



ASIC

Australian Securities &
Investments Commission

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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17-0413

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 926A(2)(a) and 951B(1)(a) –
Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 926A(2)(a) and 951B(1)(a) of the Corporations Act 2001 (*Act*).

Title

2. This instrument is ASIC Instrument 17-0413.

Commencement

3. This instrument commences on the date it is signed.

Exemption

4. UniSuper Limited ACN 006 027 121 (the *trustee*) as trustee of UniSuper RSE 1001716 (the *fund*) who provides financial product advice in a statement (the *estimate*) that complies with paragraphs 6 and 7 and, to the extent applicable, paragraphs 6A and 6B to a member of the fund does not have to comply with:
 - (a) the requirement in subsection 911A(1) of the Act to hold an Australian financial services licence covering the provision of that advice; or
 - (b) Divisions 2, 3 and 4 of Part 7.7 of the Act in relation to that advice.

Where the relief applies

5. The trustee may rely on the exemption in paragraph 4 only if all of the following sub-paragraphs apply:
 - (a) The estimate:
 - (i) is given by the trustee at the time the member is given a periodic statement; and
 - (ii) either:
 - (A) is included in the periodic statement; or
 - (B) accompanies the periodic statement.

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- (b) The member:
 - (i) has been a member of the fund for the year ending on the date of the estimate; and
 - (ii) is younger than 67 at the date of the estimate; and
- (c) The Trustee makes available on its website the information referred to in paragraph 12(b) of this instrument.

The estimate

- 6. The estimate must include all of the following (as relevant for the member category):
 - (a) the amount (the *defined benefit lump sum*) worked out in accordance with paragraph 8, specified as an amount in dollars rounded to the nearest three significant figures together with a statement to the effect that this amount is the member's estimated defined benefit at the member's projected retirement age; and
 - (b) the amount (the *annual income stream amount*) worked out in accordance with paragraph 9, specified as an amount in dollars rounded to the nearest three significant figures together with a statement to the effect that this amount is the estimated amount the member may get every year if the estimated defined benefit lump sum when they retire were converted into an annual income stream for 25 years; or
 - (c) for fund members of a defined benefit division eligible for a defined benefit retirement pension under the UniSuper Trust Deed, the amount (the *annual defined benefit income stream amount*) worked out in accordance with paragraph 10, specified as an amount in dollars rounded to the nearest three significant figures together with a statement to the effect that this is the amount the member may get as a retirement income stream if they remain an eligible member and elect to take this income stream at the member's projected retirement age; and
 - (d) the statements specified in paragraph 12.
- 6A. The estimate may include an amount (*age pension amount*) representing the amount (if any) that would be payable to the member as an age pension if the following applied in relation to the member:
 - (a) the member qualifies for an age pension under section 43 of the *Social Security Act 1991*;

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- (b) the member has a partner;
 - (c) the member and their partner jointly own their own home;
 - (d) the member and their partner each have a single superannuation fund retirement benefit equal to the lump sum and these benefits are applied on the date of the estimate to purchase superannuation pensions that provide each of the member and their partner with income in that year equal to the annual income stream amount;
 - (e) the member and their partner have no other assets or income affecting the amount of the age pension payable to the member or their partner, including any other superannuation accounts.
- 6B. If the estimate includes the age pension amount:
- (a) the estimate may also include the amount (*total annual amount*) which is the sum of the:
 - (i) annual income stream amount and the age pension amount; or
 - (ii) annual defined benefit income stream amount and the age pension; and
 - (b) the estimate must include the statements specified in paragraph 12A.
7. Apart from the defined benefit lump sum, annual income stream amount, annual defined benefit income stream amount, age pension amount, total annual amount and an estimate that complies with Class Order [CO 11/1227] for a member with an accumulation benefit, the estimate must not specify other amounts or figures representing or purporting to represent the member's expected retirement benefit (however described).
8. The defined benefit lump sum benefit is to be worked out in accordance with:
- (a) the defined benefit lump sum formula relevant to the member's particular defined benefit division of membership as set out the UniSuper Trust Deed; and
 - (b) the member's information relevant to the formula referred to in paragraph 8(a) as at the date of the relevant periodic statement; and
 - (c) the standard assumptions particular to the relevant member as set out in paragraph 11.

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9. The annual income stream amount is to be calculated in accordance with the following formula:

defined benefit lump sum x 0.0566.

Note: This calculation converts the lump sum into an annual income amount. It assumes the lump sum is drawn down progressively from age 67 and is completely exhausted after 25 years, and that there is an investment return (before administration fees, but net of investment and other fees and tax), exceeding inflation by 3% per annum.

