



ASIC

Australian Securities &
Investments Commission

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RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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14-1292

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 741(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this declaration under paragraph 741(1)(b) of the *Corporations Act 2001* (Cth) (*the Act*).

Title

2. This declaration is ASIC Instrument 14-1292.

Commencement

3. This instrument commences on the day it is signed.

Declaration

4. Chapter 6D of the Act applies to CBG Capital Limited ACN 168 936 249 (*CBG*) as if:

- (a) paragraph 723(3)(b) of the Act were omitted and the following substituted:

"the securities are not admitted to quotation within 3 months and 14 days after the date of the disclosure document;"


- (b) subparagraph 724(1)(b)(ii) were omitted and the following substituted:

"the securities are not admitted to quotation within 3 months and 14 days after the date of the disclosure document; or"

Where this instrument applies

5. This instrument applies in relation to an offer or issue of securities of CBG under a disclosure document lodged with ASIC on 17 September 2014, as replaced by the replacement prospectus lodged with ASIC on 24 September 2014.

Dated: 16 December 2014



.....
Signed by Fiona Laidlaw
as a delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

14-1036

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Coal Industry Superannuation Board
Organisation No. 107 692 884 ("the Licensee")
242 Rokeby Road
Subiaco WA 6008

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 255844 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 23 December 2014

Signed

A handwritten signature in black ink, appearing to read 'James Nott', written over a dotted line.

James Nott
A delegate of the Australian Securities and Investments Commission

14-1334

**Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 741(1) - Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 741(1) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 14 –1334.

Commencement

3. This instrument commences on 23 December 2014.

Declaration

4. Chapter 6D of the Act applies to US Residential Limited ACN 169 548 369 (the *Issuer*) as if Part 6D.2 were modified or varied as follows:
 - (a) omit paragraph 723(3)(b), substitute:

“(b) the securities are not admitted to quotation within 3 months after the later of:

 - (i) the date of the disclosure document; and
 - (ii) the date of the latest supplementary disclosure document for the offer lodged with ASIC which:
 - (A) discloses that the securities are not admitted to quotation; and
 - (B) gives applicants 1 month to withdraw their application and be repaid”;
 - (b) in paragraph 724(1)(a), omit the words “and that condition is not satisfied within 4 months after the date of the disclosure document”, substitute:

“and that condition is not satisfied within 4 months after the later of:

 - (iii) the date of the disclosure document; and
 - (iv) the date of the latest supplementary disclosure document for the offer lodged with ASIC which:
 - (A) discloses that the condition has not been satisfied; and
 - (B) gives applicants 1 month to withdraw their application and be repaid”;
 - (c) omit subparagraph 724(1)(b)(ii), substitute:

“(ii) the securities are not admitted to quotation within 3 months after the later of:

 - (A) the date of the disclosure document; and
 - (B) the date of the latest supplementary disclosure document for the offer lodged with ASIC that discloses that the

14-1334

securities are not admitted to quotation and gives applicants 1 month to withdraw their application and be repaid"; and

(d) after subsection 724(1A), insert:

"(1B) Where a supplementary disclosure document of the kind referred to in subparagraphs (1)(a)(iv) or (1)(b)(ii)(B) is lodged with ASIC, the person offering the securities must give the applicants:

- (i) that supplementary disclosure document; and
- (ii) 1 month to withdraw their application and be repaid."

Where this instrument applies

5. This instrument applies in relation to an offer or issue of shares and options over shares by the Company as part of the offer or issue of Stapled Securities and Options over Stapled Securities by the Company and the Responsible Entity under a disclosure document lodged with ASIC on 10 October 2014 where the Company and Responsible Entity have lodged a supplementary disclosure document on or after the date of this instrument which describes the need for, and effect of, the relief provided in this instrument.

