



ASIC

Australian Securities &
Investments Commission

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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ASIC

Australian Securities & Investments Commission

17- 0773

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: CAPITAL AXIS PTY LIMITED
ACN 107 367 993("the Licensee")
21 OATLEY ROAD
PADDINGTON,NSW 2021

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 255848 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 1 December 2017

Signed 

Kim Demarte
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

17-0805

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Westpac Administration 2 Limited
ACN 066 601 250 ("the Licensee")
Level 20
275 Kent Street
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 246304 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 25 September 2017

Signed 

Kim Demarte
A delegate of the Australian Securities and Investments Commission

17-1059

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsections 283GA(1), 601QA(1), 741(1), 926A(2),
992B(1) and 1020F(1)– Exemptions**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsections 283GA(1), 601QA(1), 741(1), 926A(2), 992B(1) and 1020F(1) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 17-1059.

Commencement

3. This instrument commences on the date it is signed.

Nature of this instrument

4. This instrument is an individual relief instrument, as referred to in paragraphs 28A to 28D of ASIC Class Order [CO 14/1000].

Revocation

5. This instrument revokes ASIC Instrument 15-0998.

Disclosure relief*Offers made under an employee incentive scheme*

6. Seeing Machines or a related body corporate that makes an offer under an employee incentive scheme covered by this instrument does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to the offer.

Subsequent sale offers

7. A person that makes a sale offer of an underlying eligible product within 12 months after the issue of the product does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to the sale offer where:
 - (a) the product was issued or otherwise granted:
 - (i) to an eligible participant under an employee incentive scheme; or
 - (ii) to a trustee in connection with an employee incentive scheme; and
 - (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.
8. A person that makes a sale offer of a financial product within 12 months after the issue of the product does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to the sale offer where:
 - (a) the product was issued by reason of the exercise or vesting of an eligible product

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issued or otherwise granted to:

- (i) an eligible participant under an employee incentive scheme; or
 - (ii) to a trustee in connection with an employee incentive scheme; and
- (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.

Licensing, hawking and other incidental relief

General advice

9. Seeing Machines or a related body corporate that makes an offer under an employee incentive scheme covered by this instrument and, in relation to the offer, provides a financial service consisting of general advice in connection with the offer, does not have to comply with subsection 911A(1) of the Act in relation to the advice.

Dealing

10. Seeing Machines or a related body corporate that provides any of the following financial services in relation to an offer in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial service:
- (a) issuing the eligible product;
 - (b) dealing in the eligible product where any acquisition by purchase or disposal of the eligible product by Seeing Machines or a related body corporate occurs either:
 - (i) through a financial services licensee; or
 - (ii) outside this jurisdiction and through a person which is licensed or otherwise authorised to deal in financial products of that kind in the relevant place;
 - (c) dealing in an interest in a managed investment scheme covered by paragraph 14 of this instrument or paragraph 28B of ASIC Class Order [CO 14/1000].

Custodial or depository services

11. Seeing Machines or a related body corporate that provides any of the following financial services in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial service:
- (a) a custodial or depository service in relation to the eligible product where the body performs their duties in good faith and has sufficient resources to perform those duties;
 - (b) dealing in the eligible product in the course of providing a custodial or depository service covered by paragraph (a).

Hawking

12. Seeing Machines or a related body corporate that makes an offer of an eligible product to an eligible participant in the course of, or because of, an unsolicited meeting or telephone call held or made in connection with an employee incentive scheme covered by this instrument

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does not have to comply with section 736, 992A or 992AA of the Act.

Advertising

13. Seeing Machines, or a related body corporate that advertises, or publishes a statement that is reasonably likely to induce eligible participants to acquire, an eligible product under an employee incentive scheme covered by this instrument does not have to comply with section 1018A of the Act in relation to the advertisement or publication.

Incidental managed investment scheme

14. Seeing Machines, or a related body corporate that operates a managed investment scheme only by reason of operating a contribution plan in connection with an employee incentive scheme covered by this instrument does not have to comply with section 601ED of the Act in relation to the operation of that managed investment scheme.

Conditions*Notice of reliance*

15. Seeing Machines or a related body corporate making an offer in connection with a particular employee incentive scheme must give ASIC a notice of reliance.

Note: A notice of reliance can cover a particular employee incentive scheme that is intended to operate for many years. A new notice of reliance will be required to be given to ASIC if Seeing Machines or a related body corporate establishes a new employee incentive scheme.

16. Seeing Machines or a related body corporate may give ASIC the notice of reliance at any time before the body first relies on this instrument in relation to the particular employee incentive scheme but, in any event, must give ASIC the notice of reliance no later than 1 month after the day the body first relies on this instrument in relation to the particular employee incentive scheme.

Disclosure

17. Seeing Machines or a related body corporate that makes an offer under an employee incentive scheme must ensure that the offer is made in, or is accompanied by, an offer document.

Offers of overlying eligible products

18. If Seeing Machines, a related body corporate or a trustee makes an offer of an overlying eligible product under an employee incentive scheme, Seeing Machines or the related body corporate must ensure that, if the overlying eligible product is not able to be traded on an eligible financial market, the offer is for no more than nominal monetary consideration.

Note: This paragraph 18 does not prohibit an offer for more than nominal monetary consideration of an underlying eligible product held or to be held by a trustee under a trust in relation to which the eligible participant acquires or will acquire a unit in the underlying eligible product because it is or will be held by the trustee under the trust.

5% issue limit

19. Seeing Machines or a related body corporate that makes an offer covered by this instrument must, at the time of making the offer, have reasonable grounds to believe that the number of underlying eligible products in a class of underlying eligible products that form part of the

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issued capital of Seeing Machines that have been or may be issued in any of the circumstances covered by the following paragraphs will not exceed 5% of the total number of underlying eligible products in that class on issue:

- (a) underlying eligible products that may be issued under the offer;
- (b) underlying eligible products issued or that may be issued as a result of offers made at any time during the previous 3 year period under:
 - (i) an employee incentive scheme or like scheme of Seeing Machines or a related body corporate, where offers were covered by this instrument or an individual instrument made by ASIC in terms similar to this instrument; or
 - (ii) an employee incentive scheme or employee share scheme of Seeing Machines or a related body corporate, where the offers were covered by ASIC Class Order [CO 03/184] or an individual instrument made by ASIC in terms similar to that class order.

Trusts

20. Seeing Machines or a related body corporate that makes an offer of an underlying eligible product under an employee incentive scheme in relation to which a trustee holds or will hold the underlying eligible products must ensure:
- (a) the activities of the trustee of the trust in that capacity are limited to employee incentive schemes of Seeing Machines or the related body corporate (whether or not the other employee incentive schemes are covered by this instrument);
 - (b) the trustee maintains written records on the administration of the trust including, in the case of underlying eligible products being held for a specified eligible participant on an allocated basis, written records that identify the underlying eligible products held on an allocated basis for the specified eligible participant;

Note: The written records in relation to underlying eligible products held on an allocated basis may take the form of a sub-register administered by or on behalf of the trustee.
 - (c) the trustee does not levy any fees or charges for administering the trust that are payable directly by any eligible participant or out of the assets of the trust, other than reasonable disbursements including brokerage and tax levied or incurred in connection with the trust;
 - (d) if the trustee is Seeing Machines or an associate of Seeing Machines —the trustee does not, at its own discretion, exercise any voting rights attaching to any of the underlying eligible products that it holds on trust; and
 - (e) the trustee, either alone or together with one or more other trustees, does not hold more than 5% of the voting shares or voting interests in Seeing Machines calculated by reference to all employee incentive schemes or like schemes of Seeing Machines or a related body corporate in relation to which offers were covered by this instrument or an individual instrument made, on or after the commencement of this instrument, by ASIC in terms similar to this instrument.

Contribution plans

21. Seeing Machines or a related body corporate that makes an offer under an employee

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incentive scheme that involves a contribution plan:

- (a) must not allow an eligible participant to participate in the contribution plan unless the eligible participant has agreed in writing to the terms of the contribution plan;
- (b) must not allow an eligible participant to participate in the contribution plan to acquire an underlying eligible product that is not able to be traded on an eligible financial market;

Note: This subparagraph (b) does not prohibit an eligible participant from participating in a contribution plan to acquire an underlying eligible product held or to be held by a trustee under a trust in relation to which the eligible participant acquires or will acquire a unit in the underlying eligible product because it is or will be held by the trustee under the trust.

- (c) must ensure that any contributions (other than contributions in the form of future gross (before-tax) salary or wages or from a loan from Seeing Machines or a related body corporate to an eligible participant) under the terms of the contribution plan which are to be used but have not yet been used to acquire underlying eligible products are held by or on behalf of Seeing Machines or a related body corporate on trust for eligible participants, in an account with an Australian ADI that is used solely in connection with employee incentive schemes of Seeing Machines or a related body corporate (whether or not the other employee incentive schemes are covered by this instrument), whether the account is maintained in this jurisdiction or elsewhere;
- (d) must ensure that if underlying eligible products have been acquired by the trustee for a specified eligible participant on an allocated basis using contributions made by the eligible participant under a contribution plan, the eligible participant has the right to:
 - (i) exercise, or to direct the trustee of the underlying eligible products to exercise on their behalf, any voting rights attaching to the underlying eligible products; and
 - (ii) receive income deriving from the underlying eligible products, including dividends and distributions;

Note: The right to receive dividends or distributions may be subject to terms that the dividends or distributions are to be applied to repay a loan from Seeing Machines or a related body corporate to the eligible participant in connection with the employee incentive scheme.

- (e) unless the eligible participant (or a person covered by one of the sub-subparagraphs in subparagraph 24(c)) has already acquired the eligible products under the employee incentive scheme— must ensure that an eligible participant may, by giving a notice to Seeing Machines or a related body corporate, discontinue their participation in the contribution plan, the discontinuance to take effect no more than 45 days after the giving of the notice; and
- (f) must ensure that if an eligible participant has discontinued their participation in the contribution plan, any contributions (other than contributions in the form of future gross (before-tax) salary or wages or from a loan from Seeing Machines or a related body corporate to an eligible participant) under the terms of the contribution plan that have not been used to acquire underlying eligible products, are repaid to or as directed by the participant as soon as practicable after the participant has discontinued their participation in the contribution plan (such repayment including any accumulated interest (if any), less any tax).

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Loans

22. Seeing Machines or a related body corporate making an offer of an eligible product under an employee incentive scheme that involves a loan from Seeing Machines or a related body corporate to an eligible participant to acquire the product:
- (a) must ensure that the loan is not provided to acquire options or incentive rights; and
 - (b) must ensure that under the terms of the loan:
 - (i) no fees or interest is payable; and
 - (ii) either:
 - (A) the lender has no recourse against the participant in relation to the repayment of the loan; or
 - (B) the recourse of the lender against the participant in relation to the repayment of the loan is limited to forfeiture of the eligible products issued or transferred to, or held on behalf of, the participant in connection with the scheme.

ASIC power to request documents

23. Seeing Machines or a related body corporate must, if requested by ASIC and in accordance with the request, make available to ASIC the offer document and all other accompanying information or documents given to eligible participants in connection with the offer made in reliance on this instrument.

Interpretation

24. In this instrument:

- (a) ***able to be traded*** has the meaning given by section 761A of the Act;

associate has the meaning given by Division 2 of Part 1.2 of the Act (except sections 12 and 16);

casual employee, in relation to Seeing Machines or a related body corporate, means an individual who is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the body;

contractor, in relation to Seeing Machines or a related body corporate, means:

- (a) an individual with whom the body has entered into a contract for the provision of services under which the individual performs work for the body; or
- (b) a company with whom the body has entered into a contract for the provision of services under which an individual, who is a director of the company or their spouse, performs work for the body;

where the individual who performs the work under or in relation to the contract is, or might reasonably be expected to be, engaged to work the number of hours that are the

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pro-rata equivalent of 40% or more of a comparable full-time position with the body;

contribution, in relation to a contribution plan, does not include:

- (a) nominal monetary consideration; or
- (b) a monetary contribution as consideration for an issue, transfer or grant of an eligible product to the eligible participant without undue delay (including a monetary contribution made to exercise an eligible product or cause an eligible product to vest);

contribution plan means a plan under which an eligible participant may make monetary contributions to acquire eligible products, whether made before or after the acquisition, from one or more of the following:

- (a) gross (before-tax) wages or salary;
- (b) net (after-tax) wages or salary;
- (c) other monies;

eligible financial market means AIM, the financial market of that name operated by the London Stock Exchange;

eligible participant, in relation to Seeing Machines or a related body corporate, means a person specified in column 2 of Table A;

eligible product, in relation to Seeing Machines, means a financial product specified in column 1 of Table A;

employee incentive scheme means an arrangement under which eligible products of Seeing Machines are offered to eligible participants;

financial product advice has the meaning given by section 766B of the Act;

general advice has the meaning given by section 766B of the Act;

incentive right means a conditional right:

- (a) to acquire underlying eligible products;
- (b) to be paid a cash amount that is ultimately determined by reference to (wholly or in part):
 - (i) the price or value at a given time of the underlying eligible product to which the right relates;
 - (ii) a change in the price or value over a given period of the underlying eligible product to which the right relates;
 - (iii) the amount or value of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or
 - (iv) a change in the amount or value over a given period of time of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or

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- (c) to acquire or to be paid a combination of underlying eligible products and a cash amount as determined in accordance with paragraph (b);

nominal monetary consideration means monetary consideration of a token or trivial amount;

notice of reliance, in relation to a particular employee incentive scheme, means a written notice in a form approved in writing by ASIC for the purposes of ASIC Class Order CO 14/1000;

offer, in relation to an eligible product, has a meaning affected by sections 700, 702 and 1010C of the Act and includes:

