ASIC

Australian Securities & Investments Commission

EDGE

ELECTRONIC LODGEMENT SYSTEM

DOCUMENT MESSAGES SPECIFICATION

Version 5.00

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Notice To Readers

This specification is current at the latest date shown in the Amendment Record, but it may be amended at any time without prior notice. Only developers of packages currently registered for EDGE lodgement will be notified of changes.

ASIC is not responsible for the quality or merchantability of software developed on the basis of this specification, nor is it responsible for any application packages developed by third parties to prepare and lodge documents onto the EDGE system. ASIC does not support such software in any form.

Contents

1. INTRODUCTION

1.1. Who should read this document

This document is written for persons developing software which creates electronic documents intended for transmission to ASIC's EDGE system.

This document may be of interest to persons investigating problems with such software, persons using such software and persons developing other *Corporations Act 2001* related software.

1.2. The purpose of this document

This document describes the general requirements for messages lodged onto, and received from, ASIC's EDGE system.

Specific details of messages are provided in the Registry Messages Specification, the Agent Services Messages Specification and the Incorporations Messages Specification.

This document describes the requirements for:

- the message definition language of document files used by ASIC's EDGE system

- the organisation and format of document files sent by Registered Agents to ASIC's EDGE system

- the organisation and format of data files made available for collection by Registered Agents from ASIC's EDGE DIS system

- the compulsory edit tests of data items that must be performed before electronic document files are submitted to EDGE

- the note references that provide additional information about message components and edits

- the organisation and format of the TXID file (transmission identification file) that provides identification and control information for a transmission

1.3. Other relevant documentation

EDGE specifications are available for download from **"http://www.asic.gov.au/api".**

Publicly available documentation of ASIC's EDGE electronic lodgement system is listed in the Document Summary document in these specifications.

1.4. Where to get help

Refer to the Documentation Summary specification.

2. SYSTEM OVERVIEW

2.1. Background Information

ASIC's Electronic Lodgement Service (EDGE) receives document files by transmission to the EDGE Document Interchange System (DIS) and makes outbound reports and data available for collection by a transmitting agent.

Prior to transmission, the agents software captures the required data items and assembles them into an EDGE message. The data is then collated into a document that must be signed in accordance with the *Corporations Act 2001*. The electronic document file contains all of the information in the signed document

Software which prepares electronic document files must subject these files to specified edit tests prior to transmission. Only documents that have passed all edit tests should be transmitted to ASIC.

A number of document files, together with the TXID file, make up a transmission.

When transmissions are received by the DIS, a transmission report that informs which files were received is made available for collection by the transmitting agent.

The document files are validated and those which pass all edits are assessed for fees payable. A validation report showing details of accepted and rejected documents, and (optionally) an invoice file, are made available for collection by the transmitting agent.

Documents that pass all edits (and were not lodged as **test** documents) are passed forward to the ASCOT system for lodgement and registration.

Documents which are rejected because of edit errors are not considered to be lodged. They must be corrected and re-transmitted.

2.2. Transmission Media

The EDGE system accepts document files that are transmitted by means of:

- The Telstra Austpac network.

- The Internet

2.3. Test Transmissions.

To allow Registered Agents to test their equipment and procedures, the EDGE DIS provides facilities to support test transmissions.

Test transmissions are identified by item 01b (Test transmission Y/N) in the TXID file for that transmission.

If a transmission is sent as a test transmission (item 01b in TXID file = "Y"), the data is validated and then deleted from the EDGE system. No record is kept of test data transmitted. No invoice file is created.

Software developers should provide a function that will allow an agent to lodge Test transmissions.

2.4. Transmission Structure

Transmissions

A transmission is a collection of files made up of one TXID file followed by one or more document files. A single transmission must be transmitted through Austpac during a single communications session (more precisely, a transmission occurs each time an agent starts a file transmission protocol (Kermit or ZModem) in the transmit mode).

A transmission must include one TXID file and from one to 99 document files.

Combined transmissions

A transmission containing more than one type of document is called a combined transmission.

There are restrictions on the types of documents that can be combined in a transmission. Where a transmission contains an invalid combination of document types, the first document will determine the combined transmission type, and documents in the transmission of an inconsistent type will be rejected by the EDGE system.

Valid combined transmission types and the allowable form codes are:

Registry details transmissions (pre-Clerp7)

These messages are as specified in the Registry messages specification for version 3.20 and below.

Registry details transmissions (post-Clerp7)

These messages are as specified in the Registry messages specification for version 4.00 and above.

Agent Services transmissions

These messages are as specified in the Agent Services messages specification.

Company Registration transmissions

These messages are as specified in the Company Registration messages specification.

ASIC is separating form codes into different combined transmission types to allow different processing priorities to be applied to the transmissions on receipt.

TXID file

The TXID file identifies the transmitting agent to the EDGE DIS.

A TXID file must be included with every transmission.

Document files

A document file is made up of two components:

♦   a filename assigned by the agent

♦   the document message data

File Names (EDGE DIS assigned)

EDGE DIS reserves certain file names for outbound traffic ie for files created by EDGE DIS for collection by a transmitting agent.

Refer to "Document Interchange System Interface Specifications" for details.

File Names (transmitting agent assigned)

The filename for a TXID file must be TXID and no suffix is allowed.

Within each transmission, the filenames assigned by a transmitting agent to the document files must be unique.

Refer to "Document Interchange System Interface Specifications" for details.

2.5. Algorithms

Australian Company Numbers (ACNs) must be verified using the supplied algorithm before the document is transmitted to EDGE.

3. MESSAGE DEFINITION LANGUAGE

3.1. Introduction

This chapter defines the message definition language for the preparation of ASIC electronic documents. The definition is restricted to the content of the message file transmitted to ASIC, and does not include procedures and protocols for communication between client and ASIC machines, which are described in the EDGE Document Interchange System Interface Specification.

3.2. Message Components

Overview

Documents are transmitted to ASIC in text files laid out according to the rules given in the following sections.

The rules that define the message definition language are specified in this chapter. The message composition for each document (message) is defined in the relevant messages specification.

Dictionaries are provided for elements, composites and segments. These dictionaries specify data attributes and composition rules, including repetition and mandatory/conditional attributes.

Messages

Messages consist of ELEMENTS grouped into SEGMENTS, which are themselves grouped into SEGMENT GROUPS.

This provides the following hierarchy

```
message
     segment group
          segment
               element
               element
          segment
               element
     segment group
          segment
               element
```

Delimiter characters are used to terminate elements and segments, and to act as place-holders for elements which need to be included, but which are null.

Elements

Elements or elementary fields are the lowest level unit of message data.

Elements possess the attributes of type, maximum length, format and mandatory/conditional.

For documentation purposes, elements are allocated a unique element id, a name and a description. Elements are considered to be generalised in that the content of an element depends on the context in which it is used. The context is defined in the message layout for each document type.

Composite elements

Composite elements are used to simplify the message layout documentation. They consist of a set of elements that have an inherent structure and are combined to form a composite item. An example is an address.

For documentation purposes, composites are allocated a unique composite id, a name and a description. Composite elements are considered to be generalised in that the content of a composite depends on the context in which it is used. The context is defined in the message layout for each document type.

Segments

Segments are the main unit of message data. They consist of a defined set of elements.

Segments may have the attributes of repetition and mandatory/conditional.

Segments are identified by a unique 3 character tag code. This tag forms part of the message where it serves to indicate the start and type of the segment.

Segment Groups

Segment groups allow the definition of a group of segments to appear in a set sequence.

Segment groups may have the attributes of repetition and mandatory/conditional.

Segment groups are defined in the message layout for each document type.

Repetition

Segments and segment groups may be specified to occur multiple times ie to repeat. A repeat count in the message layout for each document type shows the maximum number of occurrences of repeating segments or groups.

Mandatory/Conditional/Unused items

Elements, segments and segment groups may be designated to be mandatory or conditional in the definition of a message. Elements may be designated as being unused when the data they represent is not needed in the particular message type being defined. The M/C/U column of the message definition indicates this.

Mandatory/conditional/unused conditions are enforced in a hierarchical structure from segment groups down through segments to elements. Thus, for example, if a conditional segment group is transmitted, then any mandatory segments within the group must be transmitted. If a conditional segment is transmitted, then all mandatory elements in the segment must be transmitted and not be null.

Edits applied to conditional items (elements, segments or segment groups) may require the transmission of the item in certain contexts eg for companies of type LMGT, the conditional segment ZNS must be transmitted.

Where an element is defined as unused for a message type, then no data may be transmitted for that element ie it must be null (trailing null elements may be omitted from the segment). If data is transmitted in an unused element, the element will be rejected with an "X014 Element value exceeds defined length" message.

3.3. Message Composition

Composition rules

The message consists of contiguous message segments. Each segment consists of:

♦   a 3-character segment tag, as defined in the message definition. No element delimiter is required

♦   values for segment elements, as defined in the message definition, delimited by an element delimiter tab character (ASCII 9).

Trailing null elements can be omitted.

Where an element has no value (ie it is null) and there are subsequent elements to be provided in the segment, then the element must be represented by an element delimiter (ASCII 9).

♦ a segment delimiter character linefeed (ASCII 10), also known as newline. Note that as EDGE DIS will ignore carriage return (ASCII 13), then CR/LF will have the effect of serving as a segment delimiter.

Non-printing text characters have been chosen as delimiters.

Content rules

General rules for message content are:

♦ where a segment is repeated, each new instance must start with the segment tag.

♦ where a segment group is conditional, it may be omitted subject to the particular edits governing its inclusion in the message.

♦ where a segment is conditional, it may be omitted subject to the particular edits governing its inclusion in the message.

♦ where an element is conditional, it may be omitted subject to the particular edits governing its inclusion in the message. However, if there are subsequent elements in the segment which have values, then an element delimiter (tab) must be included for each intervening element

The document file must contain only the following characters:

♦ the ASCII characters 32 - 90, 92, 95, 123-125 inclusive. This includes space, numerics, upper case alphabetics, and commonly used special characters, but deliberately excludes lower case alphabetics and some obscure printable characters;

♦ the delimiter characters tab (ASCII 9) and linefeed (ASCII 10).

♦ for elements of type b64 only, the additional characters required to allow for base-64 encoding as defined in RFC1421 ie ASCII characters A through Z, a through z, 0 through 9, plus + and /

If carriage return characters (ASCII 13) are detected in a message, they will be deleted.

Digital Signatures

With the implementation of the company registration facility in October 1998, EDGE will support the use of digital signatures on all messages. Digital signatures will be made using the private key associated with an X.509 certificate issued by an approved certification authority.

Digital signatures will be notified using ZXI and ZXS segments, appended to the message after the ZTR segment. The ZTR segment count will not include the digital signature segments. Where digital signature of the message is mandated, the ZXI and ZXS segments are shown in the message layout. For other message types, ZXI and ZXS segments may be appended if the agents trading agreement supports use of digital signatures.

Details about use of digital signatures are documented in the EDGE Digital Signature Specification.

Outbound messages and appended PDF files

Certain ASIC initiated messages will consist of structured data which follows these message composition rules, with a PDF file appended after the newline character of the ZTR segment..

3.4. Maximum message file size

No customer initiated document file may exceed 100K bytes in size.

4. MESSAGE COMPOSITION RULES

4.1. Data Formatting Rules

Alphabetic fields (element type "a")

Alphabetic fields may only contain the characters
ABCDEFGHIJKLMNOPQRSTUVWXYZ-'

Alphanumeric fields (element type "an")

Alphanumeric fields may contain the ASCII characters 32 - 90 inclusive.
This includes space, numerics, upper case alphabetics, and commonly
used special characters, but deliberately excludes lower case alphabetics
and some obscure printable characters.

Numeric fields (element type "n")

Numeric fields may only contain the characters "0123456789". Any
decimal point will be implied and will be defined by the corresponding
element format.

Signed Numeric fields (element type "sn")

Signed numeric fields must contain either a "+" or a "-" as the first
character, and then only contain the characters "0123456789". Any
decimal point will be implied and will be defined by the corresponding
element format.

Date fields (element 0005)

Dates must be represented as 8 numerics in the form YYYYMMDD
where YYYY represents the year, MM the month and DD the day.

The date 4th March, 1921 will be represented by "19210304".

Monetary Amounts (element 0015)

All monetary amounts are represented in dollars and cents, with an
implied decimal point.

The amount two hundred and thirty four dollars will be represented by
"23400". The amount of ten cents will be represented by "10".

Signed Monetary Amounts (element 0032)

All monetary amounts are represented in dollars and cents, with an
implied decimal point. Signed monetary amounts have a leading "+" or
"-" ie a plus or a minus sign.

A profit of two hundred and thirty four dollars will be represented by "+23400". A loss of one hundred and seventy six dollars and twelve cents will be represented by "-17612".

Flags (element 0009)

The flag element must contain Y or N. A conditional flag element may be null.

Base-64 Encoded (element 0047)

The base-64 element must contain data encoded to base-64 according to RFC1421 using ASCII characters A through Z, a through z, 0 through 9, plus + and /

4.2. Zero Values

A zero value is indicated by the numeric character "0". Null used as a value for zero is not acceptable.

Where the value of zero is printed on the paper document, it must be transmitted electronically and vice versa.

4.3. Null Elements

"NULL" is defined as an empty data element position, either an empty element within a segment, or an omitted element at the end of a segment.

If a value of zero is required to satisfy an edit, and "null" is used, it will be rejected by EDGE.

4.4. Compulsory Edit Tests

All data transmitted to ASIC will be subjected to the edit tests defined in chapter 8.

Those edit tests which are listed as mandatory (indicated in this specification by an "Y" in the mand. column) must be applied to the agents data by the software package before the data is transmitted to EDGE.

There are a number of edit tests that the software package will not be able to perform because they involve comparisons with ASIC's ASCOT database. These edits have a "N" character in the mand. column and do not require coding.

If document data fails an edit test, then the document is rejected with the appropriate error message. Agent software conducting edit tests must present edit error messages exactly as stated in the edit tests specification. They must also provide an explanation of how the error was generated and the field in which it occurred.

Some compulsory edit tests refer to Tables. Valid values are shown in Appendix C. In these instances the referenced table must be coded as part of the edit test.

4.5. Edit Test Operators

The following operators have been used in the design of the edit tests. You must use this list in conjunction with the Compulsory Edit Tests to code your software.

Operator	Definition of the operator
=	equal
GT	greater than
LT	less than
+	plus
-	minus
*	multiply
NE	not equal
LE	less than or equal to
GE	greater than or equal to
SUM{}	add all occurrences
NULL	see definition of NULL
[]	indicates a set
()	order of operation

4.6. Format Edits

Format edits are applied to ensure that all parts of all messages comply with the message definition rules and message layout.

These edits check format, length, type, repetition and mandatory attributes of elements and segments, as well as message type and version.

These edits and their associated error messages are identified by an edit number prefixed with an "X".

4.7. Note References

Note references provide additional information about data elements and edits.

Some notes require action to be taken on the preferred paper format (PPF) whereas others describe a course of action that must be followed, or have direct impact on an edit test.

Note references that apply to inbound (agent generated) messages have a unique identifier prefixed by "N".

Note references that apply to outbound (EDGE DIS generated) messages have a unique identifier prefixed by "P".

All related elements and edits are referenced accordingly.

4.8. Tables

Some edits and notes refer to specific Tables. The tables are documented in Appendix C.

Where a table is specified it must be coded, and any edit tests or notes applicable to that table must be applied.