Dated this 23rd day of December 2014



.....
Signed by Sebastian Strykowski
as a delegate of the Australian Securities and Investments Commission



14-1297

ASIC

Australian Securities & Investments Commission

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: INVESTEC WENTWORTH PRIVATE EQUITY LIMITED (Licensee)
ACN 098 207 740
2 CHIFLEY SQ SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001** the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 238607 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

22nd December 2014

Signed

.....
Joyce Krashow
A delegate of the Australian Securities and Investments Commission



14-1290

ASIC

Australian Securities & Investments Commission

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: BLUERIDGE ENTERPRISES PTY LIMITED
A.C.N. 057 261 908 (Licensee)
SUITE 508 LEVEL 5 83 YORK STREET SYDNEY NSW

Pursuant to paragraph 915B(3)(d) of the *Corporations Act 2001* the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 220480 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

20th December 2014

Signed

Joyce Krashow
A delegate of the Australian Securities and Investments Commission

14-1332

Australian Securities and Investments Commission
Corporations Act 2001 – Subsection 111AT(1) - Instrument

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 111AT(1) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 14-1332.

Commencement

3. This instrument commences on the day it is signed.

Instrument

4. ASIC relieves Forest Enterprises Australia Limited (subject to deed of company arrangement) (receivers and managers appointed) ACN 009 553 548 (the *company*) from the disclosing entity provisions of Part 2M.3 of the Act for the financial years ending 30 June 2010, 30 June 2011, 30 June 2012, 30 June 2013 and 30 June 2014 and the half-years ending 31 December 2009, 31 December 2010, 31 December 2011, 31 December 2012, 31 December 2013 and 31 December 2014.

Where this instrument applies

5. This instrument applies for so long as the company:
 - (a) maintains arrangements for answering, free of charge, reasonable enquiries from its members about the consequences of the external administration of the company; and
 - (b) by no later than Friday, 9 January 2015, must make this instrument available on the company's website, in a way that is readily accessible by the public from the website.

Where this instrument ceases to apply

6. This instrument ceases to apply on 15 July 2015.

Interpretation:

In this instrument:

disclosing entity provisions has the meaning given by section 111AR of the Act.

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2.

receivers and managers has the meaning given in the definition of receiver and manager in section 9 of the Act.

controllers has the meaning given by paragraph (a) in section 9 of the Act.

receivers and managers refers to the appointment of Timothy Bryce Norman and Salvatore Algeri of Deloitte Touche Tohmatsu as receivers and managers to the company on 14 April 2010 and to their appointment as controllers of the company on 23 June 2014 under section 427(1A) of the Act.

deed administrators has the meaning given by paragraph (b) of the definition of administrator in section 9 of the Act.

deed of company arrangement means the deed of company arrangement in respect of the company, executed and dated 14 December 2010 (and varied on 28 June 2013 and 28 November 2013), appointing Peter Paul Krejci and Brian Raymond Silvia of BRI Ferrier (NSW) Pty Ltd ACN 128 947 848 as deed administrators to the company.

Dated: 23 December 2014



.....
Signed by: Pamela Smith
as a delegate of the Australian Securities and Investments



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ASIC

Australian Securities & Investments Commission

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: ALLFREIGHT INSURANCE BROKERS PTY. LTD.
A.C.N. 083 945 180 (Licensee)
294-296 HIGH STREET KEW VIC 3101

Pursuant to paragraph 915B(3)(d) of the *Corporations Act 2001* the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 246224 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

22nd December 2014

Signed

Joyce Krashow

A delegate of the Australian Securities and Investments Commission



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ASIC

Australian Securities & Investments Commission

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: CEDRIC GEORGE HOLLAND (Licensee)
57 PRIMROSE STREET, SHERWOOD QLD 4075

Pursuant to paragraph 915B(1)(e) of the *Corporations Act 2001* the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 230170 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

22nd December 2014

Signed

.....
Joyce Krashow
A delegate of the Australian Securities and Investments Commission



14-1287

ASIC

Australian Securities & Investments Commission

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: PRIMARY CONSULTING SERVICES PTY. LTD.
A.C.N. 009 468 642 (Licensee)
1135 GOODWOOD ROAD CAPEL WA 6271

Pursuant to paragraph 915B(3)(d) of the *Corporations Act 2001* the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 342086 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 2nd December 2014

Signed

A handwritten signature in black ink, appearing to be 'JK', written over a dotted line.

