



ASIC

Australian Securities &
Investments Commission

Commonwealth of Australia Gazette

No. A49/17, Tuesday, 31 October 2017

Published by ASIC

ASIC Gazette

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

ISSN 1445-6060 (Online version)
ISSN 1445-6079 (CD-ROM version)

Available from www.asic.gov.au
Email gazette.publisher@asic.gov.au

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ASIC

Australian Securities & Investments Commission

17-0790

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Coverforce IUS Pty Limited
ACN 074 494 885 ("the Licensee")
Locked Bag 5273
SYDNEY NSW 2001

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 237881 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 20 October 2017

Signed 

Kim Demarte
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

17-0921

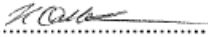
**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Glebe Asset Management Limited
ABN 62 071 444 463("the Licensee")
PO Box Q190
QVB Post Office
NSW 1230

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 236962 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 26 October 2017

Signed 

Kim Demarte
A delegate of the Australian Securities and Investments Commission

[17-0923]

Australian Securities and Investments Commission*Corporations Act 2001* — Paragraphs 601QA(1)(a), 911A(2)(l) and 1020F(1)(c) —**Exemptions and Declaration****Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 601QA(1)(a), 911A(2)(l) and 1020F(1)(c) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument [17-0923].

Commencement

3. This instrument commences on the date of its gazettal.

Declaration

4. Part 7.9 of the Act applies to Local Government Association of Queensland Limited (ACN 142 783 917) (*LGAQ*) as if subsection 1013D(1) of the Act were modified or varied by omitting paragraph (m).

Exemptions

5. LGAQ does not have to comply with:
 - (a) section 601ED of the Act in relation to the Queensland Local Government Mutual and the Queensland Local Government Workers' Compensation Self-Insurance Scheme (each a *mutual risk scheme*); and
 - (b) subsection 911A(1) of the Act in relation to the provision of the following financial services:
 - (i) providing a custodial or depository service by holding:
 - (A) a general insurance product; or
 - (B) a deposit-taking facility made available by the Queensland Treasury Corporation; or
 - (C) a deposit product; or
 - (D) any of the following named products, made available by the Queensland Investment Corporation, that LGAQ acquires or varies after the date of this instrument with funds identified by LGAQ's appointed actuary in a given fund financial year as not being essential for paying likely claims in connection with that year, only for the purpose of supporting the financial position and

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stability of the mutual risk schemes to ensure LGAQ's continuing ability to operate the mutual risk schemes:

- (I) QIC Cash Fund;
- (II) QIC Cash Enhanced Fund; or
- (III) QIC Growth Fund,

on trust for, or on behalf of, any member (*covered person*) of any mutual risk scheme; and

- (ii) dealing in a financial product referred to in sub-subparagraph (i) on behalf of the covered person.

Where declaration applies

6. The declaration in paragraph 4 applies in relation to a product disclosure statement, where required by Division 2 of Part 7.9 of the Act, for a mutual risk scheme where the product disclosure statement:
- (a) specifies any significant factors that will affect any significant benefits associated with a mutual risk scheme and includes an explanation of the impact of each of those factors on the significant benefits;
 - (b) includes information about the circumstances in which, and the way in which, the significant benefits are provided under a mutual risk scheme;
 - (c) specifies any significant factors that will affect the cost of acquiring cover under a mutual risk scheme and includes an explanation of the impact of each of those factors on the cost of acquiring cover; and
 - (d) includes information about any excess or deductible amount that applies in relation to a claim under a mutual risk scheme.