10. The annual defined benefit income stream amount is to be estimated in accordance with:
- (a) the defined benefit retirement pension formula relevant to the defined benefit division of membership as set out in the UniSuper Trust Deed; and
 - (b) the member's information relevant to the formula referred to in paragraph 10(a) as at the date of the relevant periodic statement; and
 - (c) the standard assumptions particular to the relevant member as set out in paragraph 11 of this instrument.
11. The standard assumptions particular to the relevant member are:
- (a) retirement at age 67;
 - (b) benefit salary will be equal to benefit salary as at the date that the estimate is made;
 - (c) for the purpose of calculating the average contribution factor at age 67 (or the relevant contribution factor for future periods for a defined benefit division) the contribution factor as at the date of the estimate is assumed to continue to age 67;
 - (d) for the purpose of calculating the average service fraction at age 67 (or the relevant service fraction for future periods for a defined benefit division) the service fraction as at the date of the estimate is assumed to continue to age 67;
 - (e) benefit service is assumed to accrue to age 67;
 - (f) other components of the defined benefit formula for the member's defined benefit division are to be the same at age 67 as at the date of the estimate;
 - (g) current tax and superannuation laws remain unchanged; and

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- (h) the defined benefit formulas relevant to member's defined benefit division remain unchanged.
12. The estimate must include all of the following text (with the relevant information substituted for the instructions in square brackets):

(a) **“What this estimate means**

The projection is just an estimate, not a guarantee. The actual money you get in your retirement may be very different from this estimate.

The superannuation amounts are shown in today's dollars. The age pension estimate is shown based on current pension amounts.

This estimate does not consider any other superannuation accounts that you may hold or other assets that you own.”

(b) **“How the estimate is worked out**

This estimated is calculated using the *[insert defined benefit type]* formula shown on your benefit statement and available at *[insert website address]* and information supplied to the *[fund]* by you and your employer as at *[insert periodic statement date]*.

The defined benefit lump sum and/or defined benefit retirement pension formula is also available at *[insert website reference]*.

This estimate has been worked out using these assumptions and figures:

- you retire at age 67
- your benefit salary (where applicable) as at age 67 is assumed to be the same in today's dollars as your benefit salary as at the date of this estimate
- your rate of member and employer contributions as at the date of this estimate will continue unchanged until age 67 (where applicable)
- your service fraction (where applicable) as at the date of this estimate will continue unchanged until age 67
- your benefit service (where applicable) is assumed to accrue to age 67
- all other components of your defined benefit formula are applied as for a member age 67 as at the date of the estimate
- current tax and superannuation laws remain unchanged, and
- your defined benefit formula remains unchanged.

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These assumptions may not match your actual circumstances either now or in the future. Also the figures used may differ from those shown in your member statement. The annual income shown does not include any deductions for any income tax you may have to pay.

If you want to know more about the way this estimate has been worked out, or the assumptions, contact *[insert contact phone number]* or visit *[insert website address]*.”

(c) **“What you will actually get when you retire**

The actual amount of money you get in retirement may vary considerably from the estimate.

Factors that influence what you will get include:

- the total fees charged in relation to your account
- when you retire and get access to your super
- the future super contributions you and your employer make, including any changes in contribution rate
- future changes to your salary
- your future employment arrangements, for example changes to your hours of work
- whether you choose to buy an account-based pension or non-account-based income stream when you retire, and
- whether you receive any age pension or other government benefit.

This estimate has been calculated assuming all current rules concerning super and taxing super remain in place.

This estimate only applies to your super account with this fund.”.

(d) where the trustee makes available a retirement or superannuation calculator on the website for the fund:

“Getting help

Don't make changes to your retirement savings arrangements based on this estimate. Before you make changes, you should get further information or advice.

Online calculators let you explore your potential retirement income in more detail. They let you personalise the estimate, and show how you can improve your retirement income.

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These calculators include:

- *[insert the name and title of the fund's retirement or superannuation calculator]* available at *[insert website address]*, and
- ASIC's MoneySmart retirement planner: www.moneysmart.gov.au.”

- (e) where the trustee does not make available a retirement or superannuation calculator on the website for the fund:

“Getting help

Don't make changes to your retirement savings arrangements based on this estimate. Before you make changes, you should get further information or advice.

Online calculators let you explore your potential retirement income in more detail. They let you personalise the estimate, and show how you can improve your retirement income. These calculators include ASIC's MoneySmart retirement planner: www.moneysmart.gov.au.”

Note 1: The blocks of text set out in paragraphs (a) to (e) need not be set out in one place or included in the order of those paragraphs.

Note 2: The amount to be inserted in the text in paragraph (b) for fees and costs or insurance premiums is zero if no relevant amounts have been charged.

