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# **ASIC Gazette**

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# ASIC

Australian Securities & Investments Commission

01/1116

AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

# IN THE MATTER OF JOHN WILLIAM LLOYD

# AND PART 7.3 OF THE CORPORATIONS ACT 2001

o: John William Lloyd Unit 4 11-15 Nelson Street PENSHURST NSW 222

> BANNING ORDER PURSUANT TO SECTION 829 OF THE CORPORATIONS ACT 2001

TAKE NOTICE that the Australian Securities and Investments Commission HEREBY PROHIBITS JOHN WILLIAM LLOYD for a period of TWO (2) YEARS from the date of service of this Banning. Order from doing an act as a representative of a dealer or an investment adviser.

Dated this 30th day of AUGUST 2001

Signed:

CYRIL JAMES REYNOLDS Delegate of the Australian Securities and Investments Commission.

Your attention is drawn to sections 835 of the Corporations Act 2001 which provides that a person shall not contravene a banning order relating to the person.

Australian Securities and Investments Commission 01/1117

Corporations Act 2001 — Subsection 741(1) — Declaration

Pursuant to subsection 741(1) of the Corporations Act 2001("Act") the Australian Securities and Investments Commission hereby declares that Chapter 6D of the Act applies to each person mentioned in Schedule A in the case described in Schedule B as if the following provisions were modified or varied in the following ways:

- 1 In paragraph (a) of the definition of "continuously quoted securities" in section 9 of the Act as it applies to references in Part 6D.2 of the Act, delete the words "all times in the 12 months before".
- 2 In paragraph (b) of the definition of "continuously quoted securities" in section 9 of the Act as it applies to references in Part 6D.2 of the Act, replace the words "in that 12 months" with the words "in the 12 months before the date of the prospectus".

#### SCHEDULE A

Cobra Resources Limited (ABN 97 008 045 083) ("Corporation")

#### SCHEDULE B

A prospectus to be lodged on or about 10 September 2001 in relation to a nonrenounceable rights issue of options to subscribe for fully paid shares ("Shares") on a one for three basis at an issue price of 1 cent each, exercisable at a price of 10 cents on or before 30 June 2004.

Dated this 7th day of September 2001

Signed by Vanessa Martin as a delegate of the Australian Securities and Investments Commission

01/1118

#### Australian Securities & Investments Commission **Corporations Law Section 825** Order Revoking Licence

H-G Capital Limited, ACN: 002 714 392 ("the Licensee") TO:

Level 22

52 Martin Place

Sydney NSW 2000

Pursuant to paragraph 825(a) of the Corporations Law, the Australian Securities and Investments Commission hereby revokes Licence Number 15192 held by the Licensee with effect from when this order is served on the Licensee.

Dated this 11<sup>th</sup> day of September 2001. Signed

Leigh-Anne Perillo, a delegate of the Australian Securities and Investments Commission

TO:

01/1119

## Australian Securities & Investments Commission Corporations Act 2001 Section 824 (d)

**Order Revoking Licence** Capital Agricultural Management Limited ("the Licensee")

96 Gardenvale Road

Gardenvale VIC 3185

Pursuant to paragraph 824 (d) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby revokes the Licence Number 196437 with effect from when this order is served on the Licensee.

Dated this Signed

s 14<sup>th</sup> of September 2001. Frank Varge, a delegate of the Australian Securities and Investments Commission

#### Australian Securities and Investments Commission Corporations Act 2001 – Subsection 741(1) – Declaration 01/1120

Pursuant to subsection 741(1) of the Corporations Act 2001 ("Act"), the Australian Securities and Investments Commission hereby declares that Chapter 6D of the Act applies to the persons mentioned in Schedule A in the case described in Schedule B as if:

- 1. "or" was inserted after paragraph 713(1)(b) and a new paragraph 713(1)(c) were inserted as follows:
  - "(c) securities that were quoted ED securities throughout the 11 month and 14 day period immediately preceding the date of the prospectus without suspension during that period exceeding in total one business day"; and
- 2. Subparagraph 713(4)(a)(i) of the Act was omitted and the following subparagraph substituted:
  - "(i) the annual financial report lodged with ASIC by the body or scheme in respect of the financial year ending 30 June 2001".

#### Schedule A

#### Macquarie European Infrastructure plc ARBN 094 142 891

# Macquarie Infrastructure Investment Management Limited ACN 072 609 271 (in its capacity as the responsible entity for MIT I and MIT II)

#### Schedule B

A prospectus to be lodged on or about the date of this instrument in relation to an offer of Stapled Securities ("Prospectus"), where Stapled Securities are not issued or transferred in response to an application form included in, or accompanied by the Prospectus until the expiration of ten business days after lodgement of the Prospectus.

#### Interpretation

ASX means Australian Stock Exchange Limited ACN 008 694 691

MEI means Macquarie European Infrastructure plc ARBN 094 142 891

MIT I means Macquarie Infrastructure Trust (I) ARSN 092 863 780

MIT II means Macquarie Infrastructure Trust (II) ARSN 092 863 548

Stapled Securities means securities of MEI (traded on ASX as CHESS Units of Foreign Securities), MIT I and MIT II being securities admitted to quotation with ASX and which cannot be traded or transferred independently of one another, and which are quoted on ASX at a single price in conjunction with one another.

Dated 5 September 2001

Signed by Steven Rice as delegate of the Australian Securities and Investments Commission

# Australian Securities and Investments Commission Corporations Law — Paragraph 601QA(1)(a) — Exemption 0 1 / 1 1 2 1

Pursuant to paragraph 601QA(1)(a) of the Corporations Act 2001 (Law), the Australian Securities and Investments Commission (ASIC) hereby exempts the person referred to in Schedule A from section 601ED of the Law in the case referred to in Schedule B on the conditions set out in Schedule C.

# SCHEDULE A — WHO IS EXEMPT

- 1. Gateway Suites Perth Pty Ltd ACN 096 935 983; and
- 2. Any person who is an employee or agent of Gateway Suites Perth Pty Ltd ACN 096 935 983 engaged by it to operate a scheme of the kind specified in Schedule B (scheme) other than a person who is aware that any disclosure statement required to be given to a person under this instrument in relation to the scheme was not given or was given but did not comply with this instrument.

The persons described in this Schedule A are referred to in this instrument as "operator".

# SCHEDULE B — SCHEMES EXEMPTED

Operating a managed investment scheme which involves an owner (investor) of real property (strata unit), in the investor's discretion, making their strata unit available for use by the operator as part of a serviced apartment, hotel, motel or resort complex located at 12 Victoria Street, Perth, Western Australia in relation to which on 1 March 2000 there was no person who had bought or agreed to buy a strata unit and who, before agreeing to buy, had been offered an interest in the scheme, where:

- (a) each strata unit can be lawfully used as a residence;
- (b) each investor and the operator may withdraw from participation in the scheme on no more than 90 days notice and an investor that withdraws will not be bound after that notice expires to allow use of their strata unit except for occupation of the strata unit:
  - (i) by a person other than the operator or an associate of the operator; and
  - (ii) under an agreement that the operator made with that person before the notice of withdrawal was given;
- (c) each investor may, if the investor withdraws from participation in the scheme, appoint another person to manage their strata unit;
- (d) no payment is liable to be made by an investor to participate in the scheme other than:
  - (i) payment of money to buy the strata unit; and

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- (ii) one or more payments of the investor's reasonable proportion of the operator's fees and expenses with respect to the management of the scheme where each such payment:
  - (A) relates to a period of no more than 3 months; and
  - (B) is reasonably commensurate with the work done or to be done, or the expenses incurred or likely to be incurred (as the case may be), by the operator during that period;
- (e) the sale of the strata unit is not and was not conditional on participation in the serviced strata scheme and there is no obligation on any person to ensure that other owners of strata units agree to participate in the scheme; and
- (f) the serviced apartment, hotel, motel or resort complex is operated in accordance with a written agreement entered into or to be entered into between the operator and each investor which agreement includes provisions as specified in Schedule E.