Where exemptions apply

7. The exemptions in paragraph 5 apply where each of the following is satisfied:
- (a) LGAQ only issues interests in a mutual risk scheme in accordance with an arrangement with a financial services licensee that entitles LGAQ to rely on paragraph 911A(2)(b) of the Act;
 - (b) Each person (*covered person*) whose risks are covered under the mutual risk scheme is:
 - (i) a local government or joint local government entity constituted or continued in existence under the *Local Government Act 2009* (Qld) that is a member of LGAQ;
 - (ii) an entity owned or controlled by one or more local governments or joint local government entities referred to in sub-subparagraph (i);

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- (iii) an entity having direct involvement with a local government or joint local government entity referred to in sub-subparagraph (i) or with an entity referred to in sub-sub paragraph (ii) that has been accepted as a member of any mutual risk scheme;
 - (iv) a River Improvement Trust constituted under the *River Improvement Trust Act 1940* (Qld); or
 - (v) a government entity within the meaning of the *Government Owned Corporations Act 1993* (Qld) that is a member of LGAQ;
- (c) LGAQ and each mutual risk scheme is subject to an annual audit by the Auditor-General of Queensland;
- (d) Any monies received from or on behalf of a covered person, are:
- (i) held on trust for covered persons; and
 - (ii) held in a deposit-taking facility made available by the Queensland Treasury Corporation or a deposit product; and
 - (iii) used to acquire the financial products referred to in sub-subparagraph 5(b)(i); and
 - (iv) otherwise, only used to:
 - (A) pay claims by covered persons and other amounts payable in connection with a claim by a covered person; and
 - (B) pay any remuneration to LGAQ or the financial services licensee referred to in subparagraph (a); and
 - (C) pay expenses incurred in connection with the operation of a mutual risk scheme; and
 - (D) make distributions of surplus funds remaining in a mutual risk scheme in a particular year, after all claims and other liabilities of the mutual risk scheme falling due in that year have been paid or reserved, in the following ways:
 - (I) as a general reserve;
 - (II) towards meeting liabilities, other than those in sub-sub-subparagraphs (A) to (C), of the fund for any future year;
 - (III) by way of refund to individual members of the mutual risk scheme proportionately to, and no more than, the amount in contributions each member has made to the mutual risk scheme for that particular year; or
 - (IV) by way of transfer or loan to LGAQ for a scheme purpose, other than for the purpose of making any of the payments in sub-sub-subparagraphs (A) to (C),

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but only where the determination of the existence and amount of the surplus funds is based on actuarial advice about sufficiency of funds to meet future claims;

- (e) The PDS for the mutual risk scheme, where required by Division 2 of Part 7.9 of the Act, includes all of the following information:
- (i) a statement that LGAQ is neither authorised under, nor subject to the provisions of, the *Insurance Act 1973*;
 - (ii) a statement that the mutual risk scheme is not a product regulated by the Australian Prudential Regulation Authority;
 - (iii) a statement that LGAQ does not hold an Australian financial services licence;
 - (iv) a statement that LGAQ has a discretion whether to pay a valid claim by a covered person; and
 - (v) information about the steps that LGAQ will take to:
 - (A) estimate the future liabilities of, or future payments by, LGAQ to covered persons; and
 - (B) ensure that LGAQ has adequate financial resources to discharge future liabilities or make future payments to covered persons.

Conditions on the exemptions

8. LGAQ must:

- (a) if:
 - (i) it is not reasonable to expect that cover under the general insurance product will apply for the period represented to covered persons; or
 - (ii) the general insurance product is, or is likely to be, cancelled or not renewed,

take reasonable steps to promptly bring those circumstances to the attention of covered persons unless substantially similar cover applies or will apply for the period (or remainder of the period) represented to covered persons;
- (b) establish a facility through which covered persons can verify that the general insurance product has been issued and remains current;
- (c) take reasonable steps to ensure that the annual audit by the Queensland Audit Office takes into account the conditions and limitations of this instrument;
- (d) meet the base level financial requirements specified in subparagraphs 13(a) and (b) of ASIC Pro Forma 209 *Australian financial services licence*

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conditions as at the date of this instrument as if it were a financial services licensee;

- (e) meet the cash needs requirement specified in subsection 912AC(3) of the Act (as notionally inserted by ASIC Class Order [CO 13/761] as at the date of this instrument), other than subparagraph (3)(e)(ii), as if it were a financial services licensee; and
- (f) hold at all times minimum net tangible assets of \$50,000, of which:
 - (i) at least 50% is held in cash or cash equivalents; and
 - (ii) 100% is held in liquid assets.

