

**Commonwealth of Australia** 



Australian Securities & Investments Commission

Commonwealth of Australia Gazette No. ASIC 18/02, Tuesday, 23 April 2002

Published by ASIC

# **ASIC Gazette**

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## Australian Securities and Investments Commission 99/2346 Corporations Law Section 730 — Declaration

Pursuant to subsection 730(1) of the Corporations Law ("Law") the Australian Securities and Investments Commission ("Commission") declares that Chapter 6 of the Law applies in relation to the person named in Schedule A in the case referred to in Schedule B as if section 623 was modified or varied to read as follows:

"623 Section 615 does not apply in relation to an acquisition of shares in a company by virtue of an allotment or purchase or by the entry into a relevant agreement if the company has agreed to the allotment or purchase or the entry into the relevant agreement by a resolution passed at a general meeting at which no votes were cast in relation to the resolution in respect of any shares held by, or by an associate of, the person to whom the first-mentioned shares were to be allotted, or by whom or from whom the first-mentioned shares were to be bought, or by whom or from whom the first-mentioned shares were to be acquired by the entry into the relevant agreement, as the case may be."

#### Schedule A

#### UBS AG

#### Schedule B

The acquisition of a relevant interest in 16,938,410 ordinary shares in Sanford Securities Limited pursuant to a proposed agreement contained in clause 5 if the Shareholders Deed between UBS AG, Sanford Securities Limited, Mintec International Pty Ltd., Bean San Goh, Patricial Ai Tuan Goh, Steven WernYi Goh, Aquafresh Seafoods Pty Ltd., First Industries Corporation, Chuen Jin Goh, Heavenly Mt. Asset Management Corp, Techfun Ltd and Peter Della Bona in substantially the form provided to the Australian Securities and Investments Commission on 25 November 1999 and to be made available to the holders of shares in Sanford Securities Limited.

Dated: 26 November 1999

Signed by Li Chang as a delegate of the Australian Securities and Investments Commission.

# Australian Securities & Investments Commission 997/2347 Corporations Law Section 730 — Declaration

Pursuant to section 730(1) of the Corporations Law (Law), the Australian Securities and Investments Commission ("ASIC") declares that Chapter 6 of the Law applies in relation to the person named in Schedule A in the case referred to in Schedule B as if section 621(3) were modified as follows:

- 1. in paragraph (a) delete the words "the number of shares" and substitute the words "the assignable rights to subscribe for the number of shares.";
- 2. in paragraph (b) delete "causes the shares so allotted" and substitute the words "causes those rights"; and
- 3. in paragraph (c):
  - (a) delete from the definition of "Net Proceeds of Sale" the words "and (ii) the amount (if any) payable to the company in respect of the allotment of shares"; and
  - (b) delete from the definition of "TS" the words "shares allotted to the nominee" and substitute the words "shares which would be issued on the exercise of the rights allotted to the nominee".

#### Schedule A

Allstate Explorations NL, ACN 000 679 023 ("Company")

#### Schedule B

A renounceable rights issue of ordinary shares in the Company under a prospectus to be lodged with the ASIC around October 1999.

Dated: 14 October 1999

Signed by Li Chang as a delegate of the Australian Securities and Investments Commission.



# Corporations (Exempt Futures Market — National Wholesale Electricity) Amendment Declaration 2002 (No. 2)

I, IAN GORDON CAMPBELL, Parliamentary Secretary to the Treasurer, make the following Declaration under subsection 1127 (1) of the *Corporations Act 2001*.

Dated March Sta, 2002

Parliamentary Secretary to the Treasurer

## 1 Name of Declaration

This Declaration is the Corporations (Exempt Futures Market — National Wholesale Electricity) Amendment Declaration 2002 (No. 2).

# 2 Commencement

This Declaration commences when it is made.

# 3 Amendment of Corporations (Exempt Futures Market — National Wholesale Electricity) Declaration 1999

Schedule 1 amends the Corporations (Exempt Futures Market — National Wholesale Electricity) Declaration 1999.

Schedule 1 Amendments

02/0352

# Schedule 1

# Amendments

(section 3)

#### Section 4, before definition of declared futures market [1]

insert

ancillary services has the meaning given by the National Electricity Code issued by the National Electricity Code Administrator Limited, as in force from time to time.

#### Section 4, definition of Exempt Futures Market Declaration 1994 [2] omit

#### [3] Section 5

substitute

5 Declaration

The futures market that:

- (a) relates to the wholesale price of electricity and ancillary services: and
- (b) is conducted in Australia by registered facility providers and permitted facility providers;

is an exempt futures market, subject to the conditions stated in this Declaration.

#### [4] Section 7

omit

Exempt Futures Market Declaration 1994.

insert

Corporations (Exempt Futures Market) Declaration 2001.

#### [5] Subparagraph 9 (1) (f) (ii)

omit

ASIC.

insert

ASIC; or

2

Schedule 1

# [6] After paragraph 9 (1) (f)

insert

(g) a financial services licensee whose licence authorises the person to make a market for derivatives, or to deal in derivatives, including derivatives relating to the wholesale price of electricity in Australia.

Amendments

## [7] Section 12

substitute

12

#### Compliance with Corporations (Exempt Futures Market) Declaration 2001

A permitted facility provider must comply with the conditions of the Corporations (Exempt Futures Market) Declaration 2001.

# 02/0434 -

## Australian Securities and Investments Commission Corporations Act 2001 – Subsection 1437(2) – Declaration

Under subsection 1437(2) of the *Corporations Act 2001* (the "Act"), the Australian Securities and Investments Commission ("ASIC") hereby declares that section 1430, in its application to sections 1431 and 1433 and any associated provisions, has effect in relation to the class of persons referred to in Schedule A as if the third column of item 1 of the table in subsection 1430(1) (whether or not modified or varied by another instrument under subsection 1437(2) in relation to some or all of those persons) were modified or varied by adding at the end the following words:

"And such other activities as are involved in either or both of the following:

- (a) in the case where that licence authorised the person to deal in securities within the meaning of subsection 92(1) of the old Corporations Act dealing in financial products covered by paragraphs 764A(1)(a) to (1)(ba) and paragraph 764A(1)(g);
- (b) in the case where that licence authorised the person to advise on securities within the meaning of subsection 92(1) of the old Corporations Act – advising on financial products covered by paragraphs 764A(1)(a) to (1)(ba) and paragraph 764A(1)(g).".

And under subsection 1437(2) ASIC hereby declares that section 1430, in its application to sections 1431 and 1433 and any associated provisions, has effect in relation to the class of persons referred to in Schedule B as if the third column of item 2 of the table in subsection 1430(1) were modified or varied by adding at the end the following words:

"And such other activities as are involved in advising on financial products covered by paragraphs 764A(1)(a) to (1)(ba) and paragraph 764A(1)(g)."

# Schedule A

A regulated principal of a kind referred to in item 1 of the table in subsection 1430(1) holding a dealers licence which authorised either or both of:

- (a) dealing in securities within the meaning of subsection 92(1) of the old Corporations Act;
- (b) advising on securities within the meaning of subsection 92(1) of the old Corporations Act.

# Schedule B

A regulated principal of a kind referred to in item 2 of the table in subsection 1430(1) holding an investment advisers licence which authorised advising on securities within the meaning of subsection 92(1) of the old Corporations Act.

.

2

# Interpretation

# 02/0434

In this instrument:

- 1. "associated provisions" and "old Corporations Act" have the meanings given by subsection 1410(1); and
- 2. unless the context otherwise requires, references to provisions are references to provisions in the Act.

Dated this 11th day of April 2002

Brenden Byre.

Signed by Brendan Byrne as a delegate of the Australian Securities and Investments Commission

## Australian Securities and Investments Commission Corporations Act 2001 – Subsection 1437(2) – Declaration 0 2 / 0 4 3 5

Under subsection 1437(2) of the *Corporations Act 2001* (the "Act"), the Australian Securities and Investments Commission hereby declares that section 1430, in its application to sections 1431 and 1433 and any associated provisions, has effect in relation to the class of persons referred to in the Schedule as if the third column of item 5 of the table in subsection 1430(1) were modified or varied by omitting the word "commencement." and substituting the following words:

"commencement; and such other activities as are involved in providing financial product advice in relation to, and dealing in, either or both:

- (a) in the case of a person registered under Part III of that Act (as so in force) in respect of a life insurance business life risk insurance products and investment life insurance products;
- (b) in the case of a person registered under Part III of that Act (as so in force) in respect of a general insurance business risk insurance products.".

#### Schedule

A regulated principal of a kind referred to in item 5 of the table in subsection 1430(1).

## Interpretation

In this instrument:

- 1. "associated provisions" has the meaning given by subsection 1410(1); and
- 2. references to provisions are references to provisions in the Act.

Dated this 11th day of April 2002

Bendan Byre.

