



CORPORATE CARBON ADVISORY PTY LTD	1 Simmons Street	Balmain East	NSW	2041	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Provide a Custodial or Depository Service	-	Wholesale	Licence Granted	19/12/2012	FOS	26/06/2012
COUNTRY CARBON PTY. LTD.	21 High Crescent	Tura Beach	NSW	2548	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	-	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	-	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	-	Provide a Custodial or Depository Service	-	Wholesale	Cancelled	31/12/2012	-	-
COZERO MASTER TRADING PTY LTD	Level 2, 71 Macquarie Street	Sydney	NSW	2000	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Licence Granted	23/10/2012	-	-	
DAVID ANDERSON	Terrace 8, 365 Miller Street	Cammeray	NSW	2062	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Retail	Wholesale	Cancelled	1/11/2012	-	-	
DELOITTE CORPORATE FINANCE PTY LIMITED	Level 1, Grosvenor Place, 225 George Street	Sydney	NSW	2000	241457	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Retail	Wholesale	Licence Granted	5/12/2012	FOS	1/07/2008	
DELTA ELECTRICITY	Level 20, 175 Liverpool Street	Sydney	NSW	2000	283400	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Cancelled	31/12/2012	-	-	
DERIVATIVES.COM.AU PTY LIMITED	International Business Centre, 4 Cornwallis Street	Redfern	NSW	2015	243662	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Cancelled	1/11/2012	FOS	1/07/2008	
DESIGN FINANCIAL GROUP PTY LTD	Suite C14, Level 1, 1-3 The Esplanade	Mount Pleasant	WA	6153	416956	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Retail	Wholesale	Cancelled	1/11/2012	COSL	1/02/2012	
DIAMOND ENERGY PTY LTD	Suite 1, Level 1, 695 Burke Rd	Camberwell	VIC	3124	319405	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Licence Granted	20/12/2012	-	-	
ECO2SYS AUSTRALIA PTY LTD	Unit 1, 85 Templeton Street	Castlemaine	VIC	3450	-	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Retail	Wholesale	Cancelled	1/11/2012	-	-	
ECOFUND QUEENSLAND PTY LTD	Level 3, 120 Edward Street	Brisbane	QLD	4000	388086	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Licence Granted	13/11/2012	-	-	
EDGE ENERGY SERVICES PTY LTD	Level 18, 333 Ann Street	Brisbane	QLD	4000	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	-	-	-	-	Wholesale	Cancelled	1/11/2012	-	-	
EDL RETAIL PTY LTD	Building 17, 2404 Logan Road	Eight Mile Plains	QLD	4113	-	-	-	-	-	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	-	-	-	-	Wholesale	Cancelled	1/11/2012	-	-	
EMIT ENVIRONMENTAL BROKERS PTY LTD	14 Glenhuntsly Road	Elwood	VIC	3184	298493	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Licence Granted	16/11/2012	-	-	
ENERGETICS PTY LTD	Level 7, 132 Arthur Street	North Sydney	NSW	2060	329935	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Cancelled	31/12/2012	-	-	
ENERGY ACTION (AUSTRALIA) PTY LTD	Level 5, 56 Station Street	Parramatta	NSW	2150	362843	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	Wholesale	Licence Granted	28/11/2012	-	-	
ENERGYADVICE PTY LTD	Ground Floor, Building 3, Brandon Office Park, 540 Springvale Road	Glen Waverley	VIC	3150	-	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	Wholesale	Cancelled	1/11/2012	-	-	
ENFINIUM PTY LTD	Suite 405	68 York Street	NSW	2000	322081	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Retail	Wholesale	Cancelled	31/12/2012	FOS	1/07/2008	
ENVEX SERVICES PTY LIMITED	Level 14, 61 York Street	Sydney	NSW	2000	365601	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Licence Granted	8/11/2012	-	-	
ERGON ENERGY QUEENSLAND PTY LTD	34 - 46 Dalrymple Road	Garbutt	QLD	4814	306458	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Licence Granted	23/11/2012	-	-	
ERM FINANCIAL SERVICES PTY LTD	Level 5, 123 Eagle Street	Brisbane	QLD	4000	387951	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Licence Granted	3/10/2012	-	-	
ERNST & YOUNG ABC PTY LIMITED	680 George Street	Sydney	NSW	2000	238167	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	-	-	Retail	Wholesale	Licence Granted	17/12/2012	FOS	11/07/2008	
