

19 July 2016

Brett Knapman The Treasury Langton Crescent PARKES ACT 2600

#### Senate Order No. 12

Dear Brett,

Please find enclosed the list of files produced by ASIC, (exceptions edited / omitted), for the reporting period 1 January 2016 to 30 June 2016.

I confirm that the contact officer for the Australian Securities & Investments Commission is Lennart Jacobsen (03) 9280 3292, GPO Box 9827, Melbourne 3001.

Yours sincerely,

Lennart Jacobsen

Team Leader, Enterprise Content Management Business Solutions

Australian Securities & Investments Commission



## **SENATE ORDER NO. 12**

# AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Indexed agency file lists created between 1 January 2016 and 30 June 2016

Agency Contact: Lennart Jacobsen (03) 9280 3292
Lennart.Jacobsen@asic.gov.au

Website: <a href="http://www.asic.gov.au">http://www.asic.gov.au</a>

File Name	File number
Commission_CBD meetings submissions	F20160000007165
Regional Commissioner Forum	F20160000001781
Regional Commisssioner Forum with Chairman	F20160000001780
Share Everything	F20160000017511
AFS Licensing Kit - Part 2 - Preparing your AFS licence or variation application	F20160000023826
201604 - Vic Roads project	F20160000017294
Instrument Drafting Guides	F20160000000849
Consolidated MIR Rulebook v3	F20160000021598
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Meeting papers agendas	F20160000009426
Indigenous Cultural Awareness Workshops - 2016	F20160000016709
Graduate Program - General	F20160000008190
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ACFS Advisory Board meeting March2016	F20160000012176

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*** and the Code of Banking Practice	F20160000005823
ATO Liaison	F20160000011721
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Interpol - 787-16 - *** - 20160111	F20160000000889
AMBD - 342-16 - Licensing turnover timeframes - 201601	F20160000006199
*** - *** -12043-16 - *** - 20160401	F20160000016684
CSRC - 12821-16 - *** - 201604	F20160000017329
Central Bank - 12963-16 -***- 20160412	F20160000017481
***- 12689-16 - *** - 20160408	F20160000017111
AMF -33221-15 - Licensing turnover time frames - 201509	F20160000006196

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FCA - 20331-16 - *** and *** - 201606	F20160000024575
FCA - 35196-15 - *** - 201511	F20160000013289
FCA - 4024-16 - Credit Card Opt Ins and Persistent Debt - 201602	F20160000006761
FCA - 8249-16 - Knowledge management - 20160308	F20160000012827
FCA - 8269-16 - Knowledge Sharing - 201603	F20160000012971
FCA - 8255 - 16 - Research Request - 20160308	F20160000012911
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IOSCO - Contacts - 201603	F20160000013337
IOSCO - 7608-16 - *** and *** - 201602	F20160000012116
OECD - 8899-16 - Global Forum Peer Review Update - 201603	F20160000013555
World Bank - 8726-16 - Crowdfunding Survey - 201603	F20160000013407
CSSF - 455-16 - ***- 201601	F20160000000807
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FMA - 3723-16 - Information management - 20160204	F20160000006302
FMA - 4413-16 - Crowdfunding Licensing Regime - 201602	F20160000007594
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SEC - 823-16 - Whistleblower Research and Teleconference - 201511	F20160000000916
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Senate Estimates_Parliamentary Joint Committee Briefings	F20160000005949
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