



ASIC
Australian Securities &
Investments Commission

Commonwealth of Australia Gazette

No. A40/26, Tuesday 6 October 2026

Published by ASIC

ASIC Gazette

Contents

Notices under Corporations Act 2001

26-0759	26-0783	26-0791	26-0794	26-0806	26-0822
26-0826	26-0829	26-0830			

Company/scheme deregistrations

Change of company type

RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

ISSN 1445-6060 (Online version)
ISSN 1445-6079 (CD-ROM version)

Available from www.asic.gov.au
Email gazette.publisher@asic.gov.au

© Commonwealth of Australia, 2022

This work is copyright. Apart from any use permitted under the *Copyright Act 1968*, all rights are reserved. Requests for authorisation to reproduce, publish or communicate this work should be made to: Gazette Publisher, Australian Securities and Investment Commission, GPO Box 9827, Melbourne Vic 3001

26-0759

**Australian Securities and Investments Commission
Corporations Act 2001 - Paragraph 1020F(1)(c) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 1020F(1)(c) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 26-0759.

Commencement

3. This instrument commences on the day it is signed.

Declaration

4. Part 7.9 of the Act applies to BlackRock Investment Management (Australia) Limited ACN 006 165 975, AFSL 230523 (*Responsible Entity*) in its capacity as the responsible entity for the BlackRock Multi Opportunity Fund (ARSN 108 329 273) (*Fund*) as if section 1017E of the Act were modified or varied as follows:
 - a. in paragraph 1017E(4)(d) of the Act, omit "one month" and substitute "65 days"; and
 - b. in paragraph 1017E(4)(e) of the Act, omit "end of that month" and substitute "end of the period referred to in paragraph (4)(d)".

Where this instrument applies

5. This declaration applies in relation to interests in the Fund issued by the Responsible Entity in the Product Disclosure Statement or Disclosure Document (each a *Disclosure Document*) for interests where the Disclosure Document includes a statement to the effect that money paid for interests in the Fund may be held for up to 65 days starting on the day on which the money was received before the interests are issued or the money is returned.

Dated 21st day of September 2026



Signed by James Orr
as a delegate of the Australian Securities and Investments Commission

26-0783

**Australian Securities and Investments Commission
Corporations Act 2001 - Paragraph 1020F(1)(c) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 1020F(1)(c) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 26-0783.

Commencement

3. This instrument commences on the day it is signed.

Declaration

4. Part 7.9 of the Act applies to BlackRock Investment Management (Australia) Limited ACN 006 165 975, AFSL 230523 (*Responsible Entity*) in its capacity as the responsible entity for the BlackRock Multi Opportunity Absolute Return Fund (ARSN 153 183 665) (*Fund*) as if section 1017E of the Act were modified or varied as follows:
 - a. in paragraph 1017E(4)(d) of the Act, omit "one month" and substitute "65 days"; and
 - b. in paragraph 1017E(4)(e) of the Act, omit "end of that month" and substitute "end of the period referred to in paragraph (4)(d)".

Where this instrument applies

5. This declaration applies in relation to interests in the Fund issued by the Responsible Entity in the Product Disclosure Statement or Disclosure Document (each a *Disclosure Document*) for interests where the Disclosure Document includes a statement to the effect that money paid for interests in the Fund may be held for up to 65 days starting on the day on which the money was received before the interests are issued or the money is returned.

Dated 21st day of September 2026



Signed by James Orr
as a delegate of the Australian Securities and Investments Commission



ASIC
Australian Securities &
Investments Commission

**Australian Securities
and Investments Commission**

Office address (inc courier deliveries):
Level 7, 120 Collins Street,
Melbourne VIC 3000

Mail address for Melbourne office:
GPO Box 9827,
Brisbane QLD 4001

Tel: +61 1300 935 075
Fax: +61 1300 729 000

www.asic.gov.au

26-0791

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: ARAG Services Australia Pty Ltd
ACN 627 823 198 ("the Licensee")
Hansaplatz 9 Duesseldorf
NRW GERMANY 40239

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 513547 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 24 September 2026

Signed 

Ailie McDonald
A delegate of the Australian Securities and Investments Commission

26-0794

Australian Securities and Investments Commission
Corporations Act 2001 – 1020F(1)(a) Repeal and Exemption

Enabling Legislation

1. The Australian Securities and Investments Commission ("ASIC") makes this instrument under Paragraph 1020F(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC instrument 26-0794.