- (a) an offer to issue the eligible product;
- (b) an issue or grant of the eligible product;
- (c) an offer to transfer the eligible product;
- (d) a transfer of the eligible product;
- (e) an offer to arrange for the issue or transfer of the eligible product;

but does not include an issue, grant or transfer of an underlying eligible product made by reason of the exercise or vesting of an overlying eligible product in circumstances where an offer to issue or transfer the overlying eligible product had been previously made.

offer document, in relation to an offer of eligible products under an employee incentive scheme, means a document which includes, or is accompanied by, the following information, statements and explanations worded and presented in a clear, concise and effective manner:

- (a) prominent statements to the effect that:
 - (i) any advice given by the body in relation to eligible products offered under the employee incentive scheme does not take into account an eligible participant's objectives, financial situation and needs; and
 - (ii) eligible participants should consider obtaining their own financial product advice from a person who is licensed by ASIC to give such advice;
- (b) either:
 - (i) a copy of the terms of the employee incentive scheme; or
 - (ii) a summary of the terms of the scheme together with a statement that, on request and at no charge and within a reasonable time, the body will provide an eligible participant with a copy of the terms of the scheme;
- (c) general information about the risks of acquiring and holding an eligible product being offered under the employee incentive scheme;
- (d) if:

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- (i) a trustee will hold underlying eligible products for specified eligible participants on an allocated basis in connection with the employee incentive scheme; and
 - (ii) those eligible participants will have the right to:
 - (A) exercise, or direct the trustee to exercise on their behalf, any rights (including voting rights) attaching to the underlying eligible products; or
 - (B) receive income deriving from the underlying eligible products, including dividends or distributions;
- either:
- (iii) a copy of the trust deed; or
 - (iv) a summary of the terms of the trust deed together with a statement that, on request and at no charge and within a reasonable time, the body will provide an eligible participant with a copy of the trust deed;
- (e) if the employee incentive scheme involves a contribution plan—either:
 - (i) a copy of the contribution plan; or
 - (ii) a summary of the terms of the contribution plan together with a statement that, on request and at no charge and within a reasonable time, the body will provide an eligible participant with a copy of the terms of the contribution plan;
 - (f) if the employee incentive scheme involves a loan from the body or a related body corporate to an eligible participant to acquire the product—a copy of the terms of the loan;
 - (g) the acquisition price of the eligible products in Australian dollars or, where the acquisition price is to be worked out in the future under a formula, an explanation of how an eligible participant could calculate the acquisition price of the eligible products in Australian dollars were that formula applied at the date of the offer;
 - (h) an explanation of how an eligible participant could, from time to time, ascertain the market price of the underlying eligible products in Australian dollars;

overlying eligible product means an eligible product specified in any of paragraphs (g) to (i) in column 1 of Table A;

prospective participant, in relation to an offer of an eligible product under an employee incentive scheme, means a person to whom the offer is made but who can only accept the offer if an arrangement has been entered into that will result in the person becoming covered by one of paragraphs (a) to (d) of column 2 of Table A;

related body corporate has the meaning given in section 50 of the Act;

Seeing Machines means Seeing Machines Limited ACN 093 877 331;

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stapled security means two or more eligible products which, under the terms on which each is traded, must be transferred together;

trustee means a body that holds or will hold underlying eligible products on trust for the following persons in connection with an employee incentive scheme:

- (a) eligible participants generally on an unallocated basis; or
- (b) one or more specified eligible participants on an allocated basis;

underlying eligible product means an eligible product specified in any of paragraphs (a) to (f) in column 1 of Table A;

- (b) if Seeing Machines is a registered scheme:
 - (i) the conferral of relief on Seeing Machines is taken to be the conferral of relief on the responsible entity of the scheme;
 - (ii) a requirement imposed on Seeing Machines is taken to be a requirement imposed on the responsible entity of the scheme;
 - (iii) a reference to occupying a position or role with Seeing Machines is taken to be a reference to occupying a position or role with the responsible entity of the scheme;
- (c) an offer of eligible products to an eligible participant under an employee incentive scheme on terms that the eligible participant may renounce the offer in favour of a person covered by one of the following sub-subparagraphs is to be treated as an offer of eligible products to the eligible participant:
 - (i) an immediate family member of the eligible participant;
 - (ii) a company whose members comprise no persons other than the eligible participant or immediate family members of the participant;
 - (iii) a corporate trustee of a self-managed superannuation fund (within the meaning of the *Superannuation Industry (Supervision) Act 1993*) where the eligible participant is a director of the trustee;
- (ca) an employee incentive scheme, employee share scheme, or like scheme, is **covered by** an instrument to the extent that offers are made, or other conduct is carried out, in reliance on the instrument;
- (d) for the avoidance of doubt, a document or other writing to be given in connection with this instrument may be given by electronic means (including, in the case of a document or other writing to be given by Seeing Machines or a related body corporate in reliance on this instrument, by way of making it available on a website and notifying the intended recipient that it is available on the website).

Dated this 4th day of December 2017



Signed by Jessica Reid
as a delegate of the Australian Securities and Investments Commission

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Table A

Column 1 Eligible product	Column 2 Eligible participant
(a) a fully paid share of Seeing Machines that is in a class of shares able to be traded on an eligible financial market;	(a) a full-time or part-time employee (including an executive director);
(b) a beneficial interest in a fully paid share of Seeing Machines where the interest is in a class of interests that is able to be traded on an eligible financial market;	(b) a non-executive director;
(c) a fully paid share of Seeing Machines in relation to which both of the following apply:	(c) a contractor;
(i) a beneficial interest in a share of that class are in a class of interests that is able to be traded on an eligible financial market;	(d) a casual employee;
(ii) the share is convertible into the beneficial interest without charge or for a nominal fee;	(e) a prospective participant.
(d) a beneficial interest in a fully paid share of Seeing Machines in relation to which both of the following apply:	
(i) the fully paid share is in a class of shares that is able to be traded on an eligible financial market;	
(ii) the beneficial interest is convertible into the share without charge or for a nominal fee;	
(e) a fully paid stapled security of Seeing Machines that is in a class of stapled securities that is able to be traded on ASX;	
(f) where Seeing Machines is a registered scheme—an interest in Seeing Machines that is in a class of interests that is able to be traded on ASX;	
(g) a unit in a financial product mentioned in paragraphs (a) to (f);	
(h) an option to acquire, by way of issue or transfer, a financial product mentioned in paragraphs (a) to (f);	
(i) an incentive right granted in relation to a financial product mentioned in paragraphs (a) to (f),	
where in each case in relation to a product mentioned in paragraphs (a) to (f) that is in a class of products that is able to be traded on an eligible financial market:	
(j) the product is in the same class as financial products which were able to be traded on the relevant financial market at all times in the 3 months before the day the offer document is first given to an eligible participant; and	
(k) the class of products were not suspended for more than a total of 5 days during the shorter of the period during which the class of products were able to be traded, and the period of 12 months before the day the offer document is first given to an eligible participant.	

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**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 741(1) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 741(1) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 17-1085.

Commencement

3. This instrument commences on 4 December 2017.

Declaration

4. Chapter 6D of the Act applies to BuildingIQ, Inc. ARBN 605 422 160 (*Company*) as if:
 - 4.1 subsection 708AA(7) (as modified by ASIC Class Order [CO 14/827]) were modified or varied by inserting after the words "*section 601CK*" the words "*except in relation to the financial years ended 31 December 2014, 31 December 2015 and 31 December 2016*"; and
 - 4.2 subsection 708A(6) (as modified by ASIC Class Order [CO 14/827]) were modified or varied by inserting after the words "*section 601CK*" the words "*except in relation to the financial years ended 31 December 2014, 31 December 2015 and 31 December 2016*".

Where declaration applies

5. The declarations in paragraphs 4.1 and 4.2 apply where the Company has:
 - 5.1 failed to comply with section 601CK of the Act for its financial years ended 31 December 2014, 31 December 2015 and 31 December 2016 within the time specified in subsection 601CK(1);
 - 5.2 given its audited annual financial reports to ASX Limited ACN 008 624 691 (*ASX*) in accordance with the listing rules of the ASX for the financial years ended 31 December 2014, 31 December 2015 and 31 December 2016;
 - 5.3 given its reviewed half-year financial reports to the ASX in accordance with the listing rules of the ASX for the half-year periods ended 30 June 2015, 30 June 2016 and 30 June 2017; and

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- 5.4 complied with the financial reporting laws of the kind set out in Chapter 2M of the Act applicable to the Company in its place of incorporation.
- 6 The declaration in paragraph 4.1 applies to an offer for the issue of securities in the Company.
- 7 The declaration in paragraph 4.2 applies to an offer for the sale of securities in the Company within 12 months after:
- 7.1 their issue; or
- 7.2 their sale by a person who controlled the Company at the time of their sale.

Dated this 4th of December 2017



Signed by Nicky Tran
as a delegate of the Australian Securities and Investments Commission

17-1086

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsections 283GA(1), 601QA(1), 741(1), 926A(2),
992B(1) and 1020F(1) – Exemptions**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsections 283GA(1), 601QA(1), 741(1), 926A(2), 992B(1) and 1020F(1) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 17-1086.

Commencement

4. This instrument commences on the date it is signed.

Nature of this instrument

5. This instrument is an individual relief instrument, as referred to in paragraphs 28A to 28D of ASIC Class Order [CO 14/1000]

Disclosure relief*Offers made under an employee incentive scheme*

6. Ricegrowers or a related body corporate that makes an offer under an employee incentive scheme covered by this instrument does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to the offer.

Subsequent sale offers

7. A person that makes a sale offer of an underlying eligible product within 12 months after the issue of the product does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to the sale offer where:
 - (a) the product was issued or otherwise granted:
 - (i) to an eligible participant under an employee incentive scheme; or
 - (ii) to a trustee in connection with an employee incentive scheme; and
 - (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.
8. A person that makes a sale offer of a financial product within 12 months after the issue of the product does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to the sale offer where:

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- (a) the product was issued by reason of the exercise or vesting of an eligible product issued or otherwise granted to:
 - (i) an eligible participant under an employee incentive scheme; or
 - (ii) to a trustee in connection with an employee incentive scheme; and
- (b) the person has no reason to believe the employee incentive scheme is not covered by this instrument.

Licensing, hawking and other incidental relief*General advice*

9. Ricegrowers or a related body corporate that makes an offer under an employee incentive scheme covered by this instrument and, in relation to the offer, provides a financial service consisting of general advice in connection with the offer, does not have to comply with subsection 911A(1) of the Act in relation to the advice.

Dealing

10. Ricegrowers or a related body corporate that provides any of the following financial services in relation to an offer in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial service:
- (a) issuing the eligible product;
 - (b) dealing in the eligible product where any acquisition by purchase or disposal of the eligible product by Ricegrowers or a related body corporate occurs either:
 - (i) through a financial services licensee; or
 - (ii) outside this jurisdiction and through a person which is licensed or otherwise authorised to deal in financial products of that kind in the relevant place;
 - (c) dealing in an interest in a managed investment scheme covered by paragraph 14 of this instrument or paragraph 28C of ASIC Class Order [CO 14/1000].

Custodial or depository services

11. Ricegrowers or a related body corporate that provides any of the following financial services in connection with an employee incentive scheme covered by this instrument does not have to comply with subsection 911A(1) of the Act in relation to the financial service:
- (a) a custodial or depository service in relation to the eligible product where the body performs their duties in good faith and has sufficient resources to perform those duties;

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- (b) dealing in the eligible product in the course of providing a custodial or depository service covered by paragraph (a).

Hawking

12. Ricegrowers or a related body corporate that makes an offer of an eligible product to an eligible participant in the course of, or because of, an unsolicited meeting or telephone call held or made in connection with an employee incentive scheme covered by this instrument does not have to comply with section 736, 992A or 992AA of the Act.

Advertising

13. Ricegrowers or a related body corporate that advertises, or publishes a statement that is reasonably likely to induce eligible participants to acquire, an eligible product under an employee incentive scheme covered by this instrument does not have to comply with section 1018A of the Act in relation to the advertisement or publication.

Incidental managed investment scheme

14. Ricegrowers or a related body corporate that operates a managed investment scheme only by reason of operating a contribution plan in connection with an employee incentive scheme covered by this instrument does not have to comply with section 601ED of the Act in relation to the operation of that managed investment scheme.

Conditions*Notice of reliance*

15. Ricegrowers or a related body corporate making an offer in connection with a particular employee incentive scheme must give ASIC a notice of reliance.

Note: A notice of reliance can cover a particular employee incentive scheme that is intended to operate for many years. A new notice of reliance will be required to be given to ASIC if Ricegrowers or a related body corporate establishes a new employee incentive scheme.

16. Ricegrowers or a related body corporate may give ASIC the notice of reliance at any time before the body first relies on this instrument in relation to the particular employee incentive scheme but, in any event, must give ASIC the notice of reliance no later than 1 month after the day the body first relies on this instrument in relation to the particular employee incentive scheme.

Disclosure

17. Ricegrowers or a related body corporate that makes an offer under an employee incentive scheme must ensure that the offer is made in, or is accompanied by, an offer document.

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Offers of overlying eligible products

18. If Ricegrowers, a related body corporate or a trustee makes an offer of an overlying eligible product under an employee incentive scheme, Ricegrowers or the related body corporate must ensure that, if the overlying eligible product is not able to be traded on an eligible financial market, the offer is for no more than nominal monetary consideration.