Interpretation

In this instrument:

cash or cash equivalents has the meaning given in subsection 912AC(12) of the Act (as notionally inserted by ASIC Class Order [CO 13/761] as at the date of this instrument) applied as if LGAQ were a financial services licensee.

liquid assets has the meaning given in subsection 912AC(12) of the Act (as notionally inserted by ASIC Class Order [CO 13/761] as at the date of this instrument) applied as if LGAQ were a financial services licensee.

net tangible assets has the meaning given in the definition of NTA in subsection 912AC(12) of the Act (as notionally inserted by ASIC Class Order [CO 13/761] as at the date of this instrument) applied as if LGAQ were a financial services licensee.

Queensland Local Government Mutual means a scheme to manage liability, professional indemnity and other financial risks of covered persons which may arise in connection with the exercise by the covered persons of any of their powers, duties or functions, which has the following features:

- (a) covered persons make monetary contributions, as consideration to acquire interests in the scheme; and
- (b) LGAQ pools the contributions and makes use of those contributions in one or more of the ways described in subparagraph 7(d); and
- (c) a covered person may make a claim upon the occurrence of an event that is uncertain either as to whether it will occur or as to the time at which it will occur; and
- (d) LGAQ has a discretion whether to pay benefits to the covered person of the scheme in relation to the claim.

Queensland Local Government Workers' Compensation Self-Insurance Scheme means a scheme to manage workers' compensation risks of covered persons, which has features as set out in paragraphs (a) to (d) of the definition of Queensland Local Government Mutual.

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scheme purpose means a purpose that contributes to:

- (a) the operation of a mutual risk scheme;
- (b) managing the risk of civil liability or asset loss of covered persons;
- (c) reducing the monetary amount and frequency of claims by covered persons; or
- (d) investigating and establishing other funds to cater for risk management needs of covered persons.

Dated this 27th day of October 2017.



Signed by Jennifer Lyons
as a delegate of the Australian Securities and Investments Commission

17-0948

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 741(1)(a) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 17-0948.

Commencement

3. This instrument commences on the date it is signed.

Exemption

4. Tandem Corp Pty Ltd ACN 612 789 983 (*Tandem Corp*) does not have to comply with subsection 734(2) of the Act.

Where the instrument applies

5. This instrument applies to statements made by Tandem Corp in communications to employees of the Tandem Corp Group that provide the following information:
 - (a) changes to the internal administration of the Tandem Corp Group;
 - (b) details of any employee share plan, employee option plan or similar employee incentive plan under which offers to employees of the Tandem Corp Group will be made at or about the same time as the Initial Public Offering;
 - (c) the timetable of the Initial Public Offering, and any proposed updates to the timetable;
 - (d) information about impending announcements in relation to the Initial Public Offering; and
 - (e) details of the potential participation of employees in the issue of Shares as part of the Initial Public Offering;

and do not communicate any advantage, benefit or merit of the Initial Public Offering.

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Cessation

6. This instrument shall remain effective, unless otherwise revoked, until the earlier of:
- (a) the date on which the Prospectus is lodged with ASIC; and
 - (b) 30 November 2017.

Interpretation

In this instrument:

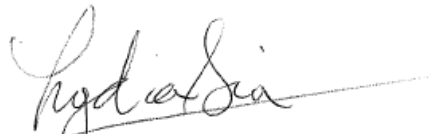
Tandem Corp Group means Tandem Corp and its related body corporates as at the date of this instrument.

Initial Public Offering means the proposed initial public offering of fully paid ordinary shares in Tandem Group Holdings Limited ACN 619 061 451, under a Prospectus lodged with ASIC on or around 14 November 2017.

Prospectus means the prospectus to be lodged with ASIC by Tandem Group Holdings Limited ACN 619 061 451 in respect of the Initial Public Offering.

Shares means fully paid ordinary shares in Tandem Group Holdings Limited ACN 619 061 451

Dated this 25th day of October 2017



Signed by Lydia Sia
as a delegate of the Australian Securities and Investments Commission

17-0961

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 741(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(b) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 17-0961.