5. DICTIONARIES

5.1 Data Element Dictionary

Name	Id	Type	Length	Format	Description	Edits	Notes
DATE	0005	d	8	yyyymmdd	General-purpose date	V032	
ORG-NAME	0006	an	200	Free text	Organisation name		
ACN	0007	n	9	nnnnnnnnn	Australian Company Number		
FLAG	0009	a	1	Y/N	General-purpose flag	V091	N051
OFFICE	0010	a	3	code	Office held in organisation, e.g. DIR - Director, SEC, etc		
CODE-8	0013	an	8	code	General purpose code - 8 characters		
AMT	0015	N	17	ndd	General-purpose positive amount		
COUNT	0016	N	17	n	General-purpose positive count field		
TIME	0017	N	4	hhmm	Time of day - 24 hour clock	V058	
EYECATCH	0018	An	8	const	Code constant to flag message type or end		
VERSION	0019	N	4	mmnn	Version number - major/minor format		
CODE-1	0020	An	1	code	General purpose code - one character		
CODE-2	0021	An	2	code	General purpose code - two characters		
CODE-4	0022	An	4	code	General purpose code - four characters		
ADDR-STATE	0027	A	3	Code	Code for Australian state or territory	V033	
AMT-SIGNED	0032	Sn	17	sndd	General purpose signed amount		
COUNT-SIGNED	0033	Sn	17	sn	General purpose signed count field		
ASIC-IDENTIFIER	0034	N	9	n	General purpose ASIC assigned identifier		
DOCUMENT-NO	0035	An	9	text	ASIC assigned document number		
CODE-5	0036	An	5	code	General purpose code - five characters		
TEXT-10	0037	An	10	text	General purpose text - 10 characters		
TEXT-12	0038	An	12	text	General purpose text - 12 characters		

Name	Id	Type	Length	Format	Description	Edits	Notes
TEXT-20	0039	An	20	text	General purpose text - 20 characters		
TEXT-30	0040	An	30	text	General purpose text - 30 characters		
TEXT-50	0041	An	50	text	General purpose text - 50 characters		
TEXT-52	0042	An	52	text	General purpose text - 52 characters		
TEXT-60	0043	An	60	text	General purpose text - 60 characters		
TEXT-80	0044	An	80	text	General purpose text - 80 characters		
BALANCE	0045	N	17	nddd	General purpose balance		
TIME-hhmmss	0046	N	6	hhmmss	Time - hhmmss format		
BASE-64	0047	b64	64	base-64	Data encoded to base 64 eg X.509 certificate & Digital signature		
ABN	0048	N	11	n	Australian Business Number		
CODE-3	0049	An	3	text	General purpose code - three characters		
TEXT-100	0050	An	100	text	General purpose text - 100 characters		
SERIAL-IDENTIFIER-200	0051	An	200	text	PKI Serial Identifier		

5.2 Composite Element Dictionary

ASIC Name	Id	Component fields	Element	M/C	Rept	Description	Edits	Notes
PERSON-NAME	C001					Person name	V176 V366	
		FAMILY NAME	0040	M		Person family name	V247	
		GIVEN-NAME1	0039	M		Person first given name		
		GIVEN-NAME2	0039	C		Person second given name		
		GIVEN-NAME3	0039	C		Person third given name	V015	
ADDRESS	C002					Address	V040	N050 N143
		ADDR-CARE-OF	0041	C		Address "care-of" text		N010
		ADDR-LINE2	0041	C		Address room, flr, bldg, PO Box		N011
		ADDR-STREET	0042	C		Street number, name and type		N012
		ADDR-LOCALITY	0040	M		Suburb, town, city	V247	N013
		ADDR-STATE	0027	C		Code for Australian state or territory	V033 V168	N014
		ADDR-PCODE	0022	C		Australia Post postcode	V168	
		ADDR-COUNTRY	0040	C		Country	V036 V169 V418	N016 N166
TELEPHONE	C003							
		PHONE-AREA-CODE	0037	M		Telephone area code within Australia		
		PHONE-NUMBER	0037	M		Telephone number within Australia		
BIRTH DETAILS	C004							
		DATE	0005	M		Birth date	V002	N069
		LOCALITY	0040	C		Birth locality	V157 V247	N069
		LOCALITY-QUALIFIER	0040	M		Birth state and/or country	V131 V418	N069 N166

5.3 Segment Dictionary

Segment	Messages	Elements
ZAD	ASIC Internal Use	
ZAM	201, 205A, 410, 410B, RA51	0009,0009,0022,C002
ZAI	480, RA72	0005,0022,0005,0005,0027,0027,0010
ZAT	370, 484, RA52	0021,0022,0016,0038,0005,0005,0009
ZCG	201,410, 410B, 410F, 6010, RA72	C001,0006,0007,C002,0010
ZCH	RA72	0034,0009,0005,0017,0020,0013
ZCI	205A, 361, 362	0006,0007,0020,0009,0043,0009,0009,0048
ZCO	201, 205A, 370, 410, 410B, 410F, 480, 484, 485, 489, 490, 6010, RA51, RA55, RA63, RA65, RA66, RA71, RA72	0006,0007,0022,0022,0022,0009,0043,0009,0021,0009,0009,0009,0022,0005,0009,0035,0005,0022,0048
ZDC	201, 205A, 361, 362, 370, 410, 410B, 410F, 484, 485, 489, 490, 492, 902, 6010, RA15, RA17, RA50, RA53, RA54, RA58, RA61, RA63, RA65, RA67, RA71	C001,0010,0005,0009,0009,0009,0009,0009,0009,0006,0007,0038
ZDO	410, 410B, 492, 902, RA62, RA66, RA72, RC05	0035,0005,0036,0043,0006,0007,0005,0016,0005,0039,0013,0013,0039
ZFN	201, 370, 484, 490	C001
ZFY	201, 489	0005,0009,0005,0009
ZHD	All	0018,0019,0016
ZHH	201, 480, 484, RA72	0022,0016,0009,0009,0015,0015,0015,0033,0021,0005,0005,0009,0015,0015
ZIA	480, INV, RA55, RA56, RA66, RA72	0043,0032,0035,0036,0034,0038,0049,0032,0039,0005,0050,0039,0039,0040,0039,0007,0006
ZIH	INV	0009,0034,0034,0034,0005
ZIL	480, INV, RA55, RA56, RA72	0034,0032,0007,0006,0039,0039,0041,0048,0006,C002
ZIS	480	0032

ZIT	INV, RA64, RA68	0016,0032,0006,C002,C003,0043,0045,0045
ZLS	RA16, RA61, RA62	0022,0005,0022,0005,0022,0005,0007,0006
ZNR	201, 205A, 410, 410F, RA50, RA56	C001,0006,0035,0005,0013
ZNS	201	C001,0006,0007,C002,0009,0009
ZOF	201, 370, 480, 484, 490, RA72	0010,0005,0005,0043,C001
ZOH	201, 489	0017,0017
ZOL	RA57, RA64, RA68	0005,0022,0037,0032,0032,0039,0039,0021,0032,0032,0032,0032,0032,0006,0007,0049
ZPE	205A, 484, RA65	0005,0005,0005,0039,0039,0041,0009
ZPI	484	0005,0009,0009
ZPR	201, 205A, 410, 410B	0022,0037
ZRD	RA64, RA68	0043,0034,0005,0017,0005,0009,0016
ZRG	201, 480, 484, 489, RA72	0009,0005,C002,0009,0006,0009,0009,0009,0005,0017,0017,0009,0021
ZRP	201, 480, 484, 489, RA72	0009,0005,0009,C002,C003,C003,0021
ZSA	RA16	C002,0034,0005
ZSC	201, 480, 484, RA72	0022,0043,0015,0016,0015,0015,0015,0016,0015,0009,0009,0021,0005
ZSD	201, 370, 480, 484, 490, RA72	0021,0005,C001,C004,C001,0006,0007,C002,0009,0043
ZSH	201, 480, 484, 6010, RA72	C001,0006,0007,C002,0009,0021,0009,C001,0006
ZSP	484, 6010	0005,0009,0009,0009,0009,0009,0009
ZTE	201, 205A, 370, 410, 410B, 484, 492, 902, RA18, RA56, RA57, RA59, RA62, RA64, RA66, RA68, RA72	0044
ZTR	All	0018,0016
ZTX	TXID	0034,0009,0005,0034,0013,0019,0016,0020
ZUH	201, 410, 480, 484, RA72	0006,0007,0040,0039,0035,0009,0021,0005,0006
ZXC	RA53, TXID	0047
ZXI	201, 410, RA50, RA53, RA54, RA58, RA59, TXID	0006, 0016, 0013, 0013, 0006, 0009, 0009, 0051

ZXS	201, 410, RA50, RA53, RA54, RA58, TXID	0047

6. MESSAGE SCHEDULE

The document message types and versions that may be lodged onto, and received from, the EDGE DIS are documented in the relevant messages specifications.

The message types and versions that apply to all transmission types are defined here.

Form Code	Form description	Message Version	Comments

The following message types and versions may be lodged onto the EDGE DIS.

Form Code	Form description	Message Version	Comments
TXID	Transmission control file	1.00	Support for version 1.00 commenced on 28/02/94 and will continue indefinitely.
		2.00	Support for version 2.00 commenced with the implementation of the company registrations system. Support for versions 1.00 and 2.00 will cease some time after the release of the Clerp7 modifications.
		3.00	never used
		4.00	Support for version 4.00 commenced on 01/07/2003.
		5.00	Support for version 5.00 commenced on 18/08/2008.

No	Grp	Group Name	Segment Name	Tag	Element	M/C/U	Rept	Context Meaning	Edits	Notes	Item

7. MESSAGE LAYOUT

7.1. Message Definition

Form TXID - TXID File (version 5.00)

No	Grp	Group Name	Segment Name	Tag	Element	M/C/U	Rept	Context Meaning	Edits	Notes	Item
1.	1	Message Header				M					1.
2.			Header Data	ZHD		M		Uniquely identifies the message type			
3.					0018	M		Must be = 'ASCTXID'			HDa
4.					0019	M		Message version			HDb
5.	2	TXID Data				M					
6.			TXID	ZTX		M		TXID data			
7.					0034	M		Registered Agent No	V044		01a
8.					0009	M		Test transmission (Y/N)?	V091	N093	01b
9.					0005	M		Transmission date	V032		01c
10.					0034	M		Software registration number	V024 V042	N049	01d
11.					0013	M		Package software version identifier			01e
12.					0019	M		EDGE Document Messages specification version	V019		01f
13.					0016	M		Number of documents in transmission			01g
14.					0020	U		unused			01h
15.	3	Message Trailer				M					
16.			Trailer Data	ZTR		M		Flags message end			
17.					0018	M		Must be = 'ENDTXID'			TRa
18.					0016	M		Segment count			TRb
19.	4	Digital Signature				C		Digital signature of transmission	V345		
20.			Certificate details	ZXC		C	99999	Certificate for self-signed message	V334 V335	N135	
21.					0047	M		Certificate, base-64 encoded		N129	

No	Grp	Group Name	Segment Name	Tag	Element	M/C/U	Rept	Context Meaning	Edits	Notes	Item
22.			Certificate identifier	ZXI		M		X.509 Certificate identifier	V321 V322 V335	N132	
23.					0006	M		Distinguished name of certificate authority			XIa
24.					0016	C		Certificate identifier	V515		XIb
25.					0013	M		Message digest hashing algorithm	V292		XIc
26.					0013	M		Public key encryption algorithm	V293 V294		XId
27.					0006	U		unused			XIe
28.					0009	U		unused			XIf
29.					0009	U		unused			XIg
30.					0051	C		Serial identifier 200	V515		Xih
31.			Signature details	ZXS		M	99999	Signature		N129	
32.					0047	M		Signature (base 64 encoded)	V295		

Grp	Group Name	Segment Name	Tag	M/C	Repeat

7.2. Segment Summary

Form TXID - TXID file (version 5.00)

1	Message Header			M	
		Header Data	ZHD	M	
2	TXID data			M	
		TXID	ZTX	M	
3	Message Trailer			M	
		Trailer Data	ZTR	M	
4	Digital signature			C	
		Certificate	ZXC	C	99999
		Certificate Identifier	ZXI	M	
		Digital Signature	ZXS	M	99999

7.3 Sample Document Data Strings

Following are sample data strings. Delimiter characters are shown as > for tab
(element delimiter), and \ for newline (segment delimiter).

Form TXID - TXID File (version 5.00)

```
ZHDASCTXID>0500\
ZTX2413>Y>20030714>213>12.03>500>4\
ZTRENDTXID>3\
```

Ref.	Edit	Error Message	Notes	Mand.

8. EDIT TESTS

Ref.	Edit	Error Message	Notes	Mand.
V002	If entered must be LE 00c (date of signing)	Date must not be later than date declaration signed		Y
V004	If 06a (status) = AP (new appointment) and 06l (date appointed) GT 1981, then 06l (date appointed) - 06d(birth-details/date) must be GE 18 years	Must be 18 years or older at date of appointment	N071	Y
V005	Must be GE Date of Registration of company	Date must not be before company registration date		N
V006	If entered must be "Y"	Must be (Y)es		Y
V007	If entered must not = 01b (company ACN)	Must not be the same as company lodging document		Y
V011	Must be DIR or SEC	Must be DIR or SEC	N041	Y
V015	If GIVEN-NAME2 is blank then must be blank	2nd given name must be entered before 3rd given name.		Y
V017	ACN must match a company which has a status of REGD, SOFF, NOAC or EXAD	Not in correct status to lodge this form	N095	N
V019	Must be a currently supported version of EDGE software as defined in Table 0009			N
V024	If the transmission contains document form codes not authorised for this software, then documents are rejected	Software not authorised for this document type		N
V025	Company TYPE must be APUB or APTY	Company type must be APUB or APTY	N072	N
V026	Must match company type as held on ASCOT records	Company type must match ASIC records		N
V027	Must be a valid class code as defined in Table 0001	Company class must be a valid code	N005	Y
V030	Company type must match a valid combination as defined in Table 3	This Type/Class/Sub class/ combination is not valid	N073	N
V032	Dates must be in format YYYYMMDD and be valid	Invalid date		Y
V033	Must be a valid State code as defined in Table 0004	Not a valid State code	N014	Y
V036	If ADDR-STATE and ADDR-PCODE blank then must be entered.	Country must be entered		Y

Ref.	Edit	Error Message	Notes	Mand.
V037	If 03d (Company occupies address) = "N" ,then must be entered	Name of occupier required if company is not the occupier	N024	Y
V038	If 03d (Company occupies address) = "N", then must ="Y"	Occupiers consent required if company is not the occupier	N024	Y
V040	If Australian address required then ADDR-STATE and ADDR-PCODE must be entered	An Australian address is required for this field	N014	Y
V041	If 03q and 03r entered then must be open for at least 3 hours between 0900 and 1700	Hours open are less than 3 hours between 9am and 5 pm	N052	Y
V042	Software must be registered	Invalid EDGE Software registration number		N
V043	Time closes must be after time opens	Time closes must be after time opens		Y
V044	Registered agent number must match EDGE user id	Registered agent not valid for this EDGE user id		N
V045	Must be GE date of appointment	Date cannot be before appointment date		Y
V050	If entered must be an existing ACN/ARBN allotted by ASIC	ACN/ARBN does not exist	N004 N076	N
V051	If entered must pass ACN check digit	ACN/ARBN is not valid		Y
V057	If document matches exactly with an earlier, accepted document, reject as a duplicate transmission	Duplicate transmission of earlier document		N
V058	Times must be in the format HHMM and be valid	Invalid time		Y
V066	If 06c entered then must be entered.	Birth details should be entered for persons		Y
V075	If 06f blank then must be entered otherwise blank	Either person or organisation details must be entered		Y
V076	If 06c blank then must be entered otherwise blank	Either person or organisation details must be entered		Y
V079	Must be a status as per Table 0006	This status is not valid	N078	Y
V080	If 06a (status) = CN or CX (name change) and 06c entered then this must be entered.	Must be completed if name has changed		Y
V085	If 01d (Company class) NE LMGT, share structure and member details must be completed	Must be completed except if limited by guarantee		Y
V086	If 06f (organisation name) entered, then must be blank	Birth details must not be entered for an organisation		Y
V087	Class codes cannot be duplicated.	Class codes cannot be duplicated		Y

Ref.	Edit	Error Message	Notes	Mand.
V090	If 06a (status) NE NO or AC then must be blank	Cease date only allowed if office holder has ceased	N169	Y
V091	If entered, must be Y or N	If entered, must be Y or N		Y
V094	If 01c=APUB then must be entered	Must be entered if company type is APUB		Y
V097	ACN/ARBN must match to company name	ACN/ARBN does not match with company name		N
V099	If 06c entered then must be blank	Only enter this field if officeholder is an organisation		Y
V100	If 08p blank then must be entered otherwise blank	Either person or organisation details must be entered		Y
V101	If 08o blank then must be entered otherwise blank	Either person or organisation details must be entered		Y
V103	If 06a (status) EQ CA or= CN or= CX, then must be present	Effective date needed for change of name and/or address		Y
V104	If entered, 06b must be GE 06l	Effective date must not be before date of appointment		Y
V109	If 08k blank then must be entered otherwise blank	Either person or organisation details must be entered		Y
V110	If 08j blank then must be entered otherwise blank	Either person or organisation details must be entered		Y
V117	If entered, must not be later than today's date	Date must not be a future date		Y
V118	If 06a (status) = NO or AC then must be entered.	Ceased date must be entered		Y
V122	If 03d = "Y" must be blank.	Do not complete if premises occupied by company		Y
V129	If 06f entered then must = DIR	Organisations can only be directors		Y
V131	If born in Australia, then birth state/country must be a valid state code as defined in Table 0004	If born in Australia, then state code must be entered	N069	Y
V137	If 06c EQ blank (officer not a person) then must be blank	Do not complete unless a person's name has changed		Y
V145	If company type EQ APUB then at least 3 occurrences of current or continuing officeholders where 06k (office held) EQ DIR must be entered. Of these occurrences, at least 2 must have an Australian address.	Must be at least three directors for a public company, at least 2 of whom must be Australian residents		N
V146	If company type EQ APTY then at least 1 occurrence of current or continuing officeholders where 06k (office held) EQ DIR must be entered. Of these occurrences, at least 1 must have an Australian address.	Must be at least one Australian resident director for a proprietary company	N050	N