Note: This paragraph 18 does not prohibit an offer for more than nominal monetary consideration of an underlying eligible product held or to be held by a trustee under a trust in relation to which the eligible participant acquires or will acquire a unit in the underlying eligible product because it is or will be held by the trustee under the trust.

5% issue limit

19. Ricegrowers or a related body corporate that makes an offer covered by this instrument must, at the time of making the offer, have reasonable grounds to believe that the number of underlying eligible products in a class of underlying eligible products that form part of the issued capital of Ricegrowers that have been or may be issued in any of the circumstances covered by the following paragraphs will not exceed 5% of the total number of underlying eligible products in that class on issue:

- (a) underlying eligible products that may be issued under the offer;
- (b) underlying eligible products issued or that may be issued as a result of offers made at any time during the previous 3 year period under:
 - (i) an employee incentive scheme or like scheme of Ricegrowers or a related body corporate, where offers were covered by this instrument or an individual instrument made by ASIC in terms similar to this instrument; or
 - (ii) an employee incentive scheme or employee share scheme of Ricegrowers or a related body corporate, where the offers were covered by ASIC Class Order [CO 03/184] or an individual instrument made by ASIC in terms similar to that class order.

Trusts

20. Ricegrowers or a related body corporate that makes an offer of an underlying eligible product under an employee incentive scheme in relation to which a trustee holds or will hold the underlying eligible products must ensure:
- (a) the activities of the trustee of the trust in that capacity are limited to employee incentive schemes of Ricegrowers or the related body corporate (whether or not the other employee incentive schemes are covered by this instrument);
 - (b) the trustee maintains written records on the administration of the trust including, in the case of underlying eligible products being held for a specified eligible participant on an allocated basis, written records that identify the underlying eligible products held on an allocated basis for the

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specified eligible participant;

Note: The written records in relation to underlying eligible products held on an allocated basis may take the form of a sub-register administered by or on behalf of the trustee.

- (c) the trustee does not levy any fees or charges for administering the trust that are payable directly by any eligible participant or out of the assets of the trust, other than reasonable disbursements including brokerage and tax levied or incurred in connection with the trust;
- (d) if the trustee is Ricegrowers or an associate of Ricegrowers—the trustee does not, at its own discretion, exercise any voting rights attaching to any of the underlying eligible products that it holds on trust; and
- (e) the trustee, either alone or together with one or more other trustees, does not hold more than 5% of the voting shares or voting interests in Ricegrowers calculated by reference to all employee incentive schemes or like schemes of Ricegrowers or a related body corporate in relation to which offers were covered by this instrument or an individual instrument made, on or after the commencement of this instrument, by ASIC in terms similar to this instrument.

Contribution plans

21. Ricegrowers or a related body corporate that makes an offer under an employee incentive scheme that involves a contribution plan:

- (a) must not allow an eligible participant to participate in the contribution plan unless the eligible participant has agreed in writing to the terms of the contribution plan;
- (b) must not allow an eligible participant to participate in the contribution plan to acquire an overlying eligible product that is not able to be traded on an eligible financial market;

Note: This subparagraph (b) does not prohibit an eligible participant from participating in a contribution plan to acquire an underlying eligible product held or to be held by a trustee under a trust in relation to which the eligible participant acquires or will acquire a unit in the underlying eligible product because it is or will be held by the trustee under the trust.

- (c) must ensure that any contributions (other than contributions in the form of future gross (before-tax) salary or wages or from a loan from Ricegrowers or a related body corporate to an eligible participant) under the terms of the contribution plan which are to be used but have not yet been used to acquire underlying eligible products are held by or on behalf of Ricegrowers or a related body corporate on trust for eligible participants, in an account with an Australian ADI that is used solely in connection with employee incentive schemes of Ricegrowers or a related body corporate (whether or not the other employee incentive schemes are covered by this instrument), whether the account is maintained in this jurisdiction or elsewhere;

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- (d) must ensure that if underlying eligible products have been acquired by the trustee for a specified eligible participant on an allocated basis using contributions made by the eligible participant under a contribution plan, the eligible participant has the right to:
 - (i) exercise, or to direct the trustee of the underlying eligible products to exercise on their behalf, any voting rights attaching to the underlying eligible products; and
 - (ii) receive income deriving from the underlying eligible products, including dividends and distributions;
- Note: The right to receive dividends or distributions may be subject to terms that the dividends or distributions are to be applied to repay a loan from Ricegrowers or a related body corporate to the eligible participant in connection with the employee incentive scheme.
- (e) unless the eligible participant (or a person covered by one of the subparagraphs in subparagraph 24(c)) has already acquired the eligible products under the employee incentive scheme— must ensure that an eligible participant may, by giving a notice to Ricegrowers or a related body corporate, discontinue their participation in the contribution plan, the discontinuance to take effect no more than 45 days after the giving of the notice; and
 - (f) must ensure that if an eligible participant has discontinued their participation in the contribution plan, any contributions (other than contributions in the form of future gross (before-tax) salary or wages or from a loan from Ricegrowers or a related body corporate to an eligible participant) under the terms of the contribution plan that have not been used to acquire underlying eligible products, are repaid to or as directed by the participant as soon as practicable after the participant has discontinued their participation in the contribution plan (such repayment including any accumulated interest (if any), less any tax).

Loans

22. Ricegrowers or a related body corporate making an offer of an eligible product under an employee incentive scheme that involves a loan from Ricegrowers or a related body corporate to an eligible participant to acquire the product:
- (a) must ensure that the loan is not provided to acquire options or incentive rights; and
 - (b) must ensure that under the terms of the loan:
 - (i) no fees or interest is payable; and
 - (ii) either:
 - (A) the lender has no recourse against the participant in relation to the repayment of the loan; or

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- (B) the recourse of the lender against the participant in relation to the repayment of the loan is limited to forfeiture of the eligible products issued or transferred to, or held on behalf of, the participant in connection with the scheme.

ASIC power to request documents

23. Ricegrowers or a related body corporate must, if requested by ASIC and in accordance with the request, make available to ASIC the offer document and all other accompanying information or documents given to eligible participants in connection with the offer made in reliance on this instrument.

Interpretation

24. In this instrument:

- (a) *able to be traded* has the meaning given by section 761A of the Act;

associate has the meaning given by Division 2 of Part 1.2 of the Act (except sections 12 and 16);

casual employee, in relation to Ricegrowers or a related body corporate, means an individual who is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the body;

contractor, in relation to Ricegrowers or a related body corporate, means:

- (a) an individual with whom the body has entered into a contract for the provision of services under which the individual performs work for the body; or
- (b) a company with whom the body has entered into a contract for the provision of services under which an individual, who is a director of the company or their spouse, performs work for the body;

where the individual who performs the work under or in relation to the contract is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the body;

contribution, in relation to a contribution plan, does not include:

- (a) nominal monetary consideration; or
- (b) a monetary contribution as consideration for an issue, transfer or grant of an eligible product to the eligible participant without undue delay (including a monetary contribution made to exercise an eligible product or cause an eligible product to vest);

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contribution plan means a plan under which an eligible participant may make monetary contributions to acquire eligible products, whether made before or after the acquisition, from one or more of the following:

- (a) gross (before-tax) wages or salary;
- (b) net (after-tax) wages or salary;
- (c) other monies;

eligible financial market means NSX, the financial market operated by National Stock Exchange of Australia Limited ACN 000 902 063;

eligible participant, in relation to Ricegrowers or a related body corporate, means a person specified in column 2 of Table A;

eligible product, in relation to Ricegrowers, means a financial product specified in column 1 of Table A;

employee incentive scheme means an arrangement under which eligible products of Ricegrowers are offered to eligible participants;

financial product advice has the meaning given by section 766B of the Act;

general advice has the meaning given by section 766B of the Act;

incentive right means a conditional right:

- (a) to acquire underlying eligible products;
- (b) to be paid a cash amount that is ultimately determined by reference to (wholly or in part):
 - (i) the price or value at a given time of the underlying eligible product to which the right relates;
 - (ii) a change in the price or value over a given period of the underlying eligible product to which the right relates;
 - (iii) the amount or value of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or
 - (iv) a change in the amount or value over a given period of time of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or
- (c) to acquire or to be paid a combination of underlying eligible products and a cash amount as determined in accordance with paragraph (b);

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nominal monetary consideration means monetary consideration of a token or trivial amount;

notice of reliance, in relation to a particular employee incentive scheme, means a written notice in a form approved in writing by ASIC for the purposes of ASIC Class Order CO 14/1000;

offer, in relation to an eligible product, has a meaning affected by sections 700, 702 and 1010C of the Act and includes:

- (a) an offer to issue the eligible product;
- (b) an issue or grant of the eligible product;
- (c) an offer to transfer the eligible product;
- (d) a transfer of the eligible product;
- (e) an offer to arrange for the issue or transfer of the eligible product;

but does not include an issue, grant or transfer of an underlying eligible product made by reason of the exercise or vesting of an overlying eligible product in circumstances where an offer to issue or transfer the overlying eligible product had been previously made.

offer document, in relation to an offer of eligible products under an employee incentive scheme, means a document which includes, or is accompanied by, the following information, statements and explanations worded and presented in a clear, concise and effective manner:

- (a) prominent statements to the effect that:
 - (i) any advice given by the body in relation to eligible products offered under the employee incentive scheme does not take into account an eligible participant's objectives, financial situation and needs; and
 - (ii) eligible participants should consider obtaining their own financial product advice from a person who is licensed by ASIC to give such advice;
- (b) either:
 - (i) a copy of the terms of the employee incentive scheme; or
 - (ii) a summary of the terms of the scheme together with a statement that, on request and at no charge and within a reasonable time, the body will provide an eligible participant with a copy of the terms of the scheme;
- (c) general information about the risks of acquiring and holding an eligible

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product being offered under the employee incentive scheme;

- (d) if:
- (i) a trustee will hold underlying eligible products for specified eligible participants on an allocated basis in connection with the employee incentive scheme; and
 - (ii) those eligible participants will have the right to:
 - (A) exercise, or direct the trustee to exercise on their behalf, any rights (including voting rights) attaching to the underlying eligible products; or
 - (B) receive income deriving from the underlying eligible products, including dividends or distributions;

either:

- (iii) a copy of the trust deed; or
 - (iv) a summary of the terms of the trust deed together with a statement that, on request and at no charge and within a reasonable time, the body will provide an eligible participant with a copy of the trust deed;
- (e) if the employee incentive scheme involves a contribution plan either:
- (i) a copy of the contribution plan; or
 - (ii) a summary of the terms of the contribution plan together with a statement that, on request and at no charge and within a reasonable time, the body will provide an eligible participant with a copy of the terms of the contribution plan;
- (f) if the employee incentive scheme involves a loan from the body or a related body corporate to an eligible participant to acquire the product—a copy of the terms of the loan;
- (g) the acquisition price of the eligible products in Australian dollars or, where the acquisition price is to be worked out in the future under a formula, an explanation of how an eligible participant could calculate the acquisition price of the eligible products in Australian dollars were that formula applied at the date of the offer;
- (h) an explanation of how an eligible participant could, from time to time, ascertain the market price of the underlying eligible products in Australian dollars;

overlying eligible product means an eligible product specified in any of paragraphs (g) to (i) in column 1 of Table A;

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prospective participant, in relation to an offer of an eligible product under an employee incentive scheme, means a person to whom the offer is made but who can only accept the offer if an arrangement has been entered into that will result in the person becoming covered by one of paragraphs (a) to (d) of column 2 of Table A;

related body corporate has the meaning given in section 50 of the Act;

Ricegrowers means Ricegrowers Limited ACN 007 481 156;

stapled security means two or more eligible products which, under the terms on which each is traded, must be transferred together;

trustee means a body that holds or will hold underlying eligible products on trust for the following persons in connection with an employee incentive scheme:

- (a) eligible participants generally on an unallocated basis; or
- (b) one or more specified eligible participants on an allocated basis;

underlying eligible product means an eligible product specified in any of paragraphs (a) to (f) in column 1 of Table A;

- (b) if Ricegrowers is a registered scheme:
 - (i) the conferral of relief on Ricegrowers is taken to be the conferral of relief on the responsible entity of the scheme;
 - (ii) a requirement imposed on Ricegrowers is taken to be a requirement imposed on the responsible entity of the scheme;
 - (iii) a reference to occupying a position or role with Ricegrowers is taken to be a reference to occupying a position or role with the responsible entity of the scheme;
- (c) an offer of eligible products to an eligible participant under an employee incentive scheme on terms that the eligible participant may renounce the offer in favour of a person covered by one of the following sub-subparagraphs is to be treated as an offer of eligible products to the eligible participant:
 - (i) an immediate family member of the eligible participant;
 - (ii) a company whose members comprise no persons other than the eligible participant or immediate family members of the participant;
 - (iii) a corporate trustee of a self-managed superannuation fund (within the meaning of the *Superannuation Industry (Supervision) Act 1993*) where the eligible participant is a director of the trustee;

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- (ca) an employee incentive scheme, employee share scheme, or like scheme, is *covered by* an instrument to the extent that offers are made, or other conduct is carried out, in reliance on the instrument;
- (d) for the avoidance of doubt, a document or other writing to be given in connection with this instrument may be given by electronic means (including, in the case of a document or other writing to be given by Ricegrowers or a related body corporate in reliance on this instrument, by way of making it available on a website and notifying the intended recipient that it is available on the website).