Joyce Krashow
A delegate of the Australian Securities and Investments Commission



14-1286

ASIC

Australian Securities & Investments Commission

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: PETER MUELLER & ASSOCIATES PTY. LIMITED
A.C.N. 086 098 884 (Licensee)
SE 8 1 ALBANY STREET ST. LEONARDS NSW 2065

Pursuant to paragraph 915B(3)(d) of the *Corporations Act 2001* the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 239064 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

22nd December 2014

Signed

Joyce Krashow
A delegate of the Australian Securities and Investments Commission



14-1285

ASIC

Australian Securities & Investments Commission

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: CREATENERGY PTY. LTD. A.C.N. 143 950 761 (Licensee)
LEVEL 36, 32 TURBOT ST BRISBANE QLD 4000

Pursuant to paragraph 915B(3)(d) of the *Corporations Act 2001* the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 429473 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

19th December 2014

Signed

A handwritten signature in black ink, appearing to be 'JK' or similar initials.

Joyce Krashow
A delegate of the Australian Securities and Investments Commission



14-1266

ASIC

Australian Securities & Investments Commission

**Australian Securities and Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: HERMES FUND MANAGERS (AUSTRALIA) PTY LTD
A.C.N. 143 252 948 ("the Licensee")
Level 4 95 Pitt Street
Sydney NSW 2000

Pursuant to paragraph 915B(3)(d) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 351784 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated this 16th Day of December 2014

Signed

A handwritten signature in black ink, appearing to be 'Joyce Krashow', written over a dotted line.

Joyce Krashow
A delegate of the Australian Securities and Investments
Commission

14-1333



**Australian Market Licence (Asia Pacific Exchange Limited)
Variation Notice 2014 (No. 1)**

Corporations Act 2001

I, MATHIAS CORMANN, Acting Assistant Treasurer, make this Variation under section 797A of the *Corporations Act 2001*.

Dated 12-12-2014

A handwritten signature in black ink, appearing to be 'ML'.

Acting Assistant Treasurer

1. Name of variation

This Variation is the *Australian Market Licence (Asia Pacific Exchange Limited) Variation Notice 2014 (No. 1)*.

2. Commencement

This Variation commences on the day it is published in the *Gazette*.

3. Variation

The *Australian Market Licence (Asia Pacific Exchange Limited) 2004* (as varied by *Australian Market Licence (Australia Pacific Exchange Limited) Variation Notice 2009 (No. 1)*, *Australian Market Licence (Asia Pacific Exchange Limited) Variation Notice 2010 (No. 1)* and *Australian Market Licence (Asia Pacific Exchange Limited) Variation Notice 2013 (No. 1)*) is varied as described in the Schedule.

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Schedule	Variation
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(Section 3)

[1] Section 1

substitute

1. Name of Licence

This Licence is the *Australian Market Licence (Asia Pacific Stock Exchange Limited) 2004*.

[2] Section 3, definition of APX

substitute

APX means Asia Pacific Stock Exchange Limited (ACN 080 399 220).

14-1326

**Australian Securities and Investments Commission
Corporations Act 2001 — Paragraphs 926A(2)(c) and 951B(1)(c) — Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 926A(2)(c) and 951B(1)(c) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument [14/1326].

Commencement

3. This instrument commences on the 22nd day of December 2014.

Declaration

4. Part 7.6 (other than Divisions 4 and 8) of the Act applies in relation to Auto & General Services Pty Ltd ACN 003 617 909 (*Auto & General*) and Compare the Market Pty Ltd ACN 117 323 378 (*Compare*) as if the following provisions were omitted, modified or varied:
 - (a) omit subsection 911B(2), substitute:

“(2) Paragraphs (1)(a), (b) and (c) do not apply if the provider is a financial services licensee unless one of the following applies:

 - (a) the principal is an insurer and the provider is acting under a binder given by the principal;
 - (b) the provider is acting under a written agreement of the kind mentioned in section 916EA.”;
 - (b) underneath subsection 916D(1) omit Note 2, substitute:

“Note 2: There are exceptions to this rule in sections 916E and 916EA.”;
 - (c) in subsection 916D(2B) omit “section 916E.”, substitute “section 916E or 916EA.”;
 - (d) after section 916E insert:

