

User guide

ASIC Connect - AFS licensees

How to sign up for an ASIC Connect account and link your licence(s)



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There are three steps to complete before you can use <u>ASIC Connect</u> to notify appointments of authorised representatives or financial advisers:

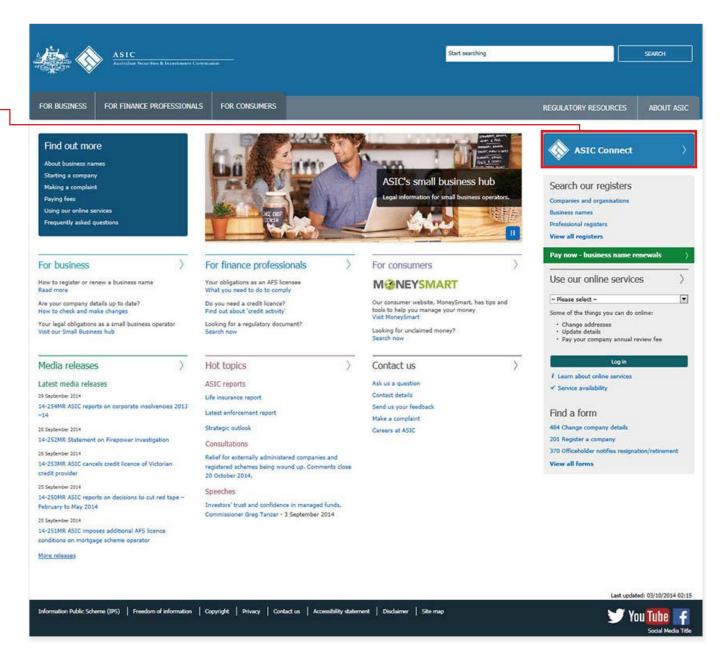
- Sign up for an ASIC Connect account. You can create as many <u>ASIC</u> <u>Connect</u> accounts as you or your organisation need.
- 2. Request an ASIC key.
- 3. Using the ASIC key, link the licence(s) to your ASIC Connect account.

Further assistance about using <u>ASIC Connect</u> can be accessed via the <u>Output</u> button at the top right-hand side of the screen.



Get started

Visit our website at www.asic.gov.au. Click on the blue **ASIC Connect** box. This will take you to ASIC Connect.





Sign up

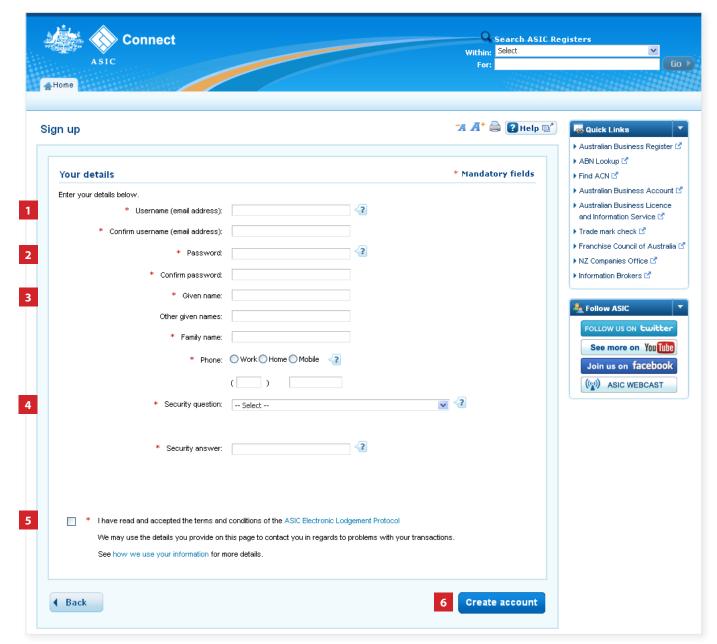
Select **Sign up** in the blue ASIC Connect box.