EXIGENCY MANAGEMENT PTY. LTD.	Suite 607, 530 Little Bourke Street	Melbourne	VIC	3000	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	Wholesale	Cancelled	1/11/2012	-	-	
FC STONE AUSTRALIA PTY LTD	Level 10, 151 Macquarie Street	Sydney	NSW	2000	237755	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Licence Granted	10/12/2012	FOS	1/07/2008	
FINAL CARBON	204 Reservoir Road	Sunbury	VIC	3429	-	-	-	-	-	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Cancelled	31/12/2012	FOS	1/07/2012	
FLINDERS LABUAN (NO. 1) LTD. & FLINDERS LABUAN (NO. 2) LTD. & FLINDERS POWERHOLDINGS GMBH	168 Greenhill Road	Parkside	SA	5063	245355	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Cancelled	1/11/2012	-	-	
FLUKES VALUE MANAGEMENT SOLUTIONS PTY LTD	6 St James Avenue	Mont Albert	VIC	3127	283290	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	Wholesale	Licence Granted	14/09/2012	-	-	
FRONTIER CARBON PTY LTD	Level 10, 30 Collins Street	Melbourne	VIC	3000	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Provide a Custodial or Depository Service	-	Wholesale	Cancelled	1/11/2012	-	-
GCR FINANCIAL PTY LTD	3 Napier Street	St Lucia	QLD	4067	333543	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Retail	Wholesale	Cancelled	31/12/2012	FOS	1/07/2008	
GLOBAL CREDIT INVESTORS PTY LTD	Level 34, 50 Bridge Street	Sydney	NSW	2000	346034	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	Wholesale	Cancelled	1/11/2012	-	-	
GOENERGY PTY LTD	Suite 2.03, 4 Ilya Avenue	Erina	NSW	2250	281904	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	Wholesale	Licence Granted	21/12/2012	-	-	
GREENBANK AUSTRALIA PTY. LTD.	5 Walkers Road	Nunawading	VIC	3131	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Retail	Wholesale	Licence Granted	21/12/2012	-	-
GREENFLEET AUSTRALIA	Level 3, 520 Collins Street	Melbourne	VIC	3000	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Cancelled	31/12/2012	-	-	
GROSVENOR PIRIE MANAGEMENT LIMITED	Suite 3.06, Level 3, 46A Macleay Street	Potts Point	NSW	2011	238184	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	Wholesale	Licence Granted	15/11/2012	FOS	12/02/2004	
HJ SHORTLAND & CO WEALTH MANAGEMENT PTY LTD	2/73 Hartley Street	Alice Springs	NT	870	324909	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Retail	Wholesale	Cancelled	1/11/2012	FOS	1/08/2008	
HORIZON FINANCIAL PLANNING PTY LTD	19 Adelaide Place	Port Lincoln	SA	5606	337867	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Retail	-	Cancelled	1/11/2012	FOS	15/05/2009	
HSBC BANK AUSTRALIA LIMITED	Level 32, HSBC Centre, 580 George Street	Sydney	NSW	2000	232595	-	-	-	-	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Provide a Custodial or Depository Service	-	Wholesale	Cancelled	1/11/2012	-	-
HUNTER UNITED EMPLOYEES' CREDIT UNION LIMITED	130 Lambton Road	Broadmeadow	NSW	2292	238316	-	-	-	-	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Provide a Custodial or Depository Service	Retail	Wholesale	Cancelled	1/11/2012	FOS	15/10/2009
HYDRO-ELECTRIC CORPORATION	Level 10, 4 Elizabeth Street	Hobart	TAS	7000	279796	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Licence Granted	18/12/2012	-	-	
ICAP BROKERS PTY LIMITED	Level 27, 9 Castlereagh Street	Sydney	NSW	2000	234593	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	Wholesale	Licence Granted	16/10/2012	-	-	
IG POWER MARKETING PTY LTD	Level 26, 400 George Street	Brisbane	QLD	4000	234943	-	-	-	-	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Licence Granted	21/12/2012	-	-	
INDIANPACIFIC CAPITAL PTY LTD	Suite 6, 47 Monash Avenue	Como	WA	6152	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Retail	Wholesale	Cancelled	1/11/2012	COSL	8/02/2012	
INFIGEN ENERGY HOLDINGS PTY LIMITED	Level 22, 56 Pitt Street	Sydney	NSW	2000	412121	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Licence Granted	13/11/2012	-	-	