“916EA Licensees acting under authority other than binder

- (1) Despite section 916D, a financial services licensee (the *authorised licensee*) may be the authorised representative of another financial services licensee (the *intermediary*) who acts as an intermediary if both of the following apply:
 - (a) the authorised licensee acts under a written agreement which authorises the authorised licensee to deal in, and provide general advice in relation to, life risk insurance products on behalf of the intermediary;
 - (b) the authorised licensee maintains professional indemnity insurance of at least \$10 million for any one event during the term of the agreement.
 - (2) For all purposes connected with contracts that are life risk insurance products in respect of which the authorised licensee acts under the written agreement:
 - (a) the authorised licensee is taken to act on behalf of the intermediary and not the insured; and
 - (b) if the insured in fact relied in good faith on the conduct of the authorised licensee, the authorised licensee is taken to act on behalf of the intermediary regardless of the fact that the authorised licensee did not act within the scope of the agreement.
 - (3) This section does not apply where the licence of the authorised licensee covers the provision of any financial services in relation to life risk insurance products.
 - (4) In this section, a person *acts as an intermediary* if the person acts as an intermediary (whether directly or indirectly) between an insurer and a retail client wholly or partly for the purpose of dealing in, or providing general advice in relation to, life risk insurance products.”
5. Part 7.7 of the Act applies in relation to Auto & General and Compare as if the following provisions were omitted, modified or varied:
- (a) in section 940A omit “(see section 916E),”, substitute “(see sections 916E and 916EA),”; and
 - (b) after paragraph 942C(2)(j) insert:

“(ja) if the providing entity acts under a written agreement of the kind specified in section 916EA in providing any of the authorised services—both of the following:

- (i) a statement that:
 - (A) identifies the services provided under the agreement; and
 - (B) states that they are provided under the agreement; and
 - (C) explains the significance of the services being provided under the agreement;
- (ii) a statement that, in relation to those services:
 - (A) the providing entity is taken to act on behalf of the authorising licensee and not the client; and
 - (B) the authorising licensee is responsible, as between the authorising licensee and the client for the conduct of the providing entity; and”.

Dated this 22nd day of December 2014



Signed by Gemma Allan
As a delegate of the Australian Securities and Investments Commission



14-1320

ASIC

Australian Securities & Investments Commission

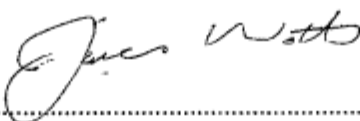
**Australian Securities & Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: ACN 072 760 279 Pty Ltd ("the Licensee")
(formerly: Elston Assure Pty Ltd)
PO Box 8501
GCMC QLD 9726

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels AFS Licence Number 228898 held by the AFS Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 22 December 2014.

Signed 

James Nott, a delegate of the Australian Securities and Investments Commission

14-1327

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 741(1)(a) –Revocation and Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument [14-1327].

Commencement

3. This instrument commences on 22 December 2014.

Exemption

4. UP Group does not have to comply with subsection 734(2) of the Act.

Where the exemption applies

5. Subject to paragraph 6, this exemption applies to statements made by UP Group in communications to Employees that provide the following information:
 - (a) information concerning the timing of the Initial Public Offer;
 - (b) information concerning impending announcements relating to the Initial Public Offer;
 - (c) information concerning the participation of Employees in the Initial Public Offer;
 - (d) information concerning changes which may be made to the structure and administration of UP Group in connection with the Initial Public Offer;

and do not communicate any advantage, benefit or merit of the Initial Public Offer.

Cessation

6. This exemption shall remain effective, unless otherwise revoked, until the earlier of:
 - (a) the date on which the Initial Public Offer Prospectus is lodged with ASIC; and
 - (b) 30 June 2015.

14-1327

Revocation

7. ASIC Instrument [14-1322] dated 22 December 2014 is revoked.

Interpretation

In this instrument:

Employees means employees of UP Group;

Initial Public Offer means the proposed initial public offer of fully paid ordinary shares in United Petroleum Holdings (or an Australian listing vehicle established by United Petroleum Holdings in connection with the proposed initial public offer), to be made in or around April to June 2015;

Initial Public Offer Prospectus means the prospectus to be lodged with ASIC by United Petroleum Holdings (or an Australian listing vehicle established by United Petroleum Holdings) in respect of the Initial Public Offer;

United Petroleum Holdings means United Petroleum Holdings Pty Ltd ACN 164 398 832; and

UP Group means United Petroleum Holdings and its controlled entities.