Sign up

- 1. Enter your email address. This will become your username.
- 2. Enter a password that is a minimum length of nine characters, consisting of three of the following lowercase (a-z) and uppercase (A-Z) alphabetic characters, numeric characters (0-9) or special characters (!\$#%). It cannot contain any two consecutive characters that appear in your user ID, first name or last name. It must not be one of your previous eight passwords.
- 3. Enter your personal details (given name, family name, phone).
- 4. Select a security question from the drop-down box and enter the answer.
- 5. Tick the box to confirm you have read and accept the terms and conditions.
- 6. Select **Create account** to continue.



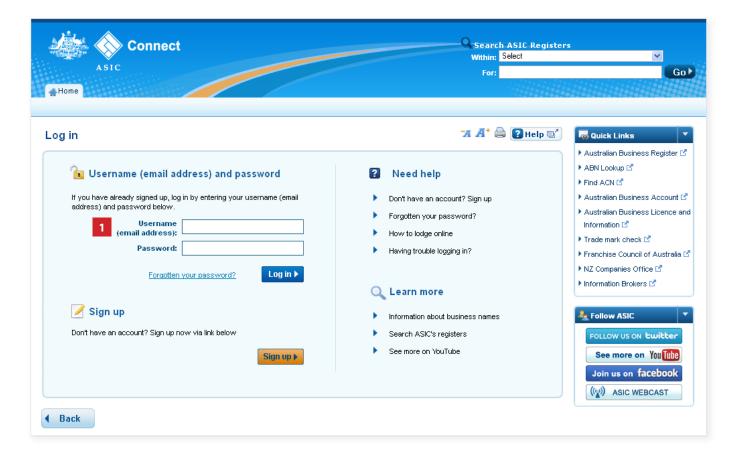


Log in

We will send an activation email to the email address you provided. This can take up to 15 minutes to reach you depending on your email provider.

After you receive your email, open it, and click on the **Activate ASIC Connect account** link. If this does not work, you can copy and paste the link from your email into your web browser.

 Log in using your email address and password provided on previous screen.



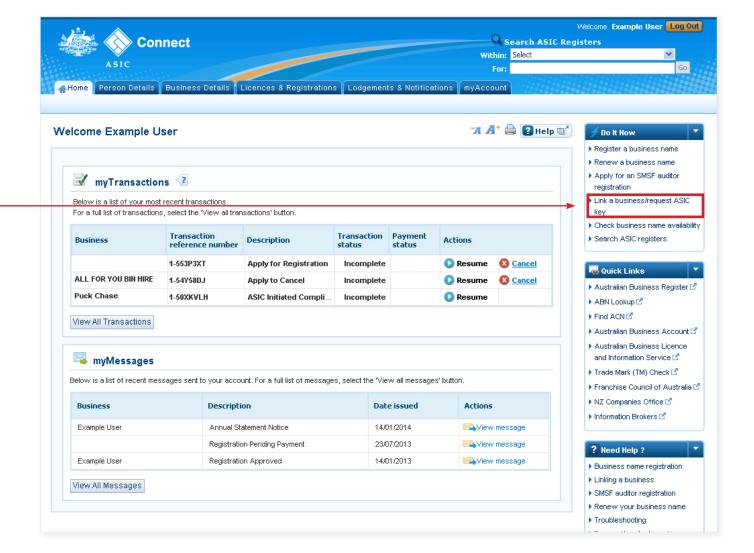


Requesting an ASIC key

Before you can link an AFS licence to your account, you will need to request the ASIC key.

The ASIC key is a unique number associated with the licence used in ASIC Connect that helps us protect your business information by making sure only you, and those you have authorised can access it.

Under the *Do It Now* box, select **Link a business/request ASIC key** to request the ASIC key.