Signed by Brendan Byrne as a delegate of the Australian Securities and Investments Commission

# Australian Securities and Investments Commission Corporations Act 2001 – Subsection 1437(2) – Declaration

Under subsection 1437(2) of the *Corporations Act 2001* (the "Act"), the Australian Securities and Investments Commission hereby declares that Subdivision D of Division 1 of Part 10.2 (other than section 1436A) and any associated provisions have effect in relation to the class of persons referred to in the Schedule as if:

- (1) the third column of item 1 of the table in subsection 1430(1) were modified or varied by omitting the word "on." and substituting the words "on; and where those activities included the operation of a managed investment scheme specified by name in the licence any activities of the same kind related to the operation of one or more additional schemes specified by name in the licence which the person is authorised to carry on by reason of a variation to the licence made after the FSR commencement."; and
- (2) paragraph 1432(1)(a) were modified or varied by omitting the word "activities;" and substituting the words "activities (including in the case of a regulated principal referred to in item 1 of the table in subsection 1430(1), the making of an application to vary the relevant dealers licence and the granting or refusal of that application);"; and
- (3) paragraph 1432(1)(b) were modified or varied by omitting the word "activities;" and substituting the words "activities (including in the case of a regulated principal referred to in item 1 of the table in subsection 1430(1), the making of an application to vary the relevant dealers licence and the granting or refusal of that application).".

## Schedule

A regulated principal of a kind referred to in item 1 of the table in subsection 1430(1) which is authorised by its dealers licence to operate one or more managed investment schemes specified by name in that licence.

## Interpretation

In this instrument:

1. "associated provisions" has the meaning given by subsection 1410(1); and

2. references to provisions are references to provisions in the Act.

Dated this 11th day of April 2002

Brendon Byne.

Signed by Brendan Byrne as a delegate of the Australian Securities and Investments Commission

# Australian Securities and Investments Commission 02/0437 – Corporations Act 2001 – Subsection 1020F(1) – Exemption

Under subsection 1020F(1) of the *Corporations Act 2001* the Australian Securities and Investments Commission hereby exempts the responsible entity of a registered scheme from paragraph 1016A(2)(a) of that Act, to the extent that it prohibits the issue of a managed investment product to which the scheme relates unless the application form for that managed investment product requires the applicant's date of birth, on the condition that that form requires an applicant who is a natural person to state that he or she is at least 18 years of age.

Dated this 11th day of April 2002

endon Byre .

Signed by Brendan Byrne as a delegate of the Australian Securities and Investments Commission

# Australian Securities and Investments Commission Corporations Act 2001 – Subsection 741(1) – Exemption 0 2 / 0 4 4 3 –

Pursuant to subsection 741(1) of the Corporations Act 2001 ("Act") the Australian Securities and Investments Commission ("ASIC") hereby exempts the persons named in Schedule A in the case referred to in Schedule B from the provisions of Parts 6D.2 and 6D.3 of the Act on condition that:

- 1. the offer for issue of ordinary fully paid shares is made only to:
  - (a) persons who have received a "PricewaterhouseCoopers Consulting Australian Partner Information Memorandum" (in substantially the form provided to ASIC on 8 April 2002) ("PIM") and at the time of the offer:
    - (i) are partners in the partnership carrying on business under the name of PricewaterhouseCoopers ("PricewaterhouseCoopers"); or
    - (ii) are trustees who will hold the shares on trust for persons who will be admitted as partners to PricewaterhouseCoopers in the period up to and including 1 July 2002; or
    - (iii) are trustees for the estates of persons who have been partners of PricewaterhouseCoopers; or
    - (iv) are determined to be eligible to be offered shares by the Board of Partners of PricewaterhouseCoopers under the guidelines set out in the PIM, provided they are or have been partners or employees of PricewaterhouseCoopers or its associated firms; or
  - (b) trustees for the persons identified in subparagraphs (a)(i) or (iv) above; or
- 2. the offer for sale of fully paid shares is made by the persons referred to in paragraph 1 of this instrument to PwC Consulting SCA (a partnership limited by shares established in Luxembourg), which offer results in the transfer of fully paid shares to a wholly-owned Australian subsidiary of PwC Consulting SCA.

#### Schedule A

Any person who makes an offer for issue or sale of the kind referred to in Schedule B.

#### Schedule B

An offer for issue or sale of ordinary fully paid shares in PwC Consulting (Australia) Pty Limited, as part of the sale of the management and business consulting business of the partnership carrying on business in Australia under the name of PricewaterhouseCoopers, as approved by at least two-thirds of the partners voting on a resolution to effect the sale, and as described in the PIM.

Dated this 10th day of April 2002

Signed by Belisa Jong as a delegate of the Australian Securities and Investments Commission

#### Australian Securities and Investments Commission Corporations Act 2001 – Subsection 741(1) – Exemption 0 2 / 0 4 4 4

Pursuant to subsection 741(1) of the Corporations Act 2001 ("Act") the Australian Securities and Investments Commission ("ASIC") hereby exempts the persons named in Schedule A in the case referred to in Schedule B from the provisions of Parts 6D.2 and 6D.3 of the Act on condition that:

- 1. the offer for issue of fully paid shares is made only to:
  - (a) persons who have received a "PricewaterhouseCoopers Consulting Australian Partner Information Memorandum" (in substantially the form provided to ASIC on 8 April 2002) ("PIM") and at the time of the offer:
    - (i) are partners in the partnership carrying on business under the name of PricewaterhouseCoopers ("PricewaterhouseCoopers); or
    - (ii) are trustees who will hold the shares on trust for persons who will be admitted as partners to PricewaterhouseCoopers in the period up to and including 1 July 2002; or
    - (iii) are trustees for the estates of persons who have been partners of PricewaterhouseCoopers; or
    - (iv) are determined to be eligible to be offered shares by the Board of Partners of PricewaterhouseCoopers under the guidelines set out in the PIM, provided they are or have been partners or employees of PricewaterhouseCoopers or its associated firms; or
  - (b) trustees for the persons identified in subparagraphs (a)(i) or (iv) above.

## Schedule A

PwC Consulting SCA, a partnership limited by shares established in Luxembourg and PwCC Limited, a company incorporated in Bermuda.

#### Schedule B

An offer for issue of shares in PwC Consulting SCA or PwCC Limited as part of the sale of the management and business consulting business of the partnership carrying on business in Australia under the name of PricewaterhouseCoopers, as approved by at least two-thirds of the partners voting on a resolution to effect the sale, and as described in the PIM.

Dated this 10th day of April 2002

Signed by Belisa Jong as a delegate of the Australian Securities and Investments Commission

## Australian Securities and Investments Commission Corporations Act 2001 - Subsection 655A(1) - Declaration 0 2 / 0 4 4 5

Pursuant to subsection 655A(1) of the Corporations Act 2001 ("Act") the Australian Securities and Investments Commission ("ASIC") declares that Chapter 6 of the Act applies to the person specified in Schedule A in the case referred to in Schedule B as if:

- 1. paragraph 620(2)(b) was omitted and the following paragraph substituted:
  - "(ba) if the bidder is given the necessary transfer documents after the acceptance and before the end of the bid period and the offer is subject to a defeating condition at the time that the bidder is given the necessary transfer documents by the end of whichever of the following periods ends earlier:
    - (i) within one month after the takeover contract becomes unconditional;
    - (ii) 21 days after the end of the offer period; or
  - (bb) if the bidder is given the necessary transfer documents after the acceptance and before the end of the bid period and the offer is unconditional at the time that the bidder is given the necessary transfer documents - by the end of whichever of the following periods ends earlier:
    - (i) one month after the bidder is given the necessary transfer documents:
    - (ii) 21 days after the end of the offer period; or"
- 2. paragraph 620(2)(c) was modified by adding at the end of the paragraph the following words:

"but if at the time the bidder is given the necessary transfer documents the takeover contract is still subject to a condition which relates to the occurrence of an event or circumstance referred to in subsection 652C(1), 652C(2) or 625(3)(c), within 21 days after the takeover contract becomes unconditional."

3. items 2, 3 and 5 of the table contained in subsection 633(1) were modified by adding at the end of the entry in the left hand column for each item the following words:

"The bidder's statement and offer document need not include the name and address of the holders of bid class securities, the date of the proposed offer or any other date that is related to or dependent on that date, or the details referred to in subparagraphs 636(1)(k)(ii) and 636(1)(l)(ii) or details of transactions required to be disclosed under subparagraphs 636(1)(h) and 636(1)(i) from the period between the date of the bidder's statement and the date of the bid.

