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# **ASIC Gazette**

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# **RIGHTS OF REVIEW**

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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#### Australian Securities and Investments Commission Corporations Act 2001 – Paragraphs 655A(1)(b) and 673(1)(b) – Declaration

#### **Enabling legislation**

The Australian Securities and Investments Commission (ASIC) makes this
instrument under paragraphs 655A(1)(b) and 673(1)(b) of the Corporations Act
2001 (the Act).

#### Title

This instrument is ASIC Instrument 17-0991.

#### Commencement

This instrument commences on the date that it is signed.

#### Declarations

- 4. Chapters 6 and 6C of the Act apply to Zaige Waste Management Holding Group (Aus) Ltd ACN 619 357 069 (*Company*) as if section 609 were modified or varied by, after subsection (13) (as notionally inserted by ASIC Class Order [CO 13/520]), inserting:
  - "(13A) A body corporate does not have a relevant interest in its own securities merely because, under an escrow arrangement entered into by the body corporate, the body corporate applies restrictions on the disposal of the securities by the holder.".
- Chapters 6 and 6C of the Act apply to the Company as if section 9 were modified or varied by, after subparagraph (a)(ii)(C) in the definition of substantial holding (as notionally inserted by ASIC Class Order [CO 13/520]), inserting:

"or

- (D) subsection 609(13A) (securities subject to escrow arrangement);".
- Chapter 6C of the Act applies to the Company as if section 671B were modified or varied by, omitting "." in paragraph (7)(c) (as notionally inserted by ASIC Class Order [CO 13/520]), inserting:

"; or

(d) subsection 609(13A) (securities subject to escrow arrangement).".

# Where this instrument applies

 This instrument applies in relation to relevant interests the Company has in securities of the Company (Escrowed Securities) merely because the Company 2

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has entered into one or more escrow agreements or deeds (each an *Escrow Arrangement*) with each *Security Holder* in connection with the proposed admission of the Company's securities to the official list of the Australian Securities Exchange (*ASX*) where each Escrow Arrangement:

- does not restrict the exercise of voting rights attaching to, the Escrowed Securities;
- (b) in the case of a takeover bid (including a proportional takeover bid):
  - allows each Security Holder to accept into the Takeover Bid where holders of at least half of the bid class securities that are not subject to an Escrow Arrangement have accepted into the bid; and
  - requires that the Escrowed Securities be returned to escrow if the bid does not become unconditional;
- (c) allows the Escrowed Securities to be transferred or cancelled as part of a merger by way of compromise or arrangement under Part 5.1 of the Act;
- (d) terminates on a date that is no later than 24 months from the date on which the Company is admitted to the official list of the ASX; and
- (e) is substantially in the same form as the draft agreement provided to ASIC on 14 November 2017.

### Interpretation

- 8. In this instrument:
  - (a) ASX means ASX Limited ACN 008 624 691 or the financial market known as the Australian Securities Exchange it operates, as the context requires.
  - (b) Security Holder means any of the following persons who hold shares in the Company:
    - Lidea Holdings Limited (a company incorporated in the British Virgin Islands);
    - ii. Asia Glory Technology Limited (a company incorporated Hong Kong).

Dated this 21st day of November 2017

Signed by Paul Collins

as a delegate of the Australian Securities and Investments Commission

# Australian Securities and Investments Commission Corporations Act 2001 – Paragraph 1020F(1)(b) – Exemption

# **Enabling legislation**

 The Australian Securities and Investments Commission (ASIC) makes this instrument under paragraph 1020F(1)(b) of the Corporations Act 2001 (the Act).

#### Title

This instrument is ASIC Instrument 17-1042.

#### Commencement

This instrument commences on 17 November 2017.

#### Exemption

 A fully paid ordinary share (Share) in Wagners is exempt from subsection 1020B(2) of the Act.

# Where exemption applies

- The exemption applies to a sale of Shares where all of the following are satisfied:
  - (a) ASX has declared a conditional market in relation to the trading of the Shares in accordance with the ASX operating rules;
  - (b) the Shares are sold within the period covered by the declaration where:
    - (i) the sale occurs on a financial market operated by ASX or Chi-X; or
    - the sale is required to be reported to an operator of a financial market under the ASIC Market Integrity Rules (Competition in Exchange Markets) 2011;

- (c) the seller of those Shares has, before the time of sale, entered into a contract to buy those Shares (including a contract formed under the Prospectus) and has a right to have those Shares vested in the seller that is conditional only upon all or any of the following:
  - payment of the consideration in respect of the subscription or purchase;
  - the receipt by the seller of a proper instrument of transfer in respect of the Shares;
  - (iii) the issue of New Shares by Wagners to successful applicants under the Prospectus;
  - (iv) the transfer of Existing Shares by SaleCo to successful applicants under the Prospectus; and
  - settlement occurring in accordance with clause 6 of the Underwriting Agreement.

