# Short Selling: Testing and Conformance Request

### Step 1. Access to the test environment

To establish a connection to the ASIC test FIX server complete the following details and email to shortpositionreporting@asic.gov.au

Firm:

Technical Contact:

Telephone:

Email:

FIX Network Connectivity: ☐ BT Radianz  ☐ TNS

FIX Engine:

FIX Protocol Version:

### Step 2. Conformance

Once you have completed testing, email shortpositionreporting@asic.gov.au to start the conformance process.

ASIC will provide you with the details you need to complete the process (eg. test script, time slot for lodging reports).

**Disclaimer:** You agree that any statement or representation by ASIC connected with this testing procedure and its requirements, will not relieve you of any obligations you have in regard to naked short sales and covered short sales (Short Selling Obligations) under the Corporations Act 2001, Corporations Regulations and the Regulatory Guide 196. You also agree and understand that:
  (a)  any conformance process result from ASIC, will be dependent on a single point in time and the specific production environment;
  (b)  different conformance process results may be returned at another time and in another production environment (with the same data);
  (c)  notwithstanding any statement or representation by ASIC, you will have a continuing obligation to update yourself on any changes to ASIC's IT environment and requirements; and
  (d)  you take full responsibility for any connectivity problems, network faults and/or outages (not directly caused by ASIC).