SCHEDULE C — CONDITIONS ON OPERATORS AND PROMOTERS

- 1. The operator must ensure that any part of the scheme property held in cash or on deposit with an Australian ADI or a financial institution must be held on trust for the members in a trust account and subject to audit as to whether the moneys have been dealt with in accordance with the terms of the trust by a registered company auditor at least annually;
- 2. Each person that is involved in making an offer of interests in the scheme for issue or inviting a person to apply for the issue of such interests (promoter) must:
  - (a) not engage in any misleading or deceptive conduct or conduct that is likely to mislead or deceive in connection with those offers or invitations;
  - (b) ensure that a disclosure statement complying with Schedule D is given to each person to whom an offer or invitation is made at or before the making of the offer or invitation; and
  - (c) ensure that the disclosure statement is signed and dated by the operator or, if the operator is not knowingly concerned in the offer or invitation, by a promoter; and
- 3. The operator must comply with the provisions specified in Schedule E which are included in the agreement referred to in paragraph (f) of Schedule B.
- 4. The operator shall immediately notify ASIC in writing if the following officer(s) or key-person(s) cease to be officers of the operator or to perform duties on behalf of the operator with respect to the scheme:
- i. Douglas Warren Meredith
- ii. Simon Che Hing Wan

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- 5. The operator must establish and maintain adequate training, supervision and compliance procedures designed to ensure, as far as reasonably practicable, that the operator's employees and agents comply with and do not contravene a provision of this instrument.
- 6. The operator must as soon as practicable after it becomes aware of the matter but no later than 14 days after becoming aware, notify the ASIC in writing of any matter that may constitute a breach by the operator or its agents of a provision of this instrument.
- 7. The operator must at all times maintain an insurance policy covering professional indemnity and fraud by officers that is adequate having regard to the nature of the activities carried out by the operator in respect of the scheme and covers claims amounting in aggregate to at least \$5,000,000.00.
- 8. The operator must notify ASIC in writing of any matter which may reasonably be expected to affect the operator's eligibility to this exemption (for example, loss of key personnel or a change in the nature of the operator's business) as soon as practicable after it becomes aware of the matter but no later than 14 days after becoming aware.
- 9. The operator must have in place, to deal with complaints from investors relating to its operation of the scheme, internal complaints handling procedures, that are in accordance with Australian Standard AS4259:1995.
- 10. The operator must lodge the auditor's report referred to in paragraph 1 of this Schedule with ASIC within 3 months after the end of the operator's financial year.
- 11. The operator must provide to the ASIC an Australian Federal Police character check for each resident director as at the date of this instrument by 31 October 2001.
- 12. The operator must provide to the ASIC an Australian Federal Police character check for each resident director appointed after the date of this instrument from time to time within 30 days of the appointment.
- 13. The operator must provide to the ASIC such information as the ASIC may reasonably require from time to time within 14 days after receiving a request from the ASIC to satisfy the ASIC that:
  - i. the operator has the capacity to carry on the business of operating the scheme;
  - ii. the operator or its agents have responsible officers with adequate education and experience to operate the scheme;
  - iii. the operator or its agents have responsible officers of good fame and character; and
  - iv. the operator or its agents are complying with the provisions of this instrument.

SCHEDULE D — THE DISCLOSURE STATEMENT

- 1. The disclosure statement must:
  - (a) describe the main features of the interests in the scheme;
  - (b) set out the main terms and conditions of the offer or invitation; and
  - (c) provide answers to the questions set out in paragraph 2 of this Schedule (the questions need not be set out, and the answers can be provided in any order or format),

sufficiently to enable a typical investor in those securities to make an informed decision whether to become a member of the scheme, having regard to every matter which is material to such a decision that is known to any person who authorised or caused the issue of the disclosure statement.

- 2. The questions are:
  - (a) What is being offered?
    - (i) How are the investor's property rights affected by holding an interest in the scheme?
    - (ii) What key rights will investors have in relation to the use of their strata unit by the operator?
    - (iii) What sort of serviced apartment, hotel, motel or resort complex is being operated under the scheme? How will it be operated?
    - (iv) What are the key terms of any lease, licence or rights that investors are to confer on the operator in relation to the operation of the scheme?
    - (v) Does the operator own or have rights in relation to any property that may adversely affect:
      - (A) how the scheme would operate if the operator were changed; or
      - (B) the amount investors are likely to receive for use of their strata unit if the property ceases to be available (for whatever reason),

and, if so, what are those rights? How could the adverse effect happen?

- (b) What are the risks and returns of the investment?
  - (i) How, in general terms, will the operation of the serviced apartment, hotel, motel or resort complex generate returns for investors?

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- (ii) When and how are these returns to be calculated and made available to investors?
- (iii) Are investors in the scheme guaranteed or promised that they will receive a particular rate of return from the scheme? If so:
  - (A) what are the conditions for receiving the benefits of this guarantee or promise;
  - (B) what (if any) are the circumstances in which the person providing the guarantee or promise may be unable to honour it;
  - (C) what is the financial position of the person giving the guarantee or promise; and
  - (D) on what basis do investors receive returns once the guarantee or promise expires?
- (iv) If no particular rate of return is guaranteed or promised:
  - (A) is the operator aiming to achieve a particular return;
    - (B) can investors expect any particular return; or
    - (C) are returns from the scheme uncertain?
- (v) If returns from the scheme may vary from what is aimed for or expected, or are otherwise uncertain, what are the main factors which will affect the level of return? If occupancy rates will affect the rate of return what are the main factors that will affect occupancy rates?
- (vi) Do investors have potential liability to pay moneys in relation to the scheme or their ownership of a strata unit in any circumstances? If so, what are these liabilities and what main factors will affect the amount of these liabilities? For example, how will any repairs, refurbishment or replacement of any part of the serviced apartment, hotel, motel or resort complex and its furniture and fittings be paid for?
- (vii) Is there a suggested minimum period of time that an investor's investment should remain in the scheme? If so, why is that period of time suggested? What, if any, are the kinds of qualifications on that suggestion?
- (c) What are the fees, charges, expenses and taxes associated with the scheme?
  - (i) What fees, charges, expenses or taxes, if any, may be payable by an investor if they join the scheme?

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- (ii) What fees, charges, expenses or taxes, if any, may be payable by an investor if they withdraw from the scheme?
- (iii) What other fees, charges, expenses or taxes may be deducted from the assets or income of the scheme or otherwise borne by investors?
- (iv) What general kinds of tax are likely to be payable on an investor's returns on investment in the scheme?
- (d) Who is the operator?
  - (i) If the operator signs the disclosure statement, who is it and what are its credentials in operating hotels, motels, resorts or serviced apartment complexes (including details of its principal activities and relevant experience)?
  - (ii) If the operator does not sign the disclosure statement, how, and on what basis, will the operator be selected to undertake the operation of the scheme?
  - (iii) If the operator signs the disclosure statement and the operator is to engage a person to operate the complex on its behalf, what credentials will that person have to operate the hotel, motel, resort or serviced apartment complex?
  - (iv) What are the custodial arrangements for holding the money of the scheme including money held for distribution to members and to meet expenses of the scheme?
- (e) When can investments be withdrawn and transferred?
  - (i) When and how can an investor withdraw from the scheme?
  - (ii) Can the interest in the scheme be transferred and, if so, in what circumstances? What legal requirements apply?
- (f) What information can be obtained?
  - (i) How can the entity signing the disclosure statement be contacted?
  - (ii) Is there any particular information available to a prospective or existing investor on request made to that entity? If so, how can that information be obtained?
  - (iii) When and how is the operator to report to an investor in the scheme on the operations of the scheme (including the scheme's performance)?
- 3. The disclosure statement must also include a prominent statement to the effect that a person should consider whether to consult:

- (a) an investment adviser who is either a securities licensee or an authorised representative of a securities licensee;
- (b) a taxation adviser; and
- (c) a lawyer,

before making a decision to become a member of the scheme and if the disclosure statement is given to a person that does not own and has not agreed to buy a strata unit to which the scheme relates, also before signing any contract to buy a strata unit on the basis that the person will become a member.