Ref.	Edit	Error Message	Notes	Mand.
V147	If company type EQ APUB, at least 1 occurrence of current or continuing officeholders where 06k (office held) EQ SEC and with an Australian address must be entered.	Must be at least one current secretary resident in Australia for a public company	N050	N
V153	Former name must not equal current name	Former name must not equal current name		Y
V157	If born in Australia, location and state of birth must be entered	Enter both location and state if born in Australia		Y
V162	Action must be A (add) or C (cease)	Action must be A (add) or C (cease)		Y
V163	Electronic lodgements must be within 6 months date of signing	Must be within 6 months of signing for electronic lodgement		N
V164	Must be DIR, SEC, ALT or AGT.	Must be signed by DIR, SEC, ALT or agent	N096	Y
V165	Signing officer name must match office holder name at date of signing	Signing officer name must match office holder name		N
V166	Must be in format nEnnnnnnn ie numeric, then letter E, then 7 numerics for electronic lodgement	902s amending paper documents must be lodged on paper	N111	Y
V168	If either state or postcode present, then both must be present	Enter both state and postcode for Australian address		Y
V169	If either state or postcode present, country must be blank	Do not enter country for Australian addresses.		Y
V172	Combined transmission must contain valid mix of form codes	Invalid combination of forms in combined transmission		Y
V174	Document number, date lodged, form code and company must match	Document, date lodged, form code and company do not match ASIC records		N
V175	Signing officer role must be AGT	Must be signed by agent		Y
V176	Family name and all given names may not be an initial	Must enter full name (no initials)		Y
V189	Officeholders must be in alphabetic sequence (family name, then given names) within groupings of cessation (status NO), appointment (status AP), then changes (status CA, CN, CX).	Officeholders must be in alphabetic sequence within groupings of cessations, appointments and changes		Y
V193	If liquidator appointed, must be lodged on paper with liquidator approval	Liquidator appointed to this company, please lodge the paper document	N107	N
V197	If 06a (status) = AP, office holder must be a natural person	Must be a natural person if new office holder		Y

Ref.	Edit	Error Message	Notes	Mand.
V198	Roles held by organisations must already be recorded on ASIC database	Roles held by organisations must already be recorded on ASIC database	N079	N
V199	If 06a (status) not = NO then must be entered	Must show officer's address for new or continuing officeholders		Y
V200	Office must be DIR	Only notify changes to director on this form		Y
V201	Classes must be in alphabetic sequence of class code within groupings "issued for cash", "fully paid issued for other than cash" and "partly paid issued for other than cash"	Show classes in alphabetic order within type of issue		Y
V203	ACN must match a company which has a status of REGD, SOFF, NOAC or EXAD and is of type APUB or APTY	Not in correct status or type to be an agent	N095	N
V205	Must not be more than 6 months greater than todays date	Must not be more than six months in the future for electronic lodgement		Y
V219	Must be greater than zero	Must be greater than zero		Y
V228	ACNs must be in ascending numerical sequence	ACNs must be in ascending numerical sequence		Y
V229	ACNs must be unique within the document	An company must appear only once on the document		Y
V230	06a (officeholder status) must not = CO	Do not advise unchanged continuing officers		Y
V231	Document number for electronically lodged documents require character 1 and characters 3 to 9 to be numeric	Document number for electronically lodged documents require character 1 and characters 3 to 9 to be numeric	N111	Y
V232	If 06a (status) = AP, then must be entered	Must show appointment date for new officeholders		Y
V234	If NOT 06a (status) EQ CA or= CN or= CX, then must not be present	Effective date only applicable for change of name and/or address		Y
V235	06a (status) must = NO	Officeholder status must = NO		Y
V236	Annexure type must = 370L	Annexure type must be 370L		Y
V237	00a (signatory name) must = 06c (name of officer) and signatory office (00b) must = office held (06k)	Signatory details must equal resigning officer details		Y
V239	Form code must be 203, 207, 284, 304, 316, 410, 484, 485, 489, 490	Amending form cannot be lodged against this document		Y
V246	Must not be more than 99 documents in transmission	More than 99 documents in transmission		Y

Ref.	Edit	Error Message	Notes	Mand.
V247	If provided, must contain at least 2 alphabetic characters	Please complete this field		Y
V253	00c (date signed) must be GE 01b (date of lodgement of document being amended)	The 902 cannot be signed before the amended document was lodged		Y
V258	If Company class = LMGT, must not be provided	Must not be completed if limited by guarantee		N
V261	Date of birth must be GE18 years before the date of signing	Birthdate must be at least 18 years before the date of signing		Y
V262	If 06a = CA or = NO or = CO, former name must be blank	Do not enter former names		Y
V263	If 06a NE AP or AC appointment date must not be entered	Do not enter appointment date	N169	Y
V265	If 03p = Y opening hours must not be completed	Do not enter opening hours if using standard hours		Y
V266	Total opening time must amount to at least 3 hours between the times of 0900 and 1700	Total opening time must amount to at least 3 hours between the times of 0900 and 1700		Y
V268	If 01d EQ LMGT then must be entered	Must be entered for companies limited by guarantee		Y
V269	If 01d NE LMGT then must not be entered	Must not be entered for companies not limited by guarantee		Y
V270	Must match the reservation details on ASCOT	Must match the reservation details on ASCOT		N
V271	Company name and type/class/subclass must match reservation details	Company name and type/class/subclass must match reservation details		N
V272	Must be GE today's date	Date cannot be earlier than today		Y
V273	Must not be completed if 01f = N	Only enter the business name details if the name is identical to a business name		Y
V274	Either segment ZUH or segment ZDO or segment ZNR must be completed only if 03h (reserve new Australian company) EQ N	Purpose of reservation must be either registration, or change of name, or extension.		Y
V275	Must match a current ASIC reservation of this name	No current reservation exists for this reservation number, name and expiry date		N
V276	Legal elements must match table 0012 for the type and class of company	Invalid legal elements for this type of company		Y

Ref.	Edit	Error Message	Notes	Mand.
V277	If 01d (company class) EQ NLIA or LMGT, 01h (constitution) must EQ Y	This company must have a constitution	N122	Y
V279	Applicant capacity must match a capacity from Table 0011			Y
V280	Company name contains invalid symbols	Company name contains invalid symbols	N133	Y
V282	If 01f EQ N the 01g must not be entered	Specify these legal elements only when using ACN as name		Y
V283	If 01c (company type) EQ APTY then must not be entered	Only public companies enter office hours		Y
V284	Must be completed if 01k EQ Y	Must be completed if name has been reserved		Y
V285	Must be completed if 01l EQ Y	Must be completed incorporating existing business name		Y
V286	Must not be completed if 01k (name reserved by 410) NE Y	Only enter name reservation details if the name has been previously reserved		Y
V288	Must be a valid code in Table 0014	Invalid Part and Division code		Y
V291	Must provide either ZUH or ZDO or ZNR	Must provide either ZUH or ZDO or ZNR		Y
V292	Must be a valid code in Table 0015	Invalid message digest hashing algorithm		Y
V293	Must be a valid code in Table 0016	Invalid signature encryption algorithm		Y
V294	Must match algorithm specified in X.509 certificate	Encryption algorithm does not match X.509 certificate		Y
V295	Digital signature must match message contents	Digital signature does not match message content		Y
V296	If 03p = N opening hours must be completed	Enter opening hours if not using standard hours		Y
V297	If 01c (company type) EQ APUB then must be entered	Public companies must enter office hours		Y
V298	Must not be present if 09b is not present	Do not provide signatory role if applicant is a person		Y
V299	Must be DIR, SEC or ALT	Must be DIR, SEC or ALT	N041	Y
V300	If 06k (office held) EQ ALT, 06o (director for whom alternate is acting) must be completed	The name of the director for whom the alternate is acting must be completed		Y
V301	If 06k (office held) NE ALT, 06o (director for whom alternate is acting) must not be completed	No alternate director has been appointed		Y
V302	If 06k (office held) EQ DIR, segment ZOF may only be used for that role once	A person may only hold one directorship in a company at a time		Y

Ref.	Edit	Error Message	Notes	Mand.
V303	If 06k (office held) EQ SEC, segment ZOF may only be used for that role once	A person may only hold one secretaryship in a company at a time		Y
V304	Must be a valid code in Table 0017	Invalid registration certificate delivery option		Y
V305	Mailing address needed for option MAIL	Mailing address needed for option MAIL		Y
V307	If 09b blank then must be entered otherwise blank	Either person or organisation details must be entered		Y
V308	If 09a blank then must be entered otherwise blank	Either person or organisation details must be entered		Y
V310	If NOT (06k = ALT and 06a = NO or = AC), must be LE 00c (date of signing)	Date must not be later than date declaration signed	N169	Y
V315	If 01o = 'N', then 10a must = 'Y'.	Must be manually reviewed if residential address not supplied	N130	Y
V316	Must match an unprocessed form 201 lodged by this agent	Must match an unprocessed form 201 lodged by this agent		N
V317	Must match an entry in table 0021	Invalid provision code		Y
V320	X.509 certificate not authorised to sign this agents transmissions	X.509 certificate not authorised to sign this agents transmissions	N132	Y
V321	X.509 certificate not authorised to sign this document	X.509 certificate not authorised to sign this document	N132	Y
V322	X.509 certificate not authorised for this agent	X.509 certificate not authorised for this agent	N132	Y
V323	If 01a completed then must EQ N	Company to have either a proposed name or to use ACN as name. Can not be both.		Y
V324	If 01f EQ Y then must be completed	If the ACN is to be the company name provide the legal elements which are to apply		Y
V325	Use of this PIN not valid for this agent	Use of this PIN not valid for this agent	N131 N137	N
V326	If for change of name, must match existing ASCOT values	Must match ASCOT values		N
V327	LMSG not valid for new registrations	LMSG not valid for new registrations		Y
V328	If 03p = N opening hours must be completed	Opening hours must be entered if not using standard hours		Y

Ref.	Edit	Error Message	Notes	Mand.
V329	Must match registered Business Name on ASCOT with identical name	Must match registered Business Name on ASCOT with identical name		N
V332	Must match company electronically registered by this agent within last thirty days	Must match company electronically registered by this agent		Y
V333	Must be within last 90 days	Must be within last 90 days		Y
V334	Self authorised certificate only valid when ASIC PIN provided	Self authorised certificate only valid when ASIC PIN provided	N135	Y
V335	Self authorised certificate must match ZXI identifier	Self authorised certificate must match ZXI identifier	N135	Y
V336	If company subclass NE LIST, 08d (beneficially owned) must be entered	Only listed companies may leave this blank	N116	N
V337	Agent must pre-register before lodging Company Registration documents	Agent not authorised for company registration documents		N
V338	Funds not in place to pay for lodgement	Funds not in place to pay for lodgement		N
V339	Self authorised certificate must only sign one RA53	Self authorised certificate must only sign one RA53		Y
V340	Must not be completed if 011 (identical business name) NE Y	Only enter the business name details if the name is identical to a business name of which all the proprietors are the members listed		Y
V341	Must be a valid code in table 0017, except MAIL	Invalid certificate delivery option for reprint		Y
V342	If 10a = Y, then must be present	Supporting text must be provided for manual review		Y
V343	If 10a NE Y, then must not be present	Only enter supporting text if manual review requested		Y
V345	Company registration transmissions must be digitally signed	Company registration transmissions must be digitally signed		Y
V346	ECR documents must be signed	ECR documents must be signed		Y
V348	At least one of Australian address change or address in place of origin change or office hours change must be selected.	Must change Australian address or address in place of origin or office hours		Y
V349	If company type EQ APTY, AND where 06k (office held) EQ SEC, at least one secretary with an Australian address must be entered.	At least one current secretary must reside in Australia	N050	N

Ref.	Edit	Error Message	Notes	Mand.
V350	If 06a (status of officer) EQ AP or AC, AND 06k (office held) EQ ALT, annexure details must be completed	Terms of appointment of alternate directors must be included as an annexure	N163	Y
V354	Authorisation of X.509 must be self signed	Self sign both RA53 and TXID		Y
V355	If 01f (business name to be cancelled) EQ Y, segment ZPR must be completed	Identical business names must be entered if registering existing business name		Y
V360	If 06a (status of officer) NE AP or AC, AND 06k (office held) EQ ALT, annexure details must not be completed	Terms of appointment of alternate directors must not be included	N163	Y
V361	If 00j (name of company which is agent) is completed, 00k (ACN of company which is agent) must be completed	Agent name and ACN must both be completed	N104	Y
V362	If 00k (ACN of company which is agent) is completed, 00j (name of company which is agent) must be completed	Agent name and ACN must both be completed	N104	Y
V363	If 01a is not completed, 01f must EQ Y	Company to have either a proposed name or to use ACN as name. Cannot be both.		Y
V364	If 01c = APTY, must not be completed	Must not be entered if company type is APTY		Y
V365	First date (17e) must not be greater than last date (17f)	First date must not be greater than last date		Y
V366	Name must not equal a whole word described in Table 0023	Unacceptable word used in personal name		Y
V369	If 08s (member has ACN) = Y, then 08q (ACN) must be entered	ACN/ARBN must be entered		Y
V370	If 08p (member name organisation) is entered, then 08s (member has ACN) must be entered	Must specify if member is registered in Australia		Y
V375	If 08o (member name person) is entered, then 08s (member has ACN) must not be entered	Do not specify if member is registered in Australia when member is a person		Y
V376	If 08q (member ACN) entered, 08s (member has ACN) must = Y	Must complete if member organization is registered in Australia		Y
V379	Must not be GT 12 months prior to lodgement	Start date must be within 1 year of request		Y
V381	If 06a (status) = CA or = CX and officer has approval number then address cannot be changed	Changes to protected addresses must be notified on paper	N145	N

Ref.	Edit	Error Message	Notes	Mand.
V382	If address is suppressed, the approved alternative address must be entered	A protected address must not be used	N145	N
V387	Review date must match most recent review date on Ascot	Review date must match ASIC record		N
V388	Company type must = FNOS or RACN	Only foreign companies or registered bodies may lodge this form	N154	Y
V389	If 03a = Y, 03c must be an Australian address	Must be an Australian address		Y
V390	If 03h = Y, 03k must be entered	Address in place of origin must be entered		Y
V393	If 02b = Y, only one of 02d or 02e or 02f must be provided	Provide type of concessional fee		Y
V394	If 02c = Y, none of 02d, 02e, 02f may be provided	Do not provide type of concessional fee		Y
V395	The address override may only be used for certain addresses	The address override may only be used for certain addresses		N
V396	If entered, the ABN must match a current ABN on the Australian Business Register	Must be a current ABN on the Australian Business Register		N
V398	Document must be under requisition	Form 902 can only amend documents under requisition	N168	N
V399	Document must be fully processed	Form 492 can only amend documents that are fully processed	N168	N
V400	Must match existing share class	Must match existing share class		N
V401	Number of shares cancelled must not exceed number of shares on issue	Number of shares cancelled must not exceed number of shares on issue		N
V402	One and only one of 01f and 01h must = Y	Select either negative solvency or solvency resolution not passed		Y
V404	If 01h = Y then 01n must be provided	Provide company review date		Y
V405	If 01h NE Y then 01n must not be provided	Only provide company review date if solvency resolution not passed		Y
V407	New address must NOT EQ existing or future address and start date	New address must not be the same as the existing address		N
V409	Must be a valid status as defined in Table 0025	Invalid Ultimate Holding Company status	N157	Y