- 12A. Where the estimate includes an age pension amount, the estimate must include all of the following text in close proximity to the age pension amount:

“This amount represents the amount payable to you and does not include any estimate of the age pension payable to your partner. It assumes that at retirement your partner has the same amount of super as you, that you and your partner jointly own your own home and that you and your partner have no other assets or income, including other superannuation accounts.

You may not be eligible for some or all of this age pension amount if you (or your partner) have income or assets in addition to these superannuation amounts or your home.

Age pension rates and eligibility rules may change between now and when you retire. The age pension amount shown at retirement age may also change during retirement as your personal circumstances change.

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The Department of Human Services rate estimator lets you estimate your payment rate of age pension, based on your current or proposed circumstances. It does not work out if you will be eligible for a payment. To use the rate estimator go to *[insert the Department of Human Services website address related to age pension rates and eligibility]*.”

13. The trustee must rely on ASIC Class Order [CO 11/1227] to provide an estimate for a member with an accumulation benefit.

Interpretation

14. In this instrument:

accumulation benefit means a benefit under Divisions C or D as provided in the UniSuper Trust Deed.

administration fees has the same meaning as in section 29V of the Superannuation Industry (Supervision) Act 1993.

age pension means an age pension under Part 2.2 of Chapter 2 of the *Social Security Act 1991*.

age pension amount has the meaning given to that term in paragraph 6A.

annual defined benefit income stream amount has the meaning given to that term in paragraph 6(c).

annual income stream amount has the meaning given to that term in subparagraph 6(b).

average contribution factor has the same meaning as set out in the UniSuper Trust Deed for the relevant defined benefit division of membership.

average service fraction has the same meaning as set out in the UniSuper Trust Deed for the relevant defined benefit division of membership.

benefit salary has the same meaning as set out in the UniSuper Trust Deed for the relevant defined benefit division of membership.

benefit service has the same meaning as set out in the UniSuper Trust Deed for the relevant defined benefit division of membership.

contribution factor has the same meaning as set out in the UniSuper Trust Deed for the relevant defined benefit division of membership.

date in relation to an estimate, means the date of the last day of the

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reporting period covered by the periodic statement which includes the estimate or which the estimate accompanies (see subparagraph 5(a)).

defined benefit division means Divisions A or B which have the same meaning as set out in the UniSuper Trust Deed.

defined benefit lump sum has the same meaning as set out in the UniSuper Trust Deed for the relevant defined benefit division of membership.

defined benefit member has the same meaning as set out in the UniSuper Trust Deed for the relevant defined benefit division of membership.

defined benefit retirement pension has the same meaning as set out in the UniSuper Trust Deed for the relevant defined benefit division of membership.

partner has the same meaning as in subsection 4(1) of the *Social Security Act 1991*.

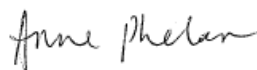
periodic statement has the same meaning as in subsection 1017D(1) of the Act.

service fraction has the same meaning as set out in the UniSuper Trust Deed for the relevant defined benefit division of membership.

total annual amount has the meaning given to that term in subparagraph 6B(a).

UniSuper Trust Deed means the UniSuper Trust Deed first constituted on 24 December 1982 and as amended from time to time.

Dated this 14th day of September 2017



Signed by Anne Phelan
as a delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

17-0750

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: ACN 168 817 605 Pty Ltd ("the Licensee")
PO Box 858
GEELONG VIC 3220

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 463272 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 13 September 2017

Signed 

Kim Demarte
A delegate of the Australian Securities and Investments Commission

**ASIC**

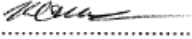
Australian Securities & Investments Commission

17-0751**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B****Notice of Cancellation of an Australian Financial Services Licence**

TO: Property Funds Australia Limited
ACN 078 199 569 ("the Licensee")
GPO Box 3119
BRISBANE QLD 4001

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 224106 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 13 September 2017

Signed 

Kim Demarte
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

ASIC Corporations (Repeal) Instrument 2017/796

I, Anthony Graham, delegate of the Australian Securities and Investments Commission, make the following legislative instrument.

Date 12 September 2017

A handwritten signature in black ink, appearing to read 'A. Graham'.

Anthony Graham

ASIC Corporations (Repeal) Instrument 2017/796

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Part 1—Preliminary

1 Name of legislative instrument

This is the *ASIC Corporations (Repeal) Instrument 2017/796*.

2 Commencement

This instrument commences on the later of:

- (a) the date of its gazettal; and
- (b) the day after it is registered on the Federal Register of Legislation.

Note: The register may be accessed at www.legislation.gov.au.

3 Authority

This instrument is made under paragraphs 911A(2)(l), 992B(1)(a) and 1020F(1)(a) of the *Corporations Act 2001*.

