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**Search Form 962**

# Application for information from an ASIC register

## Applicant details

ASIC registered agent number (if applicable)

Firm/organisation

Contact name/position description  Telephone number (during business hours)

Email Address

Postal address

Suburb/City  State/Territory  Postcode

## Fee

A lodgement fee applies to this form.

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## Details of search

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| <input type="checkbox"/> A Auditors                                | <input type="checkbox"/> J Banned futures representative*    |
| <input type="checkbox"/> B Liquidators                             | <input type="checkbox"/> O Financial services licensee       |
| <input type="checkbox"/> C Official liquidators                    | <input type="checkbox"/> P Financial services representative |
| <input type="checkbox"/> D Register of disqualified persons        | <input type="checkbox"/> R Credit registered person*         |
| <input type="checkbox"/> I Banned securities representative*       | <input type="checkbox"/> U Credit licensee                   |
| <input type="checkbox"/> S Credit representative                   |  |
| <input type="checkbox"/> E Investment adviser*; securities dealer* | <input type="checkbox"/> F Futures adviser*; futures broker* |
| <input type="checkbox"/> G Securities representative*              | <input type="checkbox"/> H Futures representative*           |

\* These are roles that existed before the Australian financial services licence and the Australian credit licence.

## Persons or corporations to which the information applies List no more than 10 persons or corporations on this form.

Licence, registration or reference number	Name of licensee, registered person or officer (surname and given name)
1	
2	
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**Lodgement**

Send completed form and correct fee to:  
Australian Securities and Investments Commission,  
PO Box 4000, Gippsland Mail Centre VIC 3841.

**For more information**

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