Dated this 22nd day of December 2014



Signed by Sebastian Strykowski
as a delegate of the Australian Securities and Investments Commission

14-1322

**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 741(1)(a) – Exemption**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 741(1)(a) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 14-1322.

Commencement

3. This instrument commences on 22 December 2014.

Exemption

4. UP Group does not have to comply with subsection 734(2) of the Act.

Where the exemption applies

5. Subject to paragraph 6, this exemption applies to statements made by UP Group in communications to Employees that provide the following information:
 - (a) information concerning the timing of the Initial Public Offer;
 - (b) information concerning impending announcements relating to the Initial Public Offer;
 - (c) information concerning the participation of Employees in the Initial Public Offer;
 - (d) information concerning changes which may be made to the structure and administration of UP Group in connection with the Initial Public Offer;and do not communicate any advantage, benefit or merit of the Initial Public Offer.

Cessation

6. This exemption shall remain effective, unless otherwise revoked, until the earlier of:
 - (a) the date on which the Initial Public Offer Prospectus is lodged with ASIC; and
 - (b) 30 June 2015.
-

14-1322

Interpretation

In this instrument:

Employees means employees of UP Group;

Initial Public Offer means the proposed initial public offer of fully paid ordinary shares in United Petroleum Holdings (or an Australian listing vehicle established by United Petroleum Holdings in connection with the proposed initial public offer), to be made in or around June 2015;

Initial Public Offer Prospectus means the prospectus to be lodged with ASIC by United Petroleum Holdings (or an Australian listing vehicle established by United Petroleum Holdings) in respect of the Initial Public Offer;

United Petroleum Holdings means United Petroleum Holdings Pty Ltd ACN 164 398 832; and

UP Group means United Petroleum Holdings and its controlled entities.

Dated this 22nd day of December 2014



Signed by Sebastian Strykowski
as a delegate of the Australian Securities and Investments Commission



14-1283

ASIC

Australian Securities & Investments Commission

**Australian Securities & Investments Commission
Corporations Act 2001 Section 915B**

Notice of Cancellation of an Australian Financial Services Licence

TO: Turner Investment Partners (Australia) Pty Ltd
A.C.N 153 743 821 (the Licensee")
c/o Level 25, 360 Collins St
Melbourne VIC 3000

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 413268 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 16th day of December 2014

Signed

A handwritten signature in black ink, appearing to read 'JK' followed by a flourish.

Joyce Krashow, a delegate of the Australian Securities and Investments Commission

14-1321



**Corporations (GFI Group Pte Ltd) Exemption Notice Variation
2014 (No. 1)**

Corporations Act 2001

I, MATHIAS CORMANN, Acting Assistant Treasurer, make this Variation under subsection 791C(2) of the *Corporations Act 2001 (the Act)*.

Dated

AL
18-12-2014

Acting Assistant Treasurer

1. Name of Variation

This Variation is the *Corporations (GFI Group Pte Ltd) Exemption Notice Variation 2014 (No. 1)*.

2. Commencement

This Variation commences on the day it is published in the *Gazette*.

3. Variation

Corporations (GFI Group Pte Ltd) Exemption Notice 2011 is varied as described in the Schedule.

14-1321

Schedule Variation

(Section 3)

[1] Sections 3 to 23*Omit sections 3 to 23 and substitute the following***Where this exemption applies**

4. This exemption applies where all of the following matters in this paragraph 4 are satisfied.

Financial products

(a) Financial products that may be traded on the exempt market:

- (i) interest rate derivatives;
- (ii) Foreign exchange forward contracts;
- (iii) Foreign exchange options; and
- (iv) Non-deliverable forward currency contracts
- (v) Credit derivatives;
- (vi) Commodity derivatives;
- (vii) Property derivatives;
- (viii) Equity options that are cash settled;
- (ix) Debentures, stocks or bonds issued or proposed to be issued by a government (excluding listed debentures, stocks or bonds); and
- (x) Bonds (excluding listed bonds).