## SCHEDULE E — PROCEDURES FOR TRANSFERRING MANAGEMENT RIGHTS

- 1. Transfer of management rights
  - (a) If a majority of scheme members advise the operator in writing that they wish to terminate the operator's engagement, the operator must within 9 months transfer the management rights to a person that is chosen by the operator that has not been involved in the operation (including promotion) of the scheme and is not controlled by a person that has been involved in the operation (including promotion) of the scheme.
  - (b) If an operator fails to complete that transfer within the 9 month period, the operator must cause the transfer of the management rights to a replacement operator named in a written notice given by a majority of scheme members, at a price specified in the notice.
  - (c) A transfer referred to in paragraphs (a) or (b) must be done as soon as practicable, but if there is a body corporate for the real property to which the scheme relates, there must be a reasonable time for members of the body corporate to consider whether to make a decision referred to in paragraph 2(b) unless the body corporate has consented to the transfer.

## 2. Consent of body corporate to new care-taking arrangements

- (a) If an operator receives a notice under paragraph 1(b) of this Schedule, the operator must advise all body corporate members of the name of the person to whom the transfer is to be made.
- (b) Unless the body corporate has consented to the transfer, an operator does not have to transfer the management rights to the person named in the notice described in paragraph 1(b) of this Schedule if a majority of body corporate members state in writing to the operator that the person should not be engaged by the body corporate to perform care-taking functions.
- (c) If a majority of body corporate members make a decision referred to in paragraph 2(b) of this Schedule, a majority of scheme members may then at any time name a replacement operator by a written notice, to whom the operator must transfer the management rights at a price specified in the notice and the notice will be taken to be given in accordance with paragraph 1(b) of this Schedule.

(d) This paragraph 2 does not apply if the body corporate or a majority of body corporate members agree in writing to the transfer to the person named in a notice under paragraph 1(b) or 2(c) of this Schedule before that notice is given to the operator.

# 3. Price payable on transfer

The price scheme members specify in a notice under paragraph 1(b) of this Schedule must be one of the following:

- (a) the average of two valuations of the management rights by independent qualified valuers nominated by the Australian Property Institute (or another relevant independent professional body approved by ASIC); or
- (b) the highest bona fide bid for the management rights (excluding a bid by the operator or its associates) at an auction of which at least 60 days notice had been given; or
- (c) the highest bona fide amount tendered (excluding any tender by the operator or its associates) for the management rights following reasonable efforts to market the property for at least 60 days.
- 4. Voting
  - In determining if there is a majority of scheme members or body corporate members, the operator and its associates and any person nominated as a replacement operator and associates of that person must not be counted.
  - (b) For scheme members, a majority is based on their entitlement to vote at body corporate meetings if there is a body corporate for the property to which the scheme relates, and otherwise each member shall have one vote.
  - (c) For body corporate members, a majority is based on their entitlement to vote at body corporate meetings.
  - (d) A scheme member or a body corporate member makes a decision by signing a document that sets out the decision.
- 5. *Costs* 
  - (a) Any member may arrange a valuation or auction of, or may market, the management rights before or after the expiration of the 9 month period referred to in paragraph 1(a) of the Schedule for the purposes of determining a price to be specified in a notice under paragraph 1(b) of this Schedule.
  - (b) If a member incurs any reasonable valuation, auction or marketing costs under paragraph 5(a) of this Schedule that member is entitled to be reimbursed out of the price payable by any person nominated by the

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members as transferee of the management rights when the price is paid to the operator.

6. Assistance

> The operator must give reasonable assistance to enable the transferee to operate the resort, hotel, motel or serviced apartment complex including making available information concerning any prospective bookings.

7. Definitions

In this Schedule:

"scheme members" means investors in the scheme excluding the operator and its associates;

"management rights" means all real or personal property (including contractual rights) held by the operator or any of its associates that facilitates the operation of the scheme; and

"transfer" in relation to management rights means to assign or transfer the management rights or to cause another person to become the holder of those rights or rights substantially the same as those rights.

Dated this 7th day of September 2001

Signed by Kyle Jonathan Wright as delegate of the Australian Securities and Investments Commission

# 01/1122

## AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION CORPORATIONS ACT 2001 SUBCLAUSE 30(1) OF SCHEDULE 4 EXEMPTION

Pursuant subclause 30(1) of Schedule 4 of the Corporations Law, the Australian Securities and Investments Commission HEREBY EXEMPTS the person mentioned in Schedule A from compliance with Part 5 of Schedule 4 of the Corporations Act 2001.

#### SCHEDULE A

#### HOME BUILDING SOCIETY LTD ACN 051 900 380

Dated 7 September

Signed by Richard Cockburn on behalf of The Australian Securities and Investments Commission

#### Australian Securities and Investments Commission Corporations Act 2001–Paragraph 655A(1)(a) – Exemption 01/1123

Pursuant to paragraph 655A(1)(a) of the Corporations Act 2001 ("Act") the Australian Securities and Investments Commission ("ASIC") hereby exempts the persons named in Schedule A from subsection 636(3) of the Act in relation to the statements mentioned in Schedule B on the conditions specified in Schedule C, insofar as subsection 636(3) would require consent for the inclusion of those statements in the bidder's statement referred to in Schedule B ("Bidder's Statement").

#### Schedule A

#### Sons of Gwalia Limited ACN 008 994 287 and its directors.

#### Schedule B

A statement or statements made in a bidder's statement in relation to a takeover bid for all the redeemable preference shares in PacMin Mining Corporation Limited ACN 001 936 901 ("Target") to be lodged with ASIC on or about 20 September 2001 which are made in, or based on statements made in, any document announced on the company announcement platform of the Australian Stock Exchange Limited by the Target.

#### Schedule C

- 1. The Bidder's Statement must either:
  - (a) inform people of their right to obtain a copy of a document referred to in this instrument; or
  - (b) include a copy of the document.
- 2. If the Bidder's Statement informs people of their right to obtain a copy of the document, the Bidder must give a copy of the document free of charge to anyone who asks for it during the offer period.

Dated this 11th day of September 2001.

Draft

Ken Martyr, a delegate of ASIC. Signed by:

#### Australian Securities and Investments Commission Corporations Act 2001– Paragraph 655A(1)(a) – Exemption 0 1 / 1 1 2 4

Pursuant to paragraph 655A(1)(a) of the Corporations Act 2001 ("Act") the Australian Securities and Investments Commission ("ASIC") hereby exempts the persons named in Schedule A from subsection 636(3) of the Act in relation to the statements mentioned in Schedule B on the conditions specified in Schedule C, insofar as subsection 636(3) would require consent for the inclusion of those statements in the bidder's statement referred to in Schedule B. ("Bidder's Statement").

#### Schedule A

#### Sons of Gwalia Limited ACN 008 994 287 and its directors.

#### Schedule B

A statement or statements made in a bidder's statement in relation to a takeover bid for all the ordinary shares in PacMin Mining Corporation Limited ACN 001 936 901 ("Target") to be lodged with ASIC on or about the date of this instrument which are made in, or based on statements made in, any document announced on the company announcement platform of the Australian Stock Exchange Limited by the Target.

#### Schedule C

- 1. The Bidder's Statement must either:
  - (a) inform people of their right to obtain a copy of a document referred to in this instrument; or
  - (b) include a copy of the document.
- 2. If the Bidder's Statement informs people of their right to obtain a copy of the document, the Bidder must give a copy of the document free of charge to anyone who asks for it during the offer period.

Dated this 11th day of September 2001.