If this information is not included in the bidder's statement and offer document, the bidder must:

- include this information in the bidder's statement and offer document sent under item
   6; and
- send to the target a copy of the bidder's statement sent under item 6."
- 4. paragraph 636(1)(k) was modified by the insertion of the following subparagraph after subparagraph 636(1)(k)(ii):

# 02/0445 -

- "(iii) the number of securities in the class that the bidder had a relevant interest in immediately before the bidder's statement is lodged with ASIC (expressed as a number of securities or as a percentage of the total number of securities in the class);"
- 5. paragraph 636(1)(I) was omitted and the following paragraph substituted:
  - "(I) for an off-market bid the bidder's voting power in the target:
    - (i) as at the date of the bidder's statement; and
    - (ii) as at the date immediately before the first offer is sent;"
- 6. paragraph 650F(1)(a) was omitted and the following paragraph substituted:
  - "(a) if the condition relates only to the occurrence of an event or circumstances referred to in subsection 652C(1) or (2) not later than 3 business days after the end of the offer period; or"
- 7. paragraph 650G(b) was omitted and the following paragraph substituted:
  - "(b) the bidder has not declared the offers to be free from the condition in accordance with section 650F."
- 8. paragraph 650G(c) was omitted and the following paragraph substituted:
  - "(c) the condition has not been fulfilled:
    - (i) except as provided in subparagraph (ii), at the end of the offer period; or
    - (ii) in the case of an event or circumstance referred to in subsection 652C(1) or (2), within 3 business days after the end of the offer period."

#### Schedule A

Cabcover Pty Ltd ACN 006 313 622 of 187 Nicholson Street, East Brunswick, Victoria (the "Bidder")

#### Schedule B

The takeover bid by the Bidder for all of the 'A' Class shares and all of the 'B' Class shares in E.P.H.S. Limited ACN 004 263 745 in respect of which a bidder's statement is to be lodged with ASIC on or about the date of this instrument.

as a delegate of the Australian Securities and Investments Commission

Dated this 11th day of April 2002

20erald. Sarala Miranda Fitzgerald

Signed:

#### Australian Securities & Investments Commission **Corporations Act 2001 Section 825 Order Revoking Licence**

02/0446 \_\_

TO: Tir Securities (Australia) Ltd, ACN: 073 685 220 ("the Licensee") Level 2 99 William St

Melbourne VIC 3000

Pursuant to paragraph 825(a) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby revokes Licence Number 162734 held by the Licensee with effect from when this order is served on the Licensee.

Dated this 11<sup>th</sup> day of April 2002. Signed 0

······

Greg Bradshaw, a delegate of the Australian Securities and Investments Commission

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02/0447

#### **Australian Securities & Investments Commission Corporations Act 2001 Section 824 Order Revoking Licence**

TO: Harry Howard Walls ("the Licensee") Locked Bag 229

Hunter Region MC NSW 2310

Pursuant to paragraph 824(d) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby revokes the Licence Number 11242 with effect from when this order is served on the Licensee.

## Australian Securities and Investments Commission 02/0452 Insurance (Agents and Brokers) Act 1984 Subsection 21(5) Renewal of Registration of General Insurance Broker

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the registration of the persons mentioned in the schedule was renewed, pursuant to subsection 21(1A) of the Act, in relation to general insurance business with effect from the date specified.

*Dorothy Kaye Guy A delegate of the Australian Securities and Investments Commission* 

#### SCHEDULE

SCHEDULE			
Broker Name	Ref.	Effective Date	
786 INTERNATIONAL (AUST) PTY LTD ACN 010805222	40142	05/09/2001	
AFA INSURANCE BROKERS PTY LTD ACN 088869578	80018	22/02/2002	
ALL CLASS INSURANCE BROKERS P/L ACN 095825513	20952	22/03/2002	
ANNIS SEDGWICK PTY LIMITED ACN 000858079	20118	13/03/2002	
ARRIA INSURANCE BROKERS PTY LTD ACN 095132764	20956	29/03/2002	
AVIATION INSURANCE BROKERS OF AUSTRALIA PTY LTD ACN 058815660	40249	13/04/2002	
B.B.A. INSURANCE BROKERS (VIC) PTY LTD ACN 055567229	30466	01/04/2002	
BAC INSURANCE BROKERS PTY LTD ACN 003043294	20180	14/03/2002	
BAIN HOGG AUSTRALIA LTD ACN 002886848	20299	13/02/2002	
BJS INSURANCE BROKERS (GIPPSLAND) PTY LTD ACN 091705770	30650	06/04/2002	
BRIAN SELBY INSURANCE SERVICES (BROKERS) PTY LTD ACN 004737008	30060	14/03/2002	
BRUCE BURFORD & ASSOCIATES PTY LTD ACN 008151095	50108	10/03/2002	
BRYAN MCNABNEY INSURANCE AGENCIES AND FINANCIAL SERVICES PTY	20911	30/11/2001	
LTD ACN 089049749		• •	
BRYSON INSURANCE BROKERS PTY LTD ACN 011004550	40127	17/03/2002	
BUSINESS DEBTORINSURE (BROKERS) PTY LTD ACN 005786747	30296	12/04/2002	
CHAMBERLAINS GENERAL INSURANCE (BROKERS) PTY LTD ACN	10035	03/02/2002	
090593507			
CITYCOVER (AUST) PTY LTD ACN 010699537	40043	31/03/2002	
COMMERCIAL & GENERAL INSURANCE BROKERS (AUST) PTY LTD ACN	30626	24/05/2000	
087001045			
COMMERCIAL & GENERAL INSURANCE BROKERS (AUST) PTY LTD ACN	30626	24/05/2001	
087001045			
CREATIVE RISK SOLUTIONS PTY LIMITED ACN 085789644	20885	15/03/2002	
DAMONS INSURANCE BROKERS PTY LTD ACN 003097158	20046	13/03/2002	
DELANEY KELLY GOLDING PTY LIMITED ACN 000663221	20073	23/02/2002	
DHB & ASSOCIATES PTY LTD ACN 003714109	20545	28/03/2002	
DOUG KERR INSURANCE CONSULTANTS PTY LTD ACN 078679071	30649	10/04/2002	
DOYLE INSURANCE BROKERS PTY LTD ACN 002726561	20069	26/09/2001	
EAGLE INSURANCE BROKERS PTY LTD ACN 001366847	20881	09/03/2002	
FAIRWEATHER TURNER PTY LTD ACN 002709622	20019	14/03/2002	
FINANCIAL INSURANCE SERVICES (BROKERS) PTY LTD ACN 006080226	30119	13/04/2002	
FINSTRUCT (AUSTRALIA) PTY LTD ACN 089399593	20925	21/03/2002	
GARRISON INSURANCE BROKERS PTY LTD ACN 002134974	20395	31/03/2002	
GENSURE PTY LIMITED ACN 095493897	20953	30/03/2002	
GLYNDE INSURANCE BROKERS PTY LTD ACN 007971217	50023	03/04/2002	
GREAT WESTERN INSURANCE BROKERS PTY LTD ACN 009438215	60143	24/03/2002	
GSS INSURANCE BROKERS PTY LTD ACN 062937802	30525	18/03/2002	
HALEY, MICHAEL JAMES ACN 000000000	20923	06/03/2002	
HEBERLEIN BRADY AND GLASSOCK PTY LTD ACN 002376105	20317	14/03/2002	
HOLLAND INSURANCE BROKERS PTY LTD ACN 006822715	30339	15/03/2002	
IMC ASSOCIATES PTY LTD ACN 074161078	20824	02/01/2002	
IMC INSURANCE BROKERS PTY LTD ACN 063511740	30548	08/03/2002	
INSURANCE VISION (AUST) PTY LTD ACN 091376699	30651	06/04/2002	