4 Schedules

Each instrument that is specified in the Schedule to this instrument is repealed as set out in the applicable items in the Schedule.

Schedule 1—Repeals**ASIC Class Order [CO 03/1048]****1 The whole of the instrument**

Repeal the instrument.

ASIC Class Order [CO 04/239]**2 The whole of the instrument**

Repeal the instrument.

17-0802

**Australian Securities and Investments Commission
Corporations Act 2001 - Subsection 601QA(1)(b) - Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission makes this instrument under subsection 601QA(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 17-0802.

Commencement

3. This instrument commences on the date it is signed.

Declaration

4. Chapter 5C of the Act applies to Investa Wholesale Funds Management Limited ACN 149 681 390 in its capacity as the responsible entity of Investa Commercial Property Fund ARSN 103 041 505 (the *scheme*) as if the following provisions of that Chapter were modified or varied:
 - (a) after section 601FE insert:

“601FEA Modification of duties: stapled securities

 - (1) This section applies to a registered scheme where the interests in the scheme and shares in a company are components of stapled securities.
 - (2) For the purposes of paragraphs 601FC(1)(c) and 601FD(1)(c), an obligation to act in the best interests of the members of the scheme is an obligation to act in the best interests of the members of the scheme having regard to their interests as members of the scheme and of the company.
 - (3) For the purposes of paragraphs 601FC(1)(e), 601FD(1)(d) and 601FD(1)(e), and subsection 601FE(1), an obligation to not make use of information, or not make improper use of position, in order to cause detriment to the members of the scheme is an obligation not to do those things in order to cause detriment to the members of the scheme having regard to their membership of both the scheme and the company.”;
 - (b) after subsection 208(2) as notionally inserted by section 601LC, insert:

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“(2A) Member approval is not required for the giving of a financial benefit and the benefit need not be given within 15 months if all the following conditions are satisfied:

- (a) the benefit either:
 - (i) is given out of the scheme property of a registered scheme; or
 - (ii) could endanger the scheme property;
- (b) all of the interests in the scheme and all of the shares in a company are components of stapled securities;
- (c) the benefit is given by:
 - (i) the responsible entity of the scheme; or
 - (ii) an entity that the responsible entity controls; or
 - (iii) an agent of, or person engaged by, the responsible entity;
- (d) the benefit is given to:
 - (i) an entity wholly owned, whether directly or indirectly, by the scheme; or
 - (ii) the company or an entity that is wholly owned, whether directly or indirectly, by the company; or
 - (iii) an entity wholly owned, whether directly or indirectly, jointly by the scheme and the company.

(2B) For the purposes of this section:

- (a) an entity is wholly owned by another entity if all of the shares or interests (as applicable) in the first-mentioned entity are held by, or held by a nominee for (in the case of the second-mentioned entity being a company), or form part of the trust property of (in the case of the second-mentioned entity being a trust), the second-mentioned entity or a wholly owned entity of it; and
- (b) a reference to the giving of a benefit to an entity which is a trust is a reference to the giving of a benefit to the trustee of the trust so as to form part of the trust property of the trust or for the benefit of the trust beneficiaries.”;

17-0802

- (c) insert after section 601PC:

“601PD Stapled securities

For the purposes of this Chapter:

stapled securities means two or more financial products including at least one interest in a registered scheme where:

- (a) under the terms on which each of the products are to be traded, they must be transferred together; and
- (b) there are no financial products in the same class as those financial products which may be transferred separately.”.

Where this declaration applies

5. This declaration applies where each interest in the scheme must, under the terms upon which it is to be traded, only be transferred with a share in ICPF Holdings Limited ACN 610 989 805 (the *company*).

Where this declaration ceases to apply

6. This declaration ceases to apply if:
- (a) a component of a stapled security is issued which on the terms on which it is issued can be transferred separately without also transferring the other component of the stapled security; or
- (b) interests in any class, other than the class of interests in the scheme and shares in the company that are already on issue as at the date of this instrument, are issued.

Interpretation

7. In this instrument:

stapled security means an interest in the scheme and a share in the company which, under the terms on which each is to be traded, must be transferred together.

Dated this 11th day of September 2017



Signed by Jayaki Somasegaram
as a delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

17-0815


**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: CALLIDEN INSURANCE LIMITED
ACN 004 125 268 ("the Licensee")
LEVEL 11 143 MACQUARIE STREET
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 234438 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 11 September 2017

Signed 

Kim Demarte

A delegate of the Australian Securities and Investments Commission

17-0828

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 1020F(1)(b) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission makes this instrument under paragraph 1020F(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 17-0828.