Commencement

3. This instrument commences on 23 September 2026.

Repeal

4. ASIC Instrument 12-1181 is repealed on commencement of this instrument.

Exemption

5. Barclays Capital Securities Limited ("BCSL") does not have to comply with subsection 1020B(2) of the Act in relation to a sale of a security (the *shorted product*) by BCSL where all of the following apply:
 - a. Barclays Bank PLC ("BBPLC") makes a market for a financial product;
 - b. BBPLC issues, acquires or disposes of a financial product (the *hedged product*) in the course of making that market (the *primary transaction*);
 - c. BBPLC and BCSL enter into a corresponding transaction (the *back-to-back transaction*) under the terms of the Back to Back Agreement between BBPLC and BCSL dated 30 January 2001, as amended from time to time, or any other agreement between BBPLC and BCSL in a form provided to ASIC under condition 6AA to which ASIC has not objected within 28 days;
 - d. the back-to-back-transaction is a bona fide transaction to manage, avoid or limit the financial consequences to BBPLC of the primary transaction;
 - e. the sale of the shorted product is a bona fide transaction to manage, avoid or limit the financial consequences to BCSL of the back-to-back transaction;

26-0794

- f. at the time of the sale of the shorted product, the shorted product is a constituent of the index known as the S&P/ASX 300;

Note: This index is compiled and calculated by Standard and Poor's, a division of The McGraw-Hill Companies, Inc. Its constituents are subject to change from time to time. Details of the current constituents may be found via <http://www.standardandpoors.com.au/>.

- g. at the time of the sale of the shorted product, BCSL believes on reasonable grounds that a securities lending arrangement can be put in place, before the time for delivery of the shorted product, so that a financial product equivalent to the shorted product can be unconditionally vested in the purchaser of the shorted product by the time for delivery;
- h. BBPLC holds an Australian financial services license that covers making a market in the hedged product or is exempt from the requirement to hold such a license for providing that financial service.

Conditions of the relief

Securities lending arrangement

6. When relying on the exemption in paragraph 5, BCSL must:

- a. by the end of the day (*sale day*) on which BCSL makes the sale of the shorted product:
- i. have acquired a financial product equivalent to the shorted product; or
 - ii. have entered into a contract to acquire a financial product equivalent to the shorted product where BCSL has a right to have that financial product vested in BCSL that is conditional only upon all or any of the following:
 - A. payment of the consideration in respect of the acquisition;
 - B. the receipt by BCSL of a proper instrument of transfer in respect of the product;
 - C. the receipt by BCSL of the documents that are, or are documents of title to, the product; or
 - iii. have entered into a securities lending arrangement in relation to a financial product equivalent to the shorted product,
- so that the shorted product or an equivalent product can be unconditionally vested in the purchaser of the shorted product by the time for delivery; and
- b. if paragraph (a) has not been satisfied, notify ASIC in writing by 9:00 am on the business day after the sale that paragraph (a) has not been satisfied.

26-0794

Positional reporting

- 6A. Subject to paragraph 6D, when relying on the exemption in paragraph 5, BCSL must give to ASIC, in the form required by ASIC, particulars of BCSL's short position in relation to the shorted product as at 7pm, three reporting days before the day the particulars must be given under paragraph 6B.
- 6B. BCSL must give the particulars about the short position:
- a. on or before 9 am on the third reporting day after entering into the agreement to sell that causes the short position to occur; and
 - b. on or before 9 am on each subsequent reporting day as long as BCSL has a short position.
- 6C. Subparagraph 6B(b) applies whether or not the particulars about the short position have changed from that given on the previous day.
- 6D. If BCSL:
- a. is required by subsection 1020AB(3) of the Act to give particulars of BCSL's short position on a day in relation to a security or product that is in the same class as the shorted product; or
 - b. has a short position in relation to the shorted product on a day that does not exceed the value limit and the volume limit on the day;
- then BCSL does not have to comply with paragraph 6A in relation to the short position.

