



Commonwealth of Australia

Commonwealth of Australia Gazette No. ASIC 09/04, Tuesday, 2 March 2004

Published by ASIC

ASIC Gazette

Contents

Notices under the Corporations Act 2001

04/0144	04/0145
04/0146	04/0147
04/0148	04/0149
04/0150	04/0151
04/0152	04/0153
04/0154	04/0155
04/0156	04/0157
04/0158	04/0159
04/0161	04/0162
04/0163	04/0164

Change of company status

RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the Corporations Act and the other legislation administered by ASIC may have rights of review. ASIC has published **Practice Note 57** [**PN57**] *Notification of rights of review* and Information Sheet [INFO 1100] *ASIC decisions – your rights* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

ISSN 1445-6060 (Online version) ISSN 1445-6079 (CD-ROM version) Available from **www.asic.gov.au** Email **gazette.publisher@asic.gov.au**

© Commonwealth of Australia, 2004

This work is copyright. Apart from any use permitted under the *Copyright Act 1968*, all rights are reserved. Requests for authorisation to reproduce, publish or communicate this work should be made to: Gazette Publisher, Australian Securities and Investment Commission, GPO Box 5179AA, Melbourne Vic 3001

Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 825(a)

Order Revoking Licence

TO: RimCapital Advisors Limited ACN 076 981 998 ("the Licensee") Suite 505 Kindersley House Level 5 33 Bligh Street SYDNEY NSW 2000

Under paragraph 825(a) of the *Corporations Act 2001* (as continued in force by subsection 1432(1) of that Act), the Australian Securities and Investments Commission revokes Licence Number 167833 held by the Licensee with effect from when this order is served on the Licensee.

Dated this 19th day of February 2004.

Signed by:

rettion etticrew

As a delegate of the Australian Securities and Investments Commission

Commonwealth of Australia Gazette

ASIC Gazette Notices under the Corporations Act 2001 ASIC 09/04, Tuesday, 2 March 2004 Page 2



Australian Securities & Investments Commission

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

IN THE MATTER OF HAROLD FREDERICK MOSES AND PART 7.6 OF THE CORPORATIONS ACT 2001

To: Harold Frederick Moses c/- Metropolitan Correctional Centres New South Wales

BANNING ORDER PURSUANT TO SECTION 920B OF THE CORPORATIONS ACT 2001

TAKE NOTICE that the Australian Securities and Investments Commission HEREBY PERMANENTLY PROHIBITS HAROLD FREDERICK MOSES from the date of service of this Banning Order from providing any financial services.

Dated this 10th day of February 2004.

Signed: RENATO SBURLATI Delegate of the Australian Securities and Investments Commission.

Your attention is drawn to section 920C of the Corporations Act 2001, which states:

" (1) A person against whom a banning order is made cannot be granted an Australian financial services licence contrary to the banning order.

(2) A person contravenes this subsection if:

(a) the person engages in conduct; and

(b) the conduct breaches a banning order that has been made against the person.

Note: A contravention of this subsection is an offence (see subsection 1311(1)).

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

IN THE MATTER OF EDMUND ALBERT GALEA AND SECTIONS 920A AND 920B OF THE CORPORATIONS ACT 2001

To: Edmund Albert Galea 65 Matthews Way, WAKERLEY QLD. 4154

ORDER PURSUANT TO SECTIONS 920A and 920B OF THE CORPORATIONS ACT 2001

TAKE NOTICE THAT: pursuant to sections 920A and 920B of the Corporations Act 2001, <u>Edmund Albert Galea</u> is prohibited from providing financial services as defined in the Corporations Act 2001 for a period of two years from the date of this order.

Dated this 9th day of February 2004.

Signed:..... Anne Moroney Delegate of the Australian Securities and Investments Commission.

Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 824(d)

Order Revoking Licence

TO: Julia Mary McLaughlin ("the Licensee") 14 Laidley Creek West Road LAIDLEY QLD 4341

Under paragraph 824(d) of the *Corporations Act 2001* (as continued in force by subsection 1432(1) of that Act), the Australian Securities and Investments Commission revokes Licence Number 16118 held by the Licensee with effect from when this order is served on the Licensee.

Dated this 20th day of February 2004.

Signed by:

Maria Petticrew As a delegate of the Australian Securities and Investments Commission

Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 825(c)

Order Revoking Licence

TO: H N Representatives Pty Ltd ACN 001 155 988 ("the Licensee") Level 11 1 York Street SYDNEY NSW 2000

Under paragraph 825(c) of the *Corporations Act 2001* (as continued in force by subsection 1432(1) of that Act), the Australian Securities and Investments Commission revokes Licence Number 160509 held by the Licensee with effect from when this order is served on the Licensee.

Dated this 20th day of February 2004.

Signed by:

Maria Petticrew

As a delegate of the Australian Securities and Investments Commission

Australian Securities and Investments Commission **Corporations Act 2001 – Paragraph 825(a)**

Order revoking licence

NSP Buck Securities Pty Ltd, ACN 066 766 943("the Licensee") TO: Level 7 530 Collins St MELBOURNE VIC 3000

Under paragraph 825(a) of the Corporations Act 2001 (as continued in force by subsection 1432(1) of that Act), the Australian Securities and Investments Commission revokes Licence Number 85518 held by the Licensee with effect from when this order is served on the Licensee.

Dated this 23rd day of February 2004

Kally Flowag Signed by Kathryn Flanagan as a delegate of the Australian Securities and Investments Commission

Australian Securities and Investments Commission Corporations Act 2001 — Subsections 926A(2), 951B(1), 992B(1) and 1020F(1) — Declaration

Under subsections 926A(2), 951B(1), 992B(1) and 1020F(1) of the Corporations Act 2001 (the Act) the Australian Securities and Investments Commission declares that until 31 December 2005:

- 1. Parts 7.6 (other than Divisions 4 and 8), 7.7 and 7.9 of the Act apply to all persons as if paragraph 761G(7)(d) were modified or varied by omitting "investor." and substituting "investor or a wholly-owned subsidiary of a professional investor that is a body corporate."; and
- 2. Part 7.8 of the Act applies to all persons as if its provisions were modified or varied as follows:
 - (a) in subsection 992A(3A) omit "client.", substitute "client other than a retail client that is a wholly-owned subsidiary of a professional investor that is a body corporate."; and
 - (b) in paragraph 992AA(2)(a) omit "client;" substitute "client other than a retail client that is a wholly-owned subsidiary of a professional investor that is a body corporate;".

Commencement

This instrument takes effect on gazettal.

Brenden Byre.

Signed by Brendan Byrne as a delegate of the Australian Securities and Investments Commission

Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 824(d)

Order revoking licence

TO: John Peter Van Dieren("the Licensee") 17 Elizabeth Street SOUTH PERTH WA 6151

Under paragraph 824(d) of the *Corporations Act 2001* (as continued in force by subsection 1432(1) of that Act), the Australian Securities and Investments Commission revokes Licence Number 14648 held by the Licensee with effect from when this order is served on the Licensee.

Dated this 24th day of February 2004

Ch æ

Signed by Greg Bradshaw as a delegate of the Australian Securities and Investments Commission

Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 824(d)

Order revoking licence

TO: Keith Graeme Lingard("the Licensee") 16 Walpole Street SWANBOURNE WA 6010

Under paragraph 824(d) of the *Corporations Act 2001* (as continued in force by subsection 1432(1) of that Act), the Australian Securities and Investments Commission revokes Licence Number 14342 held by the Licensee with effect from when this order is served on the Licensee.

