



Commonwealth of Australia



ASIC
Australian Securities &
Investments Commission

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ASIC 01/1668

Australian Securities & Investments Commission

Level 18, No.1 Martin Place, Sydney
GPO Box 9827 Sydney NSW 2001
DX 653 Sydney

Telephone: (02) 9911 2000
Facsimile: (02) 9911 2333

30 October 2001

Mr J.J. Braysich
Unit 1101
Regency Hyde Park
281 Elizabeth Street
SYDNEY NSW 2000

Dear Sir,

I refer to the hearing conducted by myself as delegate of the Commission into the question of whether or not to issue an order to ban you from being a representative of a dealer or securities adviser. I have decided to issue a banning order for a period of 5 years.

As you know, you have certain rights connected with this decision. For example, the Administrative Appeals Tribunal and the commonwealth Ombudsman may be able to review the decision. You can also apply to ASIC for access to documents about the decision. Information on your rights is set out in the enclosed information sheet, "ASIC Decisions: Your Rights".

Yours faithfully



RICHARD COCKBURN
Director (Corporate Finance)

Encl.



ASIC 01/1669

Australian Securities & Investments Commission

AUSTRALIAN SECURITIES AND INVESTMENT COMMISSION

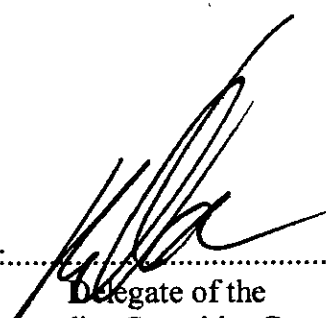
**IN THE MATTER OF SAXBY BRIDGE FINANCIAL PLANNING PTY LTD
AND PART 7.3 OF THE CORPORATIONS LAW**

To: The Secretary
Saxby Bridge Financial Services Pty Ltd
Level 12 Saxby Bridge House
4 O'Connell Street
SYDNEY N.S.W. 2000

**ORDER REVOKING LICENCE
PURSUANT TO SECTION 826 OF THE CORPORATIONS LAW**

TAKE NOTICE that the Australian Securities and Investment Commission HEREBY REVOKES the Licence numbered 164921 issued to Saxby Bridge Financial Services Pty Ltd on 28 October 1996 from the date of service of this Order

DATED this 30 October 2001

Signed:.....

Delegate of the
Australian Securities Commission



ASIC 01/1670

Australian Securities & Investments Commission

AUSTRALIAN SECURITIES AND INVESTMENT COMMISSION

**IN THE MATTER OF ABS SECURITIES PTY LTD
AND PART 7.3 OF THE CORPORATIONS LAW**

**To: The Secretary
ABS Securities Pty Ltd
Level 53
21 Tower 2
201 Sussex St
SYDNEY N.S.W. 2000**

**ORDER REVOKING LICENCE
PURSUANT TO SECTION 826 OF THE CORPORATIONS LAW**

TAKE NOTICE that the Australian Securities and Investment Commission
HEREBY REVOKES the Licence numbered 176924 issued to ABS Securities Pty Ltd
on 27 May 1998 from the date of service of this Order

DATED this 30 October 2001

Signed:.....
Delegate of the
Australian Securities Commission



01/1571 -

~~02/0197~~

Australian Securities and Investments Commission


Section 21(2)(c) *Insurance (Agents & Brokers) Act*

Decision

To: A.P. Investments (W.A.) Pty Ltd
Ground Floor
189 St Georges Terrace
Perth WA 6000

TAKE NOTICE that pursuant to section 21(2)(c) of the *Insurance (Agents and Brokers) Act 1984* renewal of registration of A.P.Investments (W.A.) Pty Ltd as an insurance broker is hereby refused for the period 1 April 2001 to 31 March 2002.

Dated 24 December 2001


Julie Nilsson

A delegate of the Australian Securities and Investments Commission

Note: Subject to the *Administrative Appeals Tribunal Act 1975*, an application may be made to the Administrative Appeals Tribunal for review of this decision by or on behalf of a person or persons whose interests are affected by this decision.