- (b) GFI must not allow dealing in respect of any financial product referred to in subsections 4(a)(i) to (x) unless, before such operation, ASIC has notified GFI in writing that the financial product is an approved financial product for the purposes of this section.

Trading on the market: market operator

- (c) GFI does not deal in financial products on the exempt market:
- (i) on its own behalf; or
 - (ii) on behalf of a person who is not a professional investor.

Trading on the market: participant

- (d) GFI ensures that a person carrying on business in this jurisdiction does not directly participate in the exempt market unless the person:
- (i) is a professional investor dealing in a financial product on its own behalf; or
 - (ii) is a professional investor dealing in a financial product on behalf of a person who is a professional investor.

Clearing and settlement facility

- (e) Neither GFI nor an associate of GFI operates a clearing and settlement facility in relation to the exempt market.

Note: For the avoidance of doubt, paragraph (d) does not prohibit GFI or an associate from providing information about, or establishing procedures dealing with, the settlement of transactions effected through the exempt market.

Cooperation with ASIC

- (f) GFI has entered into a written arrangement with ASIC for cooperation with ASIC in relation to this exemption and the subject matter to which this exemption relates.

Provision of financial services

- (g) GFI must, at all times comply with Class Order [03/1102].
- (h) GFI must, at all times, hold a capital market services licence granted by the Monetary Authority of Singapore that covers the provision of financial services provided by GFI.

Conditions

5. This exemption ceases to apply if GFI fails to take reasonable steps to comply with any of the matters set out in this paragraph 5.

Operation of the market

- (a) GFI, in all material respects, must operate the exempt market in the way set out in the applications for exemption and variation.

Conduct

- (b) GFI must do all things necessary to ensure that any financial services provided by GFI in connection with the operation of the exempt market are provided efficiently, honestly and fairly.

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Resources

- (c) GFI must have available adequate resources (including financial, technological and human resources) to provide the financial services in connection with the operation of the exempt market and to carry out supervisory arrangements.

Managing conflicts of interest

- (d) GFI must have adequate arrangements in place for managing its conflicts of interest arising from operating the exempt market.

Reporting: adverse findings

- (e) If GFI becomes aware that GFI or a director or secretary of GFI is covered by one or more of the following matters, GFI must, within 7 days after becoming aware of the matter, give a written notice to ASIC advising of the matter:
- (i) the person is the subject of a declaration of contravention in relation to a provision of the Act;
 - (ii) the person has been convicted of an offence under the Act;
 - (iii) in the case of a director or secretary—the person has been disqualified from managing corporations under Part 2D.6 of the Act;
 - (iv) the person has been banned or disqualified from providing financial services under Division 8 of Part 7.6 of the Act.

Reporting: disciplinary action against participant

- (f) If GFI takes disciplinary action against an Australian participant of the exempt market, GFI must, as soon as practicable, give a written notice to ASIC that includes the following details:
- (i) the participant's name;
 - (ii) the reason for and nature of the action taken;
 - (iii) when the action was taken.

Reporting: suspected contraventions by participant

- (g) If GFI has reason to suspect that an Australian participant has committed, is committing, or is about to commit, a contravention of the Act or a significant contravention of the obligations imposed by GFI in relation to the exempt market, GFI must, as soon as practicable, give a written notice to ASIC that includes the following details:
- (i) the participant's name; and

- (ii) details of the contravention or impending contravention; and
- (iii) GFI's reason for that belief.

Assistance to ASIC

- (h) If GFI receives a reasonable request from ASIC to give assistance (which may include giving access to any information, document or books held by GFI) to ASIC, or to a person authorised by ASIC, in relation to the operation of the exempt market, GFI must provide that assistance.

Record-keeping

- (i) GFI must have adequate arrangements in place for the recording of order information and transactions effected through the exempt market.
- (j) GFI must keep for a period of at least 5 years the following records of order information and transactions effected through the exempt market:
 - (i) the date and time that the order was received;
 - (ii) the identity of the participant placing the order;
 - (iii) the name and quantity of the financial product to which the order applies;
 - (iv) the designation of the order as a buy or sell order;
 - (v) any limit or stop price prescribed by the order;
 - (vi) the date and time at which the order expires;
 - (vii) details of any modification or cancellation of the order;
 - (viii) the price, quantity, date and time of execution of the order; and
 - (ix) the identities of the counterparties to the transaction.