Dated this 24th day of February 2004

oth D-

Signed by Greg Bradshaw as a delegate of the Australian Securities and Investments Commission

Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 825(a)

Order revoking licence

TO: Southern Cross Forests Corporation Ltd ACN 009 171 788 ("the Licensee")
Unit 6, 272 Hay Street
SUBIACO WA 6008

Under paragraph 825(a) of the *Corporations Act 2001* (as continued in force by subsection 1432(1) of that Act), the Australian Securities and Investments Commission revokes Licence Number 15221 held by the Licensee with effect from when this order is served on the Licensee.

Signed by Greg Bradshaw as a delegate of the Australian Securities and Investments Commission

Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 825(a)

Order revoking licence

TO: Vasse Felix Management Ltd ACN 009 438 171 ("the Licensee") Level 2, 11 Brown Street EAST PERTH WA 6004

Under paragraph 825(a) of the *Corporations Act 2001* (as continued in force by subsection 1432(1) of that Act), the Australian Securities and Investments Commission revokes Licence Number 173753 held by the Licensee with effect from when this order is served on the Licensee.

Signed by Greg Bradshaw as a delegate of the Australian Securities and Investments Commission

Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 825(a)

Order revoking licence

TO: MoneyIQ Pty Ltd ACN 097 851 440 ("the Licensee") 29 Atoll Court MULLALOO WA 6027

Under paragraph 825(a) of the *Corporations Act 2001* (as continued in force by subsection 1432(1) of that Act), the Australian Securities and Investments Commission revokes Licence Number 215879 held by the Licensee with effect from when this order is served on the Licensee.

ስ

Signed by Greg Bradshaw as a delegate of the Australian Securities and Investments Commission

Australian Securities and Investments Commission Corporations Act 2001 – Paragraphs 601QA(1)(a), 911A(2)(l), 992B(1)(a) and 1020F(1)(a) – Variation and Exemption

Under paragraphs 601QA(1)(a), 911A(2)(1), 992B(1)(a) and 1020F(1)(a) of the *Corporations Act 2001* ("the Act"), the Australian Securities and Investments Commission ("ASIC") varies ASIC Instrument number [03/27] dated 17 January 2003 as follows:

- 1. Omit the introductory paragraph of the instrument and substitute:
 - "1. Pursuant to paragraph 601QA(1)(a) of the *Corporations Act 2001* ("the Act"), the Australian Securities and Investments Commission ("ASIC") hereby exempts each person referred to in Schedule A from section 601ED of the Law in the case referred to in Schedule B on the conditions set out in Schedule C.
 - 2. Under paragraphs 911A(2)(1), 992B(1)(a) and 1020F(1)(a) of the Act ASIC hereby exempts the persons referred to in Schedule A in the case referred to in Schedule B on the conditions set out in Schedule C from:
 - (a) sections 992A, 992AA and 1017F of the Act; and
 - (b) the requirement to hold an Australian financial services licence for the provision of financial services by that person in relation to dealing in interests in a managed investment scheme of the kind and offered on the basis referred to in Schedule B.
 - 3. Under paragraphs 992B(1)(a) of the Act ASIC hereby exempts a person (other than a person referred to in Schedule A) in the case of an offer to sell an interest in a managed investment scheme referred to in Schedule B and offered on a basis that appears to comply with Schedule C, from sections 992A and 992AA of the Act.";
- 2. At the end of Schedule A, omit "other than a person who is aware that any disclosure statement required to be given to a person under this instrument in relation to the scheme was not given or was given but did not comply with this instrument", substitute:

" other than a person who is aware that any disclosure statement required to be given to a person under this instrument or any Product Disclosure Statement required to be given to a person under the Act in relation to the scheme was not given or was given but did not comply with this instrument or the Act as the case may be.";

- 3. In sub-paragraph (b)(iv) of Schedule B, omit "holds a securities dealers licence or Australian financial services licence", substitute "is an Australian financial services licensee";
- 4. In paragraph 2.(a) of Schedule C, omit "or invitations";