Ref.	Edit	Error Message	Notes	Mand.
V410	New UHC must NOT EQ existing UHC	New Ultimate Holding company must not be the same as the existing UHC		N
V411	If status = CN, former name of UHC must be entered	Former name of UHC must be entered		Y
V413	New or amended role must NOT EQ existing role and start date	New role must not be the same as an existing role		N
V414	If status = CA or CN or CX and officer is a person, name and birth details must match a current role on ascot	Name and birth details of officer do not match		N
V415	Name of director for whom alternate is acting must be a newly-appointed director or an existing director on ascot	Name of director for whom alternate is acting must be a newly-appointed or existing director		N
V416	Must be a valid status as defined in Table 0026	Invalid share status		Y
V417	Request type must match an entry in Table 0031	Invalid request type		Y
V418	If a country is entered, it must match the first 30 characters of an entry in Table 0029	Country name must match an entry in ISO 3166-1	N166	Y
V419	Annexure type must = ALT	Annexure type must be ALT		Y
V420	If place of incorporation = AUSTRALIA, ACN/ARBN or ABN must be entered	ACN/ARBN or ABN must be completed		Y
V421	If place of incorporation = AUSTRALIA, ACN/ARBN and company name must match a company on Ascot of type APTY, APUB or RACN	ACN/ARBN does not match company name or type		N
V422	If 05g (current UHC status) EQ CN, 05i must be completed	Previously notified name of Ultimate Holding Company must be completed		Y
V423	If 05g (current UHC status) NOT EQ CN, 05i must NOT be completed	Previously notified name of Ultimate Holding Company must not be completed		Y
V424	If 01f = Y then 01q must be provided	Provide date of resolution	N156	Y
V425	If 01f NE Y then 01q must not be provided	Only provide date of resolution if negative solvency selected	N156	Y
V426	Share classes must be listed alphabetically	Share classes must be listed alphabetically		Y

Ref.	Edit	Error Message	Notes	Mand.
V429	If company type = APUB and 10b = N, must be lodged on paper with accompanying documents	Shares issued other than for cash. Please lodge the paper document with necessary attachments		Y
V431	If 10b = Y then must be null	Description of the consideration is not to be entered if shares issued for cash only		Y
V432	If 10b = N then must be entered	Description of the consideration must be entered if shares not issued for cash only		Y
V433	If 11d = OTHER, 11e and 11f must be provided	Provide section & description for provision OTHER		Y
V434	If 11d NE OTHER, 11e and 11f must be null	Only provide section & description for provision OTHER		Y
V435	If 10b (cash only) = N, 10c (written contract) must be entered	Must be completed if shares issued other than for cash		Y
V436	If company type = APTY and 10c (written contract) = Y, must be lodged on paper with accompanying documents	Shares issued other than for cash. Please lodge the paper document with necessary attachments		Y
V443	Start date must not be GT 3 years prior to date of request	Start date must not be greater than 3 years prior to the date of the request		Y
V444	Australian address failed to pass matching rules for the Postal Address File	Australian address failed to pass matching rules for the Postal Address File		N
V445	If status = NO, name must equal Ascot UHC	Ultimate Holding Company name must match existing Ultimate Holding Company		N
V446	If status = NO, date must be after start date of Ascot UHC	Cease date must be after start date of current Ultimate Holding Company		N
V447	If status = NO, 07d, 07f and 07g must be zero	Number issued, amount paid and amount unpaid must be zero		Y
V448	If status NOT EQ NO, 07d must not be zero	Number issued must not be zero		Y
V449	Must be a valid status as defined in Table 0027	Invalid member status		Y
V450	Must be a valid status as defined in Table 0028	Invalid holding status		Y
V451	If 08t status = AP, 08k must be present	If new member, date member added to register must be provided		Y

Ref.	Edit	Error Message	Notes	Mand.
V452	If 08t status = CN or CX and 08o is present, 08v must be provided	Provide previously notified personal name when changing members name		Y
V453	If 08t status = CN or CX and 08p is present, 08w must be provided	Provide previously notified organisation name when changing members name		Y
V454	If 08t status NOT = CN or CX, 08v and 08w must not be provided	Provide previously notified name only when changing members name		Y
V455	For each class the number issued, total amount paid and total amount unpaid must equal the sum of all member holdings in the class	Share details do not tally for this class		Y
V456	Agent not authorised by form 362 to access this company	Agent not authorised by form 362 to access this company		N
V457	Must be valid month/day combination, mmdd	Invalid date format		Y
V458	02d (home unit) or 02f (charitable purposes) must not be completed if company subclass = LISN or NLTD	A company licensed to omit limited from its name may be a superannuation trustee company		N
V459	Either 02b or 02c must be provided	Provide type of change to concessional fee		Y
V460	If 02c = Y, company must already be entitled to concessional fee	Company not already entitled to concessional fee		N
V461	If place of incorporation NE AUSTRALIA, and ACN/ARBN has been entered, ACN/ARBN and company name must match an organisation on Ascot of type FNOS	ACN/ARBN does not match organisation name or type		N
V462	If 08t = AP, 08i must = AP	New member details can only have a new share holding		Y
V467	If 06a (status) NE NO or NE AC then must be blank	Cease date only allowed if office holder has ceased		Y
V468	If 01c = RACN, foreign address must not be entered	Only foreign companies may change address in place of origin		Y
V471	If 11d = S.256A-S.256E-M, form 2560 must have been lodged for this company	Form 2560 must be received before this capital reduction can be processed	N161	N
V474	If 11d = SS.257H(3)-O, form 280 or 281 must have been lodged for this company at least 14 days and no more than one year before the date of cancellation	Form 280 or 281 must be received before this share buy-back can be processed	N162	N

Ref.	Edit	Error Message	Notes	Mand.
V475	If 06a (status) = AX, 06k (office held) must = ALT	Extension of appointment only applies to alternate directors		Y
V476	If status = NO and officer is a person, name and birth details must match a current role on Ascot	Name and birth details of officer do not match		N
V477	If 01c = FNOS, address must not be in Australia	Must not be an Australian address		Y
V478	If 08i = TA or TR, 08h must be zero	Change must be zero when adding or removing unchanged from top 20		Y
V479	If 08i = AP, 08b must not be less than 08h	For new holdings, number held must not be less than the increase		Y
V480	If 08i = CD, 08b must be greater than 08h	For changes to holdings, resultant number held must not be less than the increase/decrease		Y
V481	If 08i = CO, then at least one occurrence of 08t must not equal CO	Only advise members register details when they have changed		Y
V482	If any 08t = AP, then all occurrences of the member must have 08t = AP	When adding joint members, all owners of the joint holding must have a status of AP		Y
V483	If 08c (fully paid) = Y, 08g (amount unpaid) must EQ zero	There must not be an amount unpaid when shares are fully paid		Y
V484	If 08c (fully paid) = N, 08g (amount unpaid) must NE zero	There must be an amount unpaid when shares are not fully paid		Y
V485	The sum of all occurrences of 08h (increase/decrease in holding) per share class must EQ the difference between 07d (total number issued) and the ASIC record for that class	Change in share details does not match number of shares issued		N
V486	Class code must match a class code already defined in 07a or on the ASIC record	Class code must already be defined		N
V487	For proprietary companies, the class code must match a class code already defined in 07a	Class code must already be defined		N
V490	If 08t (status) = AP, new member name and shareholding details must NOT EQ existing member details	New member details must not be the same as the existing details		N

Ref.	Edit	Error Message	Notes	Mand.
V491	If 08t (status) = CA or CN or CX or NO, name details must match a current member on ascot	Name of member does not match		N
V492	ADDR-STATE and ADDR-PCODE must be completed	An Australian address is required		Y
V493	If company type = APUB and share details (groups 6, 7, or 8) have been provided, 01f (lodgement is response to annual statement) must be answered	Public companies must state whether the 484 is a response to the annual statement		N
V494	If 01c (company type) = APUB and 01j (non-cash consideration) = Y, must be lodged on paper with accompanying documents	Shares issued other than for cash. Please lodge the paper document with necessary attachments		Y
V495	06a (officer status) must = AC or AP or CA or CN or CX or NO	This status is not valid		Y
V496	At least one of ZSP or group 4 or group 5 or group 6 or group 7 or group 8 or group 9 must be completed	No change has been notified		Y
V497	If 11b blank then must be entered otherwise blank	Either person or organisation details must be entered		Y
V498	If 11a blank then must be entered otherwise blank	Either person or organisation details must be entered		Y
V499	If 11e (member has ACN) = Y, then 11c (ACN) must be entered	ACN/ARBN must be entered		Y
V500	If 11c (member ACN) entered, 11e (member has ACN) must = Y	Must complete if member organization is registered in Australia		Y
V501	If 11b (member name) is entered, then 11e (member has ACN) must be entered	Must specify if member is registered in Australia		Y
V502	If 11a (member name) is entered, then 11e (member has ACN) must not be entered	Do not specify if member is registered in Australia when member is a person		Y
V503	Number of RA67s lodged in a calendar day must not be GT 2	Two RA67 report requests have already been submitted today	N171	N
V504	Signatory name (00a) and office (00b) must NOT EQUAL an officer name (06c) and role (06k) with a status of AC or NO.	Ceasing officer cannot sign form		Y

Ref.	Edit	Error Message	Notes	Mand.
V505	If 01c (request type) = AS, the original annual statement must have been dispatched electronically to the agent making the request in the last 60 days	No annual statement package dispatched electronically to this agent for this company in the last 60 days		N
V506	If company type = APTY and 08i (Holding status) NE to NO, 08f (Total amount paid) AND 08g (Total amount unpaid) must both be entered	Total amount paid and unpaid must be completed		N
V507	If company type = APTY and either segment ZPI (share issue) or segment ZPE (share cancellation) is present, both group 6 (share structure table) and group 9 (member details) must be completed	Share structure and member details must be completed when notifying the issue or cancellation of shares		N
V508	If company type = APTY and group 6 (share structure table) is present, group 9 (member details) must be completed	Amendments to member details must be completed when the share structure has changed		N
V509	If company type = APUB and either segment ZPI (share issue) or segment ZPE (share cancellation) is present, group 6 (share structure table) must be completed	Share structure details must be completed when notifying the issue or cancellation of shares		N
V511	Proposed name must consist of more than the legal elements shown in Table 0012	Proposed name must consist of more than legal elements		Y
V512	PO Box address not allowed	PO Box address not allowed		Y
V513	If 06a (officer status) = CN or CX, the first former name in ZFN must match the current name on Ascot	Most recent former name must be the first listed		N
V514	Lodgement date must be GT 2 months after 01n (review date)	Lodgement date must be more than 2 months after review date		Y
V515	Either XIb or XIh must be provided	PKI Certificate identifier must be provided		Y
V516	Proposed name must consist of more than symbols or punctuation marks and legal elements	Proposed name must consist of more than symbols or punctuation marks and legal elements	N133	Y
V517	Amount may not be > $999,999,999,999.00	Amount paid or unpaid may not be greater than $999,999,999,999.00		Y

Ref.	Edit	Error Message	Notes	Mand.
V518	Amount issued may not be > 999,999,999,999	Number of shares issued may not be greater than 999,999,999,999		Y
V519	If company type = APUB group 9 (member details) must not be completed	Do not provide changes to member details for public companies		N
V520	If 01c (company type) = APTY, 01e (company subclass) must be HUNT or PNPC or PROP or PSTC	This subclass cannot be specified on form 201		Y
V521	If 01c (company type) = APUB, 01e (company subclass) must be ULSN or ULSS or ULST	This subclass cannot be specified on form 201		Y
V522	Company status must be REGD	Not in correct status to lodge this form		N
V523	If Form 519A or Form 519G received LT 6 months before lodgement date, OR Form CLP1 received LT 2 years before lodgement date unless a related Form CLPF has been processed after the registration date of the CLP1, then Form 6010 must be lodged on paper	Lodge on paper as there is a record of legal proceedings against company	N177	N
V524	Reject lodgement if total payable GT $38.00	Company has outstanding fees and penalties	N178	N
V525	Payment method must match a value in table 0032	Invalid payment method		Y
V526	If 01c = DDEB the lodging agent must have pre-registered for Direct Debit payment	Agent not authorised for Direct Debit	N179	N
V527	If 03b (applicant name organisation) blank then must be entered otherwise blank	The applicant must be either a person or an organisation		Y
V528	If the applicant is a person it must match a current DIR or ALT	The applicant must be a current director or alternate director of the company		N
V529	If 03a (applicant name person) blank then must be entered otherwise blank	The applicant must be either a person or an organisation		Y
V530	If the applicant is an organisation the name must match 01a (Company name) and the ACN must match 01b (ACN)	The applicant organisation must be the same as the subject organisation		Y

Ref.	Edit	Error Message	Notes	Mand.
V531	If 03b (applicant name organisation) is completed segment ZSH must be completed	A nominee must be provided when the applicant is a company		Y
V532	If the applicant is an organisation the signatory must be a current director, secretary or alternate director of the organisation	The signatory must be a current officer of the applicant company	N180	N
V533	Must match a code in table 0033	Invalid resolution type		Y
V534	If 01d = NAME 02g (special resolution) must = Y	Change of name must be a special resolution		Y
V536	If 03a (new name) is completed 03d (ACN as name) must NE Y	Company to have either a proposed name or to use ACN as name, cannot be both		Y
V537	If 03a (new name) is not completed, 03d (ACN as name) must = Y	Company to have either a proposed name or to use ACN as name, cannot be both		Y
V538	If 03d (ACN as name) = Y, 03e (legal elements) must be completed	Legal elements must be entered if the ACN is to be the new name		Y
V539	If 03d (ACN as name) NE Y, 03e (legal elements) must not be completed	Specify legal elements only when ACN is new name		Y
V540	If 03f (name reserved) = Y, ZNR (name reservation details) must be completed	Name reservation details must be entered		Y
V541	If 03f (name reserved) NE Y, ZNR (name reservation details) must not be completed	Only enter the name reservation details if the proposed name has been reserved		Y
V542	If 03g (identical business name) = Y, ZPR (business name details) must be completed	Business name details must be entered		Y
V543	If 03g (identical business name) NE Y, ZPR (business name details) must not be completed	Only enter the business name details if the proposed name is identical to a business name		Y
V544	If 03a (applicant name person) is completed, 03e (applicant role) must be completed	Applicant role must be provided when the applicant is a person		Y
V545	If 03a (applicant name person) is not completed, 03e (applicant role) must not be completed	Applicant role must not be provided when the applicant is an organisation		Y
V546	Must be DIR or ALT	Applicant role must be a director		Y

Ref.	Edit	Error Message	Notes	Mand.
V547	If 03a (applicant name person) is completed, 03d (applicant's address) must be completed	Applicant's address must be provided when the applicant is a person	N181	Y
V548	If 03a (applicant name person) is not completed, 03d (applicant's address) must not be completed	Applicant's address must not be provided when the applicant is an organisation	N181	Y
V549	If 01c (company type) EQ APUB and 01e (company subclass) EQ ULSN or ULSS, 01h (constitution) must EQ Y	This company must have a constitution	N122	Y
V550	If 07c (Reservation document number) is the number of a 410B, the signatory name and role must be a current DIR, SEC or ALT of the company changing name	Must be a current officer of the company changing name		N
V551	If 03d (ACN as new name) EQ Y or 03f (name reserved by Form 410) EQ Y, 10a (request application be manually reviewed) must NE Y	Manual review is not allowed when the new name is the ACN or is an existing reservation		Y
V552	If 02b is blank, then must be entered	Either person or organisation details must be entered.		Y
V553	If 02a is blank, then must be entered	Either person or organisation details must be entered.		Y
V554	If 03g = Y either 03h or ZPR must be completed	Provide either state business name details or ABN		Y
V555	If 01f = Y either 01s or ZPR must be completed	Provide either state business name details or ABN		Y
V556	If 01l = Y either 01s or ZPR must be completed	Provide either state business name details or ABN		Y
V557	ABN must match that of the registered business name on ASIC's records	The ABN is not valid for the business name	N184	N
V558	If 01e (company subclass) = ULSN or ULSS or ULST legal elements must be provided in the name	This company type, class and subclass combination requires legal elements in the name.		Y
V559	If 08t (member status) EQ AP, the ACN/ARBN must match an organisation whose status is not DRGD	Deregistered organisations cannot be appointed as members		N
V999	Other rejection reason			
X001	Mandatory segment not found	Mandatory segment not found		
X002	Skipping excess elements in segment	Skipping excess elements in segment		
X003	Message header not found	Message header not found		

