



Members of the Markets Disciplinary Panel

as at 1 January 2018

Name	MDP Role	Background
Simon Gray	MDP Chair MDP Member	Executive Director and Company Secretary of Shaw and Partners, a lawyer with over 16 years' experience with legal and compliance expertise in the industry including senior oversight of corporate finance. A member of the ASIC Market Supervision Advisory Panel and of the ASX Appeal Tribunal
Cilla Boreham	MDP Deputy Chair MDP Member	Former Senior Legal Counsel and Head of Legal, Risk and Compliance of JBWere Pty Ltd, with over 15 years' experience in the industry, with a background in financial services licensing and regulation, stockbroking, compliance and risk management
Richard Brasher	MDP Member	Dealer, Northcape Capital Ltd. Former Associate Director at RBS Equities Australia, over 27 years' experience in the industry, specialising in equity derivative strategies. Former member of the ASX Disciplinary Tribunal
Anthony Brittain	MDP Member	Chief Operating Officer and Financial Officer, Euroz Limited, a financial services professional with over 20 years broad experience cross the securities and managed investments industry, with a background in finance and investments as well as compliance and operations

Anne Brown	MDP Member	Consultant and Non-Executive Director of the Clean Energy Regulator. Former Group Executive & Chief Risk Officer of the Australian Securities Exchange Ltd, having 20 years' experience in Australian and international exchange-traded financial markets and related infrastructure with a background in risk management; Compliance; Audit & Governance and related regulatory and industry associations
Ian Chambers	MDP Member	Head of Institutional Equities & Wealth Management Australia, Morgan Stanley Australia, 30 years in the industry with a strong background in equities and derivatives. Former member of the ASX Disciplinary Tribunal
Leigh Conder	MDP Member	Director, Commonwealth Securities Limited, Director, AUSIEX Ltd and Director, CBA Equities Limited, former General Manager, Corporate Banking Solutions, General Manager, Financial Markets Operations and Equities and Margin Lending Operations, Commonwealth Bank Limited and former member of the ASX Disciplinary Tribunal, with over 25 years' experience with a strong background in operations
Jane Lamming	MDP Member	Chief Compliance Officer and General Counsel at Ausbil Investment Management Limited, former Head of Compliance and Director CIMB Corporate Finance (Australia) Ltd and Head of Compliance, Director, Citigroup Pty Limited, with over 15 years' experience in the industry, with a background in law, financial services regulation, compliance and risk management
Geoffrey Louw	MDP Member	Former head of Futures and Forex, Bell Potter Securities Limited, 18 years in the industry with particular expertise in the futures market. Former member of the ASX Disciplinary Tribunal
Michael Manford	MDP Member	Executive Chairman and Chief Executive Officer, Patersons Securities, Executive Chairman and CEO of a full service stockbroking firm with 32 years in the industry. Former member of the ASX Disciplinary Tribunal

Russell McKimm	MDP Member	Client Adviser, Patersons Securities, 34 years in the industry, managing broker-client relationships and providing financial advice. Former member of the ASX Disciplinary Tribunal
Sadie Powers	MDP Member	Former Head DTR - Equities, Patersons Securities, having over 17 years' experience in the industry, with an extensive background in equities trading and regulatory compliance
Mark Pugsley	MDP Member	Chief Financial Officer and Coy Secretary, Resource Development Group Ltd; Board Member Wanslea Financial Services; Director RBS Morgans Foundation Ltd (part time), former Finance and Compliance Director, RBS Morgans Ltd Group, with more than 29 years' experience in the stockbroking and financial services industry, specialising in Finance, Corporate Governance, Compliance and Regulation. Associate of the Chartered Accountants Aust. and NZ, and Graduate of the Aust. Institute of Company Directors
Pete Robson	MDP Member	Director, ITG Australia, with over 30 years' experience in the industry predominately in equity and derivatives trading, including as a past governor of the ASX Dispute Governors' Committee and testing Governor for the ASX from 1991 to 2007. Head of Trading, ITG Australia, 2010-2017
John Steintal	MDP Member	Consultant Financial Advisor. Former Managing Director, Head of Australia Equities, Moelis & Company, Australia, with 20 years' experience in the industry, with an extensive background in equities and derivative trading, risk and compliance
Victoria Weekes	MDP Member	Director, ANZ Share Investing Limited (formerly ETRADE Australia Limited), Director, One Path Custodians Pty Limited; Director, One Path custodians Pty Limited; Director, Director, Oasis Funds Management Limited, Vice President and Director, Financial Services Institute of Australasia and FINSIA Education, Director, SGCH Portfolio Limited; Director, SGCH Sustainability Limited, Risk Consultant, Director, Sydney Local Health District, and Company Director, Risk Compliance Solutions Pty Ltd