**Australian Securities & Investments Commission
Corporations Act 2001 Section 825
Order Revoking Licence**

02/0167

TO: CIBC World Markets Australia Limited, ACN: 009 123 193 ("the Licensee")
Level 22, AMP Building
140 St Georges Terrace
Perth WA 6000

Pursuant to paragraph 825(a) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby revokes Licence Number 14251 held by the Licensee with effect from when this order is served on the Licensee.

Dated this 18th day of February 2002.

Signed

Leigh-Anne Perillo, a delegate of the Australian Securities and Investments Commission

02/0170

**Australian Securities and Investments Commission
Corporations Act 2001 — Subsection 741(1) — Declaration**

Under subsection 741(1) of the *Corporations Act 2001* (“the Act”), the Australian Securities and Investments Commission hereby declares that Chapter 6D of the Act applies to BHP Billiton Limited as if subparagraph (b)(iii) of the definition of “*continuously quoted securities*” in section 9 of the Act as modified by Class Order [01/1455] was further modified by inserting after the text “[00/2449]” the text “and Order [02/0169]”.

Dated the 13th day of February 2002



Signed by Renato Sburlati
as a delegate of the Australian Securities and Investments Commission

02/0171

Australian Securities and Investments Commission
Corporations Act 2001 — Paragraphs 601QA(1)(a), 741(1)(a), 911A(2)(l) and
1020F(1)(a) — Revocation and Exemption

1. Under paragraphs 601QA(1)(a) and 741(1)(a) of the *Corporations Act 2001* (the “Act”), the Australian Securities and Investments Commission (“ASIC”) hereby revokes CO [00/204].
2. Under paragraphs 601QA(1)(a), 911A(2)(l) and 1020F(1)(a), ASIC hereby exempts each person in the class of persons mentioned in Schedule A in the cases referred to in Schedule B on the conditions referred to in Schedule C and for so long as those conditions are met, from:
 - (a) Chapter 5C of the Act;
 - (b) Part 7.9 (other than sections 1017E, 1017F, 1020D and 1021O) of the Act; and
 - (c) the requirement to hold an Australian financial services licence for the provision of financial services by the person in relation to interests in an Ostrich Scheme.
3. Under paragraph 911A(2)(l) and 1020F(1)(a) of the Act, ASIC hereby exempts a person (other than a person in the class of persons mentioned in Schedule A) from:
 - (a) the requirement to hold an Australian financial services licence for the provision of financial services by the person in relation to, and
 - (b) Part 7.9 of the Act in relation to a recommendation to acquire and an offer to arrange the issue of,

interests in an Ostrich Scheme operated on a basis which appears to meet the requirements and conditions in Schedules B and C except where the person is aware, or ought reasonably be aware, that those requirements and conditions have not been met.

SCHEDULE A

Any person who operates an Ostrich Scheme or offers to issue or issues interests in an Ostrich Scheme.

SCHEDULE B

Offers to issue, recommendations to acquire and the issue of interests in an Ostrich Scheme or operation of an Ostrich Scheme where each interest in the scheme was issued as the result of:

-
- (a) an issue to which section 1477 of the Corporations Law applies as continued in force by section 1408 of the Act; or

02/0171 =

- (b) an offer made before the Effective Date which, assuming the interest in the Ostrich Scheme was a security to which Part 6D.2 of the Act applied at the time the offer was made, would not have required disclosure to investors because of a provision of section 708 of the Act (other than subsection 708(1)); or
- (c) an offer made on or after the Effective Date which otherwise than as a result of this instrument does not need a Product Disclosure Statement; or
- (d) acceptance by a person (the "Subscriber") of a personal offer as defined in subsection 1012E(5) of the Act, whether made before or after the Effective Date, where the Subscriber and any other person or persons to whom an interest or interests in one or more Connected Ostrich Schemes are issued at the same time or have been issued in the preceding 12 months, do not together exceed 20 in number.