Ref.	Edit	Error Message	Notes	Mand.
X004	Message id invalid	Message id invalid		
X005	Form type invalid	Form type invalid		
X006	Message version missing	Message version missing		
X007	Message version invalid	Message version invalid		
X008	Form type & version unidentified	Form type & version unidentified		
X009	Message trailer eyecatch invalid	Message trailer eyecatch invalid		
X010	Message trailer form type invalid	Message trailer form type invalid		
X011	Message trailer segment count incorrect	Message trailer segment count incorrect		
X012	System error - composite element not found	System error - composite element not found		
X013	System error - element in segment not in map	System error - element in segment not in map		
X014	Element value exceeds defined length	Element value exceeds defined length		
X015	Mandatory element has no value	Mandatory element has no value		
X016	Sign missing from signed element	Sign missing from signed element		
X017	Numeric element is not numeric	Numeric element is not numeric		
X018	Unidentified segment read	Unidentified segment read		
X019	Number of format errors exceeds maximum	Number of format errors exceeds maximum		
X020	Segment exceeds maximum length	Segment exceeds maximum length		
X021	Field exceeds maximum length	Field exceeds maximum length		
X022	Invalid characters in alpha field	Invalid characters in alpha field		
X023	Invalid characters in alphanumeric field	Invalid characters in alphanumeric field		
X024	Invalid character in flag field	Invalid character in flag field		
X025	Invalid character in base64 field	Invalid character in base64 field		
X026	Invalid signature segments after trailer	Invalid signature segments after trailer		
X027	Digital signature verify failed	Digital signature verify failed		
X028	BCHN signature verify failed	BCHN signature verify failed		
X029	No TXID in BCHN	No TXID in BCHN		
X030	BCHN TXID not last document in BCHN	BCHN TXID not last document in BCHN		

Ref	Description

9. NOTE REFERENCES

9.1. Inbound (agent generated) messages

N001	A Home Unit company is one where the company is the proprietor of land upon which a building is erected and divided into individual residential areas and members are entitled to the exclusive occupation of one or more of those areas, and the company operates solely to facilitate the rights of members in relation to their exclusive occupation of those residential areas and its maintenance.
N002	A declaration under S150 of the *Corporations Act 2001* is that the company is formed for a purpose restricted to those specified in s150(1)(a) and applies its profits (if any) or any other income to promoting its objects and prohibits the payment of any dividends to its members.
N003	This is the full name and title of the company as shown on the company's current Certificate of Incorporation. Changes to the name of the company require the prior approval of ASIC. Changes should not be entered here unless the relevant documents have been lodged and a Certificate of Incorporation for change of name of the company has been issued.
N004	Every Australian company has received a nine digit identifying number known as the Australian Company Number or A.C.N. This number should be quoted in all dealings with ASIC. The right most digit is a check digit which is used to validate the number.
N005	See Table 0001 for valid values.
N006	See Table 0002 for valid values.
N007	If a change is a notifiable change which you have previously notified to ASIC, you should also show the date notified.
N010	Care of details - This field is used to identify a person, firm or corporation name who is the actual occupier of the address. This field should not be used when a person's residential address is required (such as for company office holders).
N011	Room, Floor, Building, PO Box details. Post Office Box addresses by themselves are usually not enough information to fully identify an address required on a form.
N012	Street number, name and type - Should identify only the house number and full street name of the addressee. Unit/Flat numbers should be entered in the Room/Floor/Building field.
N013	Locality - Identifies the suburb, town etc of the address. For Australian addresses this field should match the postcode field.
N014	State/Territory - only Australian States or Territories are acceptable. Table 0004 shows the valid codes.
N016	Country - Only entered for countries other than Australia. Some addresses must be Australian addresses, therefore, this field will not always be available.

Ref	Description

Ref	Description
N023	A company must have a registered office within Australia to which all communications and notices may be addressed. Section 142 refers.
N024	If the company does not occupy the premises which are its registered office, the occupier of the premises must give written consent use of that address as the address of the registered office of the company. Section 143 refers.
N025	The principal place of business of the company is the address where the principal activities of the company are conducted. It may or may not be the address of the registered office.
N026	'Ultimate Holding Company' means a corporation that is a holding company of the company lodging the form and is itself a subsidiary of no other corporation.
N028	The place of incorporation for the Ultimate Holding Company must be entered, even if the UHC is registered in Australia. The country is checked against ISO 3166, an international standard list of country names. Any UHC incorporated in Australia must also provide a valid ACN, ARBN or ABN. A UHC that is not registered in Australia must provide an ARBN if the UHC is recorded by ASIC as a registered foreign company.
N040	Details are required for each director, alternate director and secretary of the company.
N041	DIR (Director). Includes any person who occupies, or acts in, the position of director irrespective of the title they are given. It also includes any person in accordance with whose directions or instructions the directors of a company are accustomed to act.

SEC (Secretary). Is a person appointed by the directors who is present at the registered office in person, or is represented by an agent.

ALT (Alternate director). Is a person designated to act as a substitute for a person who acts in the position of director.

AGT (Agent). Is a natural person, or a company, resident in Australia and authorised to accept on the foreign company's behalf service of process and notices. |

Ref	Description
N042	Share Class. Use a code or abbreviation for the class of issued shares or options as recommended below. No more than 4 characters are permitted. Share Description. Give the full title of the class. Recommended Codes and Descriptions. ORD — Ordinary A, B etc — Class A, Class B etc MAN — Management LG — Life Governors EMP — Employees FOU — Founders PRF — Preference CUMP — Cumulative Preference NCP — Non Cumulative Preference REDP — Redeemable Preference NRP — Non Redeemable Preference CRP — Cumulative Redeemable Preference NCRP — Non Cumulative Redeemable Preference PARP — Participative Preference RED — Redeemable SPE — Special
N046	A member who holds shares on behalf of another person or corporation is not the beneficial owner of the shares.
N047	This declaration must be made pursuant to section 348 of the *Corporations Act 2001*.
N049	Number allocated to a software provider for either testing a software package or for registering an approved package for production returns. The test number (99999) MUST NOT be used for PRODUCTION returns. A production number MAY be used for both PRODUCTION and TEST returns.
N050	For an Australian address, the elements LOCALITY, STATE and POSTCODE must be present and COUNTRY must be blank or null. For overseas addresses, the elements LOCALITY and COUNTRY must be present and STATE and POSTCODE must be blank or null.
N051	An entry of "Y" implies an answer of "Yes" to the relevant question. An entry of "N" implies an answer of "No". A null entry implies no answer is given.
N052	The registered office must be open for at least 3 hours between 9 a.m. and 5 p.m. of each business day. Section 145 refers.
N057	The signatory to the declaration must be a director or secretary of the company. Section 351 refers.
N059	The date entered in this field must match the date the actual paper document was signed.

Ref	Description
N063	Details relating to organisations should include at least the organisation's full name without abbreviations. If an Australian Company Number (ACN) or Australian Registered Body Number (ARBN) has been allocated to the organisation and is known, then it should be entered in the separate field provided. If a number is entered, it will be checked against the name and ASIC's database to ensure that it matches.
N069	The date and place of birth of each office holder must be entered. Section 205(3) refers. If the officeholder was born in Australia, the locality and state of the person's place of birth must be entered. The state is validated against Table 0004. The locality is validated against the Australia Post locality/postcode table. If born overseas, at least the country of birth must be entered. The country is validated against the ISO3166 table of countries.
N070	Details of all issued shares and/or options per class must be entered.
N071	Officeholders must be 18 years of age or older before appointment. S201B(1) refers.
N072	The following form types can only be lodged by or on behalf of companies that are currently registered in Australia as a Public (APUB) or a Proprietary (APTY) company: 361 Notification of a registered agent ceasing to act for a company 362 Notification of appointment or cessation of a registered agent by a company 370 Resignation of officeholder 410 Application for reservation of a name 484 Change to company details New Australian proprietary or public companies are registered by lodgement of a form 201 Application for registration as an Australian company.
N073	Refer to Table 0003 for valid codes
N076	Every Registrable Australian Body has received a nine digit identifying number known as the Australian Registered Body Number (ARBN). This number should be quoted in all dealings with ASIC.
N078	Refer to Table 0006 for valid codes
N079	After 1/7/1982 companies were no longer permitted to be appointed as officeholders of another company. Officeholders appointed after this date must be natural persons.
N087	For each class of share, the twenty members holding the greatest number of shares must be shown. They must appear in the same sequence on the printed form.
N092	Answer Yes only if the company acts solely as the trustee of a regulated superannuation fund as prescribed by the Superannuation Industry (Supervision) Act and prohibits the distribution of income or property among its members.

Ref	Description
N093	"Test" transmissions (01b = Y) allow an agent to test hardware, communications and data without "lodging" a document onto the public record. Transmissions sent as test transmissions will be validated and a validation report produced. The documents included in the test transmission will not be lodged onto the ASCOT and DOCIMAGE databases and no invoice file will be produced. Validation reports produced from test transmissions are clearly identified as such and no document numbers are allocated to "accepted" documents.
N094	An officer can hold multiple roles in a company. The officers group is designed so that software developers using relational databases can transmit details of multiple roles (segment ZOF) without repeating the officer details (segment ZSD). This holds only if the officer details are identical for all roles. If, for example, an officer is continuing with no changes in role of DIR and is newly appointed in role of SEC, then the data stream will need to be ZSD - continuing, no changes ZOF - director ZSD - newly appointed ZOF - SEC For developers not using relational products, the group will also allow a one to one relationship between segments ZSD and ZOF.
N095	Within ASIC's ASCOT database, the following codes are used to describe the status of a company REGD - registered SOFF – registered, strike-off action in progress EXAD - registered, under external administration NOAC - registered, not active DRGD - deregistered
N096	The signatory to the document for a local company must be a director or secretary of the company. Section 351 refers. When an alternate director exercises the director's powers, an alternate director may sign the document. Section 201K refers. The signatory to the document for a foreign company where a natural person has been appointed as an agent must be a local director or an agent. The signatory to the document for a foreign company where a company has been appointed as an agent must be a local director or a director or secretary of the company acting as an agent. The signatory to the document for a Registrable Australian Body must be a director or equivalent.
N098	The date must be the date of change. Section 205B(4) refers.

Ref	Description
N099	A public company must have at least 3 directors. At least 2 of them shall be persons who ordinarily reside in Australia. A proprietary company must have at least 1 director. At least one director must ordinarily reside in Australia. Refer Section 201A.
N101	A proprietary company is not required to have a secretary but, if it does have 1 or more secretaries, at least one of them must ordinarily reside in Australia. A public company must have at least 1 secretary. At least one of them must ordinarily reside in Australia. Section 204A refers.
N102	For public companies only, if shares have been issued other than for cash, and the contract has not been reduced to writing, a Form 208 showing the details of the issue is required to accompany the Notice of change to company details (CCD). This could be either a Form 484 for changes throughout the year or a Form 483 if a response to a Return of Particulars. For public companies only, if the contract has been reduced to writing, a Form 207Z declaring compliance with stamp duty law and accompanied by either the written contract, or a certified copy of the contract, is required to be lodged with the CCD. Proprietary companies that issue shares other than for cash are not required to lodge accompanying documentation with the CCD, unless the shares are issued under a written contract. In this case, a Form 207Z must be lodged with the CCD.
N104	A natural person or an Australian company may be appointed as the agent of a foreign company (sec 601CG). If a company is appointed as agent, the name and ACN of the Australian company must appear here as the agent. The signing officer must be a current office holder of the Australian company and must state the office that is held.
N107	While a company is being wound up, a person cannot perform or exercise a function or power as an officer of the company except with the liquidator's written approval or with the approval of the court. Refer Section 471A(1) and 506(1).
N108	Where a company is unable to comply with the *Corporations Act 2001* requirements for minimum number of officeholders, the Form 304 should be lodged on paper accompanied by documentation explaining the circumstances.
N111	All documents lodged with ASIC are allocated a unique nine character document number. The first character of this number will always be numeric. For EDGE documents, the second character will always be "E" and characters 1 and 3-9 will be numeric. For paper documents, characters 2-9 may be alphabetic or numeric but character 2 will never be "E".
N119	The application must state, for a company limited by guarantee, the proposed amount of the guarantee that each member agrees to in writing. Refer to section 117(2)(m).

Ref	Description

N120	Refer to table 0012 for valid legal elements for company types and classes.
N121	The applicant may be a natural person or an organisation not necessarily the lodging party. If the applicant is an organisation the signatory may be a representative of that organisation.
N122	Public companies that choose not to adopt the Replaceable Rules must lodge a copy of the company's constitution with ASIC. The RA56 generated in response to the form 201 will advise how to lodge these documents.
N123	If the applicant claims that ministerial consent has been granted for the use of the proposed name, the Form 201 will be queued for manual processing.
N124	The application must state the amount, if any, each member agrees in writing to pay for each share. If that amount is not to be paid in full on registration, the amount, if any, taken to be paid or due and payable on the issue of each share. Refer to section 117(2)(k)(ii).
N125	Organisation type codes are described in Table 0013
N126	Customer software must have the applicant view and assent to the following declaration as part of the affixing of a digital signature to a form 201. "I apply for the registration of a company on the basis of the information in this form and any attachments. I have the necessary written consents and agreements referred to in this application concerning the members and officeholders and I shall give the consents and agreements to the company after the company becomes registered. The information provided in this application and in any annexures is true and correct at the time of signing."
N129	A base-64 element must contain data encoded to base-64 according to RFC1421 using ASCII characters A through Z, a through z, 0 through 9, plus + and / In assembling base64 elements into EDGE ZXC & ZXS segments, the newline character required by RFC1421 also acts as the EDGE segment delimiter.
N130	An alternate address for an officeholder may only be used where the Commission approves an application under S205D(2) of the *Corporations Act 2001*. If approval has been given you must give the details including the 'ASIC Approval Number' in the ZTE segments of the Administrative Details group on this application.
N131	When a registered agent enters into a trading agreement with ASIC for the lodgement of company registration transmissions, ASIC will issue a single use PIN to the agent. This PIN must be used to authenticate the first RA53 message lodged by the agent to authorise use of an X.509 certificate. The initial company registration transmission by the agent must be a self authorised transmission containing a single RA53 document, digitally signed by the certificate being authorised. The ZDC segment must authorise the certificate for both "transmission" and "document" signing authorities. It must also contain the PIN. Refer to the digital signature specification for further details. Once the initial X.509 certificate has been authorised in this way, it can be used to authorise "descendant" X.509 certificates.

Ref	Description

| N132 | Before an X.509 certificate can be used for EDGE lodgements by an agent, the agent must advise EDGE of the authorities assigned to the certificate. These authorities are assigned using message RA53 and updated by message RA54.

Two levels of authority may be assigned to an X.509 certificate, these being to sign a document, and to sign a transmission. Details of these authorites are defined in the EDGE Agent Agreement and Protocol which controls EDGE participation. |

Ref	Description
N133	In processing applications for reservation or registration of company names, only the following characters are acceptable in the proposed company name. The following characters are regarded as significant when determining whether a proposed name is available or not: Alphabetic characters A to Z Numeric characters 0 to 9 Asterisk * At @ Dollar $ Equals = Hash # Percent % The following characters are regarded as punctuation, and are not taken into account when determining the availability of a proposed name: Full Stop . Comma , Hyphen - Round Brackets ( ) Curled Brackets { } Exclamation Mark ! Question Mark ? Colon : Semi-colon ; Apostrophe ' Quotation Marks " " Underscore _ Slash / Pipe | Backslash \ Space An attempt to register a name consisting only of these characters plus the legal elements will be rejected by V516. For example, ? PTY LTD is not a valid company name. & (ampersand) is regarded as identical to the word "and". Therefore, the name "A B AND C PTY LTD" is regarded as identical to "A B & C PTY LTD". Refer to InFocus Volume 8 Issue 2 (May 1999) for more details. Schedule 6 of the Corporations Regulations 2001 sets out the rules for ascertaining whether names are identical, and which names are unacceptable or restricted.
N134	Certificates will be delivered as Postscript of .PDF data, appended to the RA55 message after the newline delimiter of the ZTR segment.