INTELLIGENT INSURANCE SERVICES (VIC) PTY LTD ACN 081843218	30614	07/04/2002
INTERCHARGE PTY LTD ACN 002494242	20185	14/03/2002
IT INSURANCE SERVICES PTY LTD ACN 094997163	20954	21/03/2002
KING INSURANCE BROKERS PTY LTD ACN 055235875	30574	27/03/2002
KOTH INSURANCE BROKERS PTY LTD ACN 001956421	20119	13/03/2002
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MCDONALD INSURANCE BROKERS PTY LTD ACN 067600373	30549	16/03/2002
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MULTI-FUNCTIONAL POLICIES PTY LTD ACN 054829999	50137	05/03/2002
MUSTELA PTY LTD ACN 010575916	40128	01/04/2002
NERANG INSURANCE ADVISORY CENTRE PTY LTD ACN 081472077	40366	30/11/2001
OWEN INSURANCE BROKERS PTY LIMITED ACN 053662721	20690	01/04/2002
PARKSIDE INSURANCE BROKERS PTY LTD ACN 077144560	60176	05/03/2002
PASK PTY LTD ACN 001427916	20129	06/03/2001
PATTERSON PEDLER PTY LTD ACN 009111719	60047	11/08/2001
R.G. & P.C. PETERS NOMINEES PTY, LTD. ACN 007829692	50069	04/06/2000
RD GREEN INSURANCE BROKERS PTY LTD ACN 001465336	20420	24/03/2002
RJ VAUGHAN AND MONAGHAN PTY LTD ACN 000106203	20141	06/03/2002
SANCTUARY DRIVE HOLDINGS PTY LTD ACN 002005049	20050	17/01/2001
SAWTELL & SALISBURY PTY LTD ACN 010631197	40054	24/03/2002
SHELTOP 16 PTY LTD ACN 011012061	40158	20/03/2002
SPECIALIST UNDERWRITING AGENCIES PTY LTD ACN 010862745	40205	06/04/2002
SURESERVE INSURANCE BROKERS PTY LTD ACN 001581871	20398	13/03/2002
TAXI INDUSTRY (AUSTRALIA) INSURANCE BROKERS PTY LTD ACN	40381	22/03/2002
095749929		
TERRI SCHEER INSURANCE BROKERS PTY LTD ACN 070874798	50160	15/10/2001
TRANSPORT AND GENERAL PTY LTD ACN 062852475	40275	07/04/2002
TUCKER MCNEIL PTY LIMITED ACN 004001469	20767	26/03/2002
UNILINK PTY LTD ACN 008281230	50123	13/04/2002
VINCE, PAMELA	40278	09/05/2001
WATKINS INSURANCE BROKERS PTY LIMITED ACN 059370455	20737	31/03/2002
WOODSTILL PTY LTD ACN 003879329	20757	28/03/2002
WORLDWIDE SPORTS INSURANCE PTY LTD ACN 055489088	30489	14/03/2002
WYMARK INSURANCE BROKERS PTY LTD ACN 010863966	40126	02/03/2002
ZUELLIG CREDIT INSURANCE BROKERS LIMITED ACN 058206358	20687	13/03/2002

#### 02/0453 Australian Securities and Investments Commission **Insurance (Agents and Brokers) Act 1984** Subsection 21(5) **Renewal of Registration of Life Insurance Broker**

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the registration of the persons mentioned in the schedule was renewed, pursuant to subsection 21(1A) of the Act, in relation to life insurance business with effect from the date specified.

Dorothy Kaye Guy A delegate of the Australian Securities and Investments Commission

#### SCHEDULE

Broker Name	Ref.	Effective Date
ACTIVE FINANCIAL PLANNING PTY LTD ACN 089239672	90386	26/10/2001
BARBACAN BENEFITS PTY LTD ACN 010670069	90205	16/03/2002
BEST, PHILIP ANTHONY ACN 000000000	90287	05/03/2002
EQUITY FINANCIAL BROKERS PTY LTD ACN 050197307	90337	13/04/2002
FINANCIAL OPTIONS PTY LTD ACN 010335538	90288	15/03/2002
GLENHURST CORPORATION PTY LTD ACN 006277087	90407	28/03/2002
GODFREY PEMBROKE LIMITED ACN 002336254	90145	26/10/2001
GUARNUCCIO RICHARD ACN 000000000	90376	04/09/2001
M.D.V. PTY LTD ACN 074596339	90261	12/08/2001
MATELJAN & ASSOCIATES PTY LTD ACN 070265039	90389	15/11/2001
OPEN INVESTMENTS PTY LTD ACN 077376017	90338	27/03/2002
PACT ACCOUNTANTS INVESTMENT GROUP PTY LTD ACN 009119242	90336	22/03/2002
PLATINUM FINANCIAL CONSULTANTS PTY LTD ACN 057828878	90396	05/12/2001
PROTAX PTY LTD ACN 010769070	90202	16/03/2002
RAY CARNALL FINANCIAL SERVICES PTY LTD ACN 054540528	90158	28/03/2002
SECURINVEST FINANCIAL PLANNERS PTY LTD ACN 005526992	90314	18/11/2001
SECURITOR FINANCIAL GROUP LTD ACN 009189495	90206	30/03/2002

## Australian Securities and Investments Commission 02/0454 Insurance (Agents and Brokers) Act 1984 Subsection 31D(5) Renewal of Registration of Foreign Insurance Agent

Pursuant to section 31D(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the registration of the persons mentioned in the schedule was renewed, in relation to carrying on a business as a foreign insurance agent with effect from the date specified.

*Dorothy Kaye Guy A delegate of the Australian Securities and Investments Commission* 

Broker Name X.L. AUSTRALIA PTY LTD ACN 074091811

SCHEDULE

 Ref.
 Effective Date

 99516
 12/03/2002

#### Australian Securities and Investments Commission 02/0455 Insurance (Agents and Brokers) Act 1984 Subsection 21(5) Voluntary Cancellation of Registration of Life Insurance Broker

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission ("the Commission") hereby gives notice that, pursuant to subsection 21(4) of the Act, the Commission cancelled the registration of the persons mentioned in the schedule with effect from the date specified.

Dorothy Kaye Guy A delegate of the Australian Securities and Investments Commission

#### SCHEDULE

Broker Name FINANCIAL RESOURCES GROUP PTY LTD ACN 051228641 
 Ref.
 Effective Date

 90210
 25/03/2002

## Australian Securities and Investments Commission 02/0456 Insurance (Agents and Brokers) Act 1984 Subsection 31D(5) Registration of Foreign Insurance Agents

Pursuant to subsection 31D(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the persons mentioned in the schedule were registered under Part IIIA of the Act in relation to carrying on business as a foreign insurance agent with effect from the date specified.

Dorothy Kaye Guy A delegate of the Australian Securities and Investments Commission

#### SCHEDULE

Broker Name AUSNET UNDERWRITING AGENCY PTY LTD ACN 091988368 
 Ref.
 Effective Date

 99529
 07/03/2002

#### Australian Securities and Investments Commission Insurance (Agents and Brokers) Act 1984 Subsection 21(5) Registration of Life Insurance Broker

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the persons mentioned in the schedule were registered under Part III of the Act in relation to life insurance business with effect from the date specified.

Dorothy Kaye Guy A delegate of the Australian Securities and Investments Commission

#### SCHEDULE

SCHEDOLL		
Broker Name	Ref.	Effective Date
ACUMEN FINANCIAL PLANNING PTY LTD ACN 098972537	90514	08/03/2002
AUSTBROKERS HOLDINGS LIMITED ACN 000000715	90305	08/03/2002
AUSTRALIAN FINANCE GROUP INSURANCE BROKERS PTY LTD ACN	90500	08/03/2002
099029508		
BALLAST LIFE BROKERS PTY LTD ACN 098606716	90487	27/02/2002
CATALYST FINANCIAL GROUP PTY LIMITED ACN 098505358	90507	08/03/2002
CHALICE NOMINEES PTY. LTD. ACN 078162393	90497	08/03/2002
CHAMBERS INVESTMENT PLANNERS PTY LTD ACN 009294606	90248	08/03/2002
FINANCIAL LEADERSHIP PTY LTD ACN 087142872	90458	07/03/2002
FINANCIAL PURSUIT PTY LTD ACN 054401179	90474	08/03/2002
GUILD FINANCIAL SERVICES LIMITED ACN 004399464	90503	28/02/2002
MACQUARIE EQUITIES LIMITED ACN 002574923	90505	08/03/2002
PRESCOTT CONSULTANTS LTD ACN 096919603	90481	08/03/2002
SAVINGS AND LOANS CREDIT UNION (S.A.) LTD ACN 050419755	90484	08/03/2002
THE CORPORATE WILL COMPANY PTY LTD ACN 009145886	90513	07/03/2002
TULARE FINANCIAL PLANNERS PTY LTD ACN 060949215	90492	08/03/2002
XENTINEL FINANCIAL SERVICES PTY LTD ACN 095943187	90509	08/03/2002

#### Australian Securities and Investments Commission 02/0458 Insurance (Agents and Brokers) Act 1984 Subsection 21(5) **Registration of General Insurance Broker**

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the persons mentioned in the schedule were registered under Part III of the Act in relation to general insurance business with effect from the date specified.