Commencement

3. This instrument commences on 26 October 2017.

Declaration

4. Chapter 6D of the Act applies to a shareholder of the Company who makes an offer of Shares, as if section 707 was modified or varied by omitting subsections 707(3) and (4) and substituting the following subsections:

"(3) An offer of a body's securities for sale within 12 months after their issue needs disclosure to investors under this Part if the body issued the securities:

- (a) without disclosure to investors under this Part; and
- (b) with the purpose of the person to whom they were issued:
 - (i) selling or transferring them; or
 - (ii) granting, issuing or transferring interests in, or options or warrants over, them;

and section 708 and 708A does not say otherwise.

- (4) Unless the contrary is proved, a body is taken to issue securities with the purpose referred to in paragraph 3(b) if any of the securities are subsequently sold, or offered for sale, within 12 months after their issue."

Where this declaration applies

5. This declaration applies where a shareholder of the Company makes an offer of Shares for sale within 12 months of their issue and where:

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- (a) the Options:
- (i) were issued or granted by the Company prior to the date of the commencement of this instrument without disclosure under Chapter 6D of the Act; and
 - (ii) were disclosed pursuant to an offer document dated 26 May 2017 in relation to the Plan; and
- (b) the issue of Shares on the conversion of the Options did not involve any further offer.

Interpretation

6. In this instrument:

Company means Galaxy Resources Limited ACN 071 976 442;

Options mean the options issued or granted under the Plan prior to the date of this instrument;

Plan means the Employee Incentive Option Plan approved by shareholders of the Company at the Annual General Meeting on 18 May 2017; and

Shares mean ordinary shares in the Company which were issued following the Plan and as a result of the conversion of the Options.

Dated this 26th day of October 2017



Signed by Michelle Cobb
as a delegate for the Australian Securities and Investments Commission

17-0962

Australian Securities and Investments Commission**Corporations Act 2001 – Subsections 741(1), 926A(2), 992B(1) and 1020F(1) and paragraph 911A(2)(l) – Exemptions and Declaration****Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsections 741(1), 926A(2), 992B(1) and 1020F(1) and paragraph 911A(2)(l) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 17-0962.

Commencement

3. This instrument commences on gazettal.

Disclosure relief*Offers made under an employee incentive scheme*

5. The Company does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to an offer under the Plan covered by this instrument.
6. A trustee that holds or will hold underlying eligible products in connection with the Plan and which makes an offer of a unit in the underlying eligible product to an eligible participant does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to the offer of the unit.

Note: The trustee need not be the Company.

Subsequent sale offers

7. A person that makes a sale offer of an underlying eligible product within 12 months after the issue of the product does not have to comply with Part 6D.2, 6D.3 or Part 7.9 of the Act in relation to the sale offer where:
 - (a) the product was issued or otherwise granted:
 - (i) to an eligible participant under the Plan; or
 - (ii) to a trustee in connection with the Plan; and
 - (b) the person has no reason to believe the Plan is not covered by this instrument.
8. A person that makes a sale offer of a financial product within 12 months after the issue of the product does not have to comply with Part 6D.2 or 6D.3 or Part 7.9 of the Act in relation to the sale offer where:
 - (a) the product was issued by reason of the exercise or vesting of an eligible

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product issued or otherwise granted to:

- (i) an eligible participant under the Plan; or
 - (ii) to a trustee in connection with the Plan; and
- (b) the person has no reason to believe the Plan is not covered by this instrument.

Advisers

9. A financial services licensee or an authorised representative of a financial services licensee who gives financial product advice to an eligible participant that consists of, or includes, a recommendation to acquire an eligible product in connection with the Plan, does not have to comply with section 1012A of the Act in relation to the giving of such advice, provided the person giving the advice has no reason to believe the Plan is not covered by this instrument.

Licensing, hawking and other incidental relief

General advice

10. In the event that the Company makes an offer under the Plan and, in relation to the offer, provides a financial service consisting of general advice in connection with the offer, it does not have to comply with subsection 911A(1) of the Act in relation to the advice.