- 5. In paragraph 2.(b) of Schedule C, insert at the beginning "during the transition period (within the meaning of subsection 1438(8) of the Act";
- 6. In paragraph 2.(c) of Schedule C, insert at the beginning "during the transition period (within the meaning of subsection 1438(8) of the Act";
- 7. In paragraph 1.(b) of Schedule D, omit "or invitation";
- 8. In item 1 of Schedule D omit "securities", substitute "interests";
- 9. In paragraph 3.(a) of Schedule D, omit "securities licensee or an authorised representative of a securities licensee", substitute "an Australian financial services licensee or an authorised representative of an Australian financial services licensee"; and
- 10. After item 7 (Definitions), insert:

" Interpretation

In this instrument:

- 1. "financial services licensee" means:
 - (a) a financial services licensee within the meaning of the Act; and
 - (b) a person who, on 11 March 2002, was the holder of a dealers licence within the meaning of the old Corporations Act (as defined in subsection 1410(1) of the Act), until the earlier of:
 - (i) if ASIC revokes the person's dealer's licence the date of that revocation; or
 - (ii) 11 March 2004; and
- 2. "offer" is to be interpreted in accordance with subsection 1010C(2) of the Act."

Dated this 23rd day of February 2004

Signed by Philippa Bell as a delegate to the Australian Securities and Investments Commission

Australian Securities and Investments Commission Corporations Act 2001 - Subsection 741(1) - Declaration $\,\hat{\upsilon}\,\,4\,$ / $\,0\,\,1\,\,5\,\,7$

Pursuant to subsection 741(1) of the *Corporations Act 2001* (the "Act") the Australian Securities and Investments Commission ("ASIC") hereby declares that Chapter 6D of the Act applies to the persons specified in Schedule A in the case specified in Schedule B as if:

1. the text of paragraph 723(3)(b) of the Act was omitted and the following substituted:

"the securities are not admitted to quotation within 3 months after the later of:

- (i) the date of the disclosure document; and
- (ii) the date of the supplementary disclosure document dated 17 February 2004 which relates to the matters referred to in subparagraph 724(1)(b);";
- 2. subparagraph 724(1)(a) of the Act was modified or varied by omitting the words appearing after "raised;" and substituting the words:

"and that condition is not satisfied within 4 months after the later of:

- (iii) the date of the disclosure document; or
- (iv) the date of the supplementary disclosure document dated 17 February 2004 which relates to the matters referred to in subparagraph 724(1)(b) and which explains the effect of this paragraph"; and
- 3. the text of subparagraph 724(1)(b)(ii) of the Act was omitted and the following substituted:

"the securities are not admitted to quotation within 3 months after the later of: (A) the date of the disclosure document; and

(B) the date of the supplementary disclosure document dated 17 February 2004 which relates to the matters referred to in subparagraph 724(1)(b) and which explains the effect of this paragraph".

SCHEDULE A

Mt Dimer Gold Mines Limited ACN 106 240 475 ("Issuer") and any person acting on its behalf.

SCHEDULE B

An offer or issue of securities of the Issuer under a prospectus lodged with ASIC on 17 November 2003 as supplemented by a supplementary prospectus dated 17 February 2004.

Dated this 17th day of February 2004. Signed:

Salvatore Pillera as delegate of the Australian Securities and Investments Commission

Australian Securities and Investments Commission Corporations Act 2001 — Paragraph 911A(2)(1) - Exemption

For the avoidance of doubt, under paragraph 911A(2)(1) of the *Corporations Act 2001* the Australian Securit.es and Investments Commission hereby exempts, until 30 September 2004, Australian Securitisation Management Pty Ltd (ACN 103 852 428) ("ASM") from the requirement to hold an Australian financial services licence for dealing and giving general advice in relation to the financial product specified in Schedule A on the conditions referred to in Schedule B.