Dorothy Kaye Guy A delegate of the Australian Securities and Investments Commission

#### SCHEDULE

JUIEDAL			
Broker Name	Ref.	Effective Date	
BJS INSURANCE BROKERS (AUSTRALIA) PTY LTD ACN 089746350	30694	08/03/2002	
CUNNINGHAM INSURANCE BROKERS PTY LTD ACN 002787395	20156	28/02/2002	
FOREWAYS INSURANCE BROKERS PTY LTD ACN 096819822	40394	08/03/2002	
INSURANCE SOLUTIONS CORPORATION PTY LTD ACN 092260672	30697	08/03/2002	
JOHN J ANDREWS INSURANCE BROKERS PTY LTD ACN 096387094	20985	08/03/2002	
JOHN SMITH FINANCIAL SERVICES PTY. LTD. ACN 099626758	30696	08/03/2002	
MORGAN READ & SHARMAN LIMITED ACN 088094937	20903	08/03/2002	
RIGHTWAY INSURANCE BROKERS PTY LTD ACN 069029661	10044	27/02/2002	
RTA INSURANCE BROKING PTY LTD ACN 098359374	20984	06/03/2002	
WESTERN UNITED FINANCIAL SERVICES (WA) PTY LTD ACN 099067517	60222	08/03/2002	
		* * * * * •	

Australian Securities and Investments Commission Corporations Act 2001 – Paragraphs 655A(1)(a) and 673(1)(a) – Declarations

Pursuant to paragraphs 655A(1) of the Corporations Act 2001 (the "Act"), the Australian Securities and Investments Commission ("ASIC") declares that Chapter 6 of the Act shall apply in relation to the person specified in Schedule A in the case referred to in Schedule B, as if the following subsection was inserted after subsection 609(10):

"609(11) A person does not have a relevant interest or voting power in securities merely because of an escrow agreement."

And pursuant to subsection 673(1) of the Act, ASIC declares that Chapter 6C of the Act applies in relation to the persons specified in Schedule A in the case referred to in Schedule B, as if immediately after subsection 671B(7) the following subsection 671B(8) were inserted:

"671B(8) For the purposes of this section and of the definition of "substantial holding" in section 9, disregard any relevant interest or voting power in securities which is disregarded for the purpose of Chapter 6 because of subsection 609(11)."

## Schedule A

## Nova Health Limited ACN 099 561 556 ("Nova Health")

## Schedule B

An increase in voting power or acquisition of a relevant interest in 22,685,740 issued voting shares of Nova Health, arising as a result of the entry into and terms of Escrow Agreements substantially in the form of the draft provided to ASIC on 18 February 2002 and as disclosed in a disclosure document lodged with ASIC on or about the date of this instrument between Nova Health and each of the following parties individually:

EC & N Holdings Pty Limited (ACN 000 630 071)

Alcany Pty Limited (ACN 001 845 816) (in its personal capacity and as trustee of the Bay Top Trust) Bumot Pty Limited (ACN 001 426 777) (in its capacity as trustee of the Westside Private Hospital Trust)

Baronor Nominees Pty Limited (ACN 005 331 868) (in its personal capacity and as trustee of the Baronor Private Hospital Trust)

Dandenong Pinelodge Clinic Private Hospital Pty Limited (ACN 005 762 514) (in its personal capacity and as trustee of the Dandenong Pinelodge Clinic Private Hospital Unit Trust)

Umina Medical Centre Pty Limited (ACN 001 471 996)

Dr Peter Caska

Dr Peter Koh

Tessum Pty Limited (ACN 000 732 696)

LA Walker Pty Limited (ACN 001 147 459) Project Administrations Pty Limited (ACN 001 273 865)

Project Administra Roslyn Travica

Comserv (Number 448) Pty Limited (ACN 001 550 572)

Moana Investments Pty Limited (ACN 000 945 399)

Sally Caska

Harovance Pty Limited (ACN 002 510 661)

Dr K Pradhan & Dr S Pradhan

Dr Paul Hyland

Central Lakes Equities Pty Limited (ACN 001 461 589)

Protact Pty Limited (ACN 002 526 089) Harry Mussett (as trustee of the estate of the late Mrs Mussett of 5/31 Ocean Ave, Double Bay NSW 2028) Conform Health Group Pty Limited (ACN 003 587 353) John Mussett Management Facilities Pty Limited (ACN 008 821 791) Frank John Broos Susan Joyce Towey & David Towey (as trustees of the Stoddy Superannuation Fund) Susan Joyce Towey Australian Health Investments Pty Limited (ACN 088 045 336) (in its personal capacity and as trustee of the Price Discretionary Trust) Webzeal Pty Limited (ACN 087 750 123) (in its personal capacity and as trustee of the Sutherland Family Trust) Barana Properties Pty Limited (ACN 002 376 249) (in its personal capacity and as trustee of the Russell L Black Trust) David Adler Pty Limited (ACN 002 556 185) (in its personal capacity and as trustee of the Adler Family Trust) Katherine Cunio Diener Pty Limited (ACN 005 081 587)

(collectively "the Parties") which in clauses 1, 2 and 3 imposes escrow restrictions on the Parties for a period of 12 months, enforceable by Nova Health.

Dated this 5<sup>th</sup> day of April 2002.

Signed by:

Kathleen Cuneo, a delegate of ASIC.

#### Australian Securities and Investments Commission Corporations Act 2001 – Subsection 655A(1) – Declaration

Pursuant to paragraph 655A(1)(a) of the Corporations Act 2001 ("Act"), the Australian Securities and Investments Commission ("ASIC") declares that Chapter 6 of the Act applies to the person named in Schedule A in the case referred to in Schedule B as if paragraph 631(1)(b) of the Act was modified by inserting the words "and 5 business days" after the words "2 months".

#### SCHEDULE A

Tele2000 Limited ACN 071 275 253 ("Bidder")

#### SCHEDULE B

The off-market bid by the Bidder for all the ordinary shares in Pos.it.ive Technologies Limited ACN 082 901 362 publicly proposed by the Bidder on 21 February 2002 by an announcement made to Australian Stock Exchange Limited ("ASX") where at or before 10:00 am on 8 April 2002 the Bidder makes an announcement to ASX explaining the effect of this declaration.

Dated 5 April 2002

Signed by Steven Rice as a delegate of the Australian Securities and Investments Commission

# Australian Securities and Investments Commission 0 2 / 0 4 6 1 Corporations Act 2001 — Subsections 655A(1) and 673(1) — Modification

Pursuant to subsection 655A(1) of the Corporations Act 2001 (the "Act"), the Australian Securities and Investments Commission ("ASIC") hereby declares that Chapter 6 of the Act applies to the persons described in Schedule A, in the case referred to in Schedule B, as if section 609 of the Act were modified or varied by inserting after subsection 609(6) the following subsection:

"(6A)Where:

- (a) warrants in relation to voting shares or interests in a company are issued and admitted to trading status in accordance with the operating rules of Australian Stock Exchange Limited;
- (b) a person (the "trustee") holds securities on trust for the purpose of satisfying the obligations of the issuer or holder of the warrants;
- (c) discretionary powers of the trustee in relation to the securities because of which the trustee is not a "bare trustee" for the purposes of subsection (2) are limited to:
  - (i) discretions where the issuer or the holder of the warrants becomes insolvent or breaches a term of the warrants; and
  - (ii) discretions to deal with bonus issues, rights issues, returns of capital, buy-backs, share splits or consolidations, other reconstructions, takeovers or schemes of arrangement affecting the securities; or
  - (iii) discretion to lend the securities, except securities in which a holder who is not a related body corporate of the warrant issuer has a beneficial interest.
- (d) the warrant circular issued under the operating rules of Australian Stock Exchange Limited in relation to the warrants stated that this instrument would apply in relation to the warrants;

disregard the relevant interest or voting power of the trustee in those securities."

And pursuant to subsection 673(1) of the Act, ASIC hereby declares that Chapter 6C of the Act applies to the persons described in Schedule A, in the case referred to in Schedule B, as if section 671B of the Act were modified or varied by inserting after subsection 671B(7) the following:

"(7A) For the purposes of this section and of the definition of "substantial holding" in section 9, disregard any relevant interest or voting power in

securities which is disregarded for the purposes of Chapter 6 because of subsection 609(6A)."

# SCHEDULE A

Citisecurities Limited, ACN 008 489 610, as security trustee in relation to instalment warrants issued by Citibank AG ("Issuer"), for the purposes of securing the obligations of the Issuer or the holder of warrants, being warrants:

- (a) issued pursuant to an offering circular for 16 Series of Citi High Yield Instalments which is substantially in the form of the offering circular provided to ASIC on 1 March 2002;
- (b) over shares of companies listed on a financial market of the Australian Stock Exchange Limited and referred to in Schedule C; and
- (c) approved for admission to trading status on a financial market of the Australian Stock Exchange Limited at the time of the issue of the warrants.

## SCHEDULE B

The calculation of the relevant interest in, or voting power in relation to, securities of the person described in Schedule A.

## SCHEDULE C

- AMP Limited
- Australia and New Zealand Banking Group Limited
- BHP Billiton Limited
- Brambles Industries Limited
- Commonwealth Bank of Australia
- National Australia Bank Limited
- The News Corporation Limited
- Qantas Airways Limited
- Rio Tinto Limited
- St George Bank Limited
- Telstra Corporation Limited
- Westpac Banking Corporation
- WMC Limited
- Woodside Petroleum Limited

Dated the 9<sup>th</sup> day of April 2002

Alash

Signed by Ben Ghosh as a delegate of the Australian Securities and Investments Commission.

