



02/0343

## Australian CS Facility Licence (Options Clearing House Pty Limited) 2002

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I, IAN GORDON CAMPBELL, Parliamentary Secretary to the Treasurer, grant this Licence under subsection 1425 (2) of the *Corporations Act 2001*.

Dated *March 8th*, 2002

Parliamentary Secretary to the Treasurer

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**1 Name of Licence**

This Licence is the *Australian CS Facility Licence (Options Clearing House Pty Limited) 2002*.

**2 Commencement**

This Licence commences on the FSR commencement.

**3 Definitions**

In this Licence:

*Act* means the *Corporations Act 2001*.

*FSR commencement* has the same meaning as in subsection 1410 (1) of the Act.

*old Corporations Act* has the same meaning as in subsection 1410 (1) of the Act.

**Section 4**

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**4 Grant of licence**

A licence is granted to Options Clearing House Pty Limited to operate the clearing and settlement facility for futures contracts that was operated immediately before the FSR commencement by Options Clearing House Pty Limited.

**5 Classes of financial products**

The classes of financial products in respect of which the facility can provide services are:

- (a) futures contracts, within the meaning of section 72 of the old Corporations Act; and
- (b) agreements of a kind to which section 72A of the old Corporations Act applied immediately before the FSR commencement (or would have applied after the FSR commencement if that section, and any associated provisions, had continued to have effect).

*Note* For other financial products in respect of which the facility can provide services, see section 1428 of the Act.

**6 Services to which licence applies**

The licence only covers the facility providing services for the market that was operated by ASX Futures Exchange Pty Limited immediately before the FSR commencement.



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## Australian CS Facility Licence (Options Clearing House Pty Limited) Variation Notice 2002 (No. 1)

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I, IAN GORDON CAMPBELL, Parliamentary Secretary to the Treasurer, make this Notice under paragraph 825A (1) (b) of the *Corporations Act 2001*.

Dated *1<sup>st</sup> of November* 2002

Parliamentary Secretary to the Treasurer

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**1 Name of Notice**

This Notice is the *Australian CS Facility Licence (Options Clearing House Pty Limited) Variation Notice 2002 (No. 1)*.

**2 Commencement**

This Notice commences on 1 December 2002.

**3 Variation of conditions on Australian CS Facility Licence (Options Clearing House Pty Limited) 2002**

Schedule 1 varies the conditions imposed on the *Australian CS Facility Licence (Options Clearing House Pty Limited) 2002*.

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## Schedule 1 Variations

(section 3)

[1] **Section 3, after definition of Act**

*insert*

*derivative* has the same meaning as in section 761D of the Act.

[2] **Section 3, definition of *old Corporations Act***

*omit*

[3] **Sections 4 and 5**

*substitute*

**4 Grant of licence for particular facility**

A licence is granted to Options Clearing House Pty Limited to operate the clearing and settlement facility that provides services in respect of the licensed markets operated by ASX Futures Exchange Pty Limited and the Australian Stock Exchange Limited.

**5 Classes of financial products**

- (1) The classes of financial products in respect of which the facility can provide services are the classes of derivatives that can be dealt with on the licensed markets operated by ASX Futures Exchange Pty Limited and the Australian Stock Exchange Limited.
- (2) The classes of derivatives do not include derivatives that are transferable.

[4] **Section 6**

*omit*