Schedule A

Debentures issued by Permanent Custodians Limited (ACN 001 426 384) under the terms of the ARMS II global securitisation program established pursuant to the master trust deed dated 7 March 1995.

Schedule B

- 1. ASM does not knowingly deal or provide financial product advice in relation to the financial product referred to in Schedule A to any person that is an Australian resident.
- 2. The general advice is:
 - (a) provided to persons located outside Australia;
 - (b) in a registration statement filed with the United States Securities and Exchange Commission in accordance with the Securities Act of 1933 (USA); or
 - (c) in a statement or report which ASM is required to provide under any applicable law of the United States of America or under the terms of issue of the financial product specified in Schedule A.

Dated this 25th day of February 2004

Gittent --

Signed by Greg Heaton as a delegate of the Australian Securities and Investments Commission

Australian Securities And Investments Commission Corporations Act 2001 – Paragraphs 655A(1)(b) and 673(1)(b) - Declaration

Pursuant to paragraphs 655A(1)(b) and 673(1)(b) of the Corporations Act 2001 ("Act"), the Australian Securities and Investments Commission ("ASIC") declares that Chapters 6 and 6C of the Act apply to the person named in Schedule A in the case referred to in Schedule B as if:

- 1. section 609 of the Act were modified or varied by adding after subsection (13):
 - "(14) A person does not have a relevant interest in securities merely because they apply restrictions on the disposal of the securities by the holder."; and
- 2. Part 6C.1 of the Act were modified or varied by adding after section 671BA:
 - "671BB For the purposes of section 671B and the definition of *substantial* holding in section 9, a person has a relevant interest in securities if the person would have a relevant interest in the securities but for subsection 609(14) (company that issues restricted securities).".

Schedule A

Signature Brands Limited ACN 106 293 190 ("SBL")

Schedule B

An acquisition of a relevant interest in securities of SBL arising as a result of the entry into an escrow agreement (called a "Restriction Agreement") between SBL and each of the persons set out in Schedule C ("Security Holder"), that:

- (a) restricts disposal of, but not the exercise of voting rights attaching to, the securities of SBL;
- (b) terminates no later than 24 months after the parties enter into the Restriction Agreement;
- (c) allows the Security Holder to accept into a takeover bid where:
 - (i) holders of at least half of the bid class securities that are not subject to the Restriction Agreement to which the offer under the bid relates have accepted; and
 - (ii) the Restriction Agreements require that the shares be returned to escrow if the bid does not become unconditional;

- (d) allows the securities the subject of the Restriction Agreement to be transferred or cancelled as part of a merger by scheme of arrangement or by share buyback; and
- (e) is substantially in the form provided to ASIC on 22 January 2004.

Schedule C

Saratoga Capital Pty Limited FAL Capital Pty Limited Karen Harcourt Rebecca Harcourt Emma Harcourt Craig Neylon Darren Neylon Deborah O'Malley June Neylon **Brian** Neylon Sally-Ann Duffell Malcolm and Jodie Peter Point Piper Pty Limited Ian Duffell James Duffell Chloe Duffell **Tony Berwick** Joel Jacobson Mark Hartley James and Nancy Chuda **Philip Stone** Andrew Byers **Christopher Emmerson** Angela Knight

Rosita Van Vuuren

Camilla Schroder

Janine Coutts Nicholas Harriss The Accomplish Group Pty Limited Mark and Cashel Ardouin Robert Hegmann Thomas Conti Mark Tramont Patrick Clarke Jonathon Clarke Jonathon Clarke The Oz Resort Boutique Pty Limited Olivia Newton-John John and Patricia Farrar

Dated this 23rd day of February 2004

Signed by Belisa Jong as a delegate of the Australian Securities and Investments Commission

Australian Securities & Investments Commission Corporations Act 2001 Section 915B

Notice of Cancellation of an Australian Financial Services Licence

TO: Sun Management Limited, ABN: 95 077 743 689 ("the Licensee") Level 1, 285-289 Wyndham Street, Shepparton Vic 3630

Pursuant to section 915B(3)(d) of the **Corporations Act 2001** ("the Act"), the Australian Securities and Investments Commission hereby cancels Licence Number 224495 held by the Licensee with effect from 23 February 2004 being the date on which this notice is given to the Licensee.