Commencement

3. This instrument commences on 12 September 2017.

Exemption

4. A fully paid ordinary share (*Share*) in ListCo is exempt from subsection 1020B(2) of the Act.

Where exemption applies

5. The exemption applies to a sale of Shares where all of the following are satisfied:
 - (a) ASX has declared a conditional market in relation to the trading of the Shares in accordance with the ASX operating rules;
 - (b) the Shares are sold within the period covered by the declaration where:
 - (i) the sale occurs on a financial market operated by ASX or Chi-X; or
 - (ii) the sale is required to be reported to an operator of a financial market under the *ASIC Market Integrity Rules (Competition in Exchange Markets) 2011*;
 - (c) the seller of those Shares has, before the time of sale, entered into a contract to buy those Shares (including a contract formed under the Prospectus) and has a right to have those Shares vested in the seller that is conditional only upon all or any of the following:
 - (i) payment of the consideration in respect of the purchase;

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- (ii) the receipt by the seller of a proper instrument of transfer in respect of the Shares;
- (iii) settlement occurring in accordance with the Underwriting Agreement;
- (iv) the issue of Shares by ListCo to successful applicants under the Prospectus; and
- (v) completion of the restructure whereby ListCo acquires Netwealth Group Limited ACN 133 790 146, as described in the Prospectus.

Interpretation

6. In this instrument:

ASX means ASX Limited ACN 008 624 691.

ASX operating rules means the operating rules of ASX as in force as at the date of this instrument.

Chi-X means Chi-X Australia Pty Limited ACN 129 584 667.

ListCo means A.C.N 620 145 404 Limited ACN 620 145 404.

Prospectus means the prospectus to be lodged with ASIC under which Shares will be offered for issue by ListCo.

Underwriting Agreement means the agreement between ListCo and Credit Suisse (Australia) Limited and UBS AG, Australia Branch for the offer of Shares made under the Prospectus.

Dated this 12th day of September 2017



Signed by Annabel Gibson
as a delegate of the Australian Securities and Investments Commission

17-0831

NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on 6th September 2017.

**AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION
IN THE MATTER OF LAWRENCE TOLEDO****BANNING ORDER UNDER SECTIONS 920A AND 920B
OF THE CORPORATIONS ACT 2001**

To: Lawrence Toledo

TAKE NOTICE that under subsections 920A(1) and 920B(2) of the Corporations Act 2001 the Australian Securities and Investments Commission prohibits **Lawrence Toledo** from providing any financial services for a period of seven years.

Dated this 8th day of August 2017

Signed: 
8th day of August
Delegate of the Australian Securities and
Investments Commission

Your attention is drawn to subsection 920C(2) of the Corporations Act 2001 which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of subsection 920C(2) is an offence.

17-0832

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 741(1)(a) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 17-0832.

Commencement

3. This instrument commences on 13 September 2017.

Exemption

4. The Company does not have to comply with subsection 734(2) of the Act.

Where the exemption applies

5. Subject to paragraph 6, this instrument applies to the following information communicated by the Company to:
 - (a) employees of the Company Group:
 - (i) the fact that the Company is proposing to undertake the IPO;
 - (ii) information relating to changes which may be made to the structure and internal administration of the Company Group at or around the same time as the IPO;
 - (iii) details of any employee share plan, employee option plan or similar employee incentive plan under which offers to employees of the Company Group may be made at or about the same time as the IPO;
 - (iv) information about the timetable for the IPO, including any proposed updates to the timetable;
 - (v) details of the potential participation of the employees in the issue of Shares as part of the IPO;
 - (vi) information about impending announcements in relation to the IPO; and
 - (b) Shareholders of the Company;

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- (i) the fact that the Company is proposing to undertake the IPO;
- (ii) information about the structure of the offer and the offer periods under the IPO;
- (iii) information relating to the timetable for the IPO, including any proposed updates to the timetable;
- (iv) information about the proposed escrow arrangements with respect to Shares held by Shareholders after the IPO;
- (v) information alerting Shareholders to impending announcements about the IPO; and
- (vi) information relating to matters that may require approval from the Company's Shareholders in connection with the IPO.

Conditions

6. This exemption does not apply if, in communicating any of the information set out in paragraph 5 of this instrument, the Company communicates any advantages, benefits or merits of the IPO.
7. This exemption ceases to apply on the earlier of:
 - (a) the date on which the IPO Prospectus is lodged with ASIC; and
 - (b) 30 November 2017.

Interpretation

8. In this instrument:

Company means Telix Pharmaceuticals Limited ACN 616 620 369.

Company Group means the Company and its related entities.

IPO means the proposed initial public offering of Shares in the Company.

IPO Prospectus means the prospectus proposed to be lodged with ASIC by the Company in or around mid-October 2017 in relation to the IPO;

Shareholders means registered holders or beneficial owners of Shares.