SCHEDULE C

- 1 The Subscriber owns the ostrich or ostriches the subject of the Ostrich Scheme.
- 2 All agistment and breeding services to be provided by the Promoter pursuant to an agistment agreement or sharefarming agreement are provided by the Promoter personally or an employee of the Promoter.
- 3 The Subscriber can terminate an agistment agreement or sharefarming agreement on three months' notice without incurring any exit fees, penalties or liability for damages.
- 4 There is a written agreement between the Promoter and the Subscriber as to the distribution of the progeny between them, including the percentage distribution to each party, details of gender distribution and arrangements to avoid the allocation of other than a whole bird.
- 5 No marketing or selling services in relation to progeny are offered or provided by the Promoter.
- 6 The Promoter identifies all ostriches the subject of the Ostrich Scheme with a unique identifier in a manner consistent with current industry standards.
- 7 The Promoter keeps proper records which identify the ostriches the subject of the Ostrich Scheme, such records to include details of identification, ownership, gender of bird, date of arrival of each bird into its care and control, hatching date and parentage of all progeny of such birds and allows the Subscriber to inspect such records on seven days' notice.
- 8 The total number of agistment agreements and sharefarming agreements in relation to Connected Ostrich Schemes together is not more than 20 at any one time.

02 / 0171

- 9 The total number of ostriches in relation to Connected Ostrich Schemes does not exceed 400 at any one time.
- 10 Neither the Promoter nor any person acting on their behalf advertises any offer or intended offer of the kind referred to in paragraph (d) of Schedule B.

Interpretation

For the purposes of this instrument:

"agistment agreement" means any agreement pursuant to which a Promoter is to provide agistment services in relation to ostriches owned by a Subscriber for a fee.

"Commencement Date" means the date of commencement of Schedule 1 to the *Financial Services Reform Act 2001*.

"Connected Ostrich Scheme" in relation to an Ostrich Scheme, means an Ostrich Scheme promoted by or on behalf of a Promoter of the first mentioned Ostrich Scheme or by or on behalf of an associate of such a Promoter.

"Effective Date" means:

- (a) for Ostrich Scheme interests in a class which were first issued on or after the Commencement Date – the Commencement Date; and
- (b) for any other interests in an Ostrich Scheme - the date on which the new product disclosure provisions (as defined in section 1438 of the Act) first apply to the interests.

"Ostrich Scheme" means any managed investment scheme where the principal purpose is to agist and/or breed ostriches.

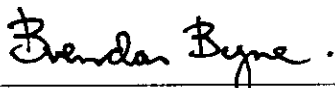
"Promoter" means the person who issues an interest in an Ostrich Scheme.

"sharefarming agreement" means any agreement pursuant to which the Promoter is to mate birds owned by them with birds owned by the Subscriber, with any progeny to be shared between them.

Commencement

This instrument takes effect on the Commencement Date.

Dated the 12th day of February 2002



Signed by Brendan Byrne
as a delegate of the Australian Securities and Investments Commission

02/0172

Australian Securities and Investments Commission
Corporations Act 2001 — Paragraphs 601QA(1)(a), 741(1)(a), 911A(2)(l),
992B(1)(a) and 1020F(1)(a) — Revocation and Exemption

1. Under paragraphs 601QA(1)(a) and 741(1)(a) of the *Corporations Act 2001* (the "Act") the Australian Securities and Investments Commission ("ASIC") hereby revokes Class Order [00/205].
2. Under paragraphs 601QA(1)(a), 992B(1)(a) and 1020F(1)(a) of the Act, ASIC hereby exempts any person who operates a Broodmare Scheme ("Operator") from Chapter 5C, sections 992A and 992AA and Part 7.9 (other than sections 1017E, 1017F, 1020D and 1021O) of the Act in relation to:
 - (a) the operation of a managed investment scheme the principal purpose of which is to breed horses out of broodmares to which the scheme relates (a "Broodmare Scheme");
 - (b) the making of offers for issue or sale of, or recommendations to acquire, interests in a Broodmare Scheme; and
 - (c) issuing or selling interests in a Broodmare Scheme,where:
 - (d) each interest in the Broodmare Scheme is issued as the result of:
 - (i) an issue to which section 1477 of the Corporations Law (as continued in force by section 1408 of the Act) applies; or
 - (ii) an offer made before the Effective Date which assuming that interests in a Broodmare Scheme were securities to which Part 6D.2 of the Act applied at the time the offer was made, would not have required disclosure to investors because of a provision of section 708 of the Act; or
 - (iii) acceptance of a personal offer as defined in subsection 1012E(5) of the Act whether made before or after the Effective Date; or
 - (iv) an offer made on or after the Effective Date which does not need a Product Disclosure Statement; and
 - (e) the Operator together with any of its associates (as defined in Division 2 of Part 1.2 of the Act) have not, at the same time or during the previous 12 months, issued or sold more than 20 interests in aggregate in managed investment schemes, the principal purpose of which is to breed horses.

