

ASIC

User guide

## **Registered Agent Portal**

### How to submit notice that a product in a PDS has ceased to be available

The screens and data pictured in this guide are examples only. Actual screens can have minor differences in text and layout.

How to submit notice that a product in a PDS has ceased to be available



- Complete this task when a financial product (to which a Product Disclosure Statement (PDS) relates) is no longer available to be recommended or offered to new clients in a recommendation, issue or sale situation.
- You will need to log in to your online account before you begin.

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How to submit notice that a product in a PDS has ceased to be available



Forms manager

### Start transaction

1. Once logged in, select **Start new** form in the left hand menu.



A CANAL CON	Aus	tralian Securities & Investments Commission			Registered agent
Inbox (11) Start new form Forms in progress (6)	Agent CIT Contact EXA Email 123	IZEN, JOHN Agent No. 12345 AMPLE COMPANY PTY LTD ACN 000 000 000 45678			
View company details	Inbox				
Lodgement History	Date	Form	ACN	Company Name	
Transaction Listing	11/08/2011 16:03	Transaction Listing Report			
Outstanding Debits	05/06/2011 03:43	RC05 - Confirmation notice	000 570 114	TEST COMPANY A PTY LTD	
Profile	05/06/2011 03:41	RC05 - Confirmation notice	007 560 581	TEST COMPANY B PTY LTD	
Change password	05/06/2011 03:41	RC05 - Confirmation notice	551 789 872	TEST COMPANY C PTY LTD	
Update contact details	25/05/2011 18:54	RC05 - Confirmation notice	551 789 907	TEST COMPANY D PTY LTD	<u> </u>
user	25/05/2011 18:29	RC05 - Confirmation notice	551 789 970	TEST COMPANY E PTY LTD	
	23/05/2011 16:25	RC05 - Confirmation notice	000 405 845	TEST COMPANY F PTY LTD	
Finish	03/05/2011 14:06	RC05 - Change of company name certificate	000 570 114	TEST COMPANY G PTY LTD	
Logon	30/04/2011 12:37	RC08 - Charge Certificate	550 585 183	TEST COMPANY H PTY LTD	(m)
Help Ask a question How this works Technical FAQ Lodgement Responsibilities User Guides	30/04/2011 11:43	RC08 - Charge Certificate	000 687 230	TEST COMPANY LPTY LTD	
	30/04/2011 11:31	RC05 - Change of company name certificate	550 471 219	TEST COMPANY J PTY LTD	
	21/04/2011 18:01	RC05 - Confirmation notice	551 790 688	TEST COMPANY & PTY ITD	
	14/04/2011 18:05	RC05 - Confirmation notice	551 789 907	TEST COMPANY L PTY LTD	
	12/04/2011 18:02	RC05 - Confirmation notice	551 789 907	TEST COMPANY M PTY LTD	
	24/03/2011 11:38	RC05 - Company Statement	000 687 230	TEST COMPANY N PTY I TO	
Links Search ASIC Search ABR	Show all documents				Hide Documents

#### Viewing Forms in the Inbox

ASIC

To view the form that has been sent to you, click on the form code.

A print file will then be loaded into a new browser window in Adobe PDF format. You can print the form using the Adobe toolbar. You must have Adobe reader Version 5 or higher to print this form.

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### Select form type

1. From the list of available forms, select FS90.



A Andrew		ASIC	Forms manager
200 - 100 -	$\langle \rangle$	Australian Securities & Investments Commission	Registered agent
Inbox (11)			
	Agent	CITIZEN, JOHN Agent No. 12345	
Start new form	Contact	John CITIZEN	
Forms in progress (6)	Email	john.citizen@example.com	
Forms submitted	1 C C C C C C C C C C C C C C C C C C C		10
View company details	Start a new	form	
Charman Damarta	Form	Description	
Charges Reports	484	Change to Company Details V2	
Lodgement History	404	Lise this form to notify ASIC of	
Iransaction Listing		Use this form to notify Adic of	
Outstanding Debits		Change of address	
Drafila		Charge of address     Appoint or company officeholder	
Profile		Appoint of cease company oncenolder	
Change password		Change to manners' register	
Update contact details		Change to thempers register	
Update ABN for Auskey		Charlinge of defails	
user		Change to special purpose company status	
		Change to special purpose company status	
Finish	0054		
Logoff	200A	Notification of resolution - change of company name	
	200	Registered Agent ceasing to act	
Help	302	Appointment of cessation of registered agent	
Ask a question	310 410P	Character company name reconcision	
How this works	4100	Extension of name reservation	
Technical FAQ	4101	Extension of name reservation	
Lodgement	400	Request for correction	
Responsibilities	51004	Registration of a managed investment scheme	
User Guides	6010	Voluntary Deregistration of a Company	
	002	Supplementary Document V/	
LINKS	902	Supplementary Document V5	
Search ASIC	RA61	Review Date Report	
Search ABR	- RA67	Company Debt Report	
	RA71	Request for Company Details	
	Financial S	tatements	
	Form	Description	
	388	Conv of financial statements and reports	
	388	Amendment of previously lodged financial statements and reports	
	Contraction of the second		
	Product Dis	sclosure Statements	
	Form	Description	
	FS88	PDS in-use notice	
	FS89	Notice of change in a PDS	
	FS90	Notice that a product in a PDS has ceased to be available	
		ASIC Home   Privacy Statement   Conditions of use   Feedback	

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### Enter the company ACN/ ABN

1. Enter the relevant **ACN/ABN.** 

#### 2. Select **Next** to continue.

Note: from this point onwards you can refer at any time to the **Guide to Form FS90**, which contains information about preparing and lodging this form. A link to this guide can be found in the left hand menu bar.

