

Commonwealth of Australia



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#### **RIGHTS OF REVIEW**

Persons affected by certain decisions made by ASIC under the Corporations Act and the other legislation administered by ASIC may have rights of review. ASIC has published **Practice Note 57 [PN57]** *Notification of rights of review* and Information Sheet [INFO 1100] *ASIC decisions – your rights* to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at www.asic.gov.au or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

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05/0957

# Australian Securities and Investments Commission Corporations Act 2001 — Paragraph 911A(2)(l) — Variation

## **Enabling provision**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 911A(2)(1) of the *Corporations Act 2001*.

#### Title

2. This instrument is ASIC Class Order [CO 05/957].

#### Commencement

- 3. This instrument commences on the later of:
  - (a) the date of its gazettal; and
  - (b) the date it is registered under the Legislative Instruments Act 2003.

Note: An instrument is registered when it is recorded on the Federal Register of Legislative Instruments (*FRLI*) in electronic form: see *Legislative Instruments Act 2003*, s 4 (definition of *register*). The FRLI may be accessed at <a href="http://www.frli.gov.au/">http://www.frli.gov.au/</a>.

#### Variation

- 4. ASIC Class Order [CO 03/1102] is varied as follows:
  - (a) in Schedule A omit paragraph (a), substitute:
    - "(a) the body:
      - (i) has a current capital market services licence; or
      - (ii) has a current banking licence; or
      - (iii) is a merchant bank approved as a financial institution;";
  - (b) in Schedule B omit paragraph 2(a), substitute:
    - "(a) either:
      - (i) a copy of the capital market services licence or banking licence granted to it by MAS; or
      - (ii) evidence that ASIC has stated in writing is adequate that it is a merchant bank approved as a financial institution;";

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- (c) in Schedule C (subparagraph 2(a)(i)) omit "licence", substitute "licence, banking licence or approval of the merchant bank as a financial institution"; and
- (d) under the heading "Interpretation" after the definition of "Agent", insert:

"approval of a merchant bank as a financial institution means approval granted by MAS under section 28 of the Monetary Authority of Singapore Act 1970 of Singapore;

banking licence means a licence granted by MAS under section 7 or section 79 of the Banking Act 1970 of Singapore;".

Dated this 23rd day of September 2005

Signed by Stephen Yen PSM

Stellen Yen

as a delegate of the Australian Securities and Investments Commission

05/0986

# Australian Securities and Investments Commission Corporations Act 2001 — Paragraphs 601QA(1)(a) and 911A(2)(l) — Variation

# **Enabling provision**

1. The Australian Securities and Investments Commission makes this instrument under paragraphs 601QA(1)(a) and 911A(2)(l) of the *Corporations Act 2001*.

# Title

2. This instrument is ASIC Class Order [CO 05/986].

## Commencement

- 3. This instrument commences on the later of:
  - (a) the date of its gazettal; and
  - (b) the date it is registered under the Legislative Instruments Act 2003.

Note: An instrument is registered when it is recorded on the Federal Register of Legislative Instruments (*FRLI*) in electronic form: see *Legislative Instruments Act 2003*, s 4 (definition of *register*). The FRLI may be accessed at <a href="http://www.frli.gov.au/">http://www.frli.gov.au/</a>.

# Variation

4. ASIC Class Order [CO 02/239] is varied by in Schedule B, omitting "on or before 30 September 2005".

Dated this 23rd day of September 2005

Signed by Stephen Yen PSM

Stephen Yen

as a delegate of the Australian Securities and Investments Commission