#### Australian Securities & Investments Commission **Corporations Act 2001 Section 825 Order Revoking Licence**

TO: Investas Limited, ACN: 071 101 089 ("the Licensee") The SMF Group GPO Box 529

Tasmania TAS 7001

Pursuant to paragraph 825(a) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby revokes Licence Number 160331 held by the Licensee with effect from when this order is served on the Licensee. Dated this 10<sup>th</sup> day of April 6002. Signed

-C.....

Greg Bradshaw, a delegate of the Australian Securities and Investments Commission

#### Australian Securities & Investments Commission Corporations Act 2001 Section 825 Order Revoking Licence

TO: JF Taylor & Co Pty Ltd, ACN: 050 097 491 ("the Licensee")

4 Lone Pine Ave

Chatswood NSW 2067

Pursuant to paragraph 825(a) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby revokes Licence Number 72316 held by the Licensee with effect from when this order is served on the Licensee.

Date this 10<sup>th</sup> day of April 0002. Signed

Greg Bradshaw, a delegate of the Australian Securities and Investments Commission

#### 02/0464 Australian Securities and Investments Commission Corporations Act 2001 Subsection 673(1) Declaration

Pursuant to subsection 673(1) of the Corporations Act 2001 ("the Act"), the Australian Securities and Investments Commission ("ASIC") hereby declares that subsection 671B(6)(a) of the Act applies in relation to the persons specified in Schedule A in the case referred to in Schedule B as if that subsection were modified by deleting the words "2 business days" and substituting the words "7 business days".

#### Schedule A

Australia and New Zealand Banking Group Limited ACN 005 357 522 ("ANZ") and its related bodies corporate and ING Insurance International BV ("ING") and its related bodies corporate.

#### Schedule B

The acquisition of a relevant interest or voting power in any securities as a result of the completion of the Implementation Agreement between ANZ, ANZ Orchard Investments Pty Ltd ACN 098 285 244, ING, ING Australia Holdings Limited ACN 008 459 596 and ING Australia Limited ACN 000 000 779 dated 10 April 2002.

Dated this 10<sup>th</sup> day of April 2002 Signed by Sarala Miranda Fitzgerald

as a delegate of the Australian Securities and Investment Commission

Australian Securities and Investments Commission Corporations Act 2001 Subsections 655A(1) and 673(1) Declaration

Pursuant to subsection 655A(1) of the Corporations Act 2001 ("the Act"), the Australian Securities and Investments Commission ("ASIC") hereby declares that Chapter 6 of the Act applies in relation to the persons specified in Schedule A in the case referred to in Schedule B as if:

Section 606 was modified to disregard acquisitions of relevant interests, and voting power arising 1. as a result of the Agreement referred to in Schedule B.

And, pursuant to subsection 673(1) of the Act, ASIC declares that Chapter 6C of the Act applies in relation to the persons specified in Schedule A in the case specified in Schedule B as if:

2. Section 671B(1) was modified to disregard acquisitions of relevant interests arising as a result of the Agreement referred to in Schedule B, and substantial holdings arising or increasing as a result of such relevant interests.

#### Schedule A

Australia and New Zealand Banking Group Limited ACN 005 357 522 ("ANZ") and its related bodies corporate and ING Insurance International BV ("ING") and its related bodies corporate.

#### Schedule B

The acquisition of a relevant interest or voting power in any securities by ANZ or ING or their respective related bodies corporate in which the other of those companies or related bodies corporate of those companies have a relevant interest in or voting power in, arising as a result of the entry into, or existence prior to completion, of the Implementation Agreement between ANZ, ANZ Orchard Investments Pty Ltd ACN 098 285 244, ING, ING Australia Holdings Limited ACN 008 459 596 and ING Australia Limited ACN 000 000 779 on or about 10 April 2002.

Dated this 10<sup>th</sup> day of April 2002.

as a delegate of the Australian Securities and Investment Commission

# Australian Securities and Investments Commission 02/0469 Corporations Act 2001 – Subsection 741(1) – Declaration

Pursuant to subsection 741(1) of the *Corporations Act 2001* and for the avoidance of doubt, the Australian Securities and Investments Commission ("ASIC") hereby declares that Chapter 6D applies to each person in the class of persons specified in Schedule A in the case specified in Schedule B as if section 707 (to the extent to which it applies in accordance with paragraph 10.2.51(b) of the *Corporations Regulations 2001*) were modified or varied by omitting subsections 707(3) and (4) and substituting the following subsections:

- "(3) An offer of a body's securities for sale within 12 months after their issue needs disclosure to investors under this Part if the body issued the securities:
  - (a) without disclosure to investors under this Part; and
  - (b) with the purpose of the person to whom they were issued:
    - (i) selling or transferring them; or
    - (ii) granting, issuing or transferring interests in, or options or warrants over, them;

and section 708 does not say otherwise.

Note 1: Section 706 normally requires disclosure for the issue of the securities. This subsection is intended to prevent avoidance of section 706. However, to establish a contravention of this subsection, the only purpose that needs to be shown is that referred to in paragraph (b).

Note 2: The issuer and the seller must both consent to the disclosure document (see section 720).

(4) Unless the contrary is proved, a body is taken to issue securities with the purpose referred to in paragraph 3(b) if any of the securities are subsequently sold, or offered for sale, within 12 months after their issue.".

#### Schedule A

Any person (the "Seller") who makes an offer of interests in the AMP Shopping Centre Trust (ARSN 087393 398) ("ART") for sale of the kind referred to in Schedule B.

#### Schedule B

An offer of interests in ART for sale which has each of the following 3 characteristics:

- 1. The interests were issued on or after the Commencement Date and before 12 September 2002.
- 2. The interests are covered by at least one of the categories of Relief set out in Schedule C.
- 3. A Reliance Notice is provided to ASIC by AMP Henderson Global Investors Limited (ABN 59 001 777 591) ("AMP Henderson") in its capacity as the responsible entity of ART within 5 business days after the issue of the interests.

#### Schedule C - Categories of relief

# 02/0469

#### Category 1

- (1) The interests are in a class of securities that were quoted ED securities at all times in the 12 months before the date of issue; and
- (2) there is a completed contract for the issue of the interests; and
- (3) at the time of issue and at the time of the offer for sale no determination under subsection 713(6) is in force with respect to ART; and
- (4) ART is included in the S&P/ASX 200 index both at the time of the issue of the interests and at the time of the offer for sale; and
- (5) the Seller is not aware of any failure by the responsible entity of ART to comply with any undertaking contained in the Reliance Notice.

#### Category 2

- (1) The interests are in a class of securities that were quoted ED securities at all times in the 12 months before the date of issue; and
- (2) there is a completed contract for the issue of the interests; and
- (3) at the time of issue no determination under subsection 713(6) is in force with respect to ART; and
- (4) contemporaneously with, and in any event by no later than 2 business days after the time of issue, AMP Henderson notifies the operator of the prescribed financial market on which the interests are quoted that:
  - (a) all information of the kind that would be required to be disclosed under subsection 713(5) if a prospectus were to be issued in reliance on section 713 in relation to an offer of the interests has been disclosed to the operator of the prescribed financial market; or
  - (b) there is no information of that kind to disclose; and
- (5) the offer for sale does not occur until after the notification referred to in (4) has occurred; and
- (6) the Seller is not aware of any failure by the responsible entity of ART to comply with any undertaking contained in the Reliance Notice.

#### Interpretation

In this instrument:

1. "ASX" means Australian Stock Exchange Limited;

- 2. "Commencement Date" means the date of commencement of Schedule 1 to the *Financial Services Reform Act 2001*;
- 3. "completed contract" means a contract where consideration for the issue of the securities has been fully paid;
- 4. "Reliance Notice" means a written notice which:
  - (a) specifies which of Categories 1 or 2 AMP Henderson seeks to rely on with respect to an issue of interests that may be subject to a subsequent offer for sale;
  - (b) specifies the date of the issue of the interests;
  - (c) specifies the identity of the person to whom the interests were issued;
  - (d) specifies when the contract for issue of the interests was completed; and
  - (e) includes an irrevocable undertaking by AMP Henderson to provide ASIC with any other information known to AMP Henderson which ASIC requests in writing in relation to the issue of the interests within 5 business days of receipt of a written request from ASIC for that information; and
- 5. except where otherwise stated, references to Chapters, Parts and sections are to Chapters, Parts and sections of the *Corporations Act 2001*.

Dated the 11<sup>th</sup> day of April 2002

Shine

Signed by Steven Rice as delegate of the Australian Securities and Investments Commission

### Australian Securities and Investments Commission Corporations Act 2001 – Subsection 655A(1) – Declaration

Pursuant to paragraph 655A(1)(b) of the Corporations Act 2001 ("the Act"), the Australian Securities and Investments Commission ("ASIC") declares that Chapter 6 of the Act applies to the person named in Schedule A in the case referred to in Schedule B as if subsection 631(1) of the Act was modified by inserting the words "and 10 days" after the words "2 months".