Dated this 23 February 2004.

Signed

Frank Varga, a delegate of the Australian Securities and Investments Commission

Australian Securities and Investments Commission

Corporations Act 2001 - Paragraphs 601QA(1)(a), 741(1)(a), 911A(2)(I) and 1020F(1)(a)

Revocation and Exemption

Pursuant to paragraphs 601QA(1)(a) and 741(1)(a) of the Corporations Act 2001 ("the Act"), the Australian Securities and Investments Commission ("the Commission") hereby revokes the ASIC instrument [99/1118] dated 23 March 1999.

Pursuant to paragraph 601QA(1)(a) of the Act, the Commission hereby exempts the persons specified in Schedule A from Chapter 5C of the Act in the case specified in Schedule B on the conditions specified in Schedule C and for as long as they are met.

Pursuant to paragraph 911A(2)(I) of the Act the Commission hereby exempts the persons specified in Schedule A from the requirement to hold an Australian Financial Services licence for the provision of financial services in the case specified in Schedule B on the conditions set out in Schedule C and for as long as they are met.

Pursuant to subsection 1020F(1)(a) of the Act, the Commission hereby exempts the persons specified in Schedule A from Part 7.9 Divisions 2, 3, 4 and 5 of the Act in the case specified in Schedule B on the conditions specified in Schedule C and for as long as they are met.

Schedule A

Allens Arthur Robinson Services Limited (ACN 001 295 843) (the "Trustee"), as the trustee of the Allens Arthur Robinson Services Trust (the "Trust"), a trust formed by deed dated 26 June 1975 as amended, and any person who issues or makes an offer or invitation in relation to the issue of units in the Trust to persons of a class of persons specified in Schedule B (which said Trustee and the first mentioned person are herein referred to as the "Issuer") and any person who offers for purchase, or invites offers to buy, units in the Trust.

Schedule B

- (1) An offer for subscription, an invitation to subscribe for, and an issue of units in the Trust made to any or all of the following classes of persons:
 - (a) a partner in the law firm of Allens Arthur Robinson or any successor firm (whether or not that successor firm is known as Allens Arthur Robinson) (the "Firm");
 - (b) any person who is concerned, or takes part, in the management of:
 - (i) the Firm; or
 - (ii) any body corporate which, if the Firm was a body corporate, would be a related body corporate of the Firm within the meaning of Division 6 of Part 1.2 of the Act (a "Firm Related Body Corporate");
 - (iii) any other entity which is controlled by the Firm;

- (c) a spouse, parent, brother, sister or child of a person referred to in paragraphs (a) or (b); and
- (d) a body corporate in which a person referred to in paragraphs (a), (b) or (c) has, or any two or more of such persons have, a controlling interest,

(together "the Approved Classes")I and

(2) Any financial product advice provided by the Issuer to a person in the Approved Classes in compliance with a condition in Schedule C.

Schedule C

- (1) The activities of the Trust are at all times restricted to:
 - (a) acting as shareholder or beneficiary of Firm Related Bodies Corporate or entities which are controlled, directly or indirectly, by partners of the Firm, where the activities carried on by such bodies corporate or entities relate to the provision of goods and services associated with the activities of the Firm; and
 - (b) investing in and financing Firm Related Bodies Corporate or entities which are controlled, directly or indirectly, by partners of the Firm, where the activities carried on by such bodies corporate or entities relate to the provision of goods and services associated with the activities of the Firm.
- (2) The Issuer does not at any time issue or make an offer or invitation in relation to the issue of units in the Trust to any person other than a person belonging to any of the Approved Classes.
- (3) A report about the activities and financial affairs of the Trust will be provided to each person in the Approved Classes who holds units in the Trust by the Trustee within 120 days after the end of each financial year of the Trust.
- Each person in the Approved Classes who is invited to subscribe for units in the Trust shall be provided with an information document which outlines the nature of the Trust ("Information Document") before they so subscribe.
- (5) The Information Document describes the nature and effect of this instrument or exemption.