Dated this 4th day of December 2017



signed by Nicky Tran
as a delegate of the Australian Securities and Investments Commission

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Table A

Column 1 Eligible product	Column 2 Eligible participant
(a) a fully paid share of Ricegrowers that is in a class of shares able to be traded on an eligible financial market;	(a) a full-time or part-time employee (including an executive director);
(b) a beneficial interest in a fully paid share of Ricegrowers where the interest is in a class of interests that is able to be traded on an eligible financial market;	(b) a non-executive director;
(c) a fully paid share of Ricegrowers in relation to which both of the following apply:	(c) a contractor;
(i) a beneficial interest in a share of that class are in a class of interests that is able to be traded on an eligible financial market;	(d) a casual employee;
(ii) the share is convertible into the beneficial interest without charge or for a nominal fee;	(e) a prospective participant.
(d) a beneficial interest in a fully paid share of Ricegrowers in relation to which both of the following apply:	
(i) the fully paid share is in a class of shares that is able to be traded on an eligible financial market;	
(ii) the beneficial interest is convertible into the share without charge or for a nominal fee;	
(e) a fully paid stapled security of Ricegrowers that is in a class of stapled securities that is able to be traded on ASX;	
(f) where Ricegrowers is a registered scheme—an interest in Ricegrowers that is in a class of interests that is able to be traded on ASX;	
(g) a unit in a financial product mentioned in paragraphs (a) to (f);	
(h) an option to acquire, by way of issue or transfer, a financial product mentioned in paragraphs (a) to (f);	
(i) an incentive right granted in relation to a financial product mentioned in paragraphs (a) to (f),	
where in each case in relation to a product mentioned in paragraphs (a) to (f) that is in a class of products that is able to be traded on an eligible financial market:	
(j) the product is in the same class as financial products which were able to be traded on the relevant financial market at all times in the 3 months before the day the offer document is first given to an eligible participant; and	
(k) the class of products were not suspended for more than a total of 5 days during the shorter of the period during which the class of products were able to be traded, and the period of 12 months before the day the offer document is first given to an eligible participant.	

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**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraphs 655A(1)(b) and 673(1)(b) – Declarations**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 655A(1)(b) and 673(1)(b) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 17-1089.

Commencement

3. This instrument commences on 4 December 2017.

Declaration

4. Chapter 6 of the Act applies to HJB Corporation Limited ACN 091 302 975 (the *Company*) as if section 609 of the Act were modified or varied by, after subsection (13) (as notionally inserted by ASIC Class Order [CO 13/520]), inserting:

"(14) A body corporate does not have a relevant interest in its own securities merely because, under an escrow arrangement entered into by the body corporate, the body corporate applies restrictions on the disposal of the securities by the holder."
5. Chapter 6C of the Act applies to the Company as if section 671B were modified or varied by, after subsection 671B(7) (as notionally inserted by ASIC Class Order [CO 13/520]), inserting:

"671B(8) Escrow Agreements

For the purposes of this section, and the definition of *substantial holding* in section 9, a body corporate has a relevant interest in securities if the body corporate would have a relevant interest in the securities but for subsection 609(14) ."

Where this instrument applies

6. This instrument applies to the acquisition of a relevant interest in securities in the Company (*Escrowed Securities*) arising as a result of the entry into one or more escrow agreements (each, an *Escrow Agreement*) between the Company and each Shareholder where each Escrow Agreement:

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- (a) is entered into in connection with the acquisition by the Company of Janison Solutions Pty Ltd (the *Acquired Company*);
- (b) is in connection with the Company's shares being reinstated to trading on the official list of the financial market operated by ASX Limited ACN 008 624 691;
- (c) restricts disposal of, but not the exercise of voting rights attaching to, the Escrowed Securities;
- (d) together with each other Escrow Agreement, does not create a restriction continuing more than 24 months after the date of issue of the Escrowed Securities;
- (e) allows the Shareholders to accept into an on-market takeover bid where holders of at least half of the bid class securities that are not subject to any Escrow Agreement with the Company restricting disposal of the securities have accepted into the bid;
- (f) allows the Shareholders to accept into an off-market takeover bid at any time and requires that the Escrowed Securities be returned to escrow if the bid does not become unconditional;
- (g) allows the Escrowed Securities to be transferred or cancelled as part of a merger by scheme of arrangement under Part 5.1 of the Act;
- (h) is in substantially the same form as the draft escrow arrangement provided to ASIC on 9 November 2017; and
- (i) does not, when taken together with each of the other Escrowed Securities, result in the Company having, but for subsection 609(11), a relevant interest resulting from the Escrow Agreement in more than 65% of the issued voting shares in the Company.

Interpretation

7. In this instrument:

Shareholder means:

- (a) a vendor of the Acquired Company or a nominee of such vendor, and who is issued Escrowed Securities as part consideration for the sale to the Company of the Acquired Company;
- (b) a continuing director of the Company who is issued Escrowed Securities and/or securities that may be converted to Escrowed Securities in conjunction with the Company's acquisition of the Acquired Company; and

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- (c) an adviser to the Company who is issued securities that may be converted to Escrowed Securities in conjunction with the Company's acquisition of the Acquired Company.

Dated this 4th day of December 2017



Signed by Nicky Tran
as a delegate of the Australian Securities and Investments Commission

17-1092

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

PART 9.4AA OF THE CORPORATIONS ACT
INFRINGEMENT NOTICE

To: Murray River Organics Group Limited
ACN 614 651 473
32 Crompton Way
Dandenong South VIC 3175

TAKE NOTICE: The Australian Securities and Investments Commission (ASIC) hereby issues an Infringement Notice to Murray River Organics Group Limited ACN 614 651 473 (MRO) under subsection 1317DAC(1) of the *Corporations Act 2001* (the Act). This Infringement Notice requires MRO to:

- (i) pay a penalty to ASIC, on behalf of the Commonwealth, in the sum of \$33,000 (the **Penalty**).

This Infringement Notice is issued on **24 November 2017**.

DETAILS OF THE ALLEGED CONTRAVENTION**The Facts**

MRO is an Australian producer, marketer, manufacturer and seller of certified organic and natural food products.

MRO's ordinary fully paid shares were listed on the ASX from 16 December 2016 following an initial public offering (IPO).

On page 71 of the prospectus dated 8 December 2016 to its IPO (the **Prospectus**), MRO made the following pro forma forecasts for the financial year ending 30 June 2017 (**FY17**): (i) pro forma revenue of \$78 million; and (ii) pro forma EBITDA of \$15.9 million; and (iii) pro forma NPAT of \$6.6 million, while on page 92 of the Prospectus, MRO forecasted pro forma PBT for FY17 of \$9.7 million.

On 26 April 2017, the MRO board and management became aware of the following information:

- That for FY17, each of MRO's forecast pro forma revenue, EBITDA and PBT would be down by more than 10% on the pro forma forecasts disclosed in MRO's Prospectus dated 8 December 2016, as follows:
 - (i) MRO's pro forma revenue to be down by approximately \$9.7 million or 12.4% from what was advised in the Prospectus;
 - (ii) MRO's pro forma EBITDA to be approximately \$13.3 million or down approximately 16.3% from what was advised in the Prospectus; and

- (iii) MRO's pro forma PBT to be approximately \$7.0 million or down approximately 27.8% from what was advised in the Prospectus.

(the Information).

At 6:37 pm on 4 May 2017, MRO released the ASX announcement containing its revised guidance as to its pro forma FY17 revenue, EBITDA and NPAT (**4 May Announcement**) on the ASX announcement platform, such guidance being that FY17 proforma revenue was expected to be down by \$10 million, it was expecting to generate FY17 pro forma EBITA in the range between \$12.5-\$13.5 million in FY17 and pro forma FY17 NPAT in the range between \$4.2-4.9 million.

MRO's share price was \$1.100 at the close of trading on 26 April 2017. In the five trading days leading up to the 4 May Announcement, MRO share price fell from \$1.110 to \$1.030. On 5 May 2017, the first trading day after the release of the ASX announcement containing the Information, MRO's share price opened lower at \$0.80 (or 22.3% lower) and continued to fall throughout the day before closing at \$0.585, a decline of 43.2% from the previous day's closing price. Trading volume in MRO shares on 5 May 2017 increased to 8,329,354 shares, which was 150 times higher than the daily average trading volume of the preceding five trading days of 55,121 shares.

The Contravention

This Infringement Notice has been issued because ASIC has reasonable grounds to believe that MRO contravened subsection 674(2) of the Act between 26 April 2017 and 4 May 2017, in that:

- (a) MRO is an entity to which subsection 674(2) of the Act applies.
- (b) By 26 April 2017, MRO was aware of the Information.
- (c) The Information was information that a reasonable person would expect, if it were generally available, to have a material effect on the price or value of securities of MRO, because information that its forecast figures for EBITDA, EBIT and NPAT for FY17 would be materially lower than the figures set out in the Prospectus, was significant information which would, or would be likely to, influence persons who commonly invest in securities in deciding whether to acquire or dispose of MRO's shares.
- (d) ASX Listing Rule 3.1 required MRO to tell ASX of the Information on 26 April 2017.
- (e) ASX Listing Rule 3.1A (the exception to ASX Listing Rule 3.1) did not apply to the Information because:
 - (i) A reasonable person would have expected the Information to be disclosed to ASX; and

- (ii) None of the matters referred to in ASX Listing Rule 3.1A applied to the Information.
- (f) Between 26 April 2017 and 4 May 2017, the Information was not generally available.

Compliance with the Infringement Notice

MRO may choose to comply with this Infringement Notice by paying the Penalty within the compliance period.

The compliance period for this Infringement Notice begins on 24 November 2017 and expires on 22 December 2017: subsection 1317DAH(1) of the Act. ASIC may extend the compliance period for this Infringement Notice once, by up to 28 days: subsection 1317DAH(3) of the Act. If this occurs, ASIC will notify MRO in writing.

Effect of issue and compliance with the Infringement Notice

The issue of this Infringement Notice, and subsequent compliance with it, is not an admission of liability by MRO and cannot be regarded as a finding that MRO has contravened subsection 674(2) of the Act for any other purpose: subsection 1317DAF(4) of the Act.

ASIC cannot take civil or criminal proceedings against MRO for the alleged contravention specified in this Infringement Notice, either during the compliance period or after the Infringement Notice is complied with: subsection 1317DAF(5) of the Act. However, certain proceedings may be commenced or continued against by third parties or by ASIC on behalf of third parties: subsection 1317DAF(6) of the Act.

Effect of failure to comply with the Infringement Notice

ASIC cannot enforce compliance with this Infringement Notice: section 1317DAF of the Act. However, if this Infringement Notice is not withdrawn in accordance with section 1317DAI of the Act, it is open to ASIC to take the following action against MRO:

- (a) ASIC may begin civil penalty proceedings against the entity under Part 9.4B of the Act seeking a declaration that MRO breached the provision specified in this Infringement Notice and a pecuniary penalty order. The size of the pecuniary penalty is not limited to the amount specified in the Infringement Notice. The Court may under Part 9.4B impose a maximum penalty in relation to the alleged contravention of up to \$1 million;
- (b) ASIC may (if applicable) begin proceedings under section 1324B of the Act seeking an order that specified information be disclosed in the manner required by this Infringement Notice;

- (c) ASIC may (if applicable) make an order under section 91 of the *Australian Securities and Investments Commission Act (the ASIC Act)* for recovery of expenses of its investigation into the breach specified in this Infringement Notice. ASIC can also bring proceedings to enforce that order; and
- (d) ASIC can make a determination under subsections 708A(2), 713(6), 1012DA(2) or 1013FA(3) of the Act, or accept an enforceable undertaking under section 93AA of the ASIC Act and bring proceedings to enforce the undertaking: section 1317DAG of the Act.

Certain other proceedings may also be commenced or continued against MRO by third parties or by ASIC on behalf of third parties: subsection 1317DAG(4) of the Act.

Withdrawal of infringement notice

ASIC may withdraw this Infringement Notice at any time prior to compliance if it considers it appropriate to do so. If this Infringement Notice is withdrawn, any enforcement action may be taken by ASIC against MRO, including:

- (a) civil proceedings under Part 9.4B of the Act; or
- (b) a prosecution for an offence based on the provision specified in the notice.

MRO may seek the withdrawal of this Infringement Notice by making a written representation to ASIC: subsections 1317DAI(1) of the Act. Material provided to ASIC in such a representation is not admissible in evidence in proceedings against MRO or a representative of the company: subsections 1317DAI(2) of the Act.

Publication

If MRO complies with this Infringement Notice ASIC may publish details of MRO's compliance in the manner set out in subsections 1317DAJ(2) or (3) of the Act: subsection 1317DAJ(1) of the Act.

The provisions contained in Part 9.4AA of the Act are attached hereto as Annexure 1.



Kate Druzniak
Delegate
Australian Securities and Investments Commission

Annexure 1

CORPORATIONS ACT 2001

Part 9.4AA—Infringement notices for alleged contraventions of continuous disclosure provisions**1317DAA Definitions**

(1) In this Part:

compensation proceedings means:

- (a) proceedings under section 1317HA; and
- (b) proceedings under section 12GF of the ASIC Act in relation to a contravention of section 12DA of that Act; and
- (c) any other proceedings by a person for compensation for loss or damage suffered by the person.

compliance period for an infringement notice has the meaning affected by section 1317DAH.

contravention proceedings means proceedings under section 1101B by a person referred to in paragraph 1101B(1)(b) or (d).

enforcement proceedings means proceedings under section 793C by a person referred to in paragraph 793C(1)(b), (c) or (d).

infringement notice means an infringement notice issued under section 1317DAC.

penalty and disclosure proceedings means the proceedings referred to in column 3 of the table in subsection 1317DAG(2).

public interest proceedings means proceedings under section 50 of the ASIC Act.