INTERGEN (AUSTRALIA) PTY LTD	Level 26, 400 George Street	Brisbane	QLD	4000	234942	-	-	-	-	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Licence Granted	29/11/2012	-	-	
INTERNATIONAL POWER (ENERGY) PTY LTD	Level 37 Rialto North Tower, 525 Collins Street	Melbourne	VIC	3000	247024	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Licence Granted	17/12/2012	-	-	
IPM AUSTRALIA LIMITED	Level 37 Rialto North Tower, 525 Collins Street	Melbourne	VIC	3000	245478	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Licence Granted	17/12/2012	-	-	
IPSO RENEWABLES PTY LTD	Level 1, 488 Victoria Street	Richmond	VIC	3121	341685	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Wholesale	Licence Granted	26/10/2012	-	-	
J & B ENERGY SERVICES PTY. LTD.	Level 5, 454 Collins Street	Melbourne	VIC	3000	401072	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit												

LAWRENCE GAGE	19 Lomandra Drive	Maidstone	VIC	3012	-	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	Wholesale	Cancelled	31/12/12q	-	-
LEADENHALL VRG PTY LTD	Level 1, 31 Franklin Street	Adelaide	SA	5000	293586	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	Wholesale	Cancelled	1/11/2012	FOS	1/07/2008
LMS ENERGY PTY LTD	79 King William Road	Unley	SA	5061	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Cancelled	1/11/2012	-	-
LOY YANG MARKETING MANAGEMENT COMPANY PTY LIMITED	Level 27, 459 Collins Street	Melbourne	VIC	3000	239669	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	Wholesale	Cancelled	1/11/2012	-	-
LUMO ENERGY AUSTRALIA PTY LTD	Level 3, 565 Bourke Street	Melbourne	VIC	3000	296794	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Licence Granted	7/11/2012	-	-
M & C ENERGY PTY LTD	Level 4, 99 King Street	Melbourne	VIC	3000	237359	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	Wholesale	Licence Granted	22/11/2012	-	-
MACQUARIE BANK LIMITED	Level 7, 1 Martin Place	Sydney	NSW	2000	237502	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Provide a Custodial or Depository Service	-	Wholesale	Licence Granted	20/12/2012	FOS	1/07/2008
MACQUARIE CAPITAL (AUSTRALIA) LIMITED	Level 7, 1 Martin Place	Sydney	NSW	2000	314416	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	Wholesale	Licence Granted	18/12/2012	FOS	1/07/2008
MACQUARIE GENERATION	34 Griffiths Road	Lambton	NSW	2299	284379	-	-	-	-	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Licence Granted	22/10/2012	-	-
MACQUARIE SECURITIES (AUSTRALIA) LIMITED	Level 7, 1 Martin Place	Sydney	NSW	2000	238947	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	-	-	-	Retail	Wholesale	Licence Granted	21/12/2012	FOS	31/01/2009
MARK THOMPSON ADVISORY SERVICE PTY LTD	Level 1, 18 Dequetteville Terrace	Kent Town	SA	5067	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	Retail	Wholesale	Cancelled	1/11/2012	M0017025	29/05/2012
MARKET PRAXIS PTY LTD	11 Leichhardt Street	Waverley	NSW	2024	382724	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	Wholesale	Licence Granted	19/12/2012	-	-
MARTINA CAROLINA MARIA VAN TILBORG	Suite 9, 16 Phillimore Street	Fremantle	WA	6160	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Retail	Wholesale	Cancelled	1/11/2012	-	-
MAURICE DWIGHT JUVENAL CHAPMAN	25 Liston Avenue	Reservoir	VIC	3073	-	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Provide a Custodial or Depository Service	Retail	Wholesale	Cancelled	1/11/2012	-	-
MERCARI PTY LTD	Level 1, 7 Bridge Street	Sydney	NSW	2000	229935	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	Wholesale	Licence Granted	23/11/2012	-	-
MERIDIAN ASIA/PACIFIC PTY. LTD.	1453 Tugalong Road	Canyonleigh	NSW	2577	-	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	Retail	Wholesale	Cancelled	1/11/2012	-	-
MERIDIAN ENERGY LIMITED	Level 1, 33 Custom House Quay	Wellington Central	NZ	6011	409423	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Licence Granted	10/12/2012	-	-
MERIDIAN ENERGY MARKETS PTY LTD	9 Queen Street	Melbourne	VIC	3000	417585	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Licence Granted	30/10/2012	-	-
