Innovation Hub: RegTech engagement form

# About this document

This document will help ASIC understand your business and the type of assistance you may need. This form is a tool to help start our discussion – it is intended to be quick and easy for you to complete, and not a barrier to seeking help.

If we have more questions about a particular aspect of your business, we will contact you.

If you have recently produced a document or presentation that provides much of the information requested here, please send it through. You would then only need to fill in any missing information.

Once you have completed this document, please email it (and any supporting attachments you wish to include) to [**innovationhub@asic.gov.au**](mailto:innovationhub@asic.gov.au).

# 1. Information about you and your business

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| **Your full name and title, as well as the names and titles of any relevant colleagues** |  |
| **Business name** |  |
| **Business address** |  |
| **Contact phone number** |  |
| **Contact email address** |  |
| **Business website** |  |
| **Are you, your colleagues, your business or any associated businesses currently licenced by ASIC? If so, please provide us with any relevant ABNs, ACNs or AFS licence, credit licence or market licence numbers.** |  |

# 2. Information about your RegTech business innovation

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| Provide a short description of your innovation and business model.  This description should also address the following questions:   * Who are your target clients? * What RegTech product and/or service will you offer these clients? * How, when and who will pay for the service you are offering? * What other businesses (if any) will you work with? * What stage is your business at? |
| Type here |

# 3. Meeting the eligibility requirements

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| Please explain how your RegTech product or service meets the eligibility criteria:   * Provides a better outcome for investors, financial consumers, and/or markets. * Contains an innovation that has the potential to raise standards of risk management or compliance. * Has the potential to promote information sharing with ASIC. |
| Type here |

# 4. Regulatory issues and your request for assistance

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| Please describe:   * How you think your innovation applies in the regulatory framework. * The regulatory issues you have considered and/or [ASIC guidance](http://asic.gov.au/regulatory-resources/find-a-document/regulatory-guides/) you have reviewed.   Please also explain the nature of the assistance you would like from the Innovation Hub, including whether you require help within a particular timeframe. |
| Type here |

# 5. What benefits and risks does your innovation present?

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| Please set out any:   * Possible consumer or investor benefits of your innovation (not already addressed in Q3). * Risks that your innovation may present, and your plan to mitigate these risks. |
| Type here |