Dealing

11. In the event that the Company provides any of the following financial services in relation to an offer in connection with the Plan, it does not have to comply with subsection 911A(1) of the Act in relation to the financial service:
- (a) issuing the eligible product;
 - (b) dealing in the eligible product where any acquisition by purchase or disposal of the eligible product by the listed body or an associated body corporate occurs either:
 - (i) through a financial services licensee; or
 - (ii) outside this jurisdiction and through a person which is licensed or otherwise authorised to deal in financial products of that kind in the relevant place.

Custodial or depository services

12. In the event that the Company provides any of the following financial services in connection with the Plan, it does not have to comply with subsection 911A(1) of the Act in relation to the financial service:
- (a) a custodial or depository service in relation to the eligible product where the body performs their duties in good faith and has sufficient resources to

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perform those duties;

- (b) dealing in the eligible product in the course of providing a custodial or depository service covered by paragraph (a).

Hawking

13. In the event that the Company makes an offer of an eligible product to an eligible participant in the course of, or because of, an unsolicited meeting or telephone call held or made in connection with the Plan, it does not have to comply with section 736, 992A or 992AA of the Act.

Advertising

14. In the event the Company advertises, or publishes a statement that is reasonably likely to induce eligible participants to acquire, an eligible product under the Plan, it does not have to comply with section 1018A of the Act in relation to the advertisement or publication.

Conditions*Notice of reliance*

15. In the event that the Company makes an offer in connection with the Plan, it must give ASIC a notice of reliance.
16. The Company must give ASIC the notice of reliance no later than one month after the day the Company first relies on this instrument.

Disclosure

17. In the event that the Company makes an offer under the Plan it must ensure that the offer is made in, or is accompanied by, an offer document.

Offers of overlying eligible products

- 17A. In the event that the Company or a trustee makes an offer of an overlying eligible product under the Plan, it must ensure that, if the overlying eligible product is not able to be traded on an eligible financial market, the offer is for no more than nominal monetary consideration.

Note: This paragraph 17A does not prohibit an offer for more than nominal monetary consideration of an underlying eligible product held or to be held by a trustee under a trust in relation to which the eligible participant acquires or will acquire a unit in the underlying eligible product because it is or will be held by the trustee under the trust.

5% issue limit

18. When the Company makes an offer under the Plan, it must, at the time of making the offer, have reasonable grounds to believe that the number of underlying eligible products in a class of underlying eligible products that form part of the issued capital of the Company that have been or may be issued in any of the circumstances covered by the following paragraphs will not exceed 5% of the total number of

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underlying eligible products in that class on issue:

- (a) underlying eligible products that may be issued under the offer;
- (b) underlying eligible products issued or that may be issued as a result of offers made at any time during the previous 3 year period under:
 - (i) an employee incentive scheme or like scheme of the Company, where offers were covered by this instrument or an individual instrument made by ASIC in terms similar to this instrument; or
 - (ii) an employee incentive scheme or employee share scheme of the Company, where the offers were covered by ASIC Class Order [CO 14/1000], ASIC Class Order [CO 03/184] or an individual instrument made by ASIC in terms similar to those class orders; and
- (c) 16.1 million options issued to employees of the Company on 16 June 2017 in their capacity as employees of the Company.

Trusts

19. When the Company makes an offer of an underlying eligible product under the Plan in relation to which a trustee holds or will hold the underlying eligible products, it must ensure:
- (a) the activities of the trustee of the trust in that capacity are limited to employee incentive schemes of the Company (whether or not the other employee incentive schemes are covered by this instrument);
 - (b) the trustee maintains written records on the administration of the trust including, in the case of underlying eligible products being held for a specified eligible participant on an allocated basis, written records that identify the underlying eligible products held on an allocated basis for the specified eligible participant;

Note: The written records in relation to underlying eligible products held on an allocated basis may take the form of a sub-register administered by or on behalf of the trustee.
 - (c) the trustee does not levy any fees or charges for administering the trust that are payable directly by any eligible participant or out of the assets of the trust, other than reasonable disbursements including brokerage and tax levied or incurred in connection with the trust;
 - (d) if the trustee is the Company —the trustee does not, at its own discretion, exercise any voting rights attaching to any of the underlying eligible products that it holds on trust; and
 - (e) the trustee, either alone or together with one or more other trustees, does not hold more than 5% of the voting shares or voting interests in the Company calculated by reference to all employee incentive schemes or like schemes of the Company in relation to which offers were covered by this instrument or an individual instrument made, on or after the commencement of this instrument,

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by ASIC in terms similar to this instrument.