	A SIC Australian Securities & Investments Commission	Form Manager Registered Agents
Form FS90 ► <u>Company details</u> → Confirm company	Agent: CITIZEN, JOHN Agent No. 12345 Reference: 12345678	
→ Original PDS → Confirm Original PDS	Company details Form FS90	
→ Out of use details → Submit	ACN/ABN/ARBN 1	
		2 Next
Guide to Form FS90 Finish form later Home - Forms Manager Log off Help Ask a question How this works Technical FAQ Links Search ASIC	ASIC Home   Privacy Statement   Conditions of use   Feedback Copyright 2003-2015 Australian Securities & Investments Commission	

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 $\ensuremath{^\odot}$  Australian Securities and Investments Commission April 2015



# Company confirmation

- 1. Review the company details.
- 2. Select **Yes** to confirm the information is correct.
- 3. Select Next to continue.



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# Enter original PDS details

- You can search for existing PDS reference and document numbers by selecting the PDS Transaction History link, or
- 2. If you already have the document number for the original PDS in-use notice, enter it in the field provided.
- 3. Select Next to continue.



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## Confirm original PDS

- 1. Review the PDS details.
- 2. Select **Yes** if this is the correct document.
- 3. Select Next to continue.



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# Provide out of use details

- 1. Select **Yes** to confirm that all products contained within the PDS have ceased.
- Enter the date the financial product(s) ceased to be available. Use DD-MM-YYYY format.
- 3. Select **Next** to continue.

**Note:** If you select **No** to this question, a list of current products within the the PDS will be displayed. From here you can select **Cease** against the product(s) which have ceased, and provide the relevant date(s).

Ъ.		A SIC Australian Securities & Investments Commission	Form Manager Registered Agents
Form FS90 • Company details • Confirm company • Original PDS • Confirm Original PDS • Confirm Original PDS	Agent: Company: Reference:	CITIZEN, JOHN Agent No. 12345 EXAMPLE COMPANY PTY LTD ACN 000 000 000 12345678 defails Form FS90	
→ Submit Print form Guide to Form FS90 PDS Transaction History	Have all financies issue or sale s If Yes: Date the finan	ial products in the PDS ceased to be available to be recommended or offered to new clien ituation? 1	its in a recommendation,
Finish form later Home - Forms Manager Log off Help Ask a question How this works Technical FAQ Links Search ASIC Search ABR		ASIC Home   Privacy Statement   Conditions of use   Feedback Copyright 2003-2015 Australian Securities & Investments Commission	3 <u>Next</u>

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# Make the declaration

- 1. Select the company's **authorising officeholder** from the drop-down list.
- 2. Select **Yes** to complete the declaration when you are satisfied the document is being lodged within the terms and conditions of ASIC's Electronic Lodgement Protocol.
- 3. Select **Submit** to lodge the form with ASIC.

		ASIC	Form Manage
AND TO ALL OF CO.	$\checkmark$	Australian Securities & Investments Commission	Registered Agent
Form FS90	8	upartin'ny 7201 - Katopausa - 1.1994 - partocht	
<ul> <li>✓ <u>Company details</u></li> <li>✓ <u>Confirm company</u></li> <li>✓ <u>Original PDS</u></li> </ul>	Agent: Company: Reference:	CITIZEN, JOHN Agent No. 12345 EXAMPLE COMPANY PTY LTD ACN 000 000 000 12345678	
<u> Confirm Original PDS</u> <u> Out of use details</u> <u> Submit</u>	You have no	w prepared your form. You can review the contents of your form by using the menu	I or by printing the form.
Print form	You must su	Ibmit the form to ASIC to have it lodged.	
Guide to Form FS90	Submit N	ow	
History	When you s	ubmit this form it will be checked for discrepancies.	
Finish form later Home - Forms Manager Log off	If any discre resubmit the	pancies are found, you will be provided with a list describing the discrepancies. Yo form. The form will continue to appear on your "Form in progress" tray.	ou will need to correct and
Help	If there are r	o discrepancies, your form will be automatically submitted for lodgement.	
<u>Ask a question</u> <u>How this works</u> Technical FAQ	Authorising Before subr	g officeholder nitting your form, please specify the officeholder who is authorising the lodgement	of this form.
Links Search ASIC Search ABR	Authorising o	fficeholder : fficeholder (Director) - 1	
	Declaration	1	
	I declare that	this form is submitted under the terms and conditions of the ASIC Electronic Lodgement F	Protocol.
E	2 🔍 Yes 🔘 N	10	
			Cubmit

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### **Confirmation of** form submission

This screen confirms your document was submitted to ASIC.

1. Select **Next** to continue.

#### Note:

Occasionally, the system may present an additional form submission screen at this point. If this is the case, continue to select **Next** to finalise this transaction.



#### ASIC Form Manager Australian Securities & Investments Commission Registered Agents

#### Form FS90 Confirmation

Links Search ASIC Search ABR

<u>Confirmation</u> Print form	Agent: Company: Reference:	CITIZEN, JOHN Agent No. 12345 EXAMPLE COMPANY PTY LTD ACN 000 000 000 12345678	
Guide to Form FS90 PDS Transaction History	Confirmat	tion of Form Submission	
Finish form later Home - Forms Manager Log off	Your docum	ent was submitted for validation to ASIC on 28/01/2015 at 17:25:05.	
Help Ask a question How this works Technical FAQ		ASIC Home   Privacy Statement   Conditions of use   Feedback Copyright 2003-2015 Australian Securities & Investments Commission.	1 Next

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