# Interpretation

In this instrument:

ASX means ASX Limited ACN 008 624 691.

ASX operating rules means the operating rules of ASX as in force as at the date of this instrument.

Chi-X means Chi-X Australia Pty Limited ACN 129 584 667.

Existing Shares means the Shares held by shareholders of Wagners on or about 20 November 2017.

New Shares means the Shares to be issued by Wagners under the Prospectus.

Prospectus means the prospectus of Wagners dated on or about 20 November 2017.

SaleCo means Wagners Holding Company Operations Pty Ltd ACN 109 763 742

sell has a meaning affected by subsection 1020B(7) of the Act.

Underwriting Agreement means the agreement dated on or about 20 November 2017 between Wagners, SaleCo, Credit Suisse (Australia) Limited ACN 007 016 300 and Morgans Corporate Limited ACN 010 539 607 for the offer of Shares made under the Prospectus.

Wagners means Wagners Holding Company Limited ACN 622 632 848.

Dated this 17th day of November 2017

B. Phillips Signed by Ben Phillips

as a delegate of the Australian Securities and Investments Commission

#### NOTICE UNDER SECTION 915F OF THE CORPORATIONS ACT 2001

Notice is given under section 915F of the Corporations Act 2001 that the Australian Securities and Investments Commission has taken the action set out in the Notice below, which action took effect on 17<sup>th</sup> November 2017.

#### Australian Securities & Investments Commission

#### Notice of Cancellation of Australian Financial Services Licence

To: Financial Stewards Pty Ltd ACN 603 160 710

**TAKE NOTICE** that under s915C(1) of the *Corporations Act 2001*, the Australian Securities and Investments Commission hereby cancels Australian financial services licence number 480262 held by Financial Stewards Pty Ltd ACN 603 160 710.

Dated this 13th November 2017.

Signed

Lisa Lu

Delegate of the Australian Securities & Investments Commission

# Australian Securities and Investments Commission Corporations Act 2001 – Paragraphs 655A(1)(b) and 673(1)(b) – Declaration

#### **Enabling legislation**

The Australian Securities and Investments Commission (ASIC) makes this
instrument under paragraphs 655A(1)(b) and 673(1)(b) of the Corporations Act
2001 (the Act).

#### Title

This instrument is ASIC Instrument 17-1051.

#### Commencement

This instrument commences on 21 November 2017.

#### Declarations

- Chapters 6 and 6C of the Act apply to National Tyre & Wheel Limited ACN 095 843 020 (*National Tyre*) as if section 609 were modified or varied by, after subsection (13) (as notionally inserted by ASIC Class Order [CO 13/520]), inserting:
  - "(13A) A body corporate does not have a relevant interest in its own securities merely because, under an escrow arrangement entered into by the body corporate, the body corporate applies restrictions on the disposal of the securities by the holder.".
- Chapters 6 and 6C of the Act apply to National Tyre as if section 9 were modified by or varied by, after subparagraph (a)(ii)(C) in the definition of substantial holding (as notionally inserted by ASIC Class Order [CO 13/520]), inserting:

"or

- (D) subsection 609(13A) (securities subject to escrow arrangements);".
- Chapter 6C of the Act applies to National Tyre as if section 671B were modified or varied by omitting "." in paragraph (7)(c) (as notionally inserted by ASIC Class Order [CO 13/520]), and inserting:

"or

(d) subsection 609(13A) (securities subject to escrow arrangements).".

# Where this instrument applies

7. This instrument applies in relation to relevant interests National Tyre has in securities of National Tyre (*Escrowed Securities*) merely because National Tyre has entered into one or more escrow agreements or deeds (each an *Escrow Arrangement*) with each *Security Holder* in connection with the proposed admission of National Tyre to the

official list of the Australian Securities Exchange (ASX) where each Escrow Arrangement:

- does not restrict the exercise of voting rights attaching to the Escrowed Securities;
- (b) in the case of a takeover bid (including a full or proportional takeover bid):
  - allows each Security Holder to accept into the takeover bid where holders of at least half of the bid class securities that are not subject to escrow have accepted into the bid; and
  - requires that the Escrowed Securities be returned to escrow if the bid does not become unconditional;
- allows the Escrowed Securities to be transferred or cancelled as part of a merger by way of compromise or arrangement under Part 5.1 of the Act;
- (d) terminates on:
  - (i) for ST Corso and Optionholders, the date that is the earlier of:
    - 5 business days after the date National Tyre releases to the ASX its interim results for the 6 months ended 31 December 2018; and
    - ii. 22 April 2019.
  - (ii) for Vendors, in relation to 50% of the Escrowed Securities, the date that is the earlier of:
    - 5 business days after the date National Tyre releases to the ASX its interim results for the 6 months ended 31 December 2018; and
    - ii. 22 April 2019.
  - (iii) for Vendors, in relation to the other 50% of the Escrowed Securities, the date that is the earlier of:
    - 5 business days after the date National Tyre releases to the ASX its final results for the 12 months ended 30 June 2019; and
    - ii. 7 November 2019.
- (e) is substantially in the same form provided to ASIC on 17 November 2017.