Draft

Signed by:

K. Montyn. Ken Martyr, a delegate of ASIC.

#### Australian Securities and Investments Commission Corporations Act 2001- Subsection 655A(1) - Declaration 01/1125

Pursuant to subsection 655A(1) of the Corporations Act 2001 ("Act") the Australian Securities and Investments Commission ("ASIC") declares that Chapter 6 of the Act applies to the person specified in Schedule A in the case referred to in Schedule B as if:

- 1. item 2(d)(ii) of section 611 was omitted and the following paragraph substituted:
  - "(ii) subject only to one or both of the following:
    - (A) any conditions that relate only to the occurrence of an event or circumstance referred to in subsection 652C(1) or (2);
    - (B) the condition referred to in paragraph 625(3)(c)";
- 2. item 3(d)(ii) of section 611 was omitted and the following paragraph substituted:
  - "(ii) subject only to any conditions that relate only to the occurrence of an event or circumstance referred to in subsection 652C(1) or (2).";
- 3. subsection 617(2) was modified by omitting the words:

"the bid may extend to securities that come to be in the bid class during the offer period due to a conversion or exercise of the rights.",

and substituting the words:

"the bid may extend to securities that come to be in the bid class during the period from the date set by the bidder under subsection 633(2) to the end of the offer period due to a conversion or exercise of the rights.";

- 4. paragraph 620(2)(b) was omitted and the following paragraph substituted:
  - "(ba) If the bidder is given the necessary transfer documents after the acceptance and before the end of the bid period and the offer is subject to a defeating condition at the time that the bidder is given the necessary transfer documents by the end of whichever period ends earlier:
    - (i) within one month after the takeover contract becomes unconditional;
    - (ii) 21 days after the end of the offer period; or
  - (bb) If the bidder is given the necessary transfer documents after the acceptance and before the end of the bid period and the offer is unconditional at the time that the bidder is given the necessary transfer documents by the end of whichever period ends earlier:
    - (i) one month after the bidder is given the necessary transfer documents;
    - (ii) 21 days after the end of the offer period; or";
- 5. paragraph 620(2)(c) was modified by adding at the end of the paragraph the following words:
  - "but if at the time the bidder is given the necessary transfer documents the takeover contract is still subject to a condition which relates to the occurrence of an event or circumstance referred to in subsection 652C(1), 652C(2) or 625(3)(c), within 21 days after the takeover contract becomes unconditional.";

6. subsection 625(3) was modified by adding at the end of the subsection the following words: 0 1 / 1 1 2 5

"The condition referred to in this subsection is not a defeating condition.";

- 7. paragraph 630(4) was modified by omitting the words "publishing" and "publish" and substituting in their place "giving" and "give" respectively;
- 8. items 2, 3 and 5 of the table contained in subsection 633(1) were modified by adding at the end of the entry in the ileft hand column for each item the following words:

"The bidder's statement and offer document need not include the name and address of the holders of bid class securities, the date of the proposed offer or any other date that is related to or dependent on that date, or the details referred to in subparagraphs 636(1)(k)(ii) and 636(1)(l)(ii) or details of transactions required to be disclosed under subparagraphs 636(1)(h) and 636(1)(i) from the period between the date of the bidder's statement and the date of the bid.

If this information is not included in the bidder's statement and offer document, the bidder must:

- include this information in the bidder's statement and offer document sent under item 6; and
- send to the target a copy of the bidder's statement sent under item 6.";
- 9. paragraph 636(1)(j) was omitted and the following paragraph substituted:

"if the bid is to extend to securities that come to be in the bid class during the period from the date set by the bidder under subsection 633(2) to the end of the offer period due to the conversion of or exercise of rights attached to other securities (see subsection 617(2)) – a statement to that effect";

- 10. paragraph 636(1)(k) was modified by the insertion of the following subparagraph after subparagraph 636(1)(k)(ii):
  - "(iii) the number of securities in the class that the bidder had a relevant interest in immediately before the bidder's statement is lodged with ASIC (expressed as a number of securities or as a percentage of the total number of securities in the class)";
- 11. paragraph 636(1)(l) was omitted and the following paragraph substituted:
  - "(1) for an off-market bid the bidder's voting power in the company:
    - (i) as at the date of the bidder's statement; and
    - (ii) as at the date immediately before the first offer is sent.";
- 12. subsection 650B(2) was modified by omitting the words:

"The person is entitled to receive the improved consideration immediately, or immediately after the exercise of the election.",

and substituting the following:

"The person is entitled to receive the improved consideration immediately, except:

(a) if the time for payment of the consideration in accordance with subsection 620(2) has not yet occurred, the person is not entitled to receive the improved consideration until that time;

# 01/1125

- (b) if the person has to make an election before being entitled to the improved consideration, the person is not entitled to receive the improved consideration until the later of:
  - (i) the time when the person makes the election and returns any consideration under s651B(2);
  - (ii) the time applicable under paragraph (a).";
- 13. the first sentence of paragraph 650C(2) was omitted and the following sentence substituted:

"If the bid is subject to a defeating condition, the bidder may extend the offer period after the bidder has given the notice under subsection 630(3) only if one of the following happens after the notice has been given:";

- 14. paragraph 650F(1)(a) was omitted and the following paragraph substituted:
  - "(a) if the condition relates only to the occurrence of an event or circumstances referred to in subsection 652C(1) or (2) not later than 3 business days after the end of the offer period; or";
- 15. paragraph 650G(b) was omitted and the following paragraph substituted:
  - "(b) the bidder has not declared the offers to be free from the condition in accordance with section 650F.";
- 16. paragraph 650G(c) was omitted and the following paragraph substituted:
  - "(c) the condition has not been fulfilled:
    - (i) except as provided in subparagraph (ii), at the end of the offer period;
    - (ii) in the case of an event or circumstance referred to in subsection 652C(1) or (2), within 3 business days after the end of the offer period.

#### Schedule A

Sons of Gwalia Limited ACN 008 994 287 ("Bidder")

#### Schedule B

The takeover bid by the Bidder for all the ordinary shares in PacMin Mining Corporation Limited ACN 001 936 901 in respect of which a bidder's statement is to be lodged with ASIC on or about the date of this instrument.

The takeover bid by the Bidder for all the redeemable preference shares in PacMin Mining Corporation Limited ACN 001 936 901 in respect of which a bidder's statement is to be lodged with ASIC on or about 20 September 2001.

Dated this 11<sup>th</sup> day of September 2001.

Signed: <u>Ken Martyr</u>, a delegate of ASIC

#### Australian Securities and Investments Commission Corporations Act 2001– Subsection 619(3) – Approval 01/1126

Pursuant to subsection 619(3) of the Corporations Act 2001 the Australian Securities and Investments Commission ("ASIC") hereby approves the appointment of the person named in Schedule A by the person specified in Schedule B as the nominee for foreign holders of the securities specified in Schedule C in relation to the off-market bid referred to in Schedule D.

Schedule A

J.P. Morgan Securities Australia Limited ACN 003 245 234, securities dealers licence 11538.

Schedule B Sons of Gwalia Limited ACN 008 994 287 ("Bidder").

Schedule C Ordinary shares in PacMin Mining Corporation Limited ACN 001 936 901 ("Target").

Schedule D

The off-market bid by the Bidder for all the ordinary shares in the Target, in respect of which:

(i) the consideration offered is securities issued, or to be issued, by the Bidder; and

(ii) a bidder's statement is lodged with ASIC on or around the date of this instrument.

Dated this 11<sup>th</sup> day of September 2001.

Draft

Signed by:

Ken Martyr as a delegate of ASIC.

# 01/1127

# Australian Securities and Investments Commission U I / Corporations Act 2001- Subsections 655A(1), 669(1) and 673(1) - Declarations

Pursuant to subsections 655A(1), 669(1) and 673(1) of the Corporations Act 2001 ("the Act") the Australian Securities and Investments Commission ("ASIC") declares that Chapters 6, 6A and 6C of the Act apply in relation to the persons specified in Schedule A in relation to the class of securities specified in Schedule B, as if:

- 1. the definition of "associate" in section 9 was modified by:
  - (a) omitting the words:

": The following are the associates of a bidder making a takeover offer, a substantial holder or a 90% holder"

and substituting the following:

"when used in relation to a person in Chapters 6, 6A and 6C means";

- (b) replacing the references to "bidder or holder" with the word "person" wherever they appear; and
- (c) replacing the references to "a person" in paragraphs (b) and (c) with the words "another person"; and
- (d) inserting after paragraph (c) the sentence: "But another person is not an associate of the person merely because of one or more of the circumstances in paragraphs 16(1)(a) to (d).";
- 2. subsection 10(1) was modified or varied by omitting the word "This" and substituting "Unless the definition of associate in section 9 applies, this";
- 3. subsection 10(2) was omitted; and
- 4. subsection 12(1) was omitted.