### SCHEDULE A

### Powerlan Limited ACN 057 345 785 ("Bidder")

### SCHEDULE B

The off-market bids by the Bidder for all the ordinary shares in Clarity International Limited ACN 063 732 883 ("Target") and unlisted options over ordinary shares in the Target ("Takeover Bids"), which Takeover Bids are the subject of a bidder's statement and offer documents lodged with ASIC on 22 March 2002 as supplemented by a supplementary bidder's statement dated 9 April 2002 ("Bidder's Statement"), and where:

- (i) at or before 11.00am on 15 April 2002 the Bidder makes an announcement to the Australian Stock Exchange Limited explaining the effect of this declaration and why it was sought; and
- (ii) the Bidder's Statement and offers under the Takeover Bids which are sent under item 6 of the table set out in subsection 633(1) of the Act, are accompanied by the Target's statement lodged with ASIC under item 13 of the table set out in subsection 633(1) of the Act.

Dated this 12th day of April 2002

Signed by Belisa Jong as a delegate of the Australian Securities and Investments Commission

# 02/0471 -

### Australian Securities and Investments Commission Corporations Act 2001 — Subsections 655A(1) and 673(1) — Modifications

Pursuant to subsection 655A(1) of the *Corporations Act 2001* ("Act") the Australian Securities and Investments Commission ("ASIC") hereby declares that Chapter 6 of the Act apply to the persons described in Schedule A, in the case referred to in Schedule B, as if section 609 of the Act were modified or varied by inserting after subsection 609(6A) the following subsections:

- "(6B) Where an association between a Put Warrant issuer and a Put Warrant holder arises solely from the Put Warrant holder acquiring or holding a Put Warrant, any relevant interest or voting power that would only arise as a result of the association is disregarded.
- (6C) If a Put Warrant issuer:
  - (a) has a relevant interest in or voting power in relation to a Local Security because:
    - (i) subsection 608(7A) and 608(8) operate to:
      - (A) give the Put Warrant issuer a relevant interest in Local Securities where the Put Warrant issuer has a relevant interest in Overseas Securities; and
      - (B) deem an acquisition of a relevant interest in Overseas Securities to be an acquisition of a relevant interest in Local Securities; and
    - (ii) the Put Warrant holder has an option, under the terms of the Put Warrant, to require the Put Warrant issuer to acquire or purchase the Overseas Security; or
    - (iii) under the Put Warrant or trust securing the obligations of the Put Warrant issuer or Put Warrant holder under the Put Warrant, the Put Warrant issuer can exercise power to control the voting or disposal of the Overseas Security only where:
      - (A) the Put Warrant expires unexercised or the option under the Put Warrant is exercised;
      - (B) the Put Warrant issuer enforces the terms of the trust; or
      - (C) the Put Warrant holder defaults under the Put Warrant,
  - (b) has no power to control voting or disposal of the Overseas Security other than as described in subparagraph 609(6C)(a)(iii);

that particular relevant interest in, or voting power in relation to, the Local Security shall be disregarded until an event referred to in subparagraph (iii) occurs.

## 02/0471

- (6D) If a Put Warrant issuer has a relevant interest in, or voting power in relation to, a Local Security because of the operation of subsection 609(7A) on the occurrence of an event referred to in subparagraph (6C)(a)(iii) in relation to the Overseas Security, the Put Warrant issuer is taken to acquire a relevant interest in the Local Security at the time the (6C)(a)(iii) event occurs, by a transaction in relation to the Local Security.
- (6E) For the purposes of this section:
- (a) A "Put Warrant" is a warrant for the purposes of the operating rules of Australian Stock Exchange Limited which:
  - (i) includes an option or right to sell Overseas Securities to the Put Warrant issuer;
  - (ii) was issued pursuant to an Offering Circular in accordance with the operating rules of Australian Stock Exchange Limited; and
  - (iii) has been admitted to trading status in accordance with the operating rules of Australian Stock Exchange Limited.
- (b) A "Put Warrant issuer" is a person who has issued a Put Warrant.
- (c) A "Put Warrant holder" is a person who has a legal or equitable interest in a Put Warrant.
- (d) "Offering Circular" has the same meaning as is given in the operating rules of Australian Stock Exchange Limited.
- (e) A Put Warrant is exercised when it would be regarded as having been exercised under the terms of issue of the Put Warrant.".

And pursuant to subsection 673(1) of the Act ASIC hereby declares that Chapter 6C of the Act applies to the class of persons described in Schedule A, in the case referred to in Schedule B, as if section 671B of the Act were modified or varied by inserting after subsection 671B(7A) the following subsection:

"(7B)For the purposes of this section and of the definition of "substantial holding" in section 9, disregard any relevant interest or voting power in securities which is disregarded for the purposes of Chapter 6 because of subsections 609(6B) to 609(6D).".

#### SCHEDULE A

1 Deutsche Bank AG, as the issuer of an instalment warrant pursuant to a supplementary offering circular for DLC Instalments which is substantially in the form of that provided to ASIC on 28 March 2002;

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- 2 A person who acquires and holds a DLC Instalment, for the period the person holds the DLC Instalment.
- 3 Any person who, but for this instrument, would have a relevant interest in, or voting power in relation to, any securities as a result of a person acquiring or holding a Put Warrant.

### SCHEDULE B

The calculation of the relevant interest in, or voting power in relation to, securities of the persons described in Schedule A.

#### Interpretation

DLC Instalment means a warrant issued by Deutsche Bank AG:

- (a) pursuant to a supplementary offering circular substantially in the form of the supplementary offering circular provided to ASIC on 28 March 2002;
- (b) over American Depositary Receipts relating to BHP Billiton plc shares, and Rio Tinto plc shares
- (c) approved for admission to trading status on a financial market of the Australian Stock Exchange Limited at the time of the issue of the warrants.

Local Securities means ordinary shares of BHP Billiton Limited ACN 004 028 077 and Rio Tinto Limited ACN 004 458 404 ;

Offering Circular, Trading Status, and Warrant have the same meaning as given in the operating rules of Australian Stock Exchange Limited Rule 8.1;

**Overseas Securities** means American Depositary Receipts in respect of ordinary shares of BHP Billiton plc and Rio Tinto plc

Dated the 12<sup>th</sup> day of April 2002.

Signed by Kathleen Cuneo as a delegate of the Australian Securities and Investments Commission.

Explanatory Notes:

 Subsection 608(7A) was notionally inserted into the Corporations Law by ASIC modification dated 7 June 2001 (in respect of BHP Billiton plc and BHP Billiton Ltd) and into the *Corporations Act 2001* by ASIC modification dated 8 August 2001 in respect of Rio Tinto plc and Rio Tinto Ltd.

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 Subsection 609(6A) and 671B(7A) were notionally inserted into the Corporations Act 2001 as it applies to Deutsche Nominees Australia Pty Limited (ACN 003 181 299), as security trustee in relation to Instalment Warrants by an ASIC modification dated 12 April 2002.

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#### Australian Securities and Investments Commission Corporations Act 2001 — Subsections 655A(1) and 673(1) — Modification

Pursuant to subsection 655A(1) of the *Corporations Act 2001* (the "Act") the Australian Securities and Investments Commission ("ASIC") hereby declares that Chapter 6 of the Act applies to the persons described in Schedule A, in the case referred to in Schedule B, as if section 609 of the Act were modified or varied by inserting after subsection 609(6) the following subsection:

- "(6A) Where:
  - (a) warrants in relation to Overseas Securities are issued and admitted to trading status in accordance with the operating rules of Australian Stock Exchange Limited;
  - (b) a person (the "trustee") holds Overseas Securities on trust for the purpose of satisfying the obligations of the issuer or holder of the warrants;
  - (c) discretionary powers of the trustee in relation to the Overseas Securities are limited to:
    - (i) discretions where the issuer or the holder of the warrants becomes insolvent or breaches a term of the warrants;
    - (ii) discretions to deal with bonus issues, rights issues, returns of capital, buy-backs, share splits or consolidations, other reconstructions, takeovers or schemes of arrangement affecting the Overseas Securities; or
    - (iii) discretion to lend the Overseas Securities covering the obligations of the warrant issuer under warrants held by a related body corporate of the issuer; and
  - (d) subsection 608(7A) and 608(8) operate to:
    - (i) give the trustee a relevant interest in Local Securities where the trustee has a relevant interest in Overseas Securities; and
    - deem an acquisition of a relevant interest in Overseas Securities to be an acquisition of a relevant interest in Local Securities;

disregard the relevant interest or voting power of the trustee in the Local Securities."