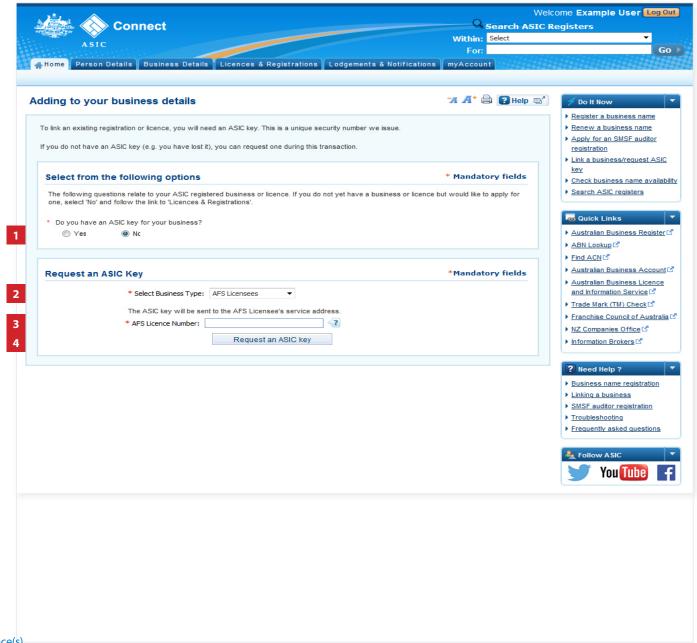




Requesting an ASIC key

This screen allows you to provide the information required to request an ASIC key.

- 1. Select **No** when asked if you have an ASIC key for your business.
- 2. To link an AFS licence, select **AFS Licensees** from the drop-down box.
- 3. Enter the AFS Licence Number.
- 4. Select Request an ASIC key.

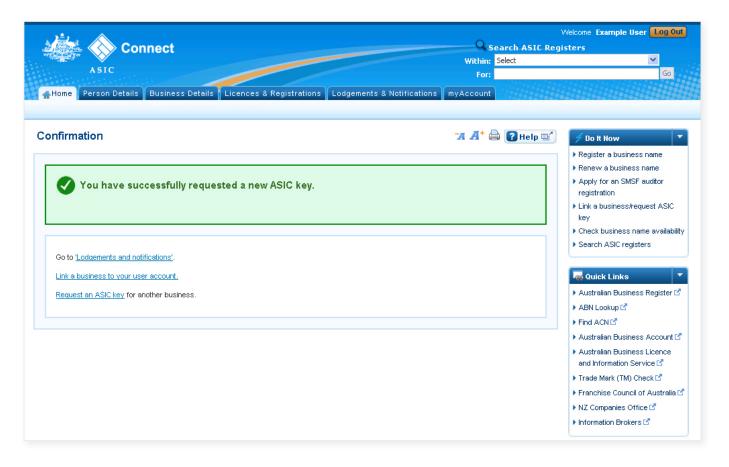




Confirmation

This screen confirms you have requested an ASIC key.

The ASIC key will be sent an email address (if one is recorded) or the address for service of documents as recorded on the ASIC register of AFS licensees.



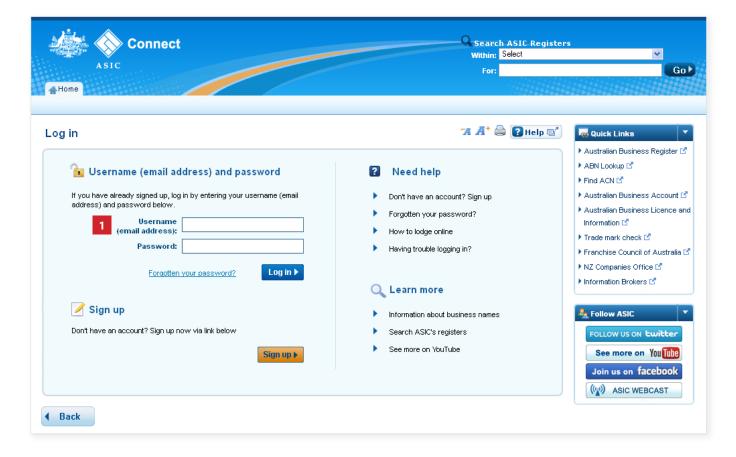


Linking an AFS licence

When you have received your ASIC key you will need to link your AFS licence to your account.

