



Notification of proposed change of name of registered scheme

If there is insufficient space in any section of the form, you may attach an annexure and submit as part of this lodgement

Lodgement details

Who should ASIC contact if there is a query about this form?

Name

ASIC registered agent number (if applicable)

Company/entity name

ACN/ABN/ARBN/ARSN

Telephone number

Postal address

Email address (optional)

Scheme details

Current registered scheme name

ARSN

Name of responsible entity

ACN

Details of name change

Proposed new name of scheme

Signature

This form must be signed by a director or secretary of the responsible entity.

I certify that the information in this form is true and complete.

Name of person signing

Capacity

Signature

Date signed

<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>
[D	D]		[M	M]		[Y	Y]

Lodgement

Send completed and signed forms to:
Australian Securities and Investments Commission,
PO Box 9827 in your capital city.

For more information

Web www.asic.gov.au
Need help? www.asic.gov.au/question
Telephone 1300 300 630

Guide: Notification of proposed change of name of registered scheme

This guide does not form part of the form. It is included by ASIC to assist you in completing and lodging the Form 5140.

Signature	This form must be signed by a director or secretary of the responsible entity.	
Lodgement period	Nil	
Lodgement fee	A lodgement fee applies to this form. For information on fees refer to www.asic.gov.au/forms .	
Other forms to be completed	Nil	
Additional information	To change the name of a registered scheme, the responsible entity of the scheme must lodge this form stating the proposed new name of the scheme. The proposed name must not be the same as the name of: a) a registered scheme; or b) a managed investment scheme for which an application for registration has been made under s601EA of the Corporations Act 2001 but not yet determined.	
How to provide additional information	Annexures If there is insufficient space in any section of the form, you may submit annexures as part of this lodgement. To make any annexure conform to the regulations, you must <ol style="list-style-type: none">1. use A4 size paper of white or light pastel colour with a margin of at least 10mm on all sides2. show the company name and ACN3. number the pages consecutively4. print or type in BLOCK letters in dark blue or black ink so that the document is clearly legible when photocopied5. mark the annexure with an identifying letter or symbol eg a,b,c or 1,2,3 etc.6. endorse the annexure with the words: This annexure (mark) of (number) pages referred to in form (form number and title)7. sign and date the annexure The annexure must be signed by the same person(s) who signed the form.	
Privacy	The information provided to ASIC in this form may include personal information. Please refer to our privacy policy (www.asic.gov.au/privacy) for information about how we handle your personal information, your rights to seek access to and correct personal information, and to complain about breaches of your privacy.	
Lodgement	Send completed and signed forms to: Australian Securities and Investments Commission, PO Box 9827 in your capital city.	For more information Web www.asic.gov.au Need help? www.asic.gov.au/question Telephone 1300 300 630