Shares means fully paid ordinary shares in the Company.

Dated this 13th day of September 2017

17-0832



Signed by Ann-Maree Cahill
as a delegate of the Australian Securities and Investments Commissions

17-0835

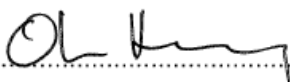


Australian Market Licence (Imperium Markets Pty Limited) 2017

Corporations Act 2001

I, OLIVER HARVEY, Senior Executive Leader, Australian Securities and Investments Commission grant this Licence under subsection 795B(1) of the *Corporations Act 2001*.

Dated 5 September 2017

Signed 

as a delegate of the Minister under s1101J of the Act

1. Name of Licence

This Licence is the *Australian Market Licence (Imperium Markets Pty Limited) 2017*.

Note: This instrument should be read in conjunction with the *Corporations (Imperium Markets Pty Limited) Exemption Notice 2017*.

2. Commencement

This Licence commences on the day after execution.

3. Definitions

In this Licence unless the contrary intention appears, terms defined in the Act have the same meaning in this Licence and:

Act means the *Corporations Act 2001*;

ASIC means the Australian Securities and Investments Commission;

Licensee means Imperium Markets Pty Limited ACN 616579527

Market means the financial market the Licensee is authorised to operate under this Licence;

Participant means a person who is allowed to directly participate in the Market under the Market's operating rules;

term deposit means a deposit-taking facility made available by an ADI (within the meaning of the *Banking Act 1959*) in the course of its banking business (within the meaning of that Act), and where there is a minimum period before which funds cannot be withdrawn or transferred from the facility without a reduction in the return generated for the depositor, other than an RSA (retirement savings account)(within the meaning of the *Retirement Savings Account Act 1997*).

4. Grant of Licence

The Licensee is granted a licence to operate a financial market through which Participants may make or accept offers to acquire or dispose of any of the following financial products:

- (a) debentures;
- (b) term deposits;
- (c) negotiable certificates of deposit.

5. Conditions - Clearing and settlement arrangements

- (1) It is a condition of this Licence that the Licensee must:
- (a) notify each party to a transaction executed on the Market of the identity of the other party to the transaction, immediately after the execution of the transaction; and
 - (b) have operating rules for the Market that provide for transactions effected through the Market to be settled by the parties to the transaction.

6. Conditions - Participants

- (1) It is a condition of this Licence that the Licensee must:
- (a) not allow a person to be a Participant unless that person is a wholesale client; and
 - (b) not allow a Participant to trade other than on its own behalf.

7. Conditions - Annual report

- (1) It is a condition of this Licence that the Licensee must include in its annual report to ASIC under s792F of the Act, details of the following matters:
- (a) details of any new class of financial services provided by the Licensee that is incidental to the operation of the Market;
 - (b) details of any kind of disciplinary action taken by the Licensee against a Participant including the Participant's name and the reason for and nature of the action taken;
 - (c) if the Licensee became aware of:
 - (i) a matter that the Licensee considers has adversely affected, is adversely affecting, or may adversely affect the ability of a Participant, who is a financial services licensee, to meet the Participant's obligations as a financial services licensee; or
 - (ii) a matter, concerning a Participant who is a financial services licensee, that is of a kind prescribed under Reg 7.2.01 of the Corporations Regulations 2001;
 - (d) if a person became or ceased to be a director, secretary or senior manager of the Licensee (including when a person changes from one of those positions to another);

- (e) if the Licensee became aware that a person had come to have, or had ceased to have, more than 15% of the voting power in or in a holding company of the Licensee.

8. Conditions - Operating rules

It is a condition of this Licence that the Licensee must notify ASIC, of the details of any proposed changes to its operating rules, within a reasonable period before implementing any such changes.

9. Conditions - Information about the operation of the Market

It is a condition of this Licence that the Licensee must at all times make available to Participants information, including updated information, about the operation of the Market.

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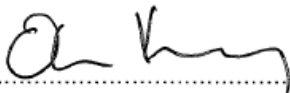


Corporations (Imperium Markets Pty Ltd) Exemption Notice 2017

Corporations Act 2001

I, OLIVER HARVEY, Senior Executive Leader, Australian Securities and Investments Commission make this notice under section 791C of the *Corporations Act 2001*.

Dated 5 September 2017

Signed 

as a delegate of the Minister under s1101J of the Act

Part 1 - Preliminary**1 Name of instrument**

This is the *Corporations (Imperium Markets Pty Limited) Exemption Notice 2017*.

Note: This instrument should be read in conjunction with the *Australian Market Licence (Imperium Markets Pty Limited) 2017*.

2 Authority

This instrument is made under subsection 791C(1) of the *Corporations Act 2001*.