Other conditions

- 6AA. BCSL must notify ASIC, within 14 days, of any of the following occurrences:
- a. any change to the terms of the Back-to-Back Agreement, to the extent that the change affects, or is relevant to, back-to-back transactions of the kind described in paragraph 5(d);
 - b. the entry into any other agreement to replace the Back-to-Back Agreement.
7. If BBPLC ceases to conduct the business described in paragraph 5, being the conduct for which this waiver was requested, ASIC requires written notice within 28 days from the date that the conduct is ceased.

26-0794

Interpretation

8. In this instrument:

makes a market has the meaning given by section 766D of the Act.

reporting day has the meaning given by subregulation 7.9.99(1) of the Corporations Regulations 2001.

securities lending arrangement means an arrangement under which:

- a. one entity (the *lender*) agrees that it will:
 - i. deliver particular securities, or other financial products to another entity (the *borrower*) or to an entity nominated by the borrower; and
 - ii. vest title in those products in the entity to which they are delivered; and
- b. the borrower agrees that it will, after the lender does the things mentioned in paragraph (a):
 - i. deliver the products (or equivalent products) to the lender or to an entity nominated by the lender; and
 - ii. vest title in those products (or those equivalent products) in the entity to which they are delivered.

short position has the meaning given by subregulation 7.9.99(2) of the Corporations Regulations 2001.

9. In paragraph 6D:

- a. the *value limit* in relation to a person for a security or managed investment product that is able to be traded on a financial market is not exceeded on a day if:

$A \times B$

does not exceed \$100,000 where:

A = the person's short position in relation to the security or product as at 7pm on the day.

B = either:

26-0794

- i. the last sale price for the security or product on the financial market on the day (or, if there is no such price on that day, the last sale price on the financial market); or
 - ii. the price determined and published by the operator of the market after the close of trading for the day as the value of the security or product on the day.
- b. the **volume limit** in relation to a person for a security or managed investment product that is able to be traded on a financial market is not exceeded on a day if:

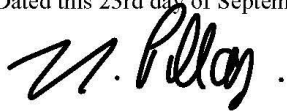
$$\frac{A}{B} \times 100$$

does not exceed 0.01 where:

A = the person's short position in relation to the security or product as at 7pm on the day.

B = the total quantity of securities or products in the same class of securities or products on the day.

Dated this 23rd day of September 2026



Signed by Nadene Pillay, as a delegate of the Australian Securities and Investments Commission

26-0806

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 992B(1)(a) - Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 992B(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 26-0806.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. Zircon Financial Service (Australia) Pty Limited (ACN: 672 642 054) (the *Company*) does not have to comply with section 989B(3) of the Act for its financial year that ended on 30 June 2026 (the *Relevant Financial Year*).

Where this instrument applies

5. This instrument applies where:
 - (a) the Company was granted an Australian Financial Services Licence on [insert date AFSL granted] under Licence No: 526700 (*Licence*);
 - (b) the Company did not carry on any financial services business of a kind authorised under the Licence during the Relevant Financial Year.

Dated 25 September 2026



Signed by Nadene Pillay
as a delegate of the Australian Securities and Investments Commission

26-0822

NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001

Notice is given under section 920E of the *Corporations Act 2001* that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 27 August 2026

Australian Securities and Investments Commission**In the matter of Mark Francis McCabe****Banning order under section 920A and section 920B of the *Corporations Act 2001* (Cth)**

To: Mark Francis McCabe

Take notice that under section 920A and section 920B of the *Corporations Act 2001* (Cth) the Australian Securities and Investments Commission prohibits Mark Francis McCabe permanently from:

- (a) providing any financial services;
- (b) controlling, whether alone or in concert with one or more other entities, an entity that carries on a financial services business; and
- (c) performing any function involved in the carrying on of a financial services business (including as an officer, manager, employee, contractor or in some other capacity).

Dated this 28th day of July 2026.

Signed:



Cameron Walter

Delegate of the Australian Securities and Investments Commission

Your attention is drawn to section 920C(2) of the *Corporations Act 2001* (Cth) which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of section 920C(2) is an offence

26-0826

**Australian Securities and Investments Commission
Corporations Act 2001 (Cth) – Paragraph 655A(1)(a) – Exemption**

Enabling Legislation

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 655A(1)(a) of the *Corporations Act 2001* (Cth) (Act).