#### Interpretation

- 8. In this instrument:
  - (a) Optionholders means any of the following persons or entities who hold shares in National Tyre:
    - (i) Steven John Vickers;
    - (ii) Bradley Joseph Smith;
    - (iii) Jason Lamb;
    - (iv) Hailee Smith;

- (v) Robert Keilar;
- (vi) John George Smyth;
- (vii) John Peter Ludemann;
- (viii) Simon Billington;
- (ix) Andrew Collings;
- (x) Graeme Vorster;
- (xi) Andrew James; and
- (xii) Zambo Pty Ltd ACN 132 060 309 as trustee of the William Cook Superannuation Fund
- (b) Security Holder means any person or entity who is ST Corso, Optionholders or Vendors.
- (c) ST Corso means St Corso Pty Ltd ACN 062 547 666 as trustee of the Smith Trading Trust
- (d) Vendors means any of the following persons or entities who hold shares in National Tyre:
  - (i) Roshan Charles Chelvaratnam;
  - (ii) Christopher Hummer;
  - (iii) Christine Hummer; and
  - (iv) S.N. Tyre Wholesalers Pty Ltd ACN 067 405 743 as trustee of the Neighbour and Stuart Unit Trust trading as Cotton Tyre Service ABN 70 424 887 691

Dated this 21st day of November 2017

Signed by Ben Phillips

B. Phillips

as a delegate of the Australian Securities and Investments Commission

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CORPORATIONS ACT 2001 Subsection 601CC(4)

ASIC has struck the registered Australian bodies listed below off the register.

Dated this twenty-fourth day of November 2017

Rosanne Bell DELEGATE OF THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company** 

THE AUSTRALIAN ASSOCIATION OF YOGA IN DAILY LIFE INCORPORATED

**ARBN** 

079 035 199

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# CORPORATIONS ACT 2001 Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-fourth day of November 2017

Rosanne Bell DELEGATE OF THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company VAN ECK ABSOLUTE RETURN ADVISERS CORPORATION	<b>ARBN</b> 165 320 954
VIPTELA INTERNATIONAL HOLDING, LLC	615 421 997
YAC SYSTEMS SINGAPORE PTE LTD	607 902 063

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# CORPORATIONS ACT 2001 Section 601CL(5)

ASIC has struck the foreign companies listed below off the register.

Dated this twenty-fourth day of November 2017

Rosanne Bell DELEGATE OF THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company APIGEE CORPORATION	<b>ARBN</b> 603 388 727
BOCI-PRUDENTIAL ASSET MANAGEMENT LIMITED	164 925 035
HI TECHNOLOGICAL PLASTIC INDUSTRIES PLC	616 092 016
LAFUR TRADING COMPANY PLC	616 091 902

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Change of company type

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Corporations Act 2001 Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

#### CYBERGYM GLOBAL LIMITED

ACN 617 129 058 will change to a proprietary company limited by shares. The new name will be CYBERGYM GLOBAL PTY LIMITED ACN 617 129 058.

AUSNET SERVICES (RE) LTD ACN 109 977 371 will change to a proprietary company limited by shares. The new name will be AUSNET SERVICES (RE) PTY LTD ACN 109 977 371.

**CANNATREK PTY LTD** ACN 056 175 369 will change to a public company limited by shares. The new name will be CANNATREK LTD ACN 056 175 369.

PRO9 GLOBAL PTY LTD ACN 620 210 460 will change to a public company limited by shares. The new name will be PRO9 GLOBAL LIMITED ACN 620 210 460.

#### **AUSNET SERVICES (DISTRIBUTION) LTD**

ACN 108 788 245 will change to a proprietary company limited by shares. The new name will be AUSNET SERVICES (DISTRIBUTION) PTY LTD ACN 108 788 245.

# **AUSNET SERVICES (TRANSMISSION) LTD**

ACN 116 124 362 will change to a proprietary company limited by shares. The new name will be AUSNET SERVICES (TRANSMISSION) PTY LTD ACN 116 124 362.

# **CONSTELLATION RESOURCES PTY LTD**

ACN 153 144 211 will change to a public company limited by shares. The new name will be CONSTELLATION RESOURCES LIMITED ACN 153 144 211.

TERRAPPE PRODUCTS PTY ACN 116 213 677 will change to a proprietary company limited by shares. The new name will be TERRAPPE PRODUCTS PTY LTD ACN 116 213 677.