MICHAEL FRANCIS WATERHOUSE	7 Transom Close	Jamboree Heights	QLD	4074	-	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	-	-	-	-	Wholesale	Cancelled	1/11/2012	-	-
MILLMERRAN POWER MANAGEMENT PTY LTD	Level 26, 400 George Street	Brisbane	QLD	4000	234941	-	-	-	-	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Licence Granted	18/12/2012	-	-
MOELIS AUSTRALIA ADVISORY PTY. LTD.	Level 27, Governor Phillip Tower, 1 Farrer Place	Sydney	NSW	2000	345499	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Cancelled	1/11/2012	FOS	1/07/2008
MOMENTUM ENERGY PTY LIMITED	Level 10, 4 Elizabeth Street	Hobart	TAS	7000	253085	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Cancelled	1/11/2012	-	-
MORGAN STANLEY AUSTRALIA LIMITED	Level 39, Chifley Tower, 2 Chifley Square	Sydney	NSW	2000	233742	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Provide a Custodial or Depository Service	-	Wholesale	Licence Granted	17/11/2012	-	-
MORGAN STANLEY AUSTRALIA SECURITIES LIMITED	Level 39, Chifley Tower, 2 Chifley Square	Sydney	NSW	2000	233741	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Provide a Custodial or Depository Service	-	Wholesale	Licence Granted	17/11/2012	-	-
NATIONAL AUSTRALIA BANK LIMITED	UB4440 Level 4, 800 Bourke Street	Docklands	VIC	3008	230686	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Provide a Custodial or Depository Service	Retail	Wholesale	Licence Granted	18/12/2012	FOS	1/07/2008
NAUTILUS GREEN CAPITAL PTY LTD	27 Beltana Street	Denistone	NSW	2114	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Licence Granted	25/09/2012	-	-
NAVITUS PTY LTD	Unit 2, 48 King William Road	Goodwood	SA	5034	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Licence Granted	23/11/2012	-	-
OAKVALE CAPITAL LIMITED	3, 50 Colin Street	Perth	WA	6872	229842	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	Wholesale	Cancelled	1/11/2012	-	-
OCTOPI WATERS PTY LTD	2/275 Clarence Street	Sydney	NSW	2000	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	Wholesale	Cancelled	1/11/2012	-	-
ORIGIN ENERGY ELECTRICITY LIMITED	Level 45 Australia Square, 264-278 George Street	Sydney	NSW	2000	238262	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Licence Granted	22/11/2012	-	-
OZGEN RETAIL PTY LTD	Level 26, 400 George Street	Brisbane	QLD	4000	327278	-	-	-	-	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Cancelled	1/11/2012	-	-
PAUL HURWORTH	18/70 Bayswater Road, Rushcutters Bay	Sydney	NSW	2011	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	Retail	Wholesale	Cancelled	1/11/2012	-	-
PELICAN POINT POWER LIMITED	Level 37 Rialto North Tower, 525 Collins Street	Melbourne	VIC	3000	247026	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Licence Granted	19/12/2012	-	-
PERENIA AFSL PTY LTD	Level 7, 111 Pacific Highway	North Sydney	NSW	2060	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	Wholesale	Cancelled	1/11/2012	-	-
PERMANENT CUSTODIANS LIMITED	Level 2, 35 Clarence Street	Sydney	NSW	2000	235129	-	-	-	-	-	-	-	-	-	-	-	-	Provide a Custodial or Depository Service	-	Wholesale	Cancelled	21/09/2012	FOS	1/07/2008
PILOT WEALTH MANAGEMENT PTY LTD	283-287 Sir Donald Bradman Drive	Brooklyn Park	SA	5032	410134	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Retail	-	Cancelled	21/09/2012	COSL	12/08/2011	
POTTINGER CO PTY LTD	Level 35, 50 Bridge Road	Sydney	NSW	2000	307650	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	Wholesale	Licence Granted	17/07/2012	-	-
PREFERRED CARBON AUSTRALIA PTY LTD	334/29 Milton Parade	Malvern	VIC	3144	-	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	Wholesale	Cancelled	31/12/2012	-	-
PRICEWATERHOUSECOOPERS SECURITIES LTD	Level 1, Darling Park Tower 2, 201 Sussex Street	Sydney	NSW	2000	244572	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	-	-	-	-	Wholesale	Licence Granted	20/12/2012	FOS	1/07/2008
PROVENANCE FINANCIAL SOLUTIONS PTY LTD	9530 Beaufort Street	Inglewood	WA	6052	358587	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Retail	Wholesale	Cancelled	1/11/2012	FOS	4/06/2010	