Title

2. This instrument is ASIC Instrument 26-0826.

Commencement

3. This instrument commences on the date that it is signed.

Exemption

4. RAMP (and/or its nominee) does not have to comply with section 606 of the Act.

Where this instrument applies

5. This instrument applies where:
 - (a) RAMP (and/or its nominee) acquires a relevant interest in the Shares as a result of the transfer of the Shares in Tasfoods to RAMP (and/or its nominee) on implementation of the Court Order and pursuant to the DOCA;
 - (b) the Deed Administrators made available to Shareholders the following documents at the Website:
 - (i) Independent Expert's Report;
 - (ii) Explanatory Statement; and
 - (iii) the Interlocutory Process,
 - (c) on or around 9 September 2026, a notice informing all Shareholders of the Proceedings was served by post or by electronic mail to all Shareholders by the Deed Administrators, with such notice advising Shareholders that the documents in paragraph 5(b) would be available for download from the Website.

Interpretation

6. In this instrument:

Court Order means the order of Federal Court of Australia in the Proceedings made on 24 September 2026, under section 444GA of the Act, permitting the Deed Administrators to transfer the Shares to RAMP (and/or its nominee).

Deed Administrators means Timothy David Mablesen and David Alexander Hardy in their capacities as joint and several deed administrators of Tasfoods pursuant to the DOCA.

26-0826

Deed Companies means:

- (a) Tasfoods;
- (b) Nichols Poultry Pty Ltd (subject to Deed of Company Arrangement) ACN 092 929 890; and
- (c) Nichols Hatchery Pty Ltd (subject to Deed of Company Arrangement) ACN 092 929 890 ACN 671 683 284.

DOCA means the deed of company arrangement between the Deed Companies, RAMP and the Deed Administrators dated 29 May 2026.

Explanatory Statement means the explanatory statement dated 9 September 2026 prepared by the Deed Administrators.

Independent Expert's Report means the independent expert's report dated 8 September 2026 prepared by Grant Thornton Corporate Finance Pty Ltd ACN 003 265 987.

Interlocutory Process means the application dated 25 August 2026 filed in the Proceedings.

Proceedings means Federal Court of Australia proceeding number SAD75 of 2026.

RAMP means RAMP Tasmania Poultry Pty Ltd ACN 698 304 531.

Shares means all the issued shares in Tasfoods.

Shareholders means all of the shareholders of Tasfoods registered in Tasfoods' share register as at 28 September 2026.

Tasfoods means TasFoods Limited (subject to Deed of Company Arrangement) ACN 084 800 902.

Website means <https://kpmg.com/au/en/creditors/tasfoods.html#accordion-e2574efebf-item-98fe61bf9b>.

Dated this 29th day of September 2026



Signed by Kaihan Abdul Qadar

as a delegate of the Australian Securities and Investments Commission

26-0829

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 926A(2)(a) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under s926A(2)(a) of the *Corporations Act 2001* (**Act**).

Title

2. This instrument is ASIC Instrument 26-0829.

Commencement

3. This instrument commences on the day it is signed.

Exemption

4. ASIC exempts Capstone Investment Advisors, LLC (the body), a body corporate formed in Delaware, US and registered with the US SEC as a registered investment adviser from the requirement to hold an Australian financial services (AFS) licence in the case specified in Schedule A.

Cessation

5. The exemption in paragraph 4 ceases to have effect on the earlier of:
 - (a) *Subparagraph 7(1) of ASIC Corporations (Foreign Financial Services Providers) Instrument 2025/798* ceasing to have effect in relation to the relief that was provided under ASIC Class Order [CO 03/1100] *US SEC regulated financial services providers*; or
 - (b) the body failing to comply with any written notice given by ASIC directing the body to give to ASIC, within the time specified in the notice, a written statement containing specified information about the financial service business operated by the body in this jurisdiction; or
 - (c) the body being notified in writing by ASIC that it is excluded from relying on this instrument.

