

EU final compliance report by ASIC

ASIC's final report dated **11 April 2017** on compliance with the s93AA ASIC Act enforceable undertaking given by **Jason Churchill** and **Churchill Consulting Services Pty Ltd** (ACN 105 819 341) dated **6 January 2016**.

Terms used in this report have the same meaning as in the 6 January 2016 enforceable undertaking (EU).

Undertakings	Report on compliance
<p>Completion of training</p> <p>EU paragraphs 15*, 16, 17, and 18*</p>	<ol style="list-style-type: none"> 1. Mr Churchill has procured all staff of Churchill Consulting Services who are authorised to provide financial product advice to undertake the training specified in paragraph 16 of the EU. 2. Mr Churchill obtained the written training confirmation required by paragraph 17 of the EU. 3. Mr Churchill provided a statutory declaration attaching certified copies of the written training confirmation and stated the training undertaken was as specified in paragraph 16 of the EU.
<p>Pre-vetting review</p> <p>EU paragraph 21*</p>	<ol style="list-style-type: none"> 4. Mr Churchill obtained the agreement of his authorising licensee to carry out the review and report to ASIC as required by paragraph 21 of the EU.
<p>Authorising licensee reports</p> <p>EU paragraph 21.1.2</p> <p>Annexure A paragraph 3</p>	<ol style="list-style-type: none"> 5. The authorising licensee has provided compliance statements to ASIC for January – December 2016 within the time specified in Annexure A to the EU. 6. The compliance statements confirm that since 6 January 2016 all Mr Churchill's advice has been submitted and reviewed for the matters set out in paragraph 2.1 of Annexure A prior to the provision of the advice. 7. The compliance statements confirm the review has been satisfactorily completed and does not identify any non-compliance with the requirements set out in paragraph 2.1 of Annexure A EU.

* Substantial, satisfactory compliance with these paragraphs of the undertakings relating to timing.