#### Schedule A

Sons of Gwalia Ltd ACN 008 994 287

#### Schedule B

All the ordinary shares of PacMin Mining Corporation Limited ACN 001 936 901

All the redeemable preference shares of PacMin Mining Corporation Limited ACN 001 936 901

Dated this 11<sup>th</sup> day of September 2001.

K. Mortin

Signed by Ken Martyr as a delegate of ASIC

## Australian Securities and Investments Commission 01/1130 Corporations Act 2001 - Subsections 655A(1) and 669(1) - Declarations

Pursuant to subsection 655A(1) of the Corporations Act 2001 ("the Act") the Australian Securities and Investments Commission ("ASIC") declares that Chapter 6 of the Act applies in relation to the person specified in Schedule A in the case specified in Schedule B as if subsection 650A(1) was omitted and the following was substituted:

"A bidder may only vary the offers under an off-market bid:

- (a) in accordance with section 650B, 650C or 650D; or
- (b) in the case of an offer for all of the securities held by an security holder in the bid class, by amending offer to permit acceptance of that offer for all or any lesser number of the security holders' securities in the bid class."

#### Schedule A

Mayne Health Logistics Pty Limited ACN 097 064 894 ("Bidder").

#### Schedule B

The takeover bid by the Bidder for all of the options in F H Faulding & Co Limited ACN 007 870 984 in respect of which a bidder's statement was lodged on 19 July 2001.

Dated this 14<sup>th</sup> day of September 2001

## Australian Securities and Investments Commission 01/1131 Corporations Law — Paragraph 601QA(1)(a) — Exemption

Pursuant to paragraph 601QA(1)(a) of the Corporations Law ("the Law"), the Australian Securities and Investments Commission ("ASIC") hereby exempts the persons referred to in Schedule A in the case described in Schedule B on the conditions set out in Schedule C from compliance with section 601ED.

### SCHEDULE A

# 1. Grants Enterprises Pty Ltd ACN 081 558 901; and

- 2. Any other person who from time to time operates the Scheme as successor in title to the person listed in paragraph 1 of this Schedule A and who has undertaken to ASIC in writing that they have read and will comply with the conditions set out in Schedule C.
- 3. Any person who is an employee or agent of a person referred to in paragraph 1 or 2 of this Schedule A.

The persons described in this Schedule A are referred to in this instrument as "Promoters".

#### SCHEDULE B

Operating a managed investment scheme (the "Scheme") that involves registered proprietors of any of the following properties comprising a Community Title Scheme (Community Management Statement No:25893) situated at Kirwin (County Elphingstone, Parish Coonambelah) in the state of Queensland:-

- Lots 1 to 33 (inclusive) and Lot 900 on SP102471;
- Lots 34 to 60 (inclusive) and Lot 800 on SP 102472;
- Lots 61 to 98 (inclusive) and Lot 700 on SP 102473; and
- Lots 99 to 121 (inclusive) on SP 102474.

(hereinafter referred to as "strata units"), and those registered proprietors making their strata units available to a Promoter for use as part of a serviced apartment, hotel, motel or resort complex where every interest in the Scheme ("Interest") has been issued because of an offer or invitation:

- (a) made or issued before 2 March 2000;
- (b) made or issued after 1 March 2000 and before the date of this instrument and a Promoter provides to each person to whom the offer or invitation is made at or before the making of the offer or invitation, a document that the Promoter reasonably believes complies with Schedule D that is signed and dated by the person that is operating the serviced apartment, hotel, motel or resort complex ("operator") or, if the operator is not knowingly concerned in the offer or invitation, by a Promoter;
- (c) made or issued after the date of this instrument where:
  - (i) the offer or invitation relates only to the making available of strata units that on the date of this instrument were owned by, or in relation to which there was a binding contract of sale with, a person other than a Promoter or any other person that a Promoter has reason to suspect was involved in development of the strata units or the operation of the Scheme; and
  - (ii) no more than 20 persons (other than persons that were members on or before the date of this instrument) become members of the Scheme in any 12 months starting after

on the date of this instrument because of an offer or invitation by a Promoter other than an offer permitted by paragraph (d) or (e);

- (ca) made or issued after the date of this instrument where:
  - the offer or invitation is in accordance with a description of intended offers lodged with ASIC prior to the offer or invitation being made or issued, and ASIC has not notified the Promoter in writing that such offer or invitation should not be made or issued;
  - (ii) the description lodged includes:
    - (A) the number of strata units planned in the real property to which the Scheme relates;
    - (B) the anticipated completion date of each incomplete building;
    - (C) the number of strata units that:
      - (I) are held by a Promoter or its associate that the Promoter intends be sold other than to a Promoter or its associate;
      - (II) are held by a Promoter or its associate that the Promoter does not intend be sold to a person other than to a Promoter or its associate; and
      - (III) have been sold to a person that is not a Promoter or an associate of a Promoter;
    - (D) whether there have been any changes to the structure of the Scheme or other material changes to the information that has been given to ASIC in relation to the Scheme; and
    - (E) the anticipated completion of all currently intended sales;
  - (iii) a Promoter provides to each person to whom the offer or invitation is made at or before the making of the offer or invitation, a document that the Promoter reasonably believes complies with Schedule D that is signed and dated by the operator or, if the operator is not knowingly concerned in the offer or invitation, by a Promoter; and
  - (iv) the document referred to in sub-paragraph (iii) also clearly and prominently discloses:
    - (A) the extent (if any) to which members of the Scheme have the same rights as those which are described in Schedule E of ASIC Class Order 00/570 (being rights to replace the operators of certain schemes);
    - (B) the extent (if any) to which members of the Scheme do not have such rights; and
    - (C) the substance of the rights referred to in sub-paragraphs (A) and (B)."; and
- (d) that is an excluded offer or an excluded invitation or an offer or invitation to which ASIC Class Order 98/1931 or a replacement for that Class Order applies; or
- (e) that would not need disclosure to investors under Part 6D.2 of the Law because of section 708 (other than subsection 708(1)) assuming that the Scheme is registered and that Interests are securities.

# SCHEDULE C

- 1. Each Promoter must not engage in misleading or deceptive conduct or conduct that is likely to mislead or deceive in relation to the Interests.
- 2. Each Promoter must not intentionally or recklessly fail to comply with its obligations to any member of the Scheme in relation to an Interest to the material detriment of the member.
- 3. Each Promoter must notify ASIC in writing immediately if:
  - (a) it is, or becomes aware that there is reason to suspect that a Promoter has:
    - (i) engaged in misleading or deceptive conduct or conduct that is likely to mislead or deceive in relation to the Interests; or

(ii) not complied with its obligations to any member of the Scheme to the material detriment of the member,

whether that conduct or non-compliance occurred before or occurs after the date of this instrument; and

- (b) ASIC has not previously been notified of the conduct or non-compliance.
- 4. Each Promoter must ensure that, where the Promoter makes an offer of Interests for issue to which paragraph (c) of Schedule B applies, the Promoter provides to each person to whom the offer is made at or before the making of the offer a document that the Promoter reasonably believes complies with Schedule D ("Disclosure Statement") that is signed and dated by the operator or, if the operator is not knowingly concerned in the offer, by the Promoter.