3 Commencement

1. This instrument commences on the later of:

- (a) the time that is immediately after the commencement of the *Australian Market Licence (Imperium Markets Pty Limited) 2017*; and
- (b) the date of execution.

4 Definitions

In this instrument unless the contrary intention appears, terms defined in the Act have the same meaning in this instrument and:

Act means the *Corporations Act 2001*;

ASIC means the Australian Securities and Investments Commission;

Corporations Regulations means the *Corporations Regulations 2001*.

Licensee means Imperium Markets Pty Ltd ACN 616579527

Market means the financial market operated by Imperium Markets Pty Ltd ACN 616579527 under the *Australian Market Licence (Imperium Markets Pty Limited) 2017*;

Participant means a person who is allowed to directly participate in the Market under the Market's operating rules.

Part 2 - Exemptions**4 Notifications to ASIC**

- (1) The licensee does not have to comply with any of the following in relation to the Market:
- (a) paragraph 792B(2)(a) –relating to notifying ASIC of a new class of financial service incidental to the operation of a financial market;
 - (b) paragraph 792B(2)(b) – relating to notifying ASIC of disciplinary action taken against a participant;
 - (c) paragraph 792B(3)(a) – relating to notifying ASIC of a matter that has (or may) adversely affect the ability of a participant, who is a financial services licensee, to meet the participant's obligations as a financial services licensee;
 - (d) paragraph 792B(3)(b) – relating to notifying ASIC of a matter concerning a participant who is a financial services licensee, of a kind prescribed by the regulations made for the purposes of paragraph 792B(3)(b);

Note: See Corporations Regulation 7.2.01 for the matters prescribed for the purposes of paragraph 792B(3)(b)

- (e) paragraph 792B(5)(a) – relating to notifying ASIC of a person becoming or ceasing to be a directory, secretary or senior manager of the licensee or of a holding company of the licensee;
- (f) paragraph 792B(5)(b) – relating to notifying of voting power of more than 15% in the a licensee or a holding company of a licensee.

5 Exemption 2 - Changing the operating rules

- (1) The licensee does not have to comply with section 793D of the Act in relation to changes to the operating rules of the Market.

6 Exemption 3 - Content of operating rules

- (1) The licensee does not have to comply with any of the following in relation to the Market:
- (a) Corporations Regulation 7.2.07(b)(ii) – relating to operating rules for the monitoring of participants' compliance with the operating rules;
 - (b) Corporations Regulation 7.2.07(b)(v) –relating to operating rules for the expulsion or suspension of a participant for breaches of Chapter 7 of the Act or regulations made under that Chapter;

- (c) Corporations Regulation 7.2.07(b)(vii) –relating to operating rules for the expulsion or suspension of, or enforcement action against, a participant for failing to meet obligations under commitments entered into on the market;
- (d) Corporations Regulation 7.2.07(f) –relating to operating rules dealing with the the terms of the contract formed between participants;
- (e) Corporations Regulation 7.2.07(h) –relating to operating rules to deal with mechanisms for settling market-related disputes between participants; and
- (f) Corporations Regulation 7.2.07(i) –relating to operating rules to deal with assessment and investigation of market-related disputes between participants.

7 Exemption 4 - Content of written procedures

The licensee does not have to comply with Corporations Regulation 7.2.08 relating to written procedures for the Market for the matters specified under the regulation.

17-0842

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 655A(1)(a) –Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 655A(1)(a) of the *Corporations Act 2001 (Act)*.

Title

2. This instrument is ASIC Instrument 17-0842.

Commencement

3. This instrument commences on 15 September 2017.

Exemption

4. ASIC exempts each Ariadne Entity from subsections 606(1) and 606(2) of the Act.

Where this exemption applies

5. This exemption applies where:
 - (a) the Ariadne Entity acquires a relevant interest in voting shares of Hillgrove on and from 18 September 2017, which arises solely as a result of the Optionholders exercising options over ordinary shares in Hillgrove where the exercise is made on or before 21 September 2017 (*Exercise*);
 - (b) throughout the 6 months before the Exercise, the Ariadne Entity would have had voting power in Hillgrove of at least 19% if:
 - A. Hillgrove had not issued securities as announced by Hillgrove pursuant to Appendix 3B notices to the Australian Securities Exchange dated each of the following dates in 2017:
 - (i) 17 March;
 - (ii) 3 April;
 - (iii) 13 April;
 - (iv) 24 April;
 - (v) 5 May;
 - (vi) 19 May;
 - (vii) 26 May;
 - (viii) 6 June;
 - (ix) 13 June;
 - (x) 22 June;
 - (xi) 30 June;
 - (xii) 7 July;
 - (xiii) 20 July;
 - (xiv) 1 August;