QENERGY LIMITED	11 Cordelia Street	South Brisbane	QLD	4101	375889	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Cancelled	1/11/2012	-	-
QUINTESSENTIAL FINANCIAL PLANNING LTD	Level 7, 179 Turbot Street	Brisbane	QLD	4000	302947	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Retail	Wholesale	Cancelled	31/12/2012	FOS	1/07/2012	
RAMP CARBON PTY LTD	Unit 3, 22 Council Street	Hawthorn East	VIC	3123	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	Wholesale	Licence Granted	17/12/2012	-	-
RIO TINTO ALUMINIUM LIMITED	123 Albert Street	Brisbane	QLD	4000	-	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	Wholesale	Cancelled	1/11/2012	-	-
RUBRAN PTY LTD	13 Barnett Grove	Noble Park	VIC	3174	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Cancelled	1/11/2012	-	-
SIMON DAWKINS	28 Goldsmith Road	Claremont	WA	6010	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Retail	Wholesale	Licence Granted	14/12/2012	-	-
SLR CONSULTING AUSTRALIA PTY LTD	2 Lincoln Street	Lane Cove	NSW	2066	-	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	-	-	-	Retail	Wholesale	Cancelled	1/11/2012	-	-
SOLUTION AXIS PTY. LTD.	2 Cornelius Crescent	Healesville	VIC	3777	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Provide a Custodial or Depository Service	Retail	Wholesale	Cancelled	1/11/2012	-	-
STANWELL CORPORATION LIMITED	Level 13, 42 Albert Street	Brisbane	QLD	4000	238463	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Licence Granted	13/12/2012	-	-
SUSAN FRANCES CAVES	Addison Partners Chartered Accountants, 130 Dowling Street	Dungog	NSW	2420	-	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Provide a Custodial or Depository Service	-	Wholesale	Cancelled	1/11/2012	-	-
SWISS FINANCIAL CONSULTANTS PTY LTD	1103/220 Collins Street	Melbourne	VIC	3000	256436	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	Wholesale	Cancelled	1/11/2012	FOS	1/07/2008
SYNERGEN POWER PTY LIMITED	Level 37 Rialto North Tower, 525 Collins Street	Melbourne	VIC	3000	247029	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Licence Granted	19/12/2012	-	-

TATARELLI SECURITIES PTY LTD	245 The Parade	Norwood	SA	5067	419306	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Retail	Wholesale	Cancelled	1/11/2012	COSL	12/03/2012	
TFS AUSTRALIA PTY. LIMITED	Level 19, 25 Bligh Street	Sydney	NSW	2000	230557	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	Wholesale	Licence Granted	13/12/2012	-	-	
THE HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED	Level 32, HSBC Centre, 580 George Street	Sydney	NSW	2000	301737	-	-	-	-	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Provide a Custodial or Depository Service	-	Wholesale	Cancelled	1/11/2012	-	-
THE PARTNERSHIP OF AGL HP1 PTY LIMITED & AGL HP2 PTY LIMITED & AGL HP3 PTY LIMITED	Level 22, 120 Spencer Street	Melbourne	VIC	3000	247091	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Licence Granted	19/12/2012	-	-
THE PARTNERSHIP OF AUSTRALIAN POWER PARTNERS B V & CISL (HAZELWOOD) PTY. LIMITED & HAZELWOOD INVESTMENT COMPANY PTY LIMITED & HAZELWOOD PACIFIC PTY. LTD. & NATIONAL POWER AUSTRALIA INVESTMENTS LIMITED (HAZELWOOD POWER PARTNERSHIP)	Level 37 Rialto North Tower, 525 Collins Street	Melbourne	VIC	3000	247023	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Licence Granted	19/12/2012	-	-
THE PARTNERSHIP OF THE CMS GENERATION LOY YANG TRUST & THE HORIZON ENERGY TRUST & OTHS	Bartons Lane	Traralgon	VIC	3844	247320	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Cancelled	1/11/2012	-	-
THE SIGMA GLOBAL COMPANY PTY LIMITED	9/40 Penkivil Street	Bondi	NSW	2026	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	Wholesale	Licence Granted	19/11/2012	-	-	
THE TRUST COMPANY (AUSTRALIA) LIMITED	Level 15, 20 Bond Street	Sydney	NSW	2000	235145	-	-	-	-	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Provide a Custodial or Depository Service	-	Wholesale	Licence Granted	28/11/2012	-	-