26-0829

Schedule A

1. The exemption in paragraph 4 applies where all of the following apply:
 - (a) the body is:
 - (i) a registered investment adviser;
 - (b) the body is a body corporate incorporated in the US or a State of the US;
 - (c) the body:
 - (i) is registered under Div 2 of Pt 5B.2 of the Act; or
 - (ii) has an agent at the time the body first purports to rely on this instrument and, from that time, has not failed to have an agent for any consecutive period of 10 business days;
 - (d) the body's primary business is the provision of financial services;
 - (e) neither the body nor its agent has been notified by ASIC that the body is excluded from relying on this instrument;
 - (f) if the body becomes aware or should reasonably have become aware of matters that give it reason to believe that it has failed, other than in an immaterial respect, to comply with a requirement set out in Schedule B:
 - (i) 15 business days have not passed since the body became so aware or should reasonably have become so aware without the body providing full particulars of the failure to ASIC (to the extent that the body knows those particulars or would have known them if it had undertaken reasonable enquiries); and
 - (ii) 30 business days have not passed from ASIC receiving those particulars from the body without ASIC notifying the body that it may continue to rely on this instrument; and
 - (g) the body has not notified ASIC that it will not rely on this instrument.
2. Where the body provides any of the following financial services (the *financial services*) in this jurisdiction to wholesale clients:
 - (a) providing financial product advice; or
 - (b) dealing in a financial product;in respect of any of the following financial products:
 - (c) derivatives;
 - (d) foreign exchange contracts;

26-0829

- (e) securities;
- (f) debentures, stocks or bonds issued by a government;
- (g) managed investment products; or
- (h) interests in a managed investment scheme that is not required to be registered under Chapter 5C of the Act.

3. Where the body has provided ASIC with all of the following:

- (a) evidence that paragraph 1(a) of Schedule A is satisfied that ASIC has stated in writing is adequate;
- (b) a notice that it will provide financial services in this jurisdiction in reliance on this instrument;
- (c) a deed of the body for the benefit of and enforceable by ASIC and the other persons referred to in subsection 659B(1) of the Act that applies notwithstanding that the body may have ceased to rely, or never have relied, on this instrument, which deed provides that:
 - (i) the deed is irrevocable except with the prior written consent of ASIC; and
 - (ii) the body submits to the non-exclusive jurisdiction of the Australian courts in legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise; and
 - (iii) the body covenants to comply with any order of an Australian court in respect of any matter relating to the provision of the financial services; and
 - (iv) if the body is not registered under Division 2 of Part 5B.2 of the Act, service of process on the body in relation to legal proceedings conducted by ASIC (including under section 50 of the ASIC Act) and, in relation to proceedings relating to a financial services law, by any person referred to in subsection 659B(1) of the Act and whether brought in the name of ASIC or the Crown or otherwise can be effected by service on the agent; and
 - (v) the body covenants that, on written request of either the SEC or ASIC, it will give or vary written consent and take all other practicable steps to enable and assist the SEC to disclose to ASIC and ASIC to disclose to the SEC any information or document that the SEC or ASIC has that relates to the body; and

26-0829

- (d) written consents to the disclosure by the SEC to ASIC and ASIC to the SEC of any information or document that the SEC or ASIC has that relates to the body. The consents must be in such form (if any) as ASIC specifies in writing.

Schedule B

The body must:

1. provide each of the financial services in this jurisdiction in a manner which would comply, so far as is possible, with the US regulatory requirements if the financial service were provided in the US in like circumstances; and
2. notify ASIC, as soon as practicable and in any event within 15 business days after the body became aware or should reasonably have become aware, and in such form if any as ASIC may from time to time specify in writing, of the details of:
 - (a) each significant change to, including the termination of, its registration as a registered investment adviser applying to the body relevant to the financial services the body provides or intends to provide in this jurisdiction; and
 - (b) each significant particular exemption or other relief which the body obtains from the US regulatory requirements relevant to the financial services the body provides or intends to provide in this jurisdiction; and
 - (c) each action or investigation of the following kinds taken by the SEC or other overseas regulatory authority against the body in a foreign jurisdiction in relation to financial services provided in the foreign jurisdiction:
 - (i) significant enforcement action;
 - (ii) significant disciplinary action;
 - (iii) significant investigation (unless, after having taken reasonable steps to enable notification to be given to ASIC, the body is prohibited by law from giving such notification but only to the extent of the prohibition); and
3. provide written disclosure to all persons to whom the financial services are provided in this jurisdiction (before the financial services are provided) containing prominent statements to the following effect:
 - (a) the body is exempt from the requirement to hold an AFS licence under the Act in respect of the financial services; and
 - (b) the body is regulated by the SEC under US laws, which differ from Australian laws; and

26-0829

4. if ASIC gives the body a written notice directing the body to lodge with ASIC, within the time specified in the notice, a written statement containing specified information about any financial service provided by the body in this jurisdiction—comply with the notice.