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- (xv) 11 August;
- (xvi) 18 August;
- (xvii) 25 August;
- (xviii) 1 September;
- (xix) 8 September;
- (xx) 15 September; and

B. on or after the date of this instrument and on or before 21 September 2017, Hillgrove had not issued securities as a result of the conversions of convertible notes or exercise of options to persons other than an Ariadne Entity;

(both A and B together being *dilutive issues*);

- (c) from 17 March 2017 until and including 21 September 2017, each Ariadne Entity does not dispose or agree to dispose of any voting shares held by the Ariadne Entity in Hillgrove; and
- (d) as a result of the Exercise, each Ariadne Entity will not have voting power in Hillgrove more than 3 percentage points higher than they would have had 6 months before the Exercise, ignoring the effect of the dilutive issues.

Interpretation

6. In this instrument:

Ariadne Entity means Ariadne Australia Limited ACN 010 474 067, the Optionholders and their associates

associate has the meaning given in section 9 of the Act

dispose has the meaning given in section 9 of the Act

Hillgrove means Hillgrove Resources Limited ACN 004 297 116

Optionholders means Ariadne Capital Pty Ltd ACN 125 296 157 and Portfolio Services Pty Ltd ACN 010 565 670

Dated this 15th day of September 2017



Signed by William Zhang
as a delegate for the Australian Securities and Investments Commission

CORPORATIONS ACT 2001
Section 601CL(5)

ASIC has struck the foreign companies listed
below off the register.

Dated this fifteenth day of September 2017

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company	ARBN
ANTHRODYNAMICS SIMULATION SERVICES LTD.	618 024 687
BANCO BILBAO VIZCAYA ARGENTARIA, S.A.	123 224 859
BMP TWO LIMITED	130 111 732
DATABUILD RESEARCH AND SOLUTIONS LIMITED	141 484 157
LV SHIPPING LIMITED	154 145 570
MAN OM-IP 3ECLIPSE LIMITED	128 688 764

CORPORATIONS ACT 2001
Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this fifteenth day of September 2017

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company	ARBN
ARAMA PROPERTY INVESTMENTS LTD	162 472 273
AUSSTAT PTY LIMITED	158 069 124
BBC TECHNOLOGIES (NZ) LIMITED	163 186 641
ELEMENTAL TECHNOLOGIES LIMITED	145 439 603
IMW WORLDWIDE SERVICES LTD.	602 689 298
MAPR TECHNOLOGIES SINGAPORE PRIVATE LIMITED	169 029 592
THE CHECKLIST PARTNERSHIP LIMITED	169 463 503

CORPORATIONS ACT 2001
Subsection 601PB(2)

ASIC may deregister the managed investment schemes listed below two months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this fifteenth day of September 2017

Rosanne Bell
DELEGATE OF
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Scheme	ARSN
CENTURIA 16 BYFIELD STREET FUND	130 974 935
CENTURIA 80 WATERLOO ROAD FUND	130 974 837
RFM STOCKBANK	153 436 803

Corporations Act 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

ACCLAIM GROUP HOLDINGS LIMITED

ACN 614 349 709 will change to a proprietary company limited by shares. The new name will be ACCLAIM GROUP HOLDINGS PTY LIMITED ACN 614 349 709.

FINH PTY LTD ACN 079 236 169 will change to a public company limited by shares. The new name will be FINH LIMITED ACN 079 236 169.

MTA INSURANCE LIMITED ACN 070 583 701 will change to a proprietary company limited by shares. The new name will be MTA INSURANCE PTY LTD ACN 070 583 701.

SPARQ HOLDINGS PTY LTD ACN 602 964 143 will change to a public company limited by shares. The new name will be SUMO AUSTRALIA LTD ACN 602 964 143.

UNITLOAD PTY LTD ACN 123 974 658 will change to a public company limited by shares. The new name will be UNITLOAD LIMITED ACN 123 974 658.

AUSMEX MINING LIMITED ACN 612 797 396 will change to a proprietary company limited by shares. The new name will be AUSMEX MINING PTY LTD ACN 612 797 396.

HAMSDALE AUSTRALIA PTY LIMITED

ACN 097 393 112 will change to a public company limited by shares. The new name will be HAMSDALE AUSTRALIA LIMITED ACN 097 393 112.

NATIONAL TYRE & WHEEL PTY LIMITED

ACN 095 843 020 will change to a public company limited by shares. The new name will be NATIONAL TYRE & WHEEL LIMITED ACN 095 843 020.

SUNCORP INSURANCE FUNDING 2007

LIMITED ACN 124 205 330 will change to a proprietary company limited by shares. The new name will be SIF07 PTY LTD ACN 124 205 330.