Annual report

- (k) GFI must, within three months after the end of its financial year, give ASIC an annual report that sets out:
 - (i) the extent to which GFI has complied with this exemption; and
 - (ii) the following information:
 - (A) a description of the activities that GFI undertook in the financial year in relation to the operation of the exempt market;

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- (B) If any material system outages occurred during the financial year that prevented Australian participants from participating in the exempt market:
- (I) the number of such outages; and
 - (II) the duration of each outage; and
 - (III) the cause of each outage; and
 - (IV) a description of the means by which each outage was resolved;
- (iii) the names and number of Australian participants who directly participated in the exempt market at any time throughout the financial year, identifying those Australian participants who, as at the last day of the financial year, were allowed to directly participate in the market;
- (iv) details of the volume of trading on the exempt market by Australian participants throughout the financial year;
- (v) the number and nature of significant complaints made to GFI by Australian participants who participated in the exempt market during the financial year, and the action taken by GFI in response to each complaint;
- (vi) any specific regulatory issues in relation to the exempt market operated by GFI in this jurisdiction or a financial market operated by GFI outside this jurisdiction, that GFI encountered during the financial year and actions taken to resolve those issues;
- (vii) details of any significant conflicts of interest identified by GFI in relation to its operation of the exempt market during the financial year, and how each conflict of interest was managed.

Audit report

- (i) If the Minister in writing requests GFI to obtain an audit report, prepared by either ASIC or another person or body that is a suitably qualified person, in relation to the annual report mentioned in paragraph (k) or on any information or statements accompanying the annual report, GFI must comply with the request.

Reporting: operating status of market

6. GFI must, within 7 days, notify ASIC in writing if it ceases to operate the exempt market in this jurisdiction.

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Interpretation

7. In this exemption:

- (a) italicised headings do not form part of this exemption;
- (b) ***applications for exemption and variation*** means:
 - (i) the application for an exemption from the operation of Part 7.2 of the Act, submitted to ASIC by GFI, dated 12 May 2011, and subsequent correspondence prior to the issuing of this notice and relating to the exemption application; and
 - (ii) the application for a variation to the exemption from the operation of Part 7.2 of the Act, submitted to ASIC by GFI, dated 1 April 2014, and subsequent correspondence prior to the issuing of this notice and relating to the variation application.

Australian participant means a participant carrying on business in this jurisdiction.

Bond means:

- (a) ***a debenture as defined in section 9 of the Act; and***
- (b) ***a chose in action that includes an undertaking by a government, a government agency or a semi-government agency to pay as a debt money deposited with or lent to the government or agency.***

Class Order [CO 03/1102] means ASIC Class Order [03/1102], titled 'Singapore MAS regulated financial service providers':

- (a) issued by ASIC on 22 December 2003;
- (b) notified in the *Gazette* on 23 December 2003; and
- (c) in force on the commencement of this Notice.

commodity derivatives for the purposes of this exemption is limited to the following:

- (a) Precious metals (gold, silver, platinum group metals);
- (b) Metals;
- (c) Iron ore;
- (d) Oil and gas;
- (e) Fuel oil;
- (f) Coal;

- (g) Agricultural derivatives (excluding grain and wool); and
- (h) Freight.

exempt market means the facility, being GFI's CreditMatch, ForexMatch and RatesMatch platforms, through which:

- (a) offers to acquire or dispose of financial products are regularly made or accepted; or
- (b) offers or invitations are regularly made to acquire or dispose of financial products that are intended to result or may reasonably be expected to result, directly or indirectly, in:
 - (i) the making of offers to acquire or dispose of financial products; or
 - (ii) the acceptance of such offers.

financial services law has the meaning given by section 761A of the Act.

GFI means GFI Group Pte Ltd, a private limited company formed under the law of Singapore.

participant has the meaning given by section 761A of the Act.

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**Australian Securities and Investments Commission
Corporations Act 2001 – Paragraph 601QA(1)(b) – Declaration**

Enabling legislation

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under Paragraph 601QA(1)(b) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument No. 14-1101.