ASIC power to request documents

20. The Company must, if requested by ASIC and in accordance with the request, make available to ASIC the offer document and all other accompanying information or documents given to eligible participants in connection with the offer.

Exclusion

21. This instrument does not apply to any person to whom ASIC has given, and not withdrawn, a written notice that specifies that the person is excluded from relying on this instrument.

Interpretation

26. In this instrument:

- (a) *able to be traded* has the meaning given by section 761A of the Act;

ASIC Class Order [CO 03/184] includes ASIC Class Order [CO 05/1270] to the extent that it relates to ASIC Class Order [CO 03/184];

associate has the meaning given by Division 2 of Part 1.2 of the Act (except sections 12 and 16);

associated body corporate, in relation to the Company, means:

- (a) a body corporate that is a related body corporate of the Company; or
- (b) a body corporate that has voting power in the Company of not less than 20%; or
- (c) a body corporate in which the Company has voting power of not less than 20%;

casual employee, in relation to the Company, means an individual who is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the body;

Company means Galaxy Resources Limited ACN 071 976 442;

contractor, in relation to the Company, means:

- (a) an individual with whom the Company has entered into a contract for the provision of services under which the individual performs work for the Company; or
- (b) a company with whom the Company has entered into a contract for the provision of services under which an individual, who is a director of the company or their spouse, performs work for the Company;

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where the individual who performs the work under or in relation to the contract is, or might reasonably be expected to be, engaged to work the number of hours that are the pro-rata equivalent of 40% or more of a comparable full-time position with the Company;

eligible financial market means a financial market specified in column 1 of Table A and, unless a contrary intention appears, is limited to the main board of that market;

eligible participant, in relation to the Company, means a person specified in column 3 of Table A;

eligible product, in relation to the Company, means a financial product specified in column 2 of Table A;

employee incentive scheme means an arrangement under which eligible products of the Company are offered to eligible participants;

financial product advice has the meaning given by section 766B of the Act;

general advice has the meaning given by section 766B of the Act;

incentive right means a conditional right:

- (a) to acquire underlying eligible products;
- (b) to be paid a cash amount that is ultimately determined by reference to (wholly or in part):
 - (i) the price or value at a given time of the underlying eligible product to which the right relates;
 - (ii) a change in the price or value over a given period of the underlying eligible product to which the right relates;
 - (iii) the amount or value of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or
 - (iv) a change in the amount or value over a given period of time of dividends or distributions paid or payable in relation to the underlying eligible product to which the right relates; or
- (c) to acquire or to be paid a combination of underlying eligible products and a cash amount as determined in accordance with paragraph (b);

listed body means a body that is listed on an eligible financial market;

nominal monetary consideration means monetary consideration of a token or trivial amount;

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notice of reliance, in relation to the Plan, means a written notice in a form approved in writing by ASIC;

offer, in relation to an eligible product, has a meaning affected by sections 700, 702 and 1010C of the Act and includes:

- (a) an offer to issue the eligible product;
- (b) an issue or grant of the eligible product;
- (c) an offer to transfer the eligible product;
- (d) a transfer of the eligible product;
- (e) an offer to arrange for the issue or transfer of the eligible product;

but does not include an issue, grant or transfer of an underlying eligible product made by reason of the exercise or vesting of an overlying eligible product in circumstances where an offer to issue or transfer the overlying eligible product had been previously made;

offer document, in relation to an offer of eligible products under the Plan, means a document which includes, or is accompanied by, the following information, statements and explanations worded and presented in a clear, concise and effective manner:

- (a) prominent statements to the effect that:
 - (i) any advice given by the body in relation to eligible products offered under the Plan does not take into account an eligible participant's objectives, financial situation and needs; and
 - (ii) eligible participants should consider obtaining their own financial product advice from a person who is licensed by ASIC to give such advice;
- (b) either:
 - (i) a copy of the terms of the Plan; or
 - (ii) a summary of the terms of the Plan together with a statement that, on request and at no charge and within a reasonable time, the Company will provide an eligible participant with a copy of the terms of the Plan;
- (c) general information about the risks of acquiring and holding an eligible product being offered under the Plan;
- (d) if:
 - (i) a trustee will hold underlying eligible products for specified eligible participants on an allocated basis in connection

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with the Plan; and

- (ii) those eligible participants will have the right to:
 - (A) exercise, or direct the trustee to exercise on their behalf, any rights (including voting rights) attaching to the underlying eligible products; or
 - (B) receive income deriving from the underlying eligible products, including dividends or distributions;

either:

- (iii) a copy of the trust deed; or
 - (iv) a summary of the terms of the trust deed together with a statement that, on request and at no charge and within a reasonable time, the body will provide an eligible participant with a copy of the trust deed;
- (e) the acquisition price of the eligible products in Australian dollars or, where the acquisition price is to be worked out in the future under a formula, an explanation of how an eligible participant could calculate the acquisition price of the eligible products in Australian dollars were that formula applied at the date of the offer;
 - (f) an explanation of how an eligible participant could, from time to time, ascertain the market price of the underlying eligible products in Australian dollars;

overlying eligible product means an eligible product specified in any of paragraphs (g) to (i) in column 2 of Table A;

Plan means the Employee Incentive Option Plan approved by shareholders at the Annual General Meeting on 18 May 2017;

prospective participant, in relation to an offer of an eligible product under the Plan, means a person to whom the offer is made but who can only accept the offer if an arrangement has been entered into that will result in the person becoming covered by one of paragraphs (a) to (d) of column 3 of Table A;

related body corporate has the meaning given in section 50 of the Act;

stapled security means two or more eligible products which, under the terms on which each is traded, must be transferred together;

trustee means a body that holds or will hold underlying eligible products on trust for the following persons in connection with the Plan:

- (a) eligible participants generally on an unallocated basis; or

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(b) one or more specified eligible participants on an allocated basis;

underlying eligible product means an eligible product specified in any of paragraphs (a) to (f) in column 2 of Table A;

- (b) an offer of eligible products to an eligible participant under the Plan on terms that the eligible participant may renounce the offer in favour of a person covered by one of the following sub-subparagraphs is to be treated as an offer of eligible products to the eligible participant:
- (i) an immediate family member of the eligible participant;
 - (ii) a company whose members comprise no persons other than the eligible participant or immediate family members of the participant;
 - (iii) a corporate trustee of a self-managed superannuation fund (within the meaning of the *Superannuation Industry (Supervision) Act 1993*) where the eligible participant is a director of the trustee;
- (c) the Plan is *covered by* an instrument to the extent that offers are made, or other conduct is carried out, in reliance on the instrument;
- (d) for the avoidance of doubt, a document or other writing to be given in connection with this instrument may be given by electronic means (including, in the case of a document or other writing to be given by a person relying on this instrument, by way of making it available on a website and notifying the intended recipient that it is available on the website).

Dated this 26th day of October 2017



Signed by Michelle Cobb
as a delegate of the Australian Securities and Investments Commission