(2) For the purposes of applying this Part to a disclosing entity that is an undertaking to which interests in a registered scheme relate:

- (a) references to the disclosing entity are taken to be references to the responsible entity for the registered scheme; and
- (b) references to a financial report for a financial year being lodged by a disclosing entity are taken to be references to such a report being lodged by the responsible entity in relation to the scheme; and
- (c) references to securities of a disclosing entity are taken to be references to interests in the registered scheme; and
- (d) references to a disclosing entity being convicted of an offence based on subsection 674(2) or 675(2) are taken to be references to the responsible entity being convicted of such an offence in relation to the registered scheme; and

(e) references to a civil penalty order under Part 9.4B being made against a disclosing entity in relation to a contravention of subsection 674(2) or 675(2) are taken to be references to such an order being made against the responsible entity in relation to the registered scheme; and

(f) references to a disclosing entity having breached an enforceable undertaking given to ASIC under section 93AA of the ASIC Act in relation to the requirements of subsection 674(2) or 675(2) are taken to be references to the responsible entity having breached such an undertaking given in relation to the registered scheme.

1317DAB Purpose and effect of this Part

(1) The purpose of this Part is to provide for the issue of an infringement notice to a disclosing entity for an alleged contravention of subsection 674(2) or 675(2) as an alternative to proceedings for civil penalties under Part 9.4B.

(2) This Part does not:

(a) require an infringement notice to be issued to the disclosing entity for the alleged contravention of subsection 674(2) or 675(2); or

(b) affect the liability of the disclosing entity to civil or criminal proceedings in relation to the alleged contravention of subsection 674(2) or 675(2) if:

(i) an infringement notice is not issued to the disclosing entity for the alleged contravention; or

(ii) an infringement notice issued to the disclosing entity for the alleged contravention is withdrawn under section 1317DAI; or

(c) prevent a Court from imposing a higher penalty than the penalty specified in the infringement notice if the disclosing entity does not comply with the infringement notice.

1317DAC Issue of infringement notice

Issue of infringement notice

(1) Subject to section 1317DAD, if ASIC has reasonable grounds to believe that a disclosing entity has contravened subsection 674(2) or 675(2), ASIC may issue an infringement notice to the disclosing entity.

(2) ASIC issues the infringement notice to the disclosing entity by serving it on the disclosing entity.

(3) ASIC must not issue more than one infringement notice to the disclosing entity for the same alleged contravention of subsection 674(2) or 675(2).

ASIC must have regard to certain matters

(4) In determining whether to issue an infringement notice to a listed disclosing entity for an alleged contravention of subsection 674(2), ASIC must have regard to:

(a) any guidelines issued by the relevant market operator for the listed disclosing entity that relate to the provisions of the listing rules referred to in subsection 674(1); and

(b) any other relevant matter.

Infringement notice does not have effect

(5) The infringement notice does not have any effect if the infringement notice:

(a) is issued more than 12 months after the day on which the contravention of subsection 674(2) or 675(2) is alleged to have occurred; or

(b) relates to more than one alleged contravention of subsection 674(2) or 675(2) by the disclosing entity.

1317DAD Statement of reasons must be given

Statement of reasons

(1) Before issuing the infringement notice, ASIC must:

(a) give the disclosing entity a written statement that sets out ASIC's reasons for believing that the disclosing entity has contravened subsection 674(2) or 675(2); and

(b) give a representative of the disclosing entity an opportunity to:

(i) appear at a private hearing before ASIC; and

(ii) give evidence to ASIC; and

(iii) make submissions to ASIC;

in relation to the alleged contravention of subsection 674(2) or 675(2).

(2) If the disclosing entity is a listed disclosing entity, ASIC must consult with the relevant market operator for the disclosing entity before giving the disclosing entity the statement under this subsection.

(3) ASIC does not need to consult the relevant market operator under subsection (2) if:

(a) the disclosing entity is the relevant market operator; or

(b) the disclosing entity conducts a business in competition with a business conducted by the relevant market operator.

Limit on the use of evidence or information given to ASIC

(4) Evidence or information that a representative of the disclosing entity gives ASIC under paragraph (1)(b) in relation to the alleged contravention of subsection 674(2) or 675(2) is:

(a) not admissible in evidence against the disclosing entity in any proceedings; and

(b) not admissible in evidence against a representative of the disclosing entity in any proceedings (other than proceedings for an offence based on the evidence or information given being false or misleading).

1317DAE Matters to be included in infringement notice

(1) The infringement notice:

- (a) must state the day on which it is issued; and
- (b) must state the name and address of the disclosing entity to whom it is issued; and
- (c) must state that it is being issued by ASIC; and
- (d) must state that ASIC may publish details of the disclosing entity's compliance with the infringement notice under section 1317DAJ if the disclosing entity complies with the notice; and
- (e) must give details of the alleged contravention by the disclosing entity, including:
 - (i) the date of the alleged contravention; and
 - (ii) the particular provision that was contravened; and
- (f) must state the maximum pecuniary penalty that a Court could impose under Part 9.4B in relation to the alleged contravention; and
- (g) must specify the penalty that is payable in relation to the alleged contravention; and
- (h) must state that the penalty is payable to ASIC on behalf of the Commonwealth; and
- (i) if it is alleged that the disclosing entity contravened subsection 674(2)—may specify information that the disclosing entity must notify to the relevant market operator in accordance with the provisions of the listing rules referred to in subsection 674(1); and
- (j) if it is alleged that the disclosing entity contravened subsection 675(2)—may require the disclosing entity to lodge a document with ASIC that contains specified information; and
- (k) must explain the effect of sections 1317DAF, 1317DAG and 1317DAH; and
- (l) must state that the disclosing entity may make written representations to ASIC seeking the withdrawal of the infringement notice; and
- (m) must contain any other matters that are prescribed in the regulations.

(2) Subject to subsection (3), the penalty specified in the infringement notice under paragraph (1)(g) for an alleged contravention of subsection 674(2) is:

- (a) \$100,000 if the disclosing entity is a Tier 1 entity; or

(b) \$66,000 if the disclosing entity is a Tier 2 entity; or

(c) \$33,000 if the disclosing entity is a Tier 3 entity.

(3) The penalty specified in the infringement notice under paragraph (1)(g) for an alleged contravention of subsection 674(2) is:

(a) \$100,000 if the disclosing entity is a Tier 2 entity; or

(b) \$66,000 if the disclosing entity is a Tier 3 entity;

if:

(c) the disclosing entity has at any time been convicted of an offence based on subsection 674(2) or 675(2); or

(d) a civil penalty order under Part 9.4B has at any time been made against the disclosing entity in relation to a contravention of subsection 674(2) or 675(2); or

(e) the disclosing entity has at any time breached an enforceable undertaking given to ASIC under section 93AA of the ASIC Act in relation to the requirements of subsection 674(2) or 675(2).

(4) Subject to subsection (5), the penalty specified in the infringement notice under paragraph (1)(g) for an alleged contravention of subsection 675(2) is \$33,000.

(5) The penalty specified in the infringement notice under paragraph (1)(g) for an alleged contravention of subsection 675(2) is \$66,000 if:

(a) the disclosing entity has at any time been convicted of an offence based on subsection 674(2) or 675(2); or

(b) a civil penalty order under Part 9.4B has at any time been made against the disclosing entity in relation to a contravention of subsection 674(2) or 675(2); or

(c) the disclosing entity has at any time breached an enforceable undertaking given to ASIC under section 93AA of the ASIC Act in relation to the requirements of subsection 674(2) or 675(2).

(6) For the purposes of this section:

(a) a disclosing entity is:

(i) a **Tier 1 entity** if its market capitalisation on the relevant day exceeds \$1,000 million; and

(ii) a **Tier 2 entity** if its market capitalisation on the relevant day exceeds \$100 million but does not exceed \$1,000 million; and

(iii) a **Tier 3 entity** if its market capitalisation on the relevant day does not exceed \$100 million or it is not possible to work out its market capitalisation on the relevant day because it has not lodged a financial report with ASIC before the relevant day; and

(b) the *relevant day* for an infringement notice is the last day of the financial year in relation to which the latest financial report by the disclosing entity has been lodged with ASIC before the infringement notice is issued.

(7) This is how to work out a disclosing entity's *market capitalisation* on the relevant day:

(a) for each class of security of the disclosing entity that is a quoted security:

(i) work out the closing price, on the relevant day, for securities in that class on the prescribed financial market on which the securities are quoted; and

(ii) multiply that price by the number of securities in that class on issue on the relevant day (as shown in the financial report lodged with ASIC for the period that ends on the relevant day); and

(b) add up the amounts obtained under paragraph (a): the result is the disclosing entity's market capitalisation on the relevant day.

Disregard quoted securities of the disclosing entity that are options.

1317DAF Effect of issue and compliance with infringement notice

Circumstances in which this section applies

(1) This section applies if subsection (2) or (3) is satisfied.

(2) This subsection is satisfied if:

(a) the compliance period for the infringement notice has not ended; and

(b) the infringement notice is not withdrawn under section 1317DAI; and

(c) subsection (3) has not been satisfied.

(3) This subsection is satisfied if, within the compliance period for the infringement notice, the disclosing entity:

(a) pays the penalty specified in the infringement notice; and

(b) either:

(i) if it is alleged in the infringement notice that the disclosing entity contravened subsection 674(2)—notifies the relevant market operator, in accordance with the provisions of the listing rules referred to in subsection 674(1), of any information specified in the infringement notice; or

(ii) if it is alleged in the infringement notice that the disclosing entity contravened subsection 675(2)—lodges any required document with ASIC that contains the information specified in the infringement notice.

Note: If this subsection is satisfied, ASIC must not withdraw the infringement notice, see section 1317DAL.

No contravention etc. by the disclosing entity

(4) The disclosing entity is not, by reason only of subsection (3) being satisfied, regarded as:

- (a) having contravened the provision specified in the infringement notice; or
- (b) having been convicted of an offence constituted by the same conduct that constituted the alleged contravention of the provision specified in the infringement notice.

No proceedings may be started etc.

(5) Subject to subsection (6), no proceedings (whether criminal or civil) may be started or continued against the disclosing entity in relation to:

- (a) the alleged contravention of the provision specified in the infringement notice; or
- (b) an offence constituted by the same conduct that constituted the alleged contravention.

(6) Subsection (5) does not apply to the following proceedings:

- (a) compensation proceedings, contravention proceedings, enforcement proceedings and public interest proceedings that relate to the alleged contravention of the provision specified in the infringement notice;
- (b) proceedings to enforce the following orders of a Court:
 - (i) an order made in relation to proceedings referred to in paragraph (a);
 - (ii) an order made under subsection 1335(2) in relation to proceedings referred to in paragraph (a) other than public interest proceedings;
- (c) any other proceedings in respect of a breach of an order referred to in paragraph (b);
- (d) an appeal to a Court against the following decisions or orders of a Court:
 - (i) a decision or order made in relation to proceedings referred to in paragraph (a);
 - (ii) a decision or order made under subsection 1335(2) in relation to the proceedings referred to in paragraph (a) other than public interest proceedings.

(7) To avoid doubt, subsection (5) does not prevent ASIC from:

- (a) making an order under section 91 of the ASIC Act; or
- (b) bringing proceedings to enforce the order.

1317DAG Effect of failure to comply with infringement notice

Circumstances in which this section applies

(1) This section applies if an infringement notice issued to a disclosing entity is not withdrawn under section 1317DAL.

Effect of failure to comply with infringement notice

(2) If the disclosing entity fails to do a thing specified in column 2 of the following table within the compliance period for the infringement notice, the disclosing entity is liable to the proceedings specified in column 3 of the following table:

Effect of failure to comply with infringement notice

Column 1	Column 2	Column 3
Item	If the disclosing entity fails to:	the disclosing entity is liable to:
1	pay the penalty specified in the infringement notice	proceedings under Part 9.4B for: (a) a declaration of (b) a pecuniary penalty order; in relation to the alleged contravention
of		the provision specified in the infringement notice.
2	notify the relevant market operator, in accordance with the provisions of the listing rules referred to in subsection 674(1), of any information specified in the infringement notice if it is alleged in the infringement notice that the disclosing entity contravened subsection 674(2)	proceedings for an order under section 1324B in relation to the alleged contravention of the provision specified in the infringement notice.
3	lodge any required document with ASIC that contains the information specified in the infringement notice if it is alleged in the infringement notice that the disclosing entity contravened subsection 675(2).	proceedings for an order under section 1324B in relation to the alleged contravention of the provision specified in the infringement notice.

No other proceedings may be started etc.

(3) Subject to subsection (4), no other proceedings (whether criminal or civil) may be started or continued against the disclosing entity in relation to:

- (a) the alleged contravention of the provision specified in the infringement notice; or

(b) an offence constituted by the same conduct that constituted the alleged contravention.

(4) Subsection (3) does not apply to the following proceedings:

(a) compensation proceedings, contravention proceedings, enforcement proceedings and public interest proceedings that relate to the alleged contravention of the provision specified in the infringement notice;

(b) proceedings to enforce the following orders of a Court:

(i) an order made in relation to penalty and disclosure proceedings;

(ii) an order made in relation to proceedings referred to in paragraph (a);

(iii) an order made under subsection 1335(2) in relation to penalty and disclosure proceedings;

(iv) an order made under subsection 1335(2) in relation to proceedings referred to in paragraph (a) other than public interest proceedings;

(c) any other proceedings in respect of a breach of an order referred to in paragraph (b);

(d) an appeal to a Court against the following decisions or orders of a Court:

(i) a decision or order made in relation to penalty and disclosure proceedings;

(ii) a decision or order made in relation to proceedings referred to in paragraph (a);

(iii) a decision or order made under subsection 1335(2) in relation to penalty and disclosure proceedings;

(iv) a decision or order made under subsection 1335(2) in relation to proceedings referred to in paragraph (a) other than public interest proceedings.