And pursuant to subsection 673(1) of the Act ASIC hereby declares that Chapter 6C of the Act applies to the of persons described in Schedule A, in the

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case referred to in Schedule B, as if section 671B of the Act were modified or varied by inserting after subsection 671B(7) the following:

"(7A) For the purposes of this section and of the definition of "substantial holding" in section 9, disregard any relevant interest or voting power in Local securities which is disregarded for the purposes of Chapter 6 because of subsection 609(6A)."

#### SCHEDULE A

Deutsche Nominees Australia Pty Limited (ACN 003 181 299), as security trustee in relation to instalment warrants issued by Deutsche Bank AG, ("Issuer"), for the purposes of securing the obligations of the Issuer and the holder of warrants, being warrants:

- (a) issued pursuant to a supplementary offering circular substantially in the form of the supplementary offering circular provided to ASIC on 28 March 2002;
- (b) over American Depositary Receipts relating to BHP Billiton plc shares, and Rio Tinto plc shares
- (c) approved for admission to trading status on a financial market of the Australian Stock Exchange Limited at the time of the issue of the warrants.

#### SCHEDULE B

The calculation of the relevant interest in, or voting power in relation to, securities of the persons described in Schedule A.

#### Interpretation

For the purposes of this modification:

Local Securities means ordinary shares of BHP Billiton Limited ACN 004 028 077and Rio Tinto Limited ACN 004 458 404;

Offering Circular, Trading Status, and Warrant have the same meaning as given in the operating rules of Australian Stock Exchange Limited Rule 8.1;

Overseas Securities means American Depositary Receipts in respect of ordinary shares of BHP Billiton plc and Rio Tinto plc

Dated the 12 th day of April 2002

Signed by Kathleen Cuneo as a delegate of the Australian Securities and Investments Commission.

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#### Explanatory Note:

Subsection 608(7A) was notionally inserted into the Corporations Law by ASIC modification dated 7 June 2001 (in respect of BHP Billiton plc and BHP Billiton Ltd) and into the *Corporations Act 2001* by ASIC modification dated 8 August 2001 in respect of Rio Tinto plc and Rio Tinto Ltd.

#### Australian Securities and Investments Commission Insurance (Agents and Brokers) Act 1984 Subsection 31D(5) Renewal of Registration of Foreign Insurance Agent

Pursuant to section 31D(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the registration of the persons mentioned in the schedule was renewed, in relation to carrying on a business as a foreign insurance agent with effect from the date specified.

*Dorothy Kaye Guy A delegate of the Australian Securities and Investments Commission* 

#### SCHEDULE

Broker Name ANZDL MANAGEMENT SERVICES (AUST) PTY LTD ACN 001000906 
 Ref.
 Effective Date

 99520
 31/01/2001

Includes registrations processed by: 10/04/2002 11:05:17 AM

#### Australian Securities and Investments Commission Insurance (Agents and Brokers) Act 1984 Subsection 21(5) Renewal of Registration of Life Insurance Broker

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the registration of the persons mentioned in the schedule was renewed, pursuant to subsection 21(1A) of the Act, in relation to life insurance business with effect from the date specified.

*Dorothy Kaye Guy A delegate of the Australian Securities and Investments Commission* 

#### SCHEDULE

#### Includes registrations processed by: 10/04/2002 11:05:17 AM

#### Australian Securities and Investments Commission Insurance (Agents and Brokers) Act 1984 Subsection 21(5) Renewal of Registration of General Insurance Broker

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the registration of the persons mentioned in the schedule was renewed, pursuant to subsection 21(1A) of the Act, in relation to general insurance business with effect from the date specified.

Broker Name

Dorothy Kaye Guy A delegate of the Australian Securities and Investments Commission

#### SCHEDULE

FALLAS KENSELEY INSURANCE BROKERS PTY LTD ACN 008128685       50079       31/03/2002         FORD KINTER & ASSOCIATES PTY LTD ACN 009631869       80006       20/04/2002         FRANKSTON INSURANCE BROKERS PTY LTD ACN 006079429       30529       09/04/2002         GALLIVAN, MAGEE & ASSOCIATES PTY LTD ACN 006079429       30529       09/04/2002         GILBERTSON, JAMES MCKIE       20194       21/04/2002         GRAEME HALEY INSURANCE CONSULTANTS PTY LTD ACN 010959172       40179       10/04/2002         HOWARD INSURANCE AUSTRALIA PTY LTD ACN 091731225       20927       06/04/2002         Iba INSURANCE BROKERS PTY LTD ACN 002785426       20831       18/04/2002         INDEMNITY CORPORATION PTY LTD ACN 006127902       30057       22/12/2001         KEN HART INSURANCE BROKERS PTY LTD ACN 005729831       30528       15/03/2002         VLEIMAN SHEMER INSURANCE BROKERS PTY LTD ACN 008079712       30065       30/03/2002         VDONOHUE NOMINEES PTY LTD ACN 008079712       50062       07/12/2001         ROSS LEWIS INSURANCE BROKERS PTY LTD ACN 001718261       20106       13/04/2002         SOVEREIGN SECURITIES PTY LTD ACN 008174301       50180       06/03/2002         VDNOHUE NOMINEES PTY LTD ACN 008174301       50180       06/03/2002         SVDNEY INSURANCE BROKERS PTY LTD ACN 0096252774       20960       12/04/2002	Broker Name AIROLIN PTY LTD ACN 009401772 BARKER MEIER INSURANCE BROKERS PTY LTD ACN 054105289 BASHFORD INVESTMENTS PTY LTD ACN 074617735 CLARENDON INSURANCE BROKERS PTY LTD ACN 081368952 COMMERCIAL-INDUSTRIAL INSURANCE CONSULTANTS PTY LTD ACN 007396545	<b>Ref.</b> 60101 50136 20899 30610 30449	Effective Date 28/02/2002 23/04/2002 17/08/2001 25/03/2002 11/03/2002
	FORD KINTER & ASSOCIATES PTY LTD ACN 009631869 FRANKSTON INSURANCE BROKERS PTY LTD ACN 006306243 GALLIVAN, MAGEE & ASSOCIATES PTY LTD ACN 006079429 GILBERTSON, JAMES MCKIE GRAEME HALEY INSURANCE CONSULTANTS PTY LTD ACN 010959172 HOWARD INSURANCE AUSTRALIA PTY LTD ACN 091731225 IBA INSURANCE BROKERS PTY LTD ACN 091731225 IBA INSURANCE BROKERS PTY LTD ACN 002785426 JL HARBER INSURANCE BROKERS PTY LTD ACN 006127902 KEN HART INSURANCE BROKERS PTY LTD ACN 010549952 KLEIMAN SHEMER INSURANCE BROKERS PTY LTD ACN 006928687 LINDBON PTY LTD ACN 006224022 O'DONOHUE NOMINEES PTY LTD ACN 005729831 PARNELL CRANSTON & CO PTY LTD ACN 008079712 ROSS LEWIS INSURANCE BROKERS PTY LTD ACN 001718261 SOVEREIGN SECURITIES PTY LTD ACN 008174301 SYDNEY INSURANCE BROKERS PTY LTD ACN 096252774	80006 30245 30529 20194 40179 20927 20926 20831 30057 40069 30341 30665 30528 50062 20106 50180 20960	20/04/2002 03/04/2002 21/04/2002 21/04/2002 06/04/2002 20/04/2002 28/04/2002 22/12/2001 15/03/2002 19/04/2002 30/03/2002 15/04/2002 07/12/2001 13/04/2002 06/03/2002 12/04/2002

Includes registrations processed by: 10/04/2002 11:05:17 AM

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Corporations Act 2001 Subsection 164(3)

Notice is hereby given that the ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administration Appeals Tribunal prevents it from doing so.

AUSTRALIAN RETIREMENT PRESS PTY LTD ACN 091 606 469 will change to a public company limited by shares. The new name will be AUSTRALIAN RETIREMENT PRESS LTD ACN 091 606 469.

**COOLUM COUNTRY CLUB LIMITED** ACN 010 594 484 will change to a proprietary company limited by shares. The new name will be COOLUM COUNTRY CLUB PTY LIMITED ACN 010 594 484.

RUDINGER LIMITED ACN 093 363 789 will change to a proprietary company limited by shares. The new name will be GTL POWER & DIESEL PTY LTD ACN 093 363 789

**UF SECURITIES PTY LIMITED** ACN 098 082 412 will change to a public company limited by shares. The new name will be UF SECURITIES LIMITED ACN 098 082 412.

**BSX GROUP HOLDINGS PTY LTD** ACN 081 973 293 will change to a public company limited by shares. The new name will be BSX GROUP HOLDINGS LTD ACN 081 973 293.

PLANET X GROUP LIMITED ACN 070 381 225 will change to a proprietary company limited by shares. The new name will be PLANET X GROUP PTY LTD ACN 070 381 225.

**SHOPFAST LIMITED** ACN 079 164 953 will change to a proprietary company limited by shares. The new name will be SHOPFAST PTY LIMITED ACN 079 164 953.