Interpretation

In this instrument:

Act means the *Corporations Act 2001*;

address in relation to a company, means the address of the registered office of the company;

agent means a natural person resident in this jurisdiction or a company, whose name and address were last notified to ASIC by the body for the purposes of this instrument, and who is authorised to accept on the body's behalf, service of process from ASIC and, in relation to proceedings relating to a financial services law, from any person referred to in s659B(1) of the Act;

ASIC Act means the Australian Securities and Investments Commission Act 2001;

dealing has the meaning given by s9 of the Act;

derivatives has the meaning given by s9 of the Act;

financial product has the meaning given by s9 of the Act;

financial product advice has the meaning given by s9 of the Act;

financial services law has the meaning given by s9 of the Act;

foreign exchange contract has the meaning given by s9 of the Act;

managed investment product has the meaning given by s9 of the Act;

managed investment scheme has the meaning given by s9 of the Act;

notice and *notified mean*, respectively, written notice and notified in writing;

overseas regulatory authority means a foreign regulatory authority (other than the SEC) which regulates financial services and which is established by or for the purposes of a foreign government or legislative body;

registered investment adviser means a body corporate or a partnership formed in the US or a State of the US registered under s203(c) of the Investment Advisers Act 1940 (US);

SEC means the Securities and Exchange Commission of the US;

securities has the meaning given by s9 of the Act;

26-0829

US means the United States of America;

US regulatory requirements means the rules that apply in relation to the financial services including any applicable legislation, instruments made under that legislation and any relevant policies or other documents (however described) issued by the SEC; and

wholesale client has the meaning given in s9 of the Act.

Dated this 30th day of September 2026.



Signed by Terence Chan
as a delegate of the Australian Securities and Investments Commission

26-0830

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 907D(2)(a) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 907D(2)(a) of the *Corporations Act 2001* (Act).

Title

2. This instrument is ASIC Instrument 26-0830

Commencement

3. This instrument commences on the date it is signed.

Exemption

4. MLEA and MLI each do not have to comply with the Rules in respect of the derivative transaction constituted by the Over-allotment Sale Option.

Where the exemption applies

5. The exemption in paragraph 4 only applies where:
 - (a) the Over-allotment Sale Option is between MLEA or MLI and SaleCo; and
 - (b) the terms of the Over-allotment Sale Option are consistent with its description in the Prospectus.

Interpretation

6. In this instrument:

Firmus means Firmus Grid Limited ACN 638 040 534.

IPO means the initial public offering by Firmus and SaleCo of Shares under the Prospectus.

MLEA means Merrill Lynch Equities (Australia) Limited ACN 006 276 795.

MLI means Merrill Lynch International, a foreign company incorporated in England and Wales with company number 02312079, ARBN 125 336 567.

Over-allotment Sale Option means the over-allotment sale option described in section 7.9 ‘Over-allotment Options and Market Stabilisation Activities’ in the Prospectus.

Prospectus means the prospectus lodged with ASIC on or about 9 October 2026 in relation to the IPO.

Rules means the *ASIC Derivative Transaction Rules (Reporting) 2024*.

26-0830

SaleCo means Firmus Grid SaleCo Limited ACN 702 327 400.

Shares means fully paid ordinary shares in Firmus.

Dated this 1st day of October 2026

A handwritten signature in black ink, appearing to read 'Jacqueline Cochrane', is positioned above the printed name.

Signed by Jacqueline Cochrane

as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this second day of October 2026