Dated 26th day of February 2004

Signed by Nitesh Rindani

as a delegate of the Australian Securities and Investments Commission

Australian Securities and Investments Commission

Corporations Act 2001 - Paragraphs 601QA(1)(a), 741(1)(a), 911A(2)(I) and 1020F(1)(a)

Revocation and Exemption

Pursuant to paragraphs 601QA(1)(a) and 741(1)(a) of the Corporations Act 2001 ("the Act"), the Australian Securities and Investments Commission ("the Commission") hereby revokes ASIC instrument [99/327], dated 17 March 1999.

Pursuant to paragraph 601QA(1)(a) of the Act the Commission hereby exempts the persons specified in Schedule A from Chapter 5C of the Act in the case specified in Schedule B on the conditions specified in Schedule C and for as long as they are met.

Pursuant to paragraph 911A(2)(I) of the Act the Commission hereby exempts the persons specified in Schedule A from the requirement to hold an Australian Financial Services Licence for the provision of financial services in the case specified in Schedule B on the conditions set out in Schedule C and for as long as they are met.

Pursuant to subsection 1020F(1)(a) of the Act, the Commission hereby exempts the persons specified in Schedule A from Part 7.9 Divisions 2, 3, 4 and 5 of the Act in the case specified in Schedule B on the conditions specified in Schedule C and for as long as they are met.

Schedule A

Allens Arthur Robinson Finance Holdings Pty Ltd (ACN 059 160 904) (the "Trustee"), as the trustee of the Allens Arthur Robinson Finance Trust (the "Trust"), a trust formed by deed dated 28 June 1995 as amended, and any person who issues or makes an offer or invitation in relation to the issue of units in the Trust to persons of a class of persons specified in Schedule B (which said Trustee and the first mentioned person are herein referred to as the "Issuer") and any person who offers for purchase, or invites offers to buy, units in the Trust.

Schedule B

- (1) An offer for subscription, an invitation to subscribe for, and an issue of units in the Trust made to any or all of the following classes of persons:
 - (a) a partner in the law firm of Allens Arthur Robinson or any successor firm (whether or not that successor firm is known as Allens Arthur Robinson) (the "Firm");
 - (b) any person who is concerned, or takes part, in the management of:
 - (i) the Firm; or
 - (ii) any body corporate which, if the Firm was a body corporate, would be a related body corporate of the Firm within the meaning of Division 6 of Part 1.2 of the Act (a "Firm Related Body Corporate"); or
 - (iii) any other entity which is controlled by the Firm;

- (c) a spouse, parent, brother, sister or child of a person referred to in paragraphs (a) or (b); and
- (d) a body corporate in which a person referred to in paragraphs (a), (b) or (c) has, or any two or more of such persons have, a controlling interest,

(together "the Approved Classes").

(2) Any financial product advice provided by the Issuer to a person in the Approved Classes in compliance with a condition in Schedule C.

Schedule C

- (1) The Issuer does not at any time issue or make an invitation in relation to the issue of units in the Trust to any person other than a person belonging to any of the Approved Classes.
- (2) The activities of the Trust are at all times restricted to investment in, or the financing of, Firm Related Bodies Corporate and entities which are controlled, directly or indirectly, by partners of the Firm, where the activities carried on by such bodies corporate or entities relate to the provision of goods and services associated with the activities of the Firm.
- (3) The Trustee provides such information about the activities and financial affairs of the Trust as members of the Approved Classes who hold units in the Trust may request from time to time.
- (4) Information about the activities and financial affairs of the Trust will be provided to members of the Approved classes who hold units in the Trust by the Trustee within 120 days after the end of each financial year.