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Table A

Column 1 Eligible financial market	Column 2 Eligible product	Column 3 Eligible participant
<ul style="list-style-type: none"> (a) ASX (also known as the Australian Securities Exchange); (b) American Stock Exchange; (c) Borsa Italiana; (d) Bursa Malaysia Main Board or Second Board; (e) Euronext Amsterdam; (f) Euronext Paris; (g) Frankfurt Stock Exchange; (h) Hong Kong Stock Exchange; (i) JSE (also known as the Johannesburg Stock Exchange); (j) London Stock Exchange; (k) NASDAQ Global Market or the NASDAQ Global Select Market; (l) New York Stock Exchange; (m) New Zealand Exchange; (n) Singapore Exchange; (o) SWX Swiss Exchange; (p) Tokyo Stock Exchange; (q) Toronto Stock Exchange. 	<ul style="list-style-type: none"> (a) a fully paid share of the body that is in a class of shares able to be traded on an eligible financial market; (b) a beneficial interest in a fully paid share of the body where the interest is in a class of interests that is able to be traded on an eligible financial market; (c) a fully paid share of the body in relation to which both of the following apply: <ul style="list-style-type: none"> (i) a beneficial interest in a share of that class are in a class of interests that is able to be traded on an eligible financial market; (ii) the share is convertible into the beneficial interest without charge or for a nominal fee; (d) a beneficial interest in a fully paid share of the body in relation to which both of the following apply: <ul style="list-style-type: none"> (i) the fully paid share is in a class of shares that is able to be traded on an eligible financial market; (ii) the beneficial interest is convertible into the share without charge or for a nominal fee; (e) a fully paid stapled security of the body that is in a class of stapled securities that is able to be traded on ASX; (f) where the listed body is a registered scheme—an interest in the body that is in a class of interests that is able to be traded on ASX; (g) a unit in a financial product mentioned in paragraphs (a) to (f); (h) an option to acquire, by way of issue or transfer, a financial product mentioned in paragraphs (a) to (f); (i) an incentive right granted in relation to a financial product mentioned in paragraphs (a) to (f), <p>where in each case in relation to a product mentioned in paragraphs (a) to (f) that is in a class of products that is able to be traded on an eligible financial market:</p> <ul style="list-style-type: none"> (j) the product is in the same class as financial products which were able to be traded on the relevant financial market at all times in the 3 months before the day the offer document is first given to an eligible participant; 	<ul style="list-style-type: none"> (a) a full-time or part-time employee (including an executive director); (b) a non-executive director; (c) a contractor; (d) a casual employee; (e) a prospective participant.

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Column 1 Eligible financial market	Column 2 Eligible product	Column 3 Eligible participant
	and (k) the class of products were not suspended for more than a total of 5 days during the shorter of the period during which the class of products were able to be traded, and the period of 12 months before the day the offer document is first given to an eligible participant.	

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this twenty-seventh day of October 2017

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

MANATEE, INC.

150 812 203

THE ROYAL BANK OF SCOTLAND PLC

101 464 528

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this twenty-seventh day of October 2017

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company
SUMMIT SOFTWARE, INC.

ARBN
609 899 087

Corporations Act 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

EXTERRA RESOURCES LIMITED

ACN 138 222 705 will change to a proprietary company limited by shares. The new name will be ANOVA METALS AUSTRALIA PTY LTD ACN 138 222 705.

HORWOOD NATIONAL LTD ACN 156 645 279 will change to a proprietary company limited by shares. The new name will be HORWOOD NATIONAL PTY LTD ACN 156 645 279.

MASON BLACK LAWYERS PTY

ACN 052 098 012 will change to a proprietary company limited by shares. The new name will be MASON BLACK LAWYERS PTY LTD ACN 052 098 012.

S. KIDMAN & CO LTD ACN 007 872 317 will change to a proprietary company limited by shares. The new name will be S. KIDMAN & CO PTY LTD ACN 007 872 317.

GET KITE HOLDINGS 2 PTY LTD

ACN 618 937 054 will change to a public company limited by shares. The new name will be GET KITE HOLDINGS 2 LIMITED ACN 618 937 054.

INDUSTREA LIMITED ACN 010 720 117 will change to a proprietary company limited by shares. The new name will be INDUSTREA PTY LTD ACN 010 720 117.

NEUROSCIENTIFIC BIOPHARMACEUTICALS PTY LTD ACN 102 832 995 will change to a public company limited by shares. The new name will be NEUROSCIENTIFIC BIOPHARMACEUTICALS LTD ACN 102 832 995.

UNITY MINING LIMITED ACN 005 674 073 will change to a proprietary company limited by shares. The new name will be UNITY MINING PTY LIMITED ACN 005 674 073.