Ref	Description
N135	The current implementation of EDGE is a closed trading system, where all X.509 certificates and their authorities must be advised to EDGE by the lodging agent. This is done using messages RA53 and RA54. A new X.509 certificate is normally authorised by the transmission of an RA53 message signed by a previously authorised certificate. On first signing the Edge Agent Agreement with ASIC to lodge company registration transmissions, an agent will be provided with a single use PIN number to authorise the first certificate. This PIN must be sent to ASIC in an RA53 transmission signed using certificate to be initially authorised. This is called a "self authorised" transmission. This method will also be used if a new initial certificate needs to be authorised. This might occur if, for example, the only authorised certificate is lost. Authorities attached to a certificate may be updated using an RA54 message. Refer to the digital signature specification for further details on self authorised transmissions.
N136	Software preparing RA53 & RA54 messages must have authorised users assent to, or decline to assent to, the following declarations item 00d "I declare that the holder of the attached X.509 certificate is a person authorised to digitally sign transmissions to ASIC on behalf of this lodging agent" item 00e "I declare that the holder of the attached X.509 certificate is a person authorised to digitally sign company forms which this lodging agent may transmit to ASIC"
N137	To allow software developers to test the single use PIN facility, in the developers test environment, V325 will not be applied if the PIN element is equal to the EDGE user id.
N141	When a company is registered using the ACN as the name, ASIC insists that legal elements be provided. A public company, limited by guarantee and without the word Limited in its name (under s150) may not be registered electronically with the ACN as name.
N142	Customer software must have the applicant view and assent to the following declaration when completing Form 201, Form 205A, Form 410 or Form 410B and the name to be reserved or registered is a business name: "I declare that I own, or am registering the company for the owner(s) of the identical business name(s), the registration details of which are listed."

Ref	Description
N143	ASIC validates each address received using the Address Matching Approval System (AMAS).

AMAS has been developed by Australia Post to improve the quality of addressing. It is a software approval program that provides a standard by which to test and measure the quality of address matching software to correct and match addresses against the Postal Address File (PAF).

This validation process may cause the address transmitted to ASIC to be amended to conform with that contained on PAF. Consequently, the address held by ASIC may differ from that on the company records.

To check the address stored on ASIC's records, it is recommended that lodging parties use the Data Download (RA71) facility.

More information on AMAS and PAF may be found at www.auspost.com.au/futurepost/

AMAS and PAF are registered trademarks of the Australian Postal Corporation. |
| N145 | Persons who are entitled to have their usual residential address suppressed under section 205D(2) can only change their usual residential address or alternative address by lodging a paper form 379 "Notice of change of residential address for persons entitled to use an alternative address". Please advise ASIC in writing if the suppression is no longer required. |
| N146 | Information that is returned by ASIC to the EDGE lodging party is called "correspondence". The correspondence is a PDF document that is attached to an RC05 message. The RC05 describes the type of message:

BULLETIN – an information bulletin for registered agents. This bulletin replaces the validation report message.
CONFIRM – a confirmation message.
CS – a Company Statement.
INVSTMNT – an Invoice Statement.
LETTER – a letter
NOTICE – a notice
REQ – a requisition notice.

Table 0030 refers. |
| N147 | The Extract of Particulars or Statement of Particulars may also include specific questions that ASIC requires the company to answer, for example, if the company has fewer than the minimum number of directors a question will be included on the EoP to remind the company that this is a matter that needs attending to. |

Ref	Description

Ref	Description
N148	An amendment to data occurs when the data shown on the Extract of Particulars or Statement of Particulars is incorrect, and the company wishes to correct ASIC's data. No date of change is entered for an amendment, so there is no late fee. Whenever an amendment is notified, a freetext segment must be included on the form explaining the circumstances of the correction. All documents lodged notifying of an amendment will be queued for manual processing.
N149	Changes to certain items included in the Annual Statement should normally be notified to ASIC on prescribed forms within specified times after they occur. These items are: **Changes to the Registered Office Address** – Form 484 – 28 days **Changes to the Principal Place of Business Address** – Form 484 – 28 days **Changes to concessional fee details** – Form 484 – 28 days **Changes to the Ultimate Holding Company** – Form 484 – 28 days **Changes to company officers and particulars** (appointments/cessations, change to officer's address or name.) – Form 484 – 28 days **Changes to share particulars** – Form 484 – 28 days
N150	Do not print on the form whether or not the member or ultimate holding company is registered in Australia.
N151	Whenever a new alternate director is appointed, the terms of appointment of that alternate director must be set out in an annexure to the form. The terms of appointment must not be included if an alternate director is being ceased, changing name or address, or continuing.
N152	Information given in the Notice must be correct at the date the Notice is signed.
N153	Only a registered foreign company (FNOS) or registered Australian body (RACN) may lodge this form. Collectively these organisations are known as registered bodies. Registered foreign companies and registered Australian bodies are defined in section 9 of the *Corporations Act 2001*.
N154	A registered body must have a registered office to which all communications and notices may be addresses. Section 601CT refers.
N155	The registered office of a registered body must be open each business day from at least 10 am to 12 noon and from at least 2 pm to 4 pm. If a notice has been lodged specifying the opening hours, the office must be open for at least 3 hours between 9 am and 5 pm on each business day. Section 601CT refers.

Ref	Description
N156	If applicable, the company must make a declaration regarding solvency. There are three possible answers to the question of solvency: 1. Directors have met and resolved the company is not solvent. 2. Directors have not met and made any resolution as to the solvency of the company. 3. Directors have met and resolved the company is solvent. Responses 1 and 2 can be made in response to an annual review process. Responses 1, 2 and 3 can be made in response to a Return of Particulars. Section 348C refers.
N157	Ultimate Holding Company (UHC) status codes: **AP** – When a new UHC is appointed. The appointment date must be entered. **CN** – When the current UHC changes name. The former name of the UHC must be entered. Note that no late fee is assessed for a change of UHC name. **NO** – When ceasing the current UHC without appointing a new UHC. The cease date must be entered. Table 0025 refers.
N158	Show the change in the number of shares held in a members holding. Where the number of shares held has increased since the previous notification to ASIC, provide a positive number. Where the number held has decreased, provide a negative number. For new holdings, the change should equal the number held. Where the number held has not changed, the change should be zero.
N159	Valid request types are: **AS** – Request to re-issue Annual Statement of Details (returned as form 480) **CS** – Request for current Company Statement (returned as RC05) **DATA** – Request for Data Download (returned as RA72) Table 0031 refers.
N160	ASIC may need to introduce new edits without the advance notice of a new version of the specification. V999 will enable this. Where a V999 edit is invoked, we expect to provide sufficient additional lines of information to make the cause of the error obvious to an agent.
N161	Companies with more than one share member must have previously lodged a form 2560 Notification of Reduction in Share Capital Details before the share cancellation can be processed.

Ref	Description

N162	Either a form 280 Notice of Meeting and Documents re Buy-back or a form 281 Notice that Company Intends to Carry Out Buy-back must have been lodged with ASIC at least 14 days and no more than one year before the share buy-back can be processed.
N163	Whenever a new alternate director is appointed (status AP) or if an alternate director is being appointed and ceased (status AC), the terms of appointment of that alternate director must be set out in an annexure to form. The terms of appointment must not be included if an alternate director is being ceased (other than an appointment and cessation), changing name or address, or continuing.
N164	The review date report will provide agents with information on those companies the agent represents that have a review date in the period entered. No year is required to be entered since a company with a review date of 13 July 2003 will also have a review date of 13 July 2004, etc. The period entered should not be greater than one year. Agents who represent a lot of companies should be aware that when the period entered is a full year the report will take longer to prepare.

N165	When printing the paper form, in the "top twenty" column:
	    if status = TA (add unchanged holding to top 20), print "Y"
	    if status = TR (remove unchanged holding from top 20), print "N"
	    otherwise, leave blank

N166	Where the country name from ISO3166 exceeds 30 characters, it should be truncated to the first 30 characters of the name.
N167	The annual review package (as a PDF file) includes an invoice/statement which describes the company's debt position. The invoice/statement will always contain the annual fee. It may also contain brought forward balances and line items for other fees. Details of the annual review fee invoice are provided as structured data to assist customers who wish to export this data into an accounts payable system. If a software developer chooses to take advantage of this, they should also check whether the total amount owing on the invoice/statement contains other charges. If it does, the customers should be directed to print the invoice/statement and pay other outstanding amounts immediately.
N168	A requisitioned document is one received by ASIC (either on paper or electronically) that cannot be fully processed on the basis of the information contained in the form. A requisition notice is sent to the lodging party describing the deficiencies. Form 902 is lodged to correct these deficiencies. When received by ASIC, the 902 is attached to the original document, and both the 902 and the original document are processed. Form 492 can only be lodged against documents that have already been fully processed by ASIC.

Ref	Description

Ref	Description
N169	An officeholder may be appointed and ceased on the same form. The officeholder status for this action is AC. Unless the officer is an alternate director, the cease date must not be later than the date the form is signed.
N170	The Company Debt report for an individual company shows the debt details for a company that is linked to the agent requesting the report. For companies to appear on these reports they must be already linked to the registered agent by either lodgement of form 362 or pre-CLERP7 form 361. ASIC updates customer records after 10 minutes so debt details will not be available if the RA63 is lodged immediately after the form 362. Linked companies with zero debt balances will *not* appear in the report. RA63 requests will be processed as they are received.
N171	The Company Debt report for all companies shows the debt details for all companies linked to the requesting agent that have debt to ASIC. For companies to appear on these reports they must be already linked to the registered agent by either lodgement of form 362 or pre-CLERP7 form 361. ASIC updates customer records after 10 minutes so debt details will not be available if the RA67 is lodged immediately after the form 362. Linked companies with zero debt balances will *not* appear in the report. RA67 requests will be queued and processed sequentially. There may be some delays in preparing reports if there is a heavy volume of requests at a particular time, especially for large agents In order to prevent duplicate and unnecessary requests, a limit of two RA67 requests will be acceptable per calendar day.
N172	The X.509 specification requires each certificate to be uniquely identified with a serial identifier of type unbounded integer, which means the identifier must be numeric without length constraints. Because of the technology available in 1998, the ECR system was implemented with a limit of seventeen digits in the identifier. ASIC has become aware that Verisign have started to produce some Gatekeeper compliant certificates with serial identifiers that are larger than 17 digits. If an ECR customer purchases such a certificate, then it will not be able to be used with ECR. Where the serial identifier of the certificate is greater than 17 characters long, the identifier must be included in the Serial Identifier 200 element of the ZXI segment.

Ref	Description
N173	Software preparing Form 484 where the company commences as home unit company must have users assent to the following declaration: The following declaration is made to support that this company is a special purpose company as defined in Regulation 3 of the Corporations (Review Fees) Regulations 2003. (i) The company is the proprietor of land on which a building divided into separate residential areas and areas for common use is erected; (ii) The members of the company are entitled, because of the shares they hold in the company, to the exclusive occupation of 1 or more of the residential areas and to the use of the common areas; and (iii) The company only operates to facilitate and enforce the rights of company members in relation to exclusive occupation of the residential areas and to maintain the common areas. After the Form 484 has been lodged a paper version of this declaration will be sent to the agent for completion.
N174	Software preparing Form 484 where the company commences as superannuation trustee company must have users assent to the following declaration: The following declaration is made to support that this company is a special purpose company as defined in Regulation 3 of the Corporations (Review Fees) Regulations 2003. (i) The constitution of this company prohibits distribution of the company's income or property to its members; and (ii) The sole purpose of the company is to act as the trustee of a regulation superannuation fund within the meaning of section 19 of the Superannuation Industry (Supervision) Act 1993. After the Form 484 has been lodged a paper version of this declaration will be sent to the agent for completion.

Ref	Description
N175	Software preparing Form 484 where the company commences as charitable purposes company must have users assent to the following declaration: The following declaration is made to support that this company is a special purpose company as defined in Regulation 3 of the Corporations (Review Fees) Regulations 2003. The constitution of this company: (i) Requires the company to pursue charitable purposes only and to apply its income in promoting those purposes; and (ii) Prohibits the company making distribution to its members and paying fees to its directors; and (iii) Requires its directors to approve all other payments the company makes to them. After the Form 484 has been lodged a paper version of this declaration will be sent to the agent for completion.
N176	A company must not lodge a form 484 Change to company details if the cessation of an officeholder has already been notified by lodgement of form 370 Notification by officeholder of resignation or retirement.
N177	Form 6010 must be lodged on paper if: • A Form 519A "Filing of application for winding up order" or 519G "Application to wind up company under s.459P, 462 or 464" has been lodged within the 6 months prior to lodgement of the Form 6010; or • A Form CLP1 "Civil legal proceedings issued under s.1274 (11)" has been lodged within the 24 months prior to lodgement of the Form 6010 except where a related form CLPF "Civil Legal Proceedings Finalised" has been processed after the date of registration of Form CLP1.
N178	The form 6010 cannot be lodged when the company has an outstanding debt with ASIC greater than $38.00.
N179	When lodging Form 205A, 410B, 410F or 6010 the agent must choose whether payment is by Direct Debit or by invoice. If Direct Debit is chosen the agent lodging the form must have previously arranged with ASIC to pay by this method. When Direct Debit is chosen an INV message describing the transaction will be delivered to the agent. When the invoice payment method is chosen the Invoice will be issued on paper according to ASIC's regular cycle. For Forms 205A, 410B and 410F, the RC05 containing the certificate or notice will be delivered separately.

Ref	Description

Ref	Description
N180	The Form 6010 must be signed by the applicant for deregistration. For electronic lodgement the applicant must be either the company itself, in which case the signatory must be a current officer of the company, or the applicant may be a current director or alternate director of the company, in which case the director or alternate director must sign the form.
N181	If the applicant is a person an address must be supplied. If the applicant is the company, no address should be supplied. The registered office address of the company is used as the applicant's address.
N182	Software preparing Form 201 where the company nominates that they are a special purpose company must have users assent to the following declaration: I DECLARE that this company is a special purpose company as defined under Regulation 3 of the Corporations (Fees) Regulations 2003.
N183	Software preparing Form 6010 must display the following text: *If your account shows a credit balance following approval of your Deregistration Application ASIC will issue a refund to your Registered Office or Postal Service address within the next 14 days, you do not need to contact ASIC.* Note that "your" refers to the company being deregistered, not to the agent lodging the form.
N184	A new national business names registration service will replace the current state and territory services, so that businesses only need to register their name with a single national register. The new National Business Names Registration Service is expected to commence on 28 May 2012. The new national service will be managed by the Australian Securities and Investments Commission (ASIC). Business names registered from this date will not be allocated a state or territory business name number; instead they will be identified by an ABN.
N185	Applications for names identical to an existing business name always queue for manual processing. For applications where the proposed name is identical to a business name registered after 28 May 2012, the following manual tests are performed: - The proprietor of the business name must be represented as a company officer or member on the Form 201 - The proprietor of the business name must be the applicant on the Form 410 - The proprietor of the business name must be an existing officer of the company or member for a Form 410B or Form 205A. There is an exception to these tests for companies registered with a standard shelf company set-up, and where the proprietor/owner of the business name is not a person.

Ref	Description

| N186 | Software preparing lodgements to update ASIC's register must display the following privacy statement to users:

Privacy   The information provided to ASIC in this form may include personal information. Please refer to our privacy policy (www.asic.gov.au/privacy) for more information about how we handle your personal information, your rights to seek access to and correct personal information, and to complain about breaches of privacy. |

Ref	Description

9.2. Outbound (EDGE generated) messages

P008	ACNs must be printed in format nnn nnn nnn ie in three blocks of three characters with separating blanks
P010	The validation report number will be the file name assigned to the report by EDGE DIS. This is in the format VALID_ss.nnn where ss is the DIS system on which the transmission was lodged and nnn is the agents transmission sequence number.
P011	If a transmission is specified to be a Test transmission (item 01b = Y in the TXID file), then the words TEST TRANSMISSION will appear here.
P012	Agents name and address details
P013	An EDGE assigned number to uniquely identify the transmission
P014	The number of documents sent as identified by item 01g of the TXID file
P015	The number of documents actually received by the EDGE DIS
P016	A set of identifiers for a rejected document, being the sequence of the document within the transmission, the form code of the document, the ACN of the company for whom the document was lodged, the name of that company and the message trace number.
P017	An identifier for an element which failed an edit test, being the item number, the segment tag and the item contents.
P018	The code and text of the error identified in the element. A P18 line will always follow a P17 line
P019	Optional lines of text to supplement the information contained in the P17 and P18 lines.
P020	A set of identifiers for accepted documents, being the sequence of the document within the transmission, the form code of the document, the document number assigned to the document by ASIC, the ACN of the company for whom the document was lodged, the name of that company and the message trace number. The document number will be set to 000000000 if the transmission was a test transmission.
P027	The software package must allocate a unique message trace number to each document prepared for EDGE lodgement by an agent. This trace number must be printed in the margin of the paper copy signed by the company office holder and must appear as item HDc of the corresponding message data file. It will appear on the validation report and, for accepted documents, it will be printed in the margin of the resultant image in ASIC's DOCIMAGE system. Thus it will be possible to reconcile the signed copy to the lodged data.
P029	If there is no date in the date processed element, the document has not been processed onto ASCOT. Consequently, the RA14 data will not include any changes notified on the document.
P032	Table 0018 details values of advice types.
P033	Table 0019 details values of account transaction legend types.
P034	Table 0020 details values of account transaction status types.