Commencement

3. This instrument commences on 19 December 2014.

Declaration

4. The Australian Securities and Investments Commission (*ASIC*) declares that Chapter 5C of the Act applies to Macquarie Investment Management Limited ACN 002 867 003 (*MIML*) in its capacity as responsible entity of the Macquarie True Index Emerging Markets Value Fund ARSN 603 214 259 (*the Fund*) as if section 601LC of the Act were modified or varied as follows:

- (a) in notional paragraph 208(1)(d)(i), omit “the approval of the scheme’s members in the way set out in sections 217 to 227” and substitute “the written consent of each of the members of the scheme”; and
- (b) after notional paragraph 208(3), insert:

“(4) If the giving of a benefit is approved in accordance with subparagraph (1)(d)(i), within 14 days after the written consent is given, the responsible entity must lodge a notice setting out the text of the written consent given by the members of the scheme together with a copy of an explanatory statement that sets out the information that would satisfy section 219 as if references in section 219 to a proposed resolution were references to the proposal to which the written consent relates.

- (5) If the giving of a benefit is approved in accordance with subparagraph (1)(d)(i), the responsible entity must not issue an interest in the scheme unless the explanatory statement required by subsection (4) has been lodged and the applicant for the interest:

- (a) has received the explanatory statement required by subsection (4), and
- (b) has consented in writing to the giving of the benefit.

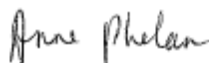
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- (6) If the giving of a benefit is approved in accordance with subparagraph (1)(d)(i), the responsible entity must not issue an interest in the scheme that requires the giving of a Product Disclosure Statement under Division 2 of Part 7.9."

Where this order applies

5. A benefit (*Benefit*) is given by MIML to Macquarie Life Limited ACN 003 963 773 (*MLL*) as a result of MIML and MLL entering into the following contracts in relation to the Fund that are effective on or around 28 January 2015:
- (a) Master Agreement of International Swap and Derivatives Association Inc; and
 - (b) Risk and Profit Share Agreement.
6. MIML provides each person that makes an application for an interest in the Fund (*Applicant*) with an explanatory statement that:
- (a) would satisfy section 219 of the Act (the *Explanatory Statement*) as if references in section 219 to a proposed resolution were references to the proposal to which the written consent relates, and
 - (b) specifies the contracts under which the Benefit is to be given, before the Applicant gives their consent to the Benefit.
7. MIML states in the Explanatory Statement provided to each Applicant that MIML considers that the Benefit is in the best interests of the members as members of the Fund.

Dated: 19th December 2014



.....
Signed by Anne Phelan

As a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001

Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this second day of January 2015

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

Name of Company

ARBN

FIREMAKERS LIMITED

136 998 948

WHITEWATER INVESTMENTS (NEW ZEALAND) LIMITED

167 551 993

Corporations Act 2001
Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

BDO GROUP HOLDINGS (QLD) PTY LTD

ACN 133 657 833 will change to a public company limited by shares. The new name will be BDO GROUP HOLDINGS (QLD) LIMITED ACN 133 657 833.

GOLD ONE INTERNATIONAL LIMITED

ACN 094 265 746 will change to a proprietary company limited by shares. The new name will be GOLD ONE INTERNATIONAL PTY LIMITED ACN 094 265 746.

UNITED GROWER HOLDINGS LTD

ACN 092 780 768 will change to a proprietary company limited by shares. The new name will be UNITED GROWER HOLDINGS PTY LTD ACN 092 780 768.

EMPIRICA MANAGEMENT LIMITED

ACN 136 522 211 will change to a proprietary company limited by shares. The new name will be CITY WISE TRADING PTY LIMITED ACN 136 522 211.

IWEBGATE TECHNOLOGY LIMITED

ACN 108 728 472 will change to a proprietary company limited by shares. The new name will be IWEBGATE TECHNOLOGY PTY LTD ACN 108 728 472.

WOTIF.COM HOLDINGS LIMITED

ACN 093 000 456 will change to a proprietary company limited by shares. The new name will be WOTIF.COM HOLDINGS PTY LTD ACN 093 000 456.