Money Market Funds	Reports - Other	2012 - 003201
Early Termination Fees - see Mortgage Exit Fees	Reports - Other	2012 - 003366
Credit Assistance Providers' Monitoring and Supervision of Credit Representatives	Reports - Other	2012 - 003494
Insolvency Statistics (Schedule B) 2012	Reports - Other	2012 - 003993
Adequacy of Risk Management Arrangements of Responsible Entities	Reports - Other	2012 - 004015
Health Check Report: Capital Protected or Guaranteed Structured Products	Reports - Other	2012 - 004049
Response to Submissions - CP 172 Review of EDR Jurisdiction	Reports - Other	2012 - 004276
Response to Submissions - CP 171 Research report providers (including research houses)	Reports - Other	2012 - 004375

Short Selling Post Implementation Review	Reports - Other	2012 - 004402
Response to Submissions - CP 174 Hedge Funds: Improving Disclosure	Reports - Other	2012 - 004580
Relief Report 201209 - Covering 201202--201205	Reports - Other	2012 - 004771
Audit Inspection 2011-12	Reports - Other	2012 - 004949
Response to Submissions - CP 180 ASIC's Power to Wind Up Companies	Reports - Other	2012 - 005049
Response to Submissions - CP 178 Advertising Credit Products and Services	Reports - Other	2012 - 005269
Response to Submissions - CP 179 and CP 184 Australian Market Structure: Draft Guidance and Rules	Reports - Other	2012 - 005345
Response to Submissions - CP 176 CP 176 Review of ASIC policy on platforms: Update to RG 148	Reports - Other	2012 - 005480
Response to Submissions - CP 177 Electricity Derivative Market Participants	Reports - Other	2012 - 005507
Capital Protected and Guaranteed Structured Products	Reports - Other	2012 - 005592
Response to Submissions - CP 182 and CP 183 Best Interests Duty and Scaled Advice	Reports - Other	2012 - 005700
Response to Submissions - CP 186 Clearing and Settlement Facilities	Reports - Other	2012 - 005806
Client Money Handling Practices in the Retail OTC Derivatives Sector	Reports - Other	2012 - 005897
RS&L FR&A Referrals - Resignation	Resignation	2012 - 003340
Quarterly Risk Review - Q4 2011 -12	Risk Management	2012 - 003250
Quarterly Risk Review - Q1 2012-13	Risk Management	2012 - 005070
2011-2012	Senate Estimates	2012 - 003619
2010-2011	Senate Estimates	2012 - 003620
AFA 201207	Speeches	2012 - 003447
PJC Opening Statement 2012	Speeches	2012 - 004631
Am-Cham 201211	Speeches	2012 - 005457
CSA 2012	Speeches	2012 - 005783
ASFA 201211	Speeches	2012 - 005986
IIA 2012	Speeches	2012 - 006119
	Strategic Intelligence	
ASIC's Service Charter	Projects	2012 - 003017
FSB Resolution Regimes Questionnaire	Submissions	2012 - 004953
Review of Compensation Arrangements for Consumers of Financial Services	Submissions & Government Relations	2012 - 003229