Ref	Description

Ref	Description
P035	The Treasurer's Determination lists all of the government fees, levies and charges that are exempt from the GST. Among the exempt fees are fees for the supply of information and documents. Full details are available from the Treasury web site at www.treasury.gov.au. This Determination is updated every year.
P036	Each EDGE invoice includes an Account Number and a Reference Number. These numbers are used by ASIC's financial management information system. More information about this system can be found in the August 2000 (volume 9 issue 4) edition of InFocus.
P037	Table 0022 describes valid tax codes. Currently all ASIC fees and charges are exempt from the GST. However, as ASIC develops more electronic products, the GST status of these products may change.
P039	If the Required to Respond flag is set to Y, the PDF company statement details will include text describing a deficiency in the company details that must be responded to.
P040	"Report Disclaimer for Client Query Minimisation" = 1 indicates that this agent is currently the agent of this company. "Report Disclaimer for Client Query Minimisation" = 0 indicates that this agent is not currently the agent of this company.
P041	Table 0030 details values of correspondence types.
P042	As a result of the passage of the Simpler Registry System Bill, from 25 July 2007 member details for public companies are not reported on data downloads.
P043	Table 0034 details values of correspondence sub-types
P044	Section 146A of the *Corporations Act 2001* states 'A contact address is the address to which communications and notices are sent from ASIC to the company.'
P045	If an in-house annual statement is created and printed, the following text must be printed after the last item on the statement: End of company statement This concludes the information to which the company must respond under s346C of the *Corporations Act 2001*. [insert a solid black line across the page] Additional company information This information is optional under the *Corporations Act 2001*. Late lodgement fees or late review fees do not apply to this information. To add, remove or change a contact address, see www.asic.gov.au/addresses.

Ref	Description
P046	The reference number is a unique identifier for forms that have generated a fee. This includes forms lodged with ASIC, such as the 201 or 484, and forms issued by ASIC such as the 480 (Annual Statement). Each reference number is composed of three elements: 1. Characters 1 to 9 - Document number. 2. Characters 10 to 14 - Form code. This is the numeric code for a form type (eg 410) plus a subform code. Subform codes may be one or two alphanumeric characters. If it is one character, the field is right-filled with a space (eg A). 3. Characters 15 to 16 - Fee code. This describes the type of fee being charged, that is whether it is a prescribed fee or a late fee. For example, the reference number "0E1017543410A PA" refers to document number 0E1017543, form code 410A , fee code PA, meaning prescribed fee.
P047	The PPS Register is the result of a new Commonwealth law, the *Personal Property Securities Act 2009* (Cth) and will replace numerous existing registers of security interests, including the Australian Register of Company Charges maintained by ASIC. From Monday 30 January 2012, the Australian Register of Company Charges will be closed and all company charges (or security interests as they will be known) must be lodged with the PPS Register. All current charges will be migrated to the new PPS Register. All historical charge information e.g. charges that have been satisfied prior to 30 January 2012, will remain on the ASIC register and will be available for searching for 7 years. Since the EDGE Data Download only returns current information, no charges data will be returned in the Data Download from 30 January 2012.
P048	A record with a Transaction Legend of INV indicates that either: • A lodgement has been made, in which case the Transaction Reference value will be based on the document number and form code of the document lodged. When the status of the INV transaction is PAID there will be a value in the Allocation Reference field; or • An adjustment has been made to a debt previously created. A record with a Transaction Legend of DDEB indicates: • A payment by either direct debit or direct credit. The value in the Transaction Reference field can be matched against the Allocation Reference of a PAID INV record so that lodgements may be linked to payments.

10.   OUTBOUND (EDGE generated) DATA

10.1.   Validation Report

Purpose

The Validation Report lists the document files which have been received by the EDGE DIS. It reports which documents were accepted and which were rejected.

Format Rules

The report is arranged so that it can be interpreted by AUTELS mode software if desired:

- all lines are terminated by CR/LF
- data fields are terminated by // or CR/LF
- 15 lines of transmission summary appear at the start of the report
- the next group of lines, headed by DOCUMENTS REJECTED, list documents rejected.
  These documents are not accepted for lodgement.
- the next group of lines, headed by DOCUMENTS ACCEPTED, list documents accepted for lodgement.
- the report must terminate with either the **E10 or **E20 message
- item HDc (message trace number) appears on the validation report for accepted and rejected documents after the company name.

Layout

No	Format	Notes
1	ASIC//11.10.1995	
2	VALIDATION REPORT NUMBER//VALID_ss.nnn	P010
3	[TEST TRANSMISSION]	P011
4		
5	Joe Bloggs//12999	P012
6	12 XYZ ST, CANBERRA, ACT 2600	
7		
8	TRANS NO. 395	P013
9	DATE/TIME: 11.10.1995 09:33:54	
10		
11	SENT 3	P014
12	RECEIVED 3	P015
13	ACCEPTED 2 [TEST TRANSMISSION]	P011
14	**E01 Warning - number sent not the same as number received**	
15	-AND/OR	
16	**E02 Warning - more than 99 documents received. nn not processed**	
17	-OR	
18	**E03 Warning - no documents received	

19		
20	DOCUMENTS REJECTED	
21	002//316//000 403 361//LOMBIRD HOLDINGS LTD//9521416	P016
22	Item 0A//ZSD//BROWN ROBERT JOHN	P017
23	V010 Signatory was not an officeholder as at the date of signing	P018
24	Item 00c//ZCO//19951001	P017
25	V013 Date of signature cannot be before the AGM date	P018
26	Item 04b : 19951002	P019
27	Item 14k//ZDC//PEO	P017
28	V011 Must be 'DIR'or 'SEC'	P018
29	Item 14c : SMITH JOHN WILLIAM	P019
30		
31	DOCUMENTS ACCEPTED [TEST TRANSMISSION]	
32	001//316//0E0000418//000 403 486//LOMBONE LOVE LTD//9521415	P020 P027
33	003//316//0E0000420//000 403 298//LOMBARD LINE LTD//9121417	P020 P027
34		
35	**E10 End of validation report**	
36	-OR-	
37	**E20 Warning - This report is not complete - call ASIC**	

Appendix A - Amendment History

Version 00.10
Released to software suppliers as a draft for discussion on 8/10/93.

Version 1.00   Released 15/11/93
Initial specification for the Annual Return pilot

Version 1.01   Released 27/01/94
Version 1.01 is the final specification for the Annual Return pilot

Version 2.00   released 31st March, 1994
Version 2.00 specifies the 1994 Annual Return and the form 902 which will be released nationally on 1/9/94. It also specifies forms 361, RA13 and RA14 which will be piloted from June, 1994.

Version 2.01   released 31st May, 1994
Version 2.01 is the final specification for the national release of the 1994 Annual Return and the company data download. It specifies changes to the version 2.00 (initial specification for 1994 Annual Return and data download).

Version 2.10   released 14th October, 1994
Version 2.10 includes specifications for forms 203, 207 and 304. These forms will be piloted from March, 1995 and will be released nationally in May 1995.

Version 2.11   released 6th December, 1994
Version 2.11 is the final release of the specification for forms 203, 207 and 304 for the changes forms pilot. These forms will be piloted from March, 1995 and will be released nationally in May 1995.

Version 2.20   released 14th July, 1995
Version 2.20 is a minor upgrade, supporting the 1995 annual return, adding forms RA15, RA16, RA17, RA18 and making some improvements to other facilities.

Version 2.30   released 5 December, 1995
Version 2.30 supports the 1996 annual return and changes made to the *Corporations Law* by the First Corporate Law Simplification Act 1995, and adds form 370.

Version 2.31   released 17 January, 1996
Version 2.31 is a minor upgrade to version 2.30, correcting some errors, changing the status of form 316 version 4.00 from draft and adding RA19/20.

Version 2.32   released 4 April, 1996
Version 2.32 is a minor release to add functionality to support the Agent Lodgement Program and adding RA21/22.

Version 2.40   released 2 December, 1996

Version 2.40 is a minor release to support the 1997 annual return and correct some errors.

Version 2.50   released 16 December 1997

Version 2.50 supports the 1998 annual return and corrects some errors.

Version 3.00   released 30 June 1998

Version 3.00 reflects the Company Law Review Bill (1997) and the Electronic Company Registrations system.

Version 3.00 splits the original EDGE Messages specification into three separate document. This document now acts as a "head" specification, documenting all general features relating to EDGE lodgement. Details specific to Registry messages and to Agent Services messages have been moved into separate subsidiary documents. Similarly, a subsidiary document describes Company Registration messages.

Version 3.01   released 4 September 1998

Version 3.01 aggregates changes sheets 1 through 5 released against the version 3.00 CLRB release into the specification and will form the production CLRB release.

Version 3.02   released 9 October 1998

Version 3.02 incorporates the production release of the Electronic Company Registration facility.

Version 3.10   released 17 January 2000

Version 3.10 incorporates changes to the values of alphanumeric fields, and changes to edits, notes and tables.

Version 3.20   released 17 January 2001

Version 3.20 made changes to the invoice and altered a number of edits

Version 4.00   released 1 July 2003

Version 4.00 reflects the changes flowing from the CLERP7 legislative changes of 2003

Version 4.01   released 9 September 2003

Version 4.01 added some notes about the company debt reports.

Version 4.02   released 10 September 2007

Version 4.02 amended some existing edits and notes, as well as adding new ones.

Version 5.00

Released to software suppliers on 8 August 2008.

5.3 Segment Dictionary
Add 205A to ZAM, ZCI, ZCO, ZDC, ZNR, ZPE, ZPR, ZTE
Add 410B to ZAM, ZCG, ZCO, ZDC, ZDO, ZPR, ZTE
Add 410F to ZCG, ZCO, ZDC, ZNR
Add 6010 to ZCG, ZCO, ZDC, ZSH, ZSP
Add RA72 to ZIL
Add new 0010 element to ZCG
Add a 0043 and two new 0009 elements to ZCI
Add new 0009 element to ZPE
Add new 0009 element to segment ZSP
Amend ZUH (change the third element from type 0041 to type 0040 – this corrects an error made in 2003)
Remove reference to RA65 from ZCI

7.1 TXID
Change version to 5.00

7.2 TXID
Change version to 5.00

7.3 TXID
Change version to 5.00

8. Edit tests
Add V522 to V534 and V536 to V551

9.1 Inbound messages
Amend N142
Add N177 to N183

9.2 Outbound messages
Add P044 and P045

Appendix C
Amend Table 0003
Amend table 0009
Amend Table 0019
Add Table 0032 to 0034

20 APRIL 2009 – AMENDMENTS TO VERSION 5.00

5.3 Segment dictionary
Add new 0022 element to ZCO

8 Edit tests
Remove edits V367, V397, V441, V442, V488, V489 and V510

9.1 Inbound messages
Amend N173, N174 and N175

9.2 Outbound messages
Add P046

27 SEPTEMBER 2010 – AMENDMENTS TO VERSION 5.00

8 Edit tests
Remove edit V059

9.1 Inbound messages
Remove N086

30 JANUARY 2012 – AMENDMENTS TO VERSION 5.00

9.2 Outbound messages
Remove P024 and P025
Add P047

5 MARCH 2012 – AMENDMENTS TO VERSION 5.00

9.1 Inbound (agent generated) messages
Amend N159 – remove reference to ROP

9.2 Outbound messages
Add P048

Appendix C
Table 0031 – remove reference to ROP

17 MAY 2012 – AMENDMENTS TO VERSION 5.00

5.3 Segment Dictionary
Add element 0048 to segments ZCI and ZCO

8 Edit Tests
Add new edits V552 to V558

9.1 Inbound notes
Add note N184

25 SEPTEMBER 2012 – AMENDMENTS TO VERSION 5.00

9.1 Inbound notes
Add note N185

25 MARCH 2013 – AMENDMENTS TO VERSION 5.00

8 Edit Tests
Add new edit V559

26 FEBRUARY 2014 – AMENDMENTS TO VERSION 5.00

9.1 Inbound notes
Amend notes N173, N174 and N175

Appendix C Table References
Table 0015 - Add SHA1

13 MARCH 2014 – AMENDMENTS TO VERSION 5.00

9.1 Inbound notes
Add notes N186

Appendix B - Glossary of Terms

Agent	see "transmitting agent"
Agent assigned filename	Filename assigned to a document file by the transmitting agent
Annual Return	The Annual Return (form 316) of a registered Australian company. Lodgement of annual returns after 2002 was abolished by the Clerp7 reforms and replaced by an annual review.
AUSTPAC	Telstra's public switched data network
Combined transmission	A transmission containing more than one type of document
Company data download	An EDGE facility to allow request and receive details about a company from ASIC's ASCOT database. Depending on facilities provided by software developers, this data can then be used to partially load the agents database or to compare with current data in the agents database. See RA71 and RA72
Composite element	A grouping of elements into a structure to simplify message documentation eg an address
Data download	see "company data download"
Data element	see "element"
DIS	see "EDGE DIS"
Document file	A file containing the electronic interpretation of a document prepared in accordance with this specification
EDGE	The name given to ASIC's Electronic Lodgement Service
EDGE DIS	the software which acts as a front-end mailbox for transmissions to the EDGE system
EDGE DIS system id	Code to identify the particular EDGE DIS system on which a transmission was lodged
Edit reference	Number identifying an edit test
Edit Test Operators	Symbols and key words used in the design of the edit tests
Element	The lowest level item of data ie a field
Element delimiter	The ASCII value 9
Element format	Indicates the format of a data element; that is, alphabetic, alphanumeric, numeric, signed numeric, date, amount, signed amount
Error message	A message reported to agents when data fails to pass an edit test
Field	See "element"

Form Code	The code allocated by ASIC to define a type of document to be lodged under the *Corporations Act 2001* eg Form Code 370 – Resignation of Officeholder
Format Edits	A set of edits (data types, data format, mandatory, repetition) that must be applied to all documents, segment groups, segments and elements
Item	Item reference on the preferred paper format
Label	Alpha reference on the preferred paper format
Note Reference	A reference to an edit or data element
NULL	An element that has not been transmitted ie an empty element within a segment, or an omitted element at the end of a segment.
PPF	Preferred paper format
Registered Agent number	Number allocated by ASIC to identify a registered agent
Segment	The main unit of message data, consisting of a segment tag and a defined set of elements
Segment delimiter	The ASCII value 10
Segment group	A grouping of segments for message documentation
Segment tag	A three character tag which uniquely identifies the start of a segment within a message
Software registration number	Number allocated by ASIC EDGE system to identify a software provider's package
Software version identifier	Character string identifying the version of the software
Test Transmission indicator	The element in the TXID file used to indicate whether a transmission is *test* or *live*
Transmission	Collection of files consisting of one TXID file and up to 99 document files
Transmitting Agent	An ASIC Registered Agent who is also registered to lodge documents by transmitting them to the EDGE DIS
TXID	Transmission Identification File
Validation	The EDGE process that edits incoming documents

Appendix C - Table References

Table 0001 - Company Class Codes

Code	Description

Code	Description
LMGT	Limited By Guarantee
LMSG	Limited By Shares & Guarantee
LMSH	Limited By Shares
NLIA	No Liability
UNLM	Unlimited

Table 0002 - Company Sub Class Codes

Code	Description

Code	Description
PNPC	Proprietary - Non Profit Company
PSTC	Proprietary - Superannuation Trustee Company
HUNT	Proprietary - Home Unit Company
PROP	Proprietary - other
LISN	Company Licensed To Omit "Limited" From Name (s.383 licence)
LISS	Company Licensed To Omit "Limited" From Name (s.383 licence) - Superannuation Trustee Company
LIST	Listed Public Company
STFI	Small Transferring Financial Institution
NLTD	Not Limited Public Company (s.150 company)
ULSN	Unlisted Public - Non-Profit Company
ULSS	Unlisted Public - Superannuation Trustee Company
ULST	Unlisted Public Company

Note that Section 383 was replaced on 1 July 1998 by Section 150. Companies registered with the subclass LISN or LISS before this date will retain their subclass codes. Eligible companies registered after this date will have a subclass of NLTD.