THE TRUST COMPANY (PTAL) LIMITED	Level 15, 20 Bond Street	Sydney	NSW	2000	235128	-	-	-	-	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Provide a Custodial or Depository Service	-	Wholesale	Cancelled	1/11/2012	-	-
THE TRUST COMPANY (RE SERVICES) LIMITED	Level 15, 20 Bond Street	Sydney	NSW	2000	235150	-	-	-	-	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Provide a Custodial or Depository Service	-	Wholesale	Licence Granted	17/12/2012	-	-
THE TRUST COMPANY LIMITED	Level 15, 20 Bond Street	Sydney	NSW	2000	235148	-	-	-	-	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Provide a Custodial or Depository Service	-	Wholesale	Cancelled	1/11/2012	-	-
THETA ASSET MANAGEMENT LTD	18 Stirling Highway	Nedlands	WA	6009	230920	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Retail	Wholesale	Cancelled	1/11/2012	FOS	1/07/2008
ENERGYAUSTRALIA PTY LTD	Level 33, 385 Bourke Street	Melbourne	VIC	3000	284426	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Licence Granted	13/12/2012	-	-
TRUSTPOWER MARKET SERVICES PTY LTD	26 Greenhill Road	Wayville	SA	5034	419007	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	Wholesale	Licence Granted	20/12/2012	-	-
TULLETT PREBON (AUSTRALIA) PTY LIMITED	Level 36, 60 Margaret Street	Sydney	NSW	2000	224852	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	-	-	Wholesale	Licence Granted	8/11/2012	-	-
UBS AG	Chifley Tower, Level 16, 2 Chifley Square	Sydney	NSW	2000	231087	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Licence Granted	28/11/2012	-	-
UBS SECURITIES AUSTRALIA LTD	Chifley Tower, Level 16, 2 Chifley Square	Sydney	NSW	2000	231098	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Provide a Custodial or Depository Service	-	Wholesale	Licence Granted	28/11/2012	-	-
VALUESTREAM INVESTMENT MANAGEMENT LTD	18 Stirling Highway	Nedlands	WA	6009	246621	Provide General Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	Retail	-	Licence Granted	14/12/2012	FOS	1/07/2008
						Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Current	30/10/2012	-	-
WATERFALL WAY ASSOCIATES PTY LTD	Suite 607, 24 Moonee Street	Coffs Harbour	NSW	2450	318105	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Arrange for a person to deal in a financial product - Apply for, acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	-	-	Retail	-	Cancelled	31/12/2012	FOS	1/07/2008	
WEACT PTY LTD	1/7 Wattletree Road	Armadale	VIC	3143	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	-	-	Wholesale	Licence Granted	26/10/2012	-	-
											Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Provide a Custodial or Depository Service	Retail	Wholesale	Licence Granted	24/07/2012	-	-
WESTPAC BANKING CORPORATION	Level 20, Westpac Place, 275 Kent Street	Sydney	NSW	2000	233714	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Deal in a financial product - Apply for acquire, vary or dispose of financial products on behalf of another	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Make a Market for a Financial Product	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit	Provide a Custodial or Depository Service	Retail	Wholesale	Licence Granted	12/12/2012	FOS	1/07/2008
XENERGY PTY LTD	Level 36, 'Santos Place', 32 Turbot Street	Brisbane	QLD	4000	-	Provide Financial Product Advice	Carbon Unit	Australian Carbon Credit Unit	Eligible International Emissions Unit									-	-	Wholesale	Licence Granted	12/11/2012	-	-

Corporation Regulation 2012 (No.1) 7.6.02AGA(5G) provides that an application for registration to provide financial services in relation to emissions units must be lodged during the period that started on 1 May 2012 and ended on 30 June 2012.

30 June 2012 fell on a Saturday. Sub section 36(2) of the Acts Interpretation Act 1901 provides that, where the last day for the doing of an act falls on a Saturday, that act may also be done on the next business day.

Accordingly, the date of the approval of this registration is Monday 2 July 2012.