Dated: 26th Day of February 2004

Noter The

Signed by Nitesh Rindani

as a delegate of the Australian Securities and Investments Commission



Australian Securities & Investments Commission

Australian Securities and Investments Commission Corporations Act 2001 — Paragraph 911A(2)(I) — Revocation and Exemption

- 1. Under paragraph 911A(2)(l) of the *Corporations Act 2001* (the "Act"), the Australian Securities and Investments Commission ("ASIC") hereby revokes ASIC Instrument [03/1151].
- 2. Under paragraph 911A(2)(l) of the Act, ASIC hereby exempts, until 11 March 2005, each of the persons referred to in Schedule A (the "providers") from the requirement to hold an Australian financial services licence ("AFS licence") for providing financial product advice in relation to, dealing in, and making a market for (the "financial services") the financial products described in Schedule B in the case referred to in Schedule C.

Schedule A

Delta Electricity ABN 67 139 819 642 Eraring Energy ABN 31 357 688 069 Macquarie Generation ABN 18 402 904 344 Australian Inland Energy Water Infrastructure ABN 12 854 879 489 Country Energy ABN 37 428 185 226 EnergyAustralia ABN 67 505 337 385 Integral Energy Australia ABN 59 253 130 878

Schedule B

Derivatives entered into by the provider in connection with the supply or purchase of electricity, natural gas or green rights.

Schedule C

- 1. The financial services are provided to wholesale clients and the financial services are:
 - (a) provided outside New South Wales; or
 - (b) provided to wholesale clients that are located in a State or Territory other than New South Wales.

- 2. Where the financial service is personal advice:
 - (a) the personal advice is limited to advice given during the course of, or in relation to, negotiating or entering into the financial product with the wholesale client (or a related entity of the wholesale client); and
 - (b) the provider has informed the wholesale client before providing personal advice that the provider does not hold an AFS licence for the provision of personal advice.

Interpretation

In this instrument:

green rights means an instrument or certificate or other tradeable right which is created in connection with the generation of electricity from a renewable fuel source or from a fuel source that contributes to a reduction in the emission of greenhouse gases and includes abatement certificates issued under the *Electricity Supply Act 1995* (*NSW*).

Dated the 26th day of February 2004.

fredof

Signed by Sandra Zivcic as delegate of the Australian Securities and Investments Commission

Commonwealth of Australia Gazette

ASIC Gazette Change of company status ASIC 09/04, Tuesday, 2 March 2004 Page 27

Corporations Act 2001 Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administration Appeals Tribunal prevents it from doing so.

AUSTOCK BROKERS PTY LTD ACN 053 513 438 will change to a public company limited by shares. The new name will be AUSTOCK BROKERS LIMITED ACN 053 513 438.

LIQUOR SERVICES GROUP LIMITED ACN 097 248 621 will change to a proprietary company limited by shares. The new name will be INDEPENDENT BRANDS AUSTRALIA PTY LIMITED ACN 097 248 621.

PACMAB PTY LTD ACN 097 244 721 will change to a public company limited by shares. The new name will be PACMAB LTD ACN 097 244 721.

GREEN BIRD PTY LTD ACN 010 176 440 will change to a public company limited by shares. The new name will be SWAP SECURITIES LIMITED ACN 010 176 440.

MERLIN SYNERGY PTY LTD ACN 083 254 308 will change to a public company limited by shares. The new name will be MERLIN PETROLEUM LIMITED ACN 083 254 308.

WOODSLEY HOLDINGS PTY LTD ACN 097 609 731 will change to a public company limited by shares. The new name will be WOODSLEY HOLDINGS LTD ACN 097 609 731.