(5) To avoid doubt, subsection (3) does not prevent ASIC from:

(a) making a determination under subsection 708AA(3), 708A(2), 713(6), 713A(23), 1012DAA(3), 1012DA(2) or 1013FA(3) of this Act; or

(b) making an order under section 91 of the ASIC Act; or

(c) accepting an undertaking under section 93AA of the ASIC Act; or

(d) bringing proceedings to enforce the determination, order or undertaking.

1317DAH Compliance period for infringement notice

(1) Subject to this section, the compliance period for an infringement notice is a period of 28 days beginning on the day after the day on which the infringement notice is issued.

- (2) ASIC may extend, by notice in writing, the compliance period for the infringement notice if ASIC is satisfied that it is appropriate to do so.
- (3) Only one extension may be given and the extension must not be for longer than 28 days.
- (4) Notice of the extension must be given to the disclosing entity that was issued with the infringement notice.
- (5) A failure to comply with subsection (4) does not affect the validity of the extension.
- (6) If ASIC extends the compliance period for an infringement notice, a reference in this Act to the compliance period for an infringement notice is taken to be a reference to the compliance period as so extended.

1317DAI Withdrawal of infringement notice

Disclosing entity may seek withdrawal

- (1) If an infringement notice is issued to a disclosing entity, the disclosing entity may make written representations to ASIC seeking the withdrawal of the infringement notice.
- (2) Evidence or information that a representative of the disclosing entity gives ASIC in the course of making representations under subsection (1) is:

- (a) not admissible in evidence against the disclosing entity in any proceedings; and
- (b) not admissible in evidence against a representative of the disclosing entity in any proceedings (other than proceedings for an offence based on the evidence or information given being false or misleading).

Withdrawal

- (3) Subject to subsection (4), ASIC may withdraw the infringement notice (whether or not the disclosing entity has made representations seeking the withdrawal) if ASIC is satisfied that it is appropriate to do so.
- (4) ASIC must not withdraw the infringement notice if subsection 1317DAF(3) is satisfied.

Withdrawal notice

- (5) The withdrawal must be made by notice in writing and must be given to the disclosing entity.
- (6) The withdrawal notice must state:
- (a) the name and address of the disclosing entity; and
 - (b) the day on which the infringement notice was issued to the disclosing entity; and
 - (c) that the infringement notice is withdrawn; and

(d) that civil proceedings under Part 9.4B may be brought against the disclosing entity for a contravention of the provision specified in the infringement notice; and

(e) that a prosecution for an offence based on the provision specified in the infringement notice may be brought against the disclosing entity.

Refund of penalty

(7) If:

(a) the disclosing entity pays the penalty specified in the infringement notice; and

(b) the infringement notice is withdrawn after the disclosing entity pays the penalty;

ASIC must refund to the disclosing entity an amount equal to the amount paid.

1317DAJ Publication in relation to infringement notices

(1) If:

(a) ASIC issues an infringement notice to a disclosing entity; and

(b) subsection 1317DAF(3) (compliance with the infringement notice) is satisfied;

ASIC may publish details of the disclosing entity's compliance with the infringement notice under subsection (2) or (3) or under both of those subsections.

(2) ASIC publishes details of the disclosing entity's compliance with the infringement notice under this subsection if it publishes a copy of the infringement notice in the *Gazette* together with the following statements:

(a) a statement that the disclosing entity has complied with the infringement notice;

(b) a statement that compliance with the notice is not an admission of guilt or liability;

(c) a statement that the disclosing entity is not regarded as having contravened the provision specified in the notice.

(3) ASIC publishes details of the disclosing entity's compliance with the infringement notice under this subsection if:

(a) ASIC issues a statement (whether written or oral) about the disclosing entity's compliance with the infringement notice; and

(b) the statement is limited to an accurate summary of the infringement notice including:

(i) the name of the disclosing entity; and

(ii) the amount of the penalty payable under the notice in relation to the alleged contravention; and

(iii) the conduct specified in the notice as the conduct in relation to which the infringement notice was issued;

together with the following statements:

(iv) a statement that the disclosing entity has complied with the infringement notice;

(v) a statement that compliance with the notice is not an admission of guilt or liability;

(vi) a statement that the relevant disclosing entity is not regarded as having contravened the provision specified in the notice.

(4) ASIC must not otherwise publish details of:

(a) an infringement notice; or

(b) a disclosing entity's compliance with an infringement notice.

Failure to comply with this subsection is not an offence.

In accordance with s1317DAJ(2) the following statements need to accompany the published Infringement Notice:

Murray River Organics Group Limited has complied with the Infringement Notice by paying the penalty of \$33,000. Compliance with the Infringement Notice by Murray River Organics Group Limited is not an admission of guilt or liability.

Murray River Organics Group Limited is not regarded as having contravened s674(2) of the Corporations Act 2001.

17-1110

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 12 October 2015.

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

IN THE MATTER OF PHILIP MCLEAN

SECTIONS 920A AND 920B OF THE CORPORATIONS ACT 2001

**ORDER UNDER SECTIONS 920A AND 920B OF THE
CORPORATIONS ACT 2001**

To: Philip McLean

TAKE NOTICE that under paragraph 920A(1)(e) and section 920B of the Corporations Act 2001 the Australian Securities and Investments Commission prohibits Philip McLean from providing any financial services for a period of three years.

Dated this 8th day of October 2015.

Signed: 
Graeme Darcy Plath
Delegate of the Australian Securities and
Investments Commission

Your attention is drawn to s920C and s1311 of the Corporations Act 2001 that provide that a person commits an offence if they engage in conduct that breaches a banning order that has been made against them (Penalty 25 penalty units or imprisonment for 6 months, or both).

CORPORATIONS ACT 2001
SECTION 601AH(1)& 601AH(2)

Notice is hereby given that the registration of
the companies mentioned below have been reinstated.

Dated this sixth day of December 2017

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company	ACN
A. BEDKOBER SUPER PTY LTD	605 021 690
A.G.A. TELECOMMUNICATIONS PTY LTD	082 432 719
A.R. & A.L. DONELLY PTY. LTD.	080 399 533
A.R.D. ELECTRICAL PTY LTD	158 291 984
A.W. KEENAN POSTERS PTY. LTD.	067 807 890
AARON PERDIKIS PTY LTD	169 528 483
AB CEMENT RENDERING PTY LTD	168 856 095
ABFAM HOLDINGS PTY LTD	138 466 163
ACE FINANCIAL SERVICES GROUP PTY. LTD.	606 138 612
ACHIEVE PSYCHOLOGY PTY LTD	167 990 801
ACN 010 742 462 PTY. LTD.	010 742 462
ACN 091 289 986 PTY LTD	091 289 986
ACN 117 469 226 PTY LTD	117 469 226
ACN 149 697 781 PTY. LTD.	149 697 781
ACN 157 853 202 PTY LTD	157 853 202
ACN 163 182 643 PTY. LTD.	163 182 643
ACN 166 494 159 PTY LTD	166 494 159
ACTIVE CLEAN & GARDEN PTY LTD	163 451 269
ACUMEN PROJECT MANAGEMENT SERVICES PTY LTD	125 518 489
ADVANCED CYLINDER TESTING - ACT PTY LTD	117 391 410

AG & JA WILLSMORE PTY LTD	109 223 430
AHN FAMILY PTY LTD	603 577 402
AIR CREW CLOTHING (AUST) PTY. LTD.	096 270 718
AISHNA PTY LTD	162 340 801
ALLAM FAMILY INVESTMENTS PTY LTD	600 383 604
AMAMM PTY LTD	168 403 254
AMAROK (ACT) PTY LTD	168 517 057
AMSHAR PTY LTD	150 297 948
ANBA KARAS PTY LTD	164 399 697
APD SUPERANNUATION FUND PTY LTD	150 533 289
APFS (WA) PTY LTD	152 929 069
APM CORP PTY LTD	141 137 484
ARISTO ASSETS PTY LTD	145 238 562
ARRAB PTY LTD	064 240 026
ATLAS ORTHOGONAL CHIROPRACTIC AUSTRALIA PTY LTD	094 787 121
ATTIQUE & YUSRA PTY LTD	162 721 940
AUSFEED AUSTRALIA PTY LTD	085 044 695
AUSMART GROUP ENTERPRISE PTY. LTD.	603 462 915
AUSRING PTY LTD	165 197 964
AUSTCLEAN EXTERIOR & WINDOW CLEANING BUDERIM SOUTH PTY LTD	139 625 997
AUSTCLEAN INTERIOR & CARPET CLEANING BUDERIM SOUTH PTY LTD	139 625 764
AUSTRALIAN CONCRETE PUMPING LOGISTICS PTY LTD	143 825 807
AUSTRALIAN INTERNATIONAL TRAINING ACADEMY PTY LTD	141 358 045
AUTHENTIC NATURAL STONE PTY. LTD.	136 503 789
AUTHENTIC TASTE PTY LTD	604 459 841
AVILO TRANSPORT PTY LTD	167 332 701
AYANA JEWELLERY PTY LTD	605 820 264
AY KAY SERVICES PTY LTD	602 911 142

A2Z CONCRETE WORKS PTY. LTD.	157 980 839
B.V. SMOULT PTY. LTD.	006 001 823
B & J NORTH SUPER INVESTMENTS PTY LTD	160 957 219
B&S HEGARTY SUPER FUND PTY LTD	602 033 661
B&S HEGARTY SUPER PTY LTD	602 033 652
BAGCE INVESTMENTS PTY LTD	151 569 907
BANLATA PTY LTD	132 977 701
BARANGAROO WATER TAXIS PTY LTD	605 542 112
BARTLEA PTY LTD	603 302 209
BCT HOLDINGS WA PTY LTD	109 776 534
BEACONSFIELD MAINTENANCE (VIC) PTY LTD	163 770 858
BEANLAND CONSULTING ENGINEERS PTY. LTD.	007 187 751
BEAUTY AND THE BEAST SUPPLEMENTS CAROUSEL PTY LTD	606 830 899
BELLVIV PROFESSIONALS PTY. LTD.	603 851 458
BE TAEKWONDO PTY LTD	169 191 002
BEVO AGRICULTURAL INVESTMENTS (QLD) PTY LTD	165 200 662
BIG & EASY PTY LTD	129 545 928
BIRCHWOOD GROUP PTY LTD	104 622 282
BIXBY PTY LTD	120 675 970
BLACKWOOD VALLEY HOMES PTY LTD	078 203 380
BODYSPANNER PTY LTD	129 260 902
BOSS ADVANTAGE GROUP PTY LTD	169 684 717
BOSSEA PTY LTD	063 768 776
BRAINCHILD PROJECT MANAGEMENT PTY LTD	145 871 370
BRANDON RAYNOR'S MASSAGE AND NATURAL THERAPIES SCHOOL PTY LTD	141 768 425
BRIGHT STAR LAUNDRY PTY. LIMITED	096 784 342
BRILLIANT BUILDING PTY LTD	606 210 235
BRYNN & HARPER PTY LTD	604 511 257
BUILD 1 GROUP PTY LIMITED	152 966 133

BULSARA INVESTMENT PTY LTD	163 869 654
BUNBURY HELICOPTERS PTY LTD	145 089 854
BUSINESS REVAMP PTY. LTD.	115 873 357
BYLONG TRANSPORT PTY LTD	115 390 899
CAFCREW PTY LTD	002 554 118
CAPE JAFFA HOLDINGS PTY LTD	125 731 035
CAPE JAFFA INFRASTRUCTURE PTY LTD	125 731 017
CAPRI 72 PTY LTD	115 503 850
CAPTIV PTY LTD	602 082 717
CELEBRITY MARKETING AGENCY PTY. LTD.	139 112 851
CHRIS CURNOW PTY LTD	060 435 832
CINTEX CONSULTING PTY LTD	604 362 334
CITY SILVER PTY LTD	122 057 176
CITY WEALTH GROUP PTY LTD	162 646 264
CK CONSULTANCY PTY LIMITED	150 767 534
CLEANTECH FACILITY SERVICES PTY LTD	145 531 237
CLINGANS STRATA MAINTENANCE PTY LTD	103 680 379
COMBINED BRICKLAYING (AUS) PTY LTD	165 990 787
COMPETITION COMPONENTS INTERNATIONAL PTY LTD	009 432 080
CONFLUENT SERVICES PTY LTD	086 164 721
CONNECT QLD PTY. LTD.	169 151 544
CONSULTATION EXPERTS PTY LTD	160 661 727
COPPERCAT COMMUNICATIONS WORKS PTY LTD	168 580 765
CORYAN ENTERPRISES PTY LTD	151 362 284
COSIC INDUSTRIES PTY LTD	003 980 845
COURTNEY JOEL PTY. LTD.	150 265 599
CRAIG THOMSON PROJECTS PTY LTD	162 250 053
CREDITBLUESHIELD PTY LTD	605 753 008
CROATIAN CULTURE ASSOCIATION BOSNA ST MARYS LTD	001 250 648