Go to ASIC Connect.

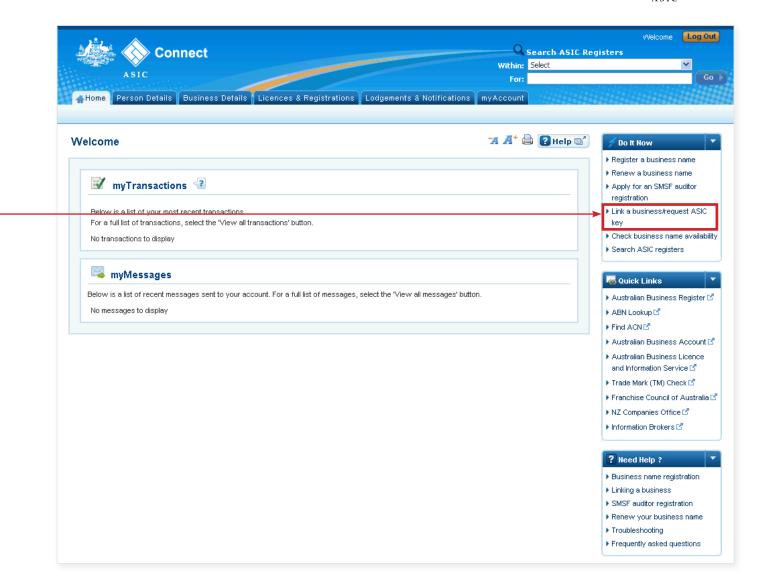
1. Log in with your username and password.





Linking an AFS licence

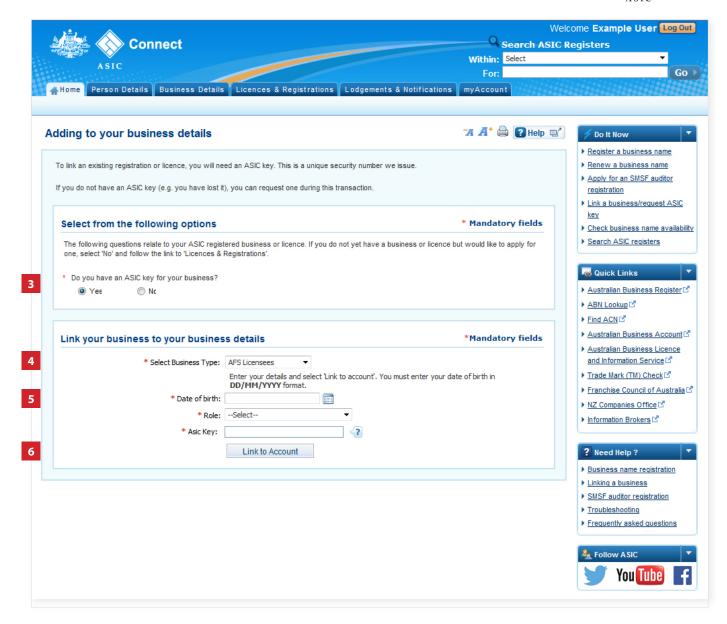
2. Under the *Do It Now* box, select **Link** a business/request ASIC key.





Linking an AFS licence

- 3. Select 'Yes' to the question Do you have an ASIC key for your business?
- 4. Select **AFS Licensees** from the drop down box.
- 5. Personal details
- Enter your date of birth in *DD/MM/YYYY* format in the fields provided.
- Select your role from the following options in the drop-down box:
 - AFS Licensee: The holder of the AFS licence.
 - Authorised Lodger: This role allows a person who does not hold the business to lodge on its behalf.
- Enter your ASIC key.
- 6. Select Link to Account.





Confirmation

This screen confirms the AFS licence has been linked to your account.

Select **Home** to return to the ASIC Connect homepage. You can now use ASIC Connect to notify an appointment of authorised representatives and financial advisers.

OR

Select one of the links for more information about other services.