# SCHEDULE D

# 1. The Disclosure Statement must:

- (a) describe the main features of the Interests;
- (b) set out the main terms and conditions of the offer or invitation;
- (c) provide answers to the questions set out in paragraph 2 of this Schedule (the questions need not be set out, and the answers can be provided in any order or format), sufficiently to enable a typical person that may be offered Interests ("investor") to make an informed decision whether to become a member of the Scheme, having regard to every matter which is material to such a decision that:
  - (i) is known to any person who authorised or caused the issue of the Disclosure Statement; or
  - (ii) it would be reasonable for such a person to obtain by making inquiries; and
- (d) be a copy of a disclosure statement that has been given to ASIC, if ASIC has requested a Promoter in writing that any disclosure statement relating to the Scheme be given to it.
- 2. The questions are:
  - (a) What is being offered?
    - (i) How are the investor's property rights affected by holding an Interest?
    - (ii) What key rights will investors have in relation to the use of their strata unit by the operator?
    - (iii) What sort of serviced apartment, hotel, motel or resort complex is being operated under the Scheme and how will it be operated?
    - (iv) What are the key terms of any lease, licence or rights that investors are to confer on the operator in relation to the operation of the Scheme?
    - (v) Does the operator own or have rights in relation to any property that may adversely affect:
      - (A) how the Scheme would operate if the operator were changed; or
      - (B) the amount investors are likely to receive for use of their strata unit if the property ceases to be available (for whatever reason),

and, if so, what are those rights? How could the adverse effect happen?

- (b) What are the risks and returns of the investment?
  - (i) How, in general terms, will the operation of the serviced apartment, hotel, motel or resort complex generate returns for investors?
  - (ii) When and how are these returns to be calculated and made available to investors?
  - (iii) Are investors in the Scheme guaranteed or promised that they will receive a particular rate of return from the Scheme? If so:

(A) what are the conditions for receiving the benefits of this guarantee or promise;

- (B) what (if any) are the circumstances in which the person providing the guarantee or promise may be unable to honour it;
- (C) what is the financial position of the person giving the guarantee or promise; and
- (D) on what basis do investors receive returns once the guarantee or promise expires?
- (iv) If no particular rate of return is guaranteed or promised:
  - (A) is the operator aiming to achieve a particular return;
  - (B) can investors expect any particular return; and
  - (C) are returns from the Scheme uncertain?
- (v) If returns from the Scheme may vary from what is aimed for or expected, or are otherwise uncertain, what are the main factors which will affect the level of return? If occupancy rates will affect the rate of return what are the main factors that will affect -occupancy rates?
- (vi) Do investors have potential liability to pay moneys in relation to the Scheme or their ownership of a strata unit in any circumstances? If so, what are these liabilities and what main factors will affect the amount of these liabilities? For example, how will any repairs, refurbishment or replacement of any part of the serviced apartment, hotel, motel or resort complex and its furniture and fittings be paid for?
- (vii) Is there a suggested minimum period of time that an investor's investment should remain in the Scheme? If so, why is that period of time suggested? What, if any, are the kinds of qualifications on that suggestion?
- (c) What are the fees, charges, expenses and taxes associated with the Scheme?
  - (i) What fees, charges, expenses or taxes, if any, may be payable by an investor if they join the Scheme?
  - (ii) What fees, charges, expenses or taxes, if any, may be payable by an investor if they withdraw from the Scheme?
  - (iii) What other fees, charges, expenses or taxes may be deducted from the assets or income of the Scheme or otherwise borne by investors?
  - (iv) What general kinds of tax are likely to be payable on an investor's returns on investment in the Scheme?
- (d) Who is the operator?
  - (i) If the operator signs the Disclosure Statement, who is it and what are its credentials in operating hotels, motels, resorts or serviced apartment complexes (including details of its principal activities and relevant experience)?
  - (ii) If the operator does not sign the Disclosure Statement, how, and on what basis, will the operator be selected to undertake the operation of the Scheme?
  - (iii) If the operator signs the Disclosure Statement and the operator is to engage a person to operate the hotel, motel, resort or serviced apartment complex on its behalf, what credentials will that person have to operate the hotel, motel, resort or serviced apartment complex?
  - (iv) What are the custodial arrangements for holding the money of the Scheme including money held for distribution to members and to meet expenses of the Scheme?
- (e) When can investments be withdrawn and transferred?
  - (i) When and how can an investor withdraw from the Scheme?
  - (ii) Can an Interest be transferred and, if so, in what circumstances? What legal requirements apply?
- (f) What information can be obtained?

- (i) How can the entity signing the Disclosure Statement be contacted?
- (ii) Is there any particular information available to a prospective or existing investor on request made to that entity? If so, how can that information be obtained?
- (iii) When and how is the operator to report to an investor in the Scheme on the operations of the Scheme (including the Scheme's performance)?
- 3. The Disclosure Statement must also include a prominent statement to the effect that a person should consider whether to consult:
  - (a) an investment adviser who is either a securities licensee or an authorised representative of a securities licensee;
  - (b) a taxation adviser; and
  - (c) a lawyer,

before making a decision to become a member of the Scheme and if the Disclosure Statement is given to a person that does not own and has not agreed to buy a strata unit to which the Scheme relates, also before signing any contract to buy a strata unit on the basis that the person will become a member.

- 4. The Disclosure Statement must also include a prominent statement to the effect that investors do not have the benefit of the protection that applies to investors in a regulated managed investments scheme and that, in particular:
  - (a) the Scheme is not a registered scheme;
  - (b) the promoter and operator do not have a securities dealers licence; and
  - (c) the Disclosure Statement is not a prospectus containing the information required by the Corporations Law.

Dated this 12<sup>h</sup> day of September 2001

Signed by John Joseph Reghenzani as delegate of the Australian Securities and Investments Commission

Effective Date

#### Australian Securities and Investments Commission Insurance (Agents and Brokers) Act 1984 Subsection 21(5) Registration of General Insurance Broker

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the persons mentioned in the schedule were registered under Part III of the Act in relation to general insurance business with effect from the date specified.

*Dorothy Kaye Guy A delegate of the Australian Securities and Investments Commission* 

#### SCHEDULE

	Ref.	Effective Date	
A.C. INSURANCE BROKERS (S.A.) PTY. LTD. ACN 007912772	50042	03/09/2001	,
AUSTASIA RISK MANAGEMENT PTY LTD ACN 094502431	60212	06/07/2001	
AUSTFIN FINANCIAL SERVICES PTY LTD ACN 096763683	30675	06/07/2001	
AUSTRALIAN INSURANCE SOLUTIONS PTY LTD ACN 088550074	40360	22/08/2001	;
BAHATI MZURI PTY LTD ACN 096228072	40387	11/07/2001	7
BARRY BALDOCK PTY LTD ACN 094526002	40391	15/08/2001	. ;
BD INSURANCE BROKERS PTY LTD ACN 005891029	30075	23/08/2001	
BHT INSURANCE BROKERS PTY LTD ACN 094825859	20969	09/08/2001	•
BJS INSURANCE BROKERS (SOUTHERN) PTY LTD ACN 092965832	30656	03/09/2001	z
BRUCE PARK PTY LTD ACN 096954406	30676	09/07/2001	
C & D INCLIDANCE BROKERS PTY LTD ACN 088122187	20963	03/07/2001	
CAPITAL INSURANCE (BROKING) GROUP PTY LTD ACN 096781967	70037	11/07/2001	
CARRIERS INSURANCE BROKERS PTY LTD ACN 001609936	20047	15/09/2000	
CENTRO GROUP PTY ITD ACN 090113536	60202	22/08/2000	:
FIRST PRIORITY FINANCIAL SERVICES PTY LTD ACN 094344671	30679		• •
FRITH CLASS PTY LTD ACN 097087995	20 <del>9</del> 67	03/07/2001	
FRITH NOMINEES PTY LTD ACN 097088081	20965	03/07/2001	
TAMA INSURANCE BROKERS PTY. LTD. ACN 089807938	20948	04/09/2001	
IC FRITH & ASSOCIATES PTY LTD ACN 097088287	20966	03/07/2001	
INDEPENDENT INSURANCE BROKERS PTY LTD ACN 070397732	40297	03/09/2001	
INSURANCE AID GENERAL BROKERS PARTNERSHIP PTY LTD ACN	40395	30/08/2001	
097567710			
INSURE THAT INSURANCE BROKING PTY. LIMITED ACN 097060618	20968	19/07/2001	·
	30681	24/08/2001	
MACOUADTE INSURANCE BROKERS (AUSTRALIA) PTY LTD ACN 000580585	20045	27/08/2001	
MCNAIR HURLE LATROBE INSURANCE BROKERS PTY. LTD. ACN	30683	06/09/2001	
097775445			
NATIONAL ADVISER SERVICES PTY LTD ACN 096916184	60213	15/08/2001	
NIDA INSURANCE INTERMEDIARY SERVICES PTY LTD ACN 096934879	40392	13/08/2001	
OCTILITAVESTMENTS PTY LTD ACN 081358303	40386	19/07/2001	
QUEENSLAND INSURANCE BROKERS PTY LTD ACN 057302486	40370	20/08/2001	
POSTAND PTY LTD ACN 010445771	40030	13/08/2001	
SAFEGUARD INSURANCE BROKERS PTY LTD ACN 051494985	50009	24/08/2001	
SUDAK PTY I TD ACN 009094053	60215	10/08/2001	
TAYT INSURANCE BROKERS PTY LTD ACN 096196468	20970	05/09/2001	
THE ROCK BUILDING SOCIETY LIMITED ACN 067765717	40385	27/06/2001	
THE TACCART GROUP PTY LTD ACN 003493/12	20716	13/09/2001	
WA PRESTIGE INSURANCE BROKERS PTY LTD ACN 093400223	60214	01/08/2001	
WESTCORP NOMINEES PTY LTD ACN 075276998	60216	12/09/2001	
YARNALL TONY ACN 00000000	40393	21/08/2001	