CSCPC PTY LIMITED	088 727 922
CT AUTOPARTS PTY LTD	159 110 264
CTS ENGINEERING AUST PTY LTD	083 708 187
CULPH INVESTMENTS PTY LTD	605 753 651
CUSAVILLE PTY. LTD.	005 996 403
CUSH ENTERPRISES PTY LTD	105 365 553
CUSTOM SAFETY PTY LTD	161 755 255
DARE TO BE EVIL PTY LTD	136 754 428
DASHBA PTY LTD	169 619 541
DAVID WEIR ELECTRICS PTY LTD	164 470 384
DAVIES LITTLEFORD (HOLDINGS) PTY LTD	149 482 968
DAVLIN MAINTENANCE SERVICES PTY LTD	126 172 263
DEADLY VIBE PROPERTIES PTY LTD	604 506 087
DEADLY VIBE PTY LTD	604 507 137
DEANS FURNITURE ASSEMBLER PTY LTD	146 307 306
DENDERS HOLDINGS PTY. LTD.	104 161 140
DHARMDEV SERVICES PTY LTD	149 602 666
DHSW INVESTMENTS PTY LTD	118 949 125
DIGIMAN PTY LTD	603 911 128
DISCOUNT CALLING PTY LTD	121 311 019
DISRUPTIVE INNOVATION SYSTEMS PTY LTD	166 621 487
DIVA DYNAMICS PTY LTD	119 656 530
DIY ASSIST PTY LTD	160 095 367
DJT MEDIA ENTERPRISES PTY LIMITED	128 237 212
DOUBLE D FITS PTY. LTD.	600 567 575
DOUG'S DIGITAL PTY LTD	167 058 415
DOUGLAS HAWKINS PTY LTD	087 123 135
DRUMDERG CARPENTRY AND JOINERY PTY LTD	161 254 539
DWDC PTY LTD	160 923 339

DYNAMIC FITNESS MANAGEMENT PTY. LTD.	120 522 009
EARTH, WIND AND SEA ADVENTURES PTY. LIMITED	082 624 477
EAST COAST VENDING (AUST) PTY LIMITED	120 856 313
EAZY ELECTRICAL SERVICES PTY. LTD.	116 081 808
ECO KLEEN PTY LTD	164 203 329
ECO PRODUCTIONS PTY LTD	132 718 382
EDGE HARDSCAPES PTY LTD	167 520 121
ELITE TRADE & SERVICES PTY. LTD.	162 260 175
ELMA & RAMON HOLDINGS PTY. LIMITED	101 694 768
EMETRO AUSTRALIA PTY LTD	169 676 448
ENISPECT PTY. LTD.	163 546 174
ENJAMIC PTY LTD	157 995 938
EPITOME HOLDINGS PTY LTD	147 700 230
EQU MEN PROPERTIES PTY LTD	604 507 182
EQU MEN PTY LTD	605 170 983
ETHAN YOUNG CONSTRUCTIONS PTY LIMITED	601 169 984
EVEREADY FINANCE CO. PTY LTD	008 672 473
EXCELLENCE CONTRACTING PTY LTD	158 868 556
EXON SYSTEMS PTY. LTD.	606 331 784
EXPRESS CARRIERS NSW PTY. LTD.	605 263 367
F.T. JEFFREY PROPRIETARY LIMITED	004 321 877
F & J FORMWORK PTY LTD	167 513 457
FAINT BLACK PTY LTD	149 269 296
FINANCIAL LENDING SOLUTIONS PTY LTD	163 197 171
FINESSE CEILING PTY LTD	602 343 760
FIREBELL GRAPHICS PTY. LTD.	006 950 894
FIRE EXTINGUISHERS (AUST) PTY LTD	003 924 927
FIREFLIGHT INVESTMENTS PTY LTD	167 471 070
FMC CONTRACTING SERVICES PTY. LTD.	601 580 483

FOCUSED CONCEPTS PTY LTD	168 510 941
FOODARAMA CAFE PTY LTD	605 282 148
FORBES TRADING COMPANY PTY. LTD.	169 058 315
FREEDOM CORPORATE SERVICES PTY LTD	100 736 749
FRESHCITY PTY LTD	605 768 054
FROMAR PTY. LIMITED	005 845 849
G. O. BUILDING SERVICES PTY. LTD.	069 093 367
GARRANLEE PTY LTD	055 822 814
GEORGEFF INVESTMENTS PTY. LIMITED	003 548 623
G GIORGIANTE NOMINEES PTY LTD	008 868 022
GHALEB & DAABOUL PTY. LTD.	089 697 843
GLENMORE MV PTY LTD	150 170 700
G L JACKSON PTY LTD	136 378 106
GLOBAL MAINTENANCE GROUP PTY LTD	155 837 406
GLORIOUS SPECIALTIES PTY LTD	163 360 361
GLYDE CONTRACTS PTY LTD	600 478 635
GOLABS.COM PTY LTD	143 760 258
GOLDCOURSE CORPORATION PTY LTD	141 462 508
GOLDRICH INTERNATIONAL CO, PTY. LTD.	605 161 528
GOLDSKY INVESTMENTS PTY. LTD.	090 512 851
GORILLA IMPORTS PTY. LTD.	167 161 800
GORU PTY LTD	155 117 874
GPELLIOTTSMSF PTY LTD	167 011 681
GRANVILLE GROUP PTY. LTD.	600 441 094
GREENVALLEY SPICES NARELLAN PTY LTD	602 864 139
GREG PACE HOLDINGS PTY LTD	169 147 273
GSANZ PTY LTD	153 013 177
GSMO INTERNATIONAL PTY LTD	164 498 560
GUNGAHLIN PROPERTY AGENTS PTY LTD	604 382 345

GURSANJH ENTERPRISES PTY LTD	163 243 721
HAKSAN PTY LTD	163 578 881
HALLCO ENGINEERING PTY. LTD.	052 126 619
HAPPY HOMES BUILDING GROUP PTY LTD	128 987 280
HARRY HENRY PTY LTD	103 860 199
HASELER HOSPITALITY PTY. LTD.	155 872 003
HEAVY HAULAGE TOWING PTY LTD	096 282 129
HESSION ENTERPRISES PTY. LTD.	085 394 289
HESTER & HESTER PTY LTD	091 365 990
HMSP GROUP PTY LIMITED	600 343 191
HOLTO'S SUPER FUND PTY LTD	166 699 341
HONEYROB PTY LTD	602 841 654
HOST GROUP AUSTRALIA PTY LTD	604 326 801
HQ INTERNATIONAL PTY LTD	164 565 360
HS SANDHU PTY LTD	602 201 221
HUMMINGBIRD COMMUNICATIONS AUSTRALIA PTY. LTD.	604 754 556
HUNT GROUP INVESTMENTS PTY LTD	142 416 877
I-TEL COMMUNICATIONS PTY. LTD.	605 355 379
IMPACT TOTAL SERVICES PTY LTD	156 133 818
IMZ GROUP PTY LTD	169 894 400
INBUILT CONSTRUCTIONS PTY LTD	168 739 286
INDEPENDENT FOOD MERCHANTS PTY LTD	600 003 512
INFINITY GLOBAL TRADING PTY LTD	147 212 673
INFORMOLOGICAL INSTITUTE PTY LTD	086 685 627
INNERSIGHT CONSULTING PTY. LTD.	134 668 634
INNOVATIVE GAS PTY LTD	136 597 594
INTERCONEX PTY LTD	605 638 999
IPROMISE AUSTRALIA PTY LTD	162 057 187
IT MANTRA PTY LTD	147 914 323

ITPM INVESTMENTS PTY LTD	155 444 296
JAAM OVERELL FUTURE PTY LTD	160 484 506
JAECAM CONCRETE INNOVATIONS PTY LTD	165 671 269
JANAR ENTERTAINMENT PTY. LTD	161 842 753
JAVICK FLOORING PTY LTD	153 576 231
JENEIL PTY. LTD.	065 347 757
JENELLE CORPORATION PTY LTD	085 479 474
JENNINGS TRANSPORT PTY LTD	143 752 005
J HOLDINGS (WA) PTY LTD	108 172 541
JJJ FENCING PTY. LTD.	605 094 639
JLZ ENTERPRISE PTY LTD	600 885 274
JMS ELECTRICAL SERVICES PTY LTD	136 605 180
JONES PRIVATE PENSION FUND PTY LTD	604 918 158
JORDAN STAR TRANSPORT PTY LTD	600 618 595
JOTA CORPORATION PTY LTD	167 504 869
J RANNARD INVESTMENTS 2 PTY LTD	162 548 521
JUST KITCHENS PTY. LTD.	093 253 573
JUST 1 MORE PTY LTD	602 729 555
JYD PROPERTY NO 1 PTY LTD	603 713 275
KAMPIE PTY LTD	139 563 732
KEENIX PTY LTD	154 969 874
KERLEE PTY LTD	100 501 291
KERRIB CONSTRUCTIONS PTY LTD	602 663 338
KEY COMMUNICATE PTY. LTD.	604 040 548
KIM MEGA PTY LTD	168 150 810
KINETIQ PTY LTD	118 214 163
KIRCHMER SUPER FUND PTY. LTD.	167 709 042
KISKIS & SON PTY. LIMITED	003 987 193
KITTIWAKE PTY. LTD.	054 379 494

KOMBI KOFFEE PTY LTD	060 040 413
KRIS ENTERPRISES PTY LIMITED	130 430 081
KRIVEC PTY LTD	124 489 649
L & M LOHAT PTY. LTD.	161 800 488
LAKE HANDY PTY. LIMITED	010 604 912
LAKEPAMO ENGINEERING PTY. LTD.	167 034 246
LAWLER MANAGEMENT PTY LTD	114 905 958
LAYCOCK HOLDINGS PTY LTD	109 373 542
LEATHERWOOD GLOBAL PTY LTD	603 038 900
LES JONES NOMINEES PTY LTD	008 827 932
LEVELAND PTY LTD	603 853 952
LIBERTY AMBER CUSTODIAN COMPANY PTY LTD	601 224 659
LIFETIME ENVIRONMENTAL PTY. LTD.	133 608 992
LIGHTHOUSER PTY LTD	162 829 772
LIMESTONE CONSTRUCTION SERVICES PTY LIMITED	165 482 351
LINCREDIBLE PTY. LTD.	163 064 202
LINJM PTY LTD	167 863 103
LITTLE RAI PRODUCTIONS PTY. LTD.	086 250 115
LOCKY QLD. PTY. LTD.	099 212 905
LOKIDS PTY LTD	094 326 655
LUDON INVESTMENTS NO 17 PTY LTD	001 930 516
LUXE CONSTRUCT PTY LTD	605 838 597
M.S. GILL AND SONS PTY LTD	141 624 197
MACALOU PTY LTD	163 615 147
MAD DOG HOLDINGS INTERNATIONAL PTY. LIMITED	604 800 982
MAD DOG HOLDINGS PTY LIMITED	167 456 439
MAELSTROM INVESTMENTS (NO. 0003) PTY LTD	602 934 869
MAILPOSTIE TRADE NETWORK NSW PTY LTD	606 001 469
MAINLAND LOGISTICS PTY LTD	601 548 529

MAINSCOPE CONSTRUCTIONS PTY LTD	139 505 196
MAJESTIC WATER TAXI PTY LIMITED	129 814 044
MAKIANA PTY LTD	127 465 516
MANI-MANI INDIGENOUS CULTURAL THEATRE PTY LTD	155 021 935
MARK SMITH (WEMEN) PROPRIETARY LIMITED	005 706 089
MARKWOOD DALE PTY. LTD.	050 194 637
MASON MEWS PTY LTD	126 627 307
MATAX SOLUTIONS PTY LTD	160 726 376
MAYA MUSE PTY LTD	601 314 103
MAYNE AUSTRALIA SPECIALTY TIMBER PTY LTD	167 959 417
MAZUMA PTY. LIMITED	100 802 766
M D MORRISSEY SERVICES PTY LTD	164 974 716
MECHTUNE PTY LTD	168 337 871
MEDLAY FOODS GROUP PTY LTD	602 199 888
MEFIT PTY LIMITED	133 739 456
MELBOURNE BIN HIRE AND RECYCLING PTY. LTD.	155 868 170
MELBOURNE SILVER CABS AND CARS PTY. LTD.	605 733 408
MELWRAPS PTY LTD	605 270 200
MIDIBY INVESTMENTS PTY LTD	108 636 791
MID ROOFING PTY LTD	150 406 456
MIJCABS PTY LTD	601 178 947
MIKELEE PROMOTIONS CO PTY LTD	606 872 735
MINING IRON PTY LTD	604 646 095
MJP INDUSTRIES PTY LTD	104 644 840
M J TAXI SERVICE PTY LTD	154 868 541
M M HALAL EXPORT CORPORATION PTY LTD	001 919 366
MOMENTUM FOR LIFE PTY LTD	150 212 927
MONALISA FLORIST PTY LTD	603 416 486
MOREALE PTY. LIMITED	050 957 652