Explanation of Terms:

unlisted or listed   on Australian securities exchange.

| **non-profit** | Changes to the *Corporations Law* due to the Company Law Review Act 1998 (CLRA), has resulted in substantial change in the area of companies being able to register a name without the requirement to include the word "Limited" in its name. |

Section 383 has been renumbered to S.150 and has been substantially re written. S.150 no longer provides for ASIC to issue a `licence' to omit the word "Limited" from a company name, therefore ASIC will not issue licences in this regard. S.150 allows ASIC to register a company limited by guarantee (LMGT) without the word "Limited" in its name or alter the registration of a LMGT company by omitting "Limited" from its name if its constitution contains the provisions stated under S.150 of the Law.

A licence to omit the "Limited" from a name in force prior to 1/7/98 continues in force subject to revocation by ASIC (s.151).

Companies approved by ASIC to register a name without the word "Limited", will be identified on ASCOT with the subclass NLTD. Existing licensed companies will continue as subclass LISN until the licence is revoked.

| **small transferring financial institution** | A company for which any of the following circumstances exists: |

i)   A levy under the *Authorised Deposit-taking Institutions Supervisory Levy Imposition Act 1998* or the *Life Insurance Supervisory Levy Imposition Act 1998* is not payable;

ii)   The minimum levy amount determined under subsection 7(3) of the *Authorised Deposit-taking Institutions Supervisory Levy Imposition Act 1998* is payable;

iii)   The minimum levy amount determined under subsection 7(3) of the *Life Insurance Supervisory Levy Imposition Act 1998* is payable.

superannuation trustee company A company that acts solely as the trustee of a regulated superannuation fund within the meaning of section 19 of the *Superannuation Industry (Supervision) Act 1993* and its memorandum prohibits the distribution of its income or property among its members.

Table 0003 - Type/Class/Subclass combinations

	LMSH	LMGT	LMSG	NLIA	UNLM
PNPC	APTY	-	APTY	-	-
PSTC	APTY	-	APTY	-	APTY
PROP	APTY	-	APTY	-	APTY
HUNT	APTY	-	APTY	-	APTY
LISN	APUB	APUB	APUB	-	-
LISS	-	APUB	-	-	-
LIST	APUB	-	APUB	APUB	APUB
NLTD	APUB	APUB	-	-	-
STFI	APUB	APUB	APUB	-	-
ULSS	APUB	APUB	APUB	-	APUB
ULST	APUB	APUB	APUB	APUB	APUB
ULSN	APUB	APUB	APUB	-	-

This table indicates the company type that is valid for a particular combination of class and subclass.

A hyphen indicates that no company type is valid for the class/subclass combination.

Table 0004 - State/Territory Codes

Code	Description

Code	Description
ACT	Australian Capital Territory
NSW	New South Wales
NT	Northern Territory
QLD	Queensland
SA	South Australia
TAS	Tasmania
VIC	Victoria
WA	Western Australia

Table 0006 - Officeholder Status Codes

Code	Description

AC	Newly Appointed and ceased
AP	Newly Appointed
CA	Continuing - address change
CN	Continuing - name change
CX	Continuing - name and address change
NO	Ceased

Table 0008 - EDGE DIS System Id Codes

Code	Description

PR	Primary EDGE production machine
SE	Secondary EDGE production machine
T1	Test Machine 1 (with simulated line errors)
T2	Test Machine 2 (simulates production environment)

Table 0009 - Currently supported EDGE software releases

Version	Released	Comments

Version	Released	Comments
1.01	*Jan 1994*	*1993 Annual Returns pilot.* *Support for this version **ceased** on 1/9/94.*
2.01	*31 May 1994*	*Support for this version **ceased** on 1st December, 1995*
2.11	*6 December 1994*	*Support for this version **ceased** on 1st December, 1995*
2.20	*14 July 1995*	*1995 Annual Return and other minor changes.* *Support in production **ceased** on 1st June, 1996*
2.30	*5 December, 1995*	*Version 2.30 is superceded by version 2.31 and will not be supported in production*
2.31	*17 January, 1996*	*1996 annual return and changes made by the First Corporate Law Simplification Act. Support will **cease** on 31 August, 1997.*
2.32	*4 April, 1996*	*Lodgement program statement and other minor changes added. Support will **cease** on 31 August, 1997.*
2.40	*2 December, 1996*	*1997 annual return, including addition of service address to annual return, and other minor changes. Support in production commenced on 10/03/97*
2.50	*16 December 1997*	*1998 Annual return and other minor changes. Support in production commenced on 9/03/98*
3.00	*30 June 1998*	*Changes for Company Law Review Bill 1997 and other changes. Support in production will commence on 1 July 1998*
3.01	*7th September 1998*	*Minor update to CLRB release. Support in production commenced on 7 September 1998*
3.02	*9th October, 1998*	*Production release for Electronic Company Registrations. Support in production commenced on 26th October, 1998*

3.10	*17th January 2000*	*Changes to Corporate Law Economic Reform Program Bill, expected in 2000.*
3.20 Draft	1 December 2000	Upgrade to invoices and some minor changes
4.00	1 July 2003	Changes for Clerp7
4.01	9 September 2003	Consolidation of change sheets 1 and 2
5.00	18 August 2008	Add forms 205A, 410B, 410F and 6010

Table 0010 - Annexure types

Type	Description

Type	Description
370L	Text of letter of resignation/retirement of officeholder given to the company and attached to form 370
ALT	Terms of appointment of Alternate Directors

Table 0011 – Signatory Codes

Code	Description

Code	Description
ACC	Accountant
AGT	Agent
APP	Applicant
DIR	Director
LEG	Legal Representative
LP	Lodging Party
REP	Representative
SCP	Shelf Company Promoter
SEC	Secretary
SIG	Signatory
SUB	Subscriber

Table 0012 - Valid legal elements for company types and classes

TYPE	CLASS	LEGAL ELEMENT 1	LEGAL ELEMENT 2
APTY	LMSH	PTY PTY. PROPRIETARY	LTD LTD. LIMITED
APTY	UNLM	PTY PTY. PROPRIETARY	

APUB	LMSH*	LTD LTD. LIMITED	
APUB	LMGT*	LTD LTD. LIMITED	
APUB	NLIA	NL N.L. NO LIABILITY	
APUB	UNLM**		

Companies that are APTY LMSH must have one legal element from column "Legal element 1"
and one legal element from column "Legal element 2", in that order.
* Companies that are APUB LMSH or LMGT may not have any legal elements in their name, if
approved under Sec 150 of the *Corporations Act 2001*
** Companies that are APUB UNLM can not have any legal elements in their name.

Table 0013 - Organisation Types

Code	Description

Code	Description
APUB	Australian Public Company
APTY	Australian Proprietary Company
FNOS	Foreign Company
RACN	Registered Australian Body

Table 0014 - Registration Parts & Divisions

Code	Description

Code	Description
2A.1	Chapter 2A-Part 2A.1
5B.1	Chapter 5B-Part 5B.1

Table 0015 - Message digest hashing algorithm

Code	Description

Code	Description
MD5	Message Digest 5
SHA-1	Secure Hash Algorithm
SHA1	Secure Hash Algorithm, supported by Verisign from 7/01/2014

Table 0016 - Signature Encryption algorithm

Code	Description

Code	Description
RSA	RSA

Table 0017 - Registration certificate delivery options

Code	Description

Code	Description
PDF	Adobe[1] version 1.2 Ascii format
PSCR	Postscript print stream

Table 0018 - ASIC advice types

Code	Description

Code	Description
201MANL	Manual review invoked
201PEND	Reserved pending action by applicant
201RJCT	Rejection of application for registration
201SUBJ	Temporarily reserved subject to ASIC decision
201WDRW	Confirmation of withdrawal of form 201
410EXTN	Name reservation extension
410MANL	Manual review invoked
410PEND	Name reserved pending action by applicant
410RJCT	Rejection of application for reservation of name
410RSVD	Name reserved
X509AUTH	Confirms authorisation of use of X.509 certificate
X509EXPR	Advises that the validity of an authorised X.509 certificate will expire in the coming month.
X509UPD	Confirms update of authorities attached to an X.509 certificate

Table 0019 - Account transaction legend

Attention: Account transaction legends are determined by the ASIC back office accounts receivable system. Additional codes may be added without notice.

Code	Description

Code	Description
ADJT	Adjustment
APEL	Applications elodgement - not DDebit

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[1] Abobe is a trademark of Adobe Systems Incorporated

APPL	Applications
APST	Australia Post
AR10	Annual review fee in advance
ARFA	Review Fee / 6010 Adjustment - post RFBF
ARFB	Review Fee / 6010 Adjustment - pre RFBF
ARFI	Annual Review Fee Invoice
ARFX	Annual Review Fee XREF
BPAY	B Pay
CASH	Cash
CATR	Cash Transfer
CHQ	Cheque Receipt
CRN	Credit Note
CTRF	Cash Transfer
DCRD	Direct Credit
DCRJ	Direct Credit Rejection
DDEB	Direct Debit
DDRJ	Direct Debit Rejection
DEBN	Debit Note
DFEE	Dishonour Fee
DISH	Dishonoured Cheque
ECH1	Electronic Charges - DD - 1st late fee
ECH2	Electronic Charges - DD - 2nd late fee
ECHG	Electronic Charges - DD - prescribed fee
ECR	Electronic Company Registration – DDebit
EDG1	EDGE Late Fee - Less than 1 Month
EDG2	EDGE Late Fee - Greater than 1 Month
EDGE	EDGE - Prescribed Fee
EFT	RBA DIRECT CR (EFT)
ELL1	E Documents - DD - 1st late fee
ELL2	E Documents - DD - 2nd late fee
ELLP	E Documents - DD - prescribed fee
FEE1	Late Fee - Less than 1 Month
FEE2	Late Fee - Greater than 1 Month
FEEP	Prescribed Fee
FEER	Fee Waiver Review
FEEW	Fee Waiver
IB	Information Brokers
IIPC	IIP – Costs
IIPF	IIP – Fines
IIPR	IIP – Recoveries
INV	Invoice
JAN5	Mail date adjustment
LPF1	Late Payment Fee 1
LPF2	Late Payment Fee 2
MCRN	Manual Credit Note
MINV	Manual Invoice
MORD	Money Order

PENN	Penalty Notice
PINV	Pro Forma Invoice
PLAN	Default Plan Instalment Transaction Sub
REF	Refund Payment
RREV	Receipt Reversal
SRCH	Company Search
STKI	Stacked Invoice
TFR	Transitional Fee Relief
TRAN	Transfer
WAIV	Waiver
WOFF	Write Off

Table 0020 - Account transaction status

Attention: Account transaction statii are determined by the ASIC back office accounts receivable system. Additional codes may be added without notice.

Code	Description
ALLOC	Fully Allocated
MEMO	Memo
OUTSTG	Outstanding
PAID	Fully Paid
PART-ALLOC	Partially Allocated
PART-PAID	Partially Paid
PLAN	Plan Debt (Instalments)
PLAN-COMP	Plan Component (Instalments)
SCHEDULEDC	Scheduled for Collection C
SCHEDULEDD	Scheduled for Collection D
STACK	Stacked Invoice
UNALC	Unallocated
UNSTACK	Unstacked Invoice

Table 0021 - Share cancellation provisions

Code	Description
S.254J-P	Redeemable preference shares, redeemed out of profits
S.254J-I	Redeemable preference shares, redeemed out of a fresh issue of shares
S.256A-S.256E-S	Capital reduction, single shareholder company
S.256A-S.256E-M	Capital reduction, multiple shareholder company. A form 2560 must be lodged before a capital reduction takes place
SS.257H(3)-M	Share buy-back, minimum holding buy-back only

SS.257H(3)-O	Share buy-back, other buy-back type. A form 280 or 281 must be lodged at least 14 days and no more than 1 year before the share buy-back can take place
S.258D	Forfeited shares
SS.258E(2)	Shares returned to the company, under section 651C, 742(2), 737 or 738
SS.258E(3)	Shares returned to the company, under section 1325A (court order)
OTHER	Other

Table 0022 – Tax codes

Code	Description

Code	Description
EXE	Government fees, levies and charges which are exempt from the GST according to the Treasurer's Determination

Table 0023 – Unacceptable words in personal names

&
COMPANY
LIMITED
LTD
LTD.
P/L
PROPRIETARY
PTY
PTY.

Table 0024 – Address Status Codes

Code	Description

Code	Description
AM	Amendment
CA	Address change

Table 0025 – UHC Status Codes

Code	Description

Code	Description
AP	New
CN	Name change

NO	Ceased

Table 0026 – Share Status Codes

Code	Description

AP	New
DC	Detail change
NO	Cease

Table 0027 – Member Details Status Codes

Code	Description

AP	New member
CA	Address change
CN	Name change
CO	Continuing unchanged
CX	Name and address change
NO	Ceased

Table 0028 – Holding Status Codes

Code	Description

AP	Newly reported holding
CD	Change of details of existing holding
CO	Continuing unchanged
NO	Ceased
TA	Add unchanged holding to top 20
TR	Remove unchanged holding from top 20

Table 0029 – Country Names

This list states the country names (official short names in English) in alphabetical order as given in ISO 3166-1. The list is updated whenever a change to the official code list in ISO 3166-1 is effected by the ISO 3166/MA. See www.iso.ch for the complete list.

Table 0030 – Correspondence Types

Code	Description

Code	Description
BULLETIN	ASIC bulletin to registered agent
CHANGE	Name change application advice
CONFIRM	Confirmation
CS	Company Statement
INVSTMT	Invoice Statement
LETTER	Letter
NOTICE	Notice
REQ	Requisition
RESERVE	EDGE name reservation advice

Table 0031 – Request for company details codes

Code	Description

Code	Description
AS	Request to re-issue Annual Statement
CS	Request for current Company Statement
DATA	Request for data download

Table 0032 – Payment type codes

Code	Description

Code	Description
DDEB	Payment from agent's Direct Debit account
INV	Invoice issued for payment

Table 0033 – Subject of resolution

Code	Description

Code	Description
NAME	Change of company name under sec 157 of the *Corporations Act 2001*

Table 0034 – Correspondence Sub-types

Code	Description

NAMECHNX	Change of company name certificate
205MANLX	Manual review invoked
205RJCTX	Rejection of company name change request
410CHNGX	Name reserved
410EXTA	Name reservation extension
410EXTQ	Second or subsequent name extension queued for manual review
410MANLX	Manual review invoked
410RJCTX	Rejection of application for reservation of name

Appendix D - Algorithms

Australian Company Number (ACN) Check Digit

The ACN is a nine-digit number with the right most digit being a check digit calculated using a modified modulus 10 calculation.

ASIC has adopted a convention of always printing and displaying the ACN in the format nnn nnn nnn ie three blocks of three characters separated by a blank. This is to assist readability and the inserted blanks do not form part of the ACN.

To validate the check digit for ACN 004 085 616

♦   apply weighting to digits 1 to 8

digit	0	0	4	0	8	5	6	1
weight	8	7	6	5	4	3	2	1
digit * weight	0	0	24	0	32	15	12	1

♦   sum the products $0 + 0 + 24 + 0 + 32 + 15 + 12 + 1 = 84$

♦   divide by 10 to obtain remainder $84 / 10 = 8$ remainder 4

♦   complement the remainder to 10 $10 - 4 = 6$
    (if complement = 10, set to 0)

Thus calculated check digit (6) equals actual check digit (6) so
ACN 004 085 616 is VALID.

Valid ACNs include

000 000 019	000 250 000	000 500 005	000 750 005
001 000 004	001 250 004	001 500 009	001 749 999
001 999 999	002 249 998	002 499 998	002 749 993
002 999 993	003 249 992	003 499 992	003 749 988
003 999 988	004 249 987	004 499 987	004 749 982
004 999 982	005 249 981	005 499 981	005 749 986
005 999 977	006 249 976	006 499 976	006 749 980
006 999 980	007 249 989	007 499 989	007 749 975
007 999 975	008 249 974	008 499 974	008 749 979
008 999 979	009 249 969	009 499 969	009 749 964
009 999 964	010 249 966	010 499 966	010 749 961

Note that Australian Registered Body Numbers (ARBNs) are constructed using the same formula.

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