Name of Scheme	ARSN
ALC ACTIVE INTERNATIONAL EQUITIES FUND	669 327 173
COLONIAL FIRST STATE - FIRSTCHOICE INVESTMENTS - PROPERTY SECURITIES FUND 8	110 772 766
COLONIAL FIRST STATE - FIRSTCHOICE INVESTMENTS - SPECIALIST FUND 14	123 800 617
COLONIAL FIRST STATE - FIRSTCHOICE WHOLESALE INVESTMENTS - ALTERNATIVES	148 859 063
COLONIAL FIRST STATE INVESTMENT FUND 196	669 589 771
COLONIAL FIRST STATE INVESTMENT FUND 201	669 597 513
COLONIAL FIRST STATE INVESTMENT FUND 202	669 598 261
COLONIAL FIRST STATE INVESTMENT FUND 203	669 610 039
COLONIAL FIRST STATE INVESTMENT FUND 256	669 595 779
COLONIAL FIRST STATE INVESTMENT FUND 37	614 643 364
COLONIAL FIRST STATE INVESTMENT FUND 95	639 625 022
COLONIAL FIRST STATE PROPERTY SECURITIES FUND 8	110 772 579
COLONIAL FIRST STATE SPECIALIST FUND 14	123 801 178
CUSTOM PORTFOLIO SOLUTIONS - GLOBAL GROWTH FUND	608 053 050
DDH AGGRESSIVE GROWTH FUND	099 591 750
DDH AUSTRALIAN SHARES FUND	099 592 186
DDH BALANCED GROWTH FUND	099 592 999

DDH CASH FUND	120 591 933
DDH CONSERVATIVE GROWTH FUND	099 592 560
DDH FIXED INTEREST FUND	120 591 326
HEJAZ HIGH INNOVATION ACTIVE ETF	675 069 379
HEJAZ PROPERTY FUND (MANAGED FUND)	653 783 085
MILFORD GLOBAL OPPORTUNITIES FUND (AU)	652 992 857
PENDAL GLOBAL SELECT FUND	651 789 678
PERPETUAL DYNAMIC FIXED INCOME FUND	147 094 415
REALINDEX GLOBAL SHARE FUND (SCREENED)	604 604 873
SCHRODER GLOBAL CORPORATE BOND FUND	155 686 972
SCHRODER GLOBAL HIGH YIELD BOND FUND	166 502 343
SCHRODER GLOBAL SUSTAINABLE FUND	166 502 129
SENIOR FLOATING RATE LOAN FUND	625 239 143
STEWART INVESTORS GLOBAL EMERGING MARKETS LEADERS FUND	104 184 894
STEWART INVESTORS GLOBAL EMERGING MARKETS SUSTAINABILITY FUND	133 696 465
STEWART INVESTORS GLOBAL EMERGING MARKETS LEADERS SUSTAINABILITY FUND	649 843 096
STEWART INVESTORS WORLDWIDE LEADERS SUSTAINABILITY FUND	649 844 002
WELLINGTON EMERGING MARKETS EQUITY FUND (AUSTRALIA)	133 266 903

CORPORATIONS ACT 2001
Section 601CC(3)

ASIC will strike the companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this second day of October 2026

Name of Company

ARBN

ASSOCIATION OF APEX CLUBS OF AUSTRALIA INCORPORATED

057 197 350

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this second day of October 2026

Name of Company**ARBN**

COMGEST SINGAPORE PTE. LTD.	625 011 494
FARO SINGAPORE PTE. LTD.	611 406 743
ICAPITAL SG PTE. LTD.	688 239 143
INFOVISTA (ASIA-PACIFIC) PTE LTD	098 163 001
NELSON BYRD WOLTZ LLC	619 412 332
NORMAND CHARTERING AS	649 335 991
PAN-AMERICAN MOA FOUNDATION,INC.	082 603 843
ROBEX RESOURCES INC	682 762 723

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this second day of October 2026

Name of Company

ARBN

FULL CIRCLE SOLUTIONS LLC

667 829 487

HOLBURNE AUSTRALIA LIMITED

693 952 377

ICSA BOARDROOM APPS LIMITED

163 078 233

CORPORATIONS ACT 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

EMPREVO LIMITED ACN 168 062 020 will change to a proprietary company limited by shares. The new name will be EMPREVO PTY LTD ACN 168 062 020.

SWIPEJOBS HOLDINGS PTY LTD ACN 164 316 938 will change to a public company limited by shares. The new name will be SWIPEJOBS LTD ACN 164 316 938.

FIRSTMAC MONEY LIMITED ACN 623 422 695 will change to a proprietary company limited by shares. The new name will be FIRSTMAC MONEY PTY LTD ACN 623 422 695.

WUNDOWIE CARBON LIMITED ACN 669 096 217 will change to a proprietary company limited by shares. The new name will be WUNDOWIE CARBON PTY LIMITED ACN 669 096 217.