MORNINGBUDS PTY LTD	606 171 895
MOUHTARIS PTY LIMITED	077 661 244
MPA SERVICES PTY LIMITED	143 826 840
N & E ACCOUNTING & TAXATION PRACTICE PTY LIMITED	139 761 765
N & M STRUBER PTY LTD	148 184 696
NAE & NIK ENTERPRISES PTY LTD	156 271 288
NAOUSSA PTY LTD	130 995 961
NAVALIS PTY LTD	137 162 773
N B M BUILDING & MAINTENANCE PTY LTD	605 534 110
NDURU VENTURES PTY. LTD.	605 611 658
NEEDIT TRANSPORT PTY LTD	162 959 079
NETRESULT MEDIA PTY. LTD.	153 016 392
NETTCIRCLE PTY. LTD.	169 200 444
NEVINA PROPERTY PTY LTD	165 402 979
NIKAN PROPERTY SERVICES PTY. LTD.	087 462 093
NOVA DOMUS PTY LTD	158 377 685
O'BRIEN PROPERTY DARWIN PTY LTD	158 856 609
OLEANDER ST PTY LTD	095 002 529
OLIVER BLACK PTY LTD	168 331 959
ONTIME SERVICES PTY LTD	606 790 283
OUT INTERNATIONAL PTY LTD	603 755 406
OVERWELLS PTY LTD	076 171 018
OWEN & SON (QLD) PTY LTD	124 563 953
OXLADE GROUP PTY LTD	605 409 836
P.A. MURRAY PLUMBING PTY. LIMITED	091 865 495
P.T.P.T CONSTRUCTIONS PTY LTD	159 912 562
PACAMAEI PROPERTY PTY LTD	135 195 961
PACIFIC INSIGHT PTY LTD	105 595 524
PANCHOLI PTY LTD	168 227 129

PAUL WALSH & CO. PTY. LTD.	072 468 636
PAUPISI PTY LTD	126 533 908
PAVAX PTY LTD	151 626 970
PB DISTRIBUTION PTY LTD	164 627 016
PENHALLARICK PTY LTD	130 314 359
PERROT PROPERTIES PTY LTD	154 460 830
PETERBUILT QUEENSLAND PTY LTD	109 753 951
PETER GRAHAM PTY LIMITED	116 519 709
PETRONICS ELECTRICAL PTY LTD	114 057 386
PHAZE GROUP PTY LTD	129 875 627
PING CONSULTING PTY LTD	158 273 806
PINNACLE BUSINESS ADVISORY PTY LTD	161 228 217
PINNAROO STATION PTY LTD	165 200 706
PINZA MEDICAL PTY LTD	145 218 668
PLATTEN INVESTMENTS PTY LTD	600 507 588
PLUSHYS PTY LTD	604 898 786
PORACE PTY LTD	002 499 809
PRABAHAN PTY LTD	167 894 000
PRECINCT PIZZAS PTY LTD	169 468 946
PRECIOUS EMPORIUM PTY LTD	162 774 165
PROPERTYREP AUSTRALIA PTY LTD	600 126 645
PUDDLE PLUMBING & GAS PTY LTD	606 377 057
Q K PRACTICE PTY LTD	149 272 757
QLD PROTECH SERVICES PTY LTD	602 898 439
QUADRANT MANAGEMENT SYSTEMS PTY LIMITED	083 940 792
QUALITY IN FOCUS PTY LTD	167 708 983
QUEENSLAND LIMOUSINE SERVICES PTY. LTD.	604 097 912
QUEENSLAND PROPERTY FINANCE PTY LTD	124 378 169
QUICK TOWING PTY LTD	603 115 368

R.K. & R.M. COOK NOMINEES PTY. LTD.	005 173 062
R.Y.K.A INDUSTRIES PTY LTD	127 479 672
R & T BONELLO PTY. LTD.	074 748 913
R A & B A PASTORAL CO PTY LTD	001 034 617
RAD MANAGEMENT PTY LTD	132 815 617
RAFIC VENTURES PTY LTD	166 949 131
RANDSNADA PTY LTD	606 459 296
RASIM PAINTING SERVICES PTY. LTD.	169 888 019
RAUNIK ENGINEERING PTY LTD	151 241 691
RAYVETTIE DENTAL LABORATORY PTY LTD	088 618 704
RED PELLET PTY. LTD.	601 563 722
REFALO EXCAVATIONS & PLANT HIRE PTY LTD	147 369 079
REGIONAL MEDIA NETWORKS HOLDINGS PTY LIMITED	127 842 782
RENEE LEIGH SANTOS SMSF PTY LTD	167 504 270
RENNIE'S DETAILING SERVICES PTY LTD	090 629 226
R FIELD PTY LTD	605 212 404
RIPPON CONSTRUCTIONS & INVESTMENTS PTY. LTD.	606 004 728
RIPPON CONSTRUCTIONS PTY LTD	162 027 090
RIVERS POST AND PARCEL PTY LTD	158 804 785
RJH COMMERCIAL GLAZING PTY LTD	163 101 308
RNC INSTALATION PTY LTD	123 613 481
ROCHDEE PTY. LIMITED	005 322 663
ROCKCHERRY PTY LIMITED	149 074 635
ROOM 2010 PTY. LTD.	146 244 340
RORAA TRANSPORT PTY LTD	154 123 305
RUGSGURU PTY. LTD.	168 697 621
RUTCH CONSTRUCTIONS PTY. LTD.	060 239 205
RWS SERVICES PTY LIMITED	002 427 938
S&J SMSF PROPERTY NOMINEE PTY LTD	169 311 228

S & K ROSES PTY LTD	110 943 050
SAMBAVI SUTHA PTY LTD	163 432 764
SAND SOLUTIONS (VIC) PTY LTD	161 917 648
SASM PTY LTD	601 381 702
SEIKOU GROUP PTY LTD	148 032 357
SENIOR DAYS SUPERFUND PTY LTD	153 655 924
SERENDIPITY (NCLE) PTY LTD	159 029 517
SERTOAK PTY. LTD.	100 589 017
SHORE ACCOUNTING PTY LTD	601 699 601
SIGMA FILMS PTY. LTD.	066 741 393
SIMSCO PTY LTD	097 906 413
SINGLEPRO NOMINEES PTY. LTD.	142 758 254
SIVILEX PTY LTD	605 167 593
SK BUILDCON PTY. LTD.	168 757 622
SKNSD TRADING PTY LTD	601 939 735
SMART INTRANETS PTY. LTD.	604 396 705
SMOOTH TOUCH PAINTING & DECORATING PTY LTD	605 425 643
SOCIAL COFFEE PTY LTD	602 267 774
SOLUTIONS MANAGEMENT BUSINESS & TAX PTY. LTD.	080 873 249
SOMO TRANSPORT PTY LTD	163 550 865
SOUTHERN HIGHLAND VINEYARDS PTY LTD	140 620 917
SOUTHPEAK BUILDING PTY. LTD.	162 689 107
SPORTIVE PTY. LTD.	073 425 528
SPOT AUTOMOTIVE SERVICES PTY LTD	153 176 259
STARLICIOUS PTY LTD	164 388 381
STATE MASTER RENDERERS PTY LTD	150 398 299
STATRON PTY. LTD.	006 917 442
STAYCORP PTY LTD	107 973 553
STONE FINANCIAL INVESTMENTS PTY LTD	164 398 841

STORMBUILD CONSTRUCTIONS PTY LTD	605 454 457
STUDIO UNLIMITED PTY LTD	112 273 748
SUE MAC MEDIA PTY LTD	090 607 211
SULAR PTY LTD	600 922 405
SUN KO SECOND PTY LTD	164 400 168
SUPA BOARD (ANZ) PTY LTD	146 659 458
SURAJ ENTERPRISES PTY LTD	605 527 240
SUSHI HUT PTY LTD	119 462 314
SUSIE PTY LTD	131 240 241
SUSSTCH PTY LTD	605 770 376
SV SUPER PTY LTD	142 219 958
SWITCH ON LEARNING PTY LTD	151 362 784
SYDNEY AUTO WRECKERS PTY LTD	168 550 507
T & C KOULMANDAS PTY LTD	001 033 478
T & C ROOFING PTY. LTD.	601 055 814
T-WORLD PTY. LTD.	120 406 322
TALENT INVESTMENT HOLDING PTY LTD	147 432 611
TANGQIU HOLDINGS PTY LTD	137 808 354
TANGSOO PTY LTD	143 451 941
TAUALII GROUP PTY LTD	605 037 830
TBGA PTY LTD	164 554 705
TD & SON INVESTMENTS PTY LTD	108 503 260
TEAM STONE GROUP PTY LTD	119 734 039
TECHCO INTERIORS PTY LTD	126 603 861
TECHNO ENTERPRISE PTY LTD	116 810 274
TESTLABS AUSTRALIA PTY. LTD.	161 372 541
THAI TRADITIONS PTY LTD	150 975 536
THAI YIM RESTAURANT PTY LTD	606 209 321
THE ALICE CAFE RESTAURANT PTY LTD	163 875 778

THE LODGE MGL PTY. LTD.	605 504 407
THE MONDO GROUP PTY. LTD.	603 450 568
THE PARK RANGER PTY LTD	136 272 903
THE SMILING BEAR COMPANY PTY LTD	157 753 574
THE ZION GROUP PTY LTD	154 437 108
TIANYOU PTY LTD	605 428 313
TIGER ACE FABRICATIONS PTY LTD	133 308 344
TK HIRE SOLUTIONS PTY LTD	606 870 660
TOPELVE DEVELOPMENTS PTY. LTD.	124 728 436
TOWER INSURANCE SERVICES AUSTRALIA PTY LIMITED	156 474 412
TR BERT PTY LTD	137 485 539
TY'S PLUMBING SERVICES PTY LTD	118 374 128
UNIVERSAL GROUP AUSTRALIA PTY LTD	154 057 824
UNIVERSAL INK PTY LTD	167 069 034
UNIVERSAL SPORTS DEVELOPMENT PTY LTD	602 980 138
VALIDUS PRIVATE WEALTH PTY LTD	155 680 050
VANDERFIELD IMPORTS PTY. LTD.	108 304 669
VEDMOST PTY LTD	605 335 804
VICTORIAN BUILDING INSPECTIONZ PTY LTD	605 806 960
VIC WIDE BIN HIRE & DEMOLITIONS PTY. LTD.	119 385 661
VOLONUS PTY LTD	131 324 840
W & W HOLDING CO PTY LTD	127 929 533
WABSLEY PTY LIMITED	166 543 193
WATERVIEW DEVELOPMENTS PTY LTD	605 374 294
WAYBETH PTY. LTD.	060 727 719
WEEKEND BREAKS PTY. LTD.	124 493 634
WESTERN SYDNEY AUTOBODY PTY LIMITED	602 971 773
WHITE HORSE IMPORT AND EXPORT PTY LTD	603 157 339
WHITNELL PTY. LTD.	146 006 082

WILLIAMSTOWN HOLDINGS PTY. LTD.	134 199 125
WIND WIZARD PTY LTD	083 006 615
WINEVEST PTY LTD	008 776 547
WIRRAWOI PTY LTD	144 734 869
WOLF CEILING PTY LTD	601 983 340
WOLSEREY PTY. LTD.	072 854 454
WORKPLACE INTEGRATION HOLDING PTY LTD	105 002 337
WRAICH PTY. LTD.	601 052 715
WRIGHTWAY GROUP PTY LTD	154 426 338
XTREME ENTERPRISES PTY LTD	604 782 434
YARD HOLDINGS PTY LTD	108 139 359
YUVREET PTY LTD	167 928 823
ZAMBRERO QUEANBEYAN PROPERTY GROUP PTY LTD	145 557 633
ZASSET PTY LTD	156 575 594
ZHANG CORPORATION PTY LTD	600 322 361
ZSDM HOLDINGS PTY LTD	155 041 599
Z SHOP PERFORMANCE PTY LTD	128 609 263
07 PTY LTD	139 967 454
100% SMASH REPAIRS PTY LTD	165 729 457
11 PLUS 1 PTY LTD	603 763 882
3D BUILDING SOLUTIONS PTY LTD	167 201 818
3 D S FINZA GROUP PTY LTD	163 682 899
40 FOUR ENTERTAINMENT PTY LTD	150 121 145

CORPORATIONS ACT 2001

Section 601CC(3)

ASIC will strike the companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this eighth day of December 2017

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

SU AUSTRALIA DEPOSIT FUND INC.

ARBN

089 244 002

CORPORATIONS ACT 2001

Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this eighth day of December 2017

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

BEAUFORT PROPERTY HOLDINGS LIMITED

158 033 508

BOT LEASE CO., LTD.

612 067 946

CORPORATIONS ACT 2001

Subsection 601PA(3)

ASIC may deregister the managed investment scheme(s) listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this eighth day of December 2017

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme

GOODMAN PLUS TRUST

ARSN

128 290 808

CORPORATIONS ACT 2001

Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this eighth day of December 2017

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme

PERMAL ABSOLUTE RETURN TRUST

ARSN

127 064 546

CORPORATIONS ACT 2001

Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this eighth day of December 2017

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

DIGGER SALES OZ LIMITED

606 069 541

Corporations Act 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

ADMIRAL GOLD LIMITED ACN 611 994 793 will change to a proprietary company limited by shares. The new name will be ADMIRAL GOLD PTY LTD ACN 611 994 793.

CHING SHIH LTD ACN 606 807 416 will change to a proprietary company limited by shares. The new name will be CHING SHIH PTY LTD ACN 606 807 416.

N S TECHNOLOGIES LTD. ACN 128 029 694 will change to a proprietary company limited by shares. The new name will be N S TECHNOLOGIES PTY LTD ACN 128 029 694.

CAMPUS LIVING FUNDS MANAGEMENT LIMITED ACN 115 371 321 will change to a proprietary company limited by shares. The new name will be CAMPUS LIVING FUNDS MANAGEMENT PTY LIMITED ACN 115 371 321.

ELECTROMETALS TECHNOLOGIES LIMITED ACN 000 751 093 will change to a proprietary company limited by shares. The new name will be EMEW CLEAN TECHNOLOGIES PTY LTD ACN 000 751 093.

PENRICE PROPERTIES LTD ACN 623 159 937 will change to a proprietary company limited by shares. The new name will be PENRICE PROPERTIES PTY LTD ACN 623 159 937.