YARNALL, TONY ACN 00000000

#### Australian Securities and Investments Commission 01/1133 Insurance (Agents and Brokers) Act 1984 Subsection 21(5) **Registration of Life Insurance Broker**

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the persons mentioned in the schedule were registered under Part III of the Act in relation to life insurance business with effect from the date specified.

Dorothy Kaye Guy A delegate of the Australian Securities and Investments Commission

#### SCHEDULE

JULEDOFE				
Broker Name	Ref.	Effective Date		
ATLAS INVESTMENT MANAGEMENT PTY LTD ACN 095880078	90447	03/08/2001		
AUSTRALIAN CENTRAL CREDIT UNION LTD ACN 087651125	90442	20/07/2001		
COCHRANE SHAW CAPITAL MANAGEMENT PTY. LTD. ACN 004766492	90436	06/07/2001		
DEAKIN FINANCIAL PLANNING PTY LTD ACN 084676871	90351	05/07/2001		
DEAKIN FINANCIAL PLANNING FIT LIV ACT OF TO AC	90446	16/08/2001		
DOWMAC ACCOUNTING SERVICES PTT LIMITED ACH 000000000	90428	27/06/2001		
GROSVENOR SECURITIES PTY LTD ACN 007173659	90445	23/07/2001		
GUEST MCLEOD PTY, LIMITED ACN 002434586	90455	06/09/2001		
INVESTAHEAD LTD ACN 094278869	90443	27/06/2001		
INVESTMENT TAXATION SPECIALISTS LTD ACN 095378055	90444	24/07/2001		
KEATING MCCULLOUGH & ASSOCIATES PTY LTD ACN 073923565	90260	11/07/2001		
MAWSON SECURITIES PTY LTD ACN 008110174		11/09/2001		
MILLENNIUM FINANCIAL SERVICES PTY LTD ACN 079145298	90456	17/08/2001		
MONITORED INVESTMENT SERVICES (QLD) PTY. LTD. ACN 070195247	90449			
RETIREWELL FINANCIAL PLANNING PTY. LTD. ACN 070985509	90454	13/09/2001		
SPT FINANCIAL SERVICES PTY LTD ACN 074433828	90457	13/08/2001		
STEPHEN WILLIAM CATTERALL ACN 00000000	90453	13/08/2001		
STERLING STRATEGIES PTY LTD ACN 082781137	90448	18/07/2001		
TYNDALL SECURITIES LIMITED ACN 000036626	90459	04/09/2001		

#### Australian Securities and Investments Commission Insurance (Agents and Brokers) Act 1984 Subsection 31D(5) Registration of Foreign Insurance Agents

Pursuant to subsection 31D(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the persons mentioned in the schedule were registered under Part IIIA of the Act in relation to carrying on business as a foreign insurance agent with effect from the date specified.

Dorothy Kaye Guy A delegate of the Australian Securities and Investments Commission

#### SCHEDULE

Broker Name TRUCKSURE PTY LTD ACN 078661220 UNIVERSAL UNDERWRITING AGENCIES PTY LIMITED ACN 057929030 Ref.Effective Date9952615/08/20019952507/09/2001

#### Australian Securities and Investments Commission 0 1 / 1 1 3 5 Insurance (Agents and Brokers) Act 1984 Subsection 21(5) Renewal of Registration of General Insurance Broker

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the registration of the persons mentioned in the schedule was renewed, pursuant to subsection 21(1A) of the Act, in relation to general insurance business with effect from the date specified.

Dorothy Kaye Guy A delegate of the Australian Securities and Investments Commission

SCHEDULE		
REAKOF NAME	Ref.	Effective Date
ADEPT INSURANCE BROKERS PTY LTD ACN 000883429	40289 20089	30/06/2001 05/09/2000
ANDERSON, DAVID KEITH ACN 000000000	40389	17/07/2001
ANDERSON, DOROTHY ANNE ACN 000000000	40388	17/07/2001
AON RE AUSTRALIA LIMITED ACN 003026668	20707	01/08/2001
AON RE AUSTRALIA LIMITED ACN 000434720	20057	31/07/2001
ARROWSMITH & PETRUCCELLI INSURANCE BROKERS PTY LTD ACN	3001 <del>9</del>	04/09/2001
006125668 ARTHUR J GALLAGHER PROFESSIONAL SERVICES AUSTRALASIA PTY LTD	20873	14/08/2001
ACN 082459372 AUGHTERSONS INSURANCE BROKERS PTY LTD ACN 007367535	30442	01/09/2001
AUGHTERSONS INSURANCE BROKERS PTT LTD ACK 007507353 AUSTRALIAN INDEPENDENT REINSURANCE SERVICES ACN 070911956	20917	22/02/2001
AUSTRALIAN INDEPENDENT REINSTRATE SERVICES ACT 070511500 BASHFORD INVESTMENTS PTY LTD ACN 074617735	20899	17/08/2000
BASHFORD INVESTMENTS PTYLID ACK 07-017755 BMS HARRIS & DIXON INSURANCE BROKERS PTY LTD ACN 005525253	30010	31/07/2001
BMS HARKIS & DIXON INSURANCE BROKENS FIT ETD ART DOUBLECOD	40351	23/09/2000
BRENDON D'ARCY WATSON ACN 00000000	• : •	
		1
CARRIERS INSURANCE BROKERS PTY LTD ACN 001609936	20047	15/09/2001
CBD INSURANCE BROKERS PTY LIMITED ACN 058261046	20698	05/05/2001
CLARK PACIFIC INSURANCE BROKERS PTY LTD ACN 051283768	20620	01/07/2001
CONTRECTINED & ASSOCIATES PTY LTD ACN 001899912	20148	16/01/2001
DAIMLERCHRYSLER FINANCIAL SERVICES (DEBIS) AUSTRALIA PTY LTD	30622	31/08/2001
ACN 074134517		
DESKHAVEN PTY LTD ACN 010342980	40194	15/12/2000
DESME PTY LTD ACN 087412771	50177	17/06/2001
FIDEDC INCUDANCE BROKERS PTY (TO ACN 008152538	20531	18/05/2001
FOUTTADLE INCLIDANCE BROKERS PTY LIMITED ACN 060106/29	20705	27/08/2001
FRANK BOGACZ INSURANCE BROKERS PTY LIMITED ACN 064502674	20741	02/06/2001
FRANKSTON INSURANCE BROKERS PTY LTD ACN 006306243	30245	03/04/2001
GAMMAN INSURANCE BROKERS PTY LIMITED ACN 069841998	30560	18/07/2001
GRAMPIAN INSURANCE BROKERS (1993) PTY LTD ACN 060099532	30510	25/08/2001
HEATH AVIATION INSURANCE BROKING PTY LTD ACN 002299130	20306	17/06/2001
HEATH AVIATION INSUMANCE AGENCIES PTY LTD ACN 003746674	20648	04/02/2000
HELLMANN INSURANCE BROKERS PTY LTD ACN 087717977	20895	28/06/2001
HELLMANN INSURANCE BROKERS FTY FTD ACK 0074202378	50168	21/09/2001
	20138	26/06/2001
INSURANCE MARKETING GROUP OF AUSTRALIA PTY LTD ACN 088790327	40363	24/08/2001
INSURANCE MARKETING GROUP OF AUSTRALIA THE DESIGN OF A	50144	
INSURANCE SOLUTIONS (DROKLAS) FOR ETO ACT OBSERVED	20890	
IRONGATE PTY LTD ACN 004001969 JOHNSON PACIFIC PTY LIMITED ACN 005995577	40218	30/06/2001

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# **0 1 / 1 1 3 5** <sup>26/06/2001</sup> <sup>19/04/2001</sup>

20638 11/11/1998 11/11/1999 20638 20638 11/11/2000 40366 30/11/2000 04/07/2001 40140 50003 26/09/2001 27/06/2001 26/09/2000 20814 40169 30537 03/11/2000 30/06/2001 40346 40222 01/07/2000 21/06/2001 20509 60019 24/09/2001 24/06/2001 40359 40266 12/11/2000 31/07/2001 30016

30473 20580

Includes registrations processed by: 14/09/2001 9:47:19 AM

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#### Australian Securities and Investments Commission 0 1 / 1 1 3 6 Insurance (Agents and Brokers) Act 1984 Subsection 21(5) Renewal of Registration of Life Insurance Broker

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the registration of the persons mentioned in the schedule was renewed, pursuant to subsection 21(1A) of the Act, in relation to life insurance business with effect from the date specified.

Dorothy Kaye Guy A delegate of the Australian Securities and Investments Commission

SCHEDULE					
Broker Name	Ref.	Effective Date			
ADAMS SECURITIES PTY LTD ACN 000627118	90138	20/05/2000			
ADAMS SECURITIES PTY LTD ACN 000627118	90138	20/05/2001			
AON CONSULTING PTY LIMITED ACN 002288646	90105	25/07/2001			
CAPITAL PARTNERS CONSULTING PTY LTD ACN 086670788	90296	24/05/2001			
CITY ACCEPTANCE CORPORATION PTY LIMITED ACN 003888917	90125	02/08/2001			
CWT FINANCIAL SOLUTIONS PTY LTD ACN 073043295	90212	18/07/2000			
ELDERS SECURITIES LIMITED ACN 061957122	90331	27/03/2001			
FINANCIAL SECURITY PERSONAL INVESTMENT GROUP PTY LTD ACN	90347	09/05/2001			
		,,			
073596479 FLETCHER GREEN FINANCIAL SERVICES PTY LIMITED ACN 001659990	90140	24/06/2001			
GANNON GROWDEN SCHONELL & ASSOCIATES PTY LTD ACN 009278700	90164	29/06/2001			
INSURANCE AND FINANCE MANAGERS OF AUSTRALIA PTY LTD ACN	90135	06/08/2001			
	50155				
006230842	90318	18/11/2000			
INVESTORWEB PTY LTD ACN 078119212	90322	09/11/2000			
LYNX FINANCIAL SERVICES PTY LTD ACN 004937704	90333	22/02/2001			
NORTHHAVEN FINANCIAL MANAGEMENT PTY LTD ACN 074700995	90231	23/06/2001			
PERPETUAL TRUSTEE COMPANY LIMITED ACN 000001007	90231	27/06/2001			
PETER VICKERS INSURANCE BROKERS PTY LTD ACN 074294081	90214	14/07/2001			
PIVOTAL FINANCIAL ADVISERS LIMITED ACN 085335397	90233	12/06/2001			
PRIME TIME FINANCIAL COUNSELLORS PTY LTD ACN 054965267	90255	13/08/2001			
SABINA HOLDINGS PTY LTD ACN 009253874		19/10/2000			
SECURITY NATIONAL FINANCIAL SERVICES PTY LTD ACN 007375420	90179	07/06/2001			
ST.GEORGE WEALTH MANAGEMENT PTY LIMITED ACN 006929004	90134	07/00/2001			

#### Australian Securities and Investments Commission 01/1137 Insurance (Agents and Brokers) Act 1984 Subsection 31D(5) Renewal of Registration of Foreign Insurance Agent

Pursuant to section 31D(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the registration of the persons mentioned in the schedule was renewed, in relation to carrying on a business as a foreign insurance agent with effect from the date specified.

*Dorothy Kaye Guy A delegate of the Australian Securities and Investments Commission* 

#### SCHEDULE

Broker Name Ref. GENERAL & AVIATION UNDERWRITING AGENCY PTY LTD ACN 083394478 99521

Effective Date 30/05/2001

#### Australian Securities and Investments Commission 01/1138 Insurance (Agents and Brokers) Act 1984 Subsection 21(5) Voluntary Cancellation of Registration of General Insurance Broker

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission ("the Commission") hereby gives notice that, pursuant to subsection 21(4) of the Act, the Commission cancelled the registration of the persons mentioned in the schedule with effect from the date specified.

*Dorothy Kaye Guy A delegate of the Australian Securities and Investments Commission* 

#### SCHEDULE

Broker Name CARINSURE.COM LIMITED ACN 089552269 
 Ref.
 Effective Date

 40365
 03/09/2001

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# Australian Securities and Investments Commission 01/1139 Insurance (Agents and Brokers) Act 1984 Subsection 21(5)

# Voluntary Cancellation of Registration of Life Insurance Broker

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission ("the Commission") hereby gives notice that, pursuant to subsection 21(4) of the Act, the Commission cancelled the registration of the persons mentioned in the schedule with effect from the date specified.

Dorothy Kaye Guy A delegate of the Australian Securities and Investments Commission

#### SCHEDULE

Broker Name DONALDSON, IAN ACN 000000000 SECURITY FINANCIAL PLANNING PTY LTD ACN 009553851 Ref.Effective Date9032023/07/20019028428/06/2001

#### Australian Securities and Investments Commission 01/1140 Insurance (Agents and Brokers) Act 1984 Subsection 21(5)

## **Refusal to Renew Registration of General Insurance Broker**

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission ("the Commission") hereby gives notice that, pursuant to section 21 of the Act, the Commission refused to renew the registration of the persons mentioned in the schedule with effect from the date specified.

Dorothy Kaye Guy A delegate of the Australian Securities and Investments Commission

#### SCHEDULE

Broker Name HEDLEY GOODRIDGE INSURANCE AGENCIES PTY LTD ACN 003746674 
 Ref.
 Effective Date

 20648
 02/07/2001

ASIC Gazette Change of company status ASIC 17/01, Tuesday, 25 September 2001 Page 39

Corporations Act 2001 Subsection 164(3)

Notice is hereby given that the ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administration Appeals Tribunal prevents it from doing so.

CHALLENGER GOLD NL ACN 090 166 528 will change to a public company limited by shares. The new name will be CHALLENGER GOLD LIMITED ACN 090 166 528.

#### ZUMO INTERNATIONAL LIMITED

ACN 094 067 348 will change to a proprietary company limited by shares. The new name will be ZUMO INTERNATIONAL PTY LIMITED ACN 094 067 348.

RURALCO LIMITED ACN 000 838 899 will change to a proprietary company limited by shares. The new name will be RURALCO PTY LIMITED ACN 000 838 899.