-
3. Under paragraph 911A(2)(l) of the Act, ASIC hereby exempts each person who is an Operator from the requirement to hold an Australian financial

services licence for the provision of financial services in relation to interests in a Broodmare Scheme operated on a basis which meets the requirements in paragraph 2 of this instrument.

4. Under paragraphs 911A(2)(l) and 1020F(1)(a) of the Act, ASIC hereby exempts each person other than an Operator from:
- (a) the requirement to hold an Australian financial services licence for the provision of financial services in relation to, and
 - (b) Part 7.9 of the Act in relation to a recommendation that a client acquires and an offer to arrange the issue of an interest in,

a Broodmare Scheme offered on a basis which appears to meet the requirements in paragraph 2 of this instrument except where the person is aware, or reasonably ought to be aware, that those requirements have not been met.

Interpretation

For the purposes of this instrument:

"Commencement Date" means the date of the commencement of Schedule 1 to the *Financial Services Reform Act 2001*; and

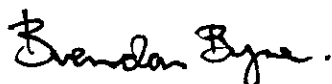
"Effective Date" means:

- (a) for interests in a Broodmare Scheme in a class which were first issued on or after the Commencement Date - the Commencement Date; and
- (b) for any other interests in a Broodmare Scheme - the date on which the new product disclosure provisions (as defined in section 1438 of the Act) first apply to interests in the Broodmare Scheme.

Commencement

This instrument takes effect on the Commencement Date.

Dated the 12th day of February 2002



Signed by Brendan Byrne
as a delegate of the Australian Securities and Investments Commission

02/0175

**Australian Securities and Investments Commission
Corporations Act 2001 — Subsection 741(1) — Declaration**

Under subsection 741(1) of the *Corporations Act 2001* (“the Act”), the Australian Securities and Investments Commission hereby declares that Chapter 6D of the Act applies to Brambles Industries Limited (ACN 000 129 868) as if subparagraph (b)(iii) of the definition of “*continuously quoted securities*” in section 9 of the Act as modified by Class Order [01/1455] was further modified by inserting after the text “[00/2449]” the text “and Order [02/0174]”.

Dated the 15th day of February 2002



Signed by Renato Sburlati
as a delegate of the Australian Securities and Investments Commission

02/0178

Australian Securities and Investments Commission
Corporations Act 2001 — Paragraphs 601QA(1)(a), 741(1)(a), 911A(2)(l),
992B(1)(a) and 1020F(1)(a) — Revocation and Exemption

1. Under paragraphs 601QA(1)(a) and 741(1)(a) of the *Corporations Act 2001* (the "Act") the Australian Securities and Investments Commission ("ASIC") hereby revokes Class Order [00/206].
2. Under paragraphs 601QA(1)(a), 992B(1)(a) and 1020F(1)(a) of the Act, ASIC hereby exempts each person who operates, or offers for issue, or issues interests in, a Stallion Scheme ("Offeror") from Chapter 5C and Part 7.9 (other than sections 1017E, 1017F, 1020D and 1021O) and sections 992A and 992AA of the Act in the cases specified in Schedule A on the conditions specified in Schedule B.
3. Under paragraph 911A(2)(l) of the Act, ASIC hereby exempts a person (other than a promoter mentioned in Schedule B who does not hold at least 10% fully paid of all Interests in the Stallion Scheme) from the requirement to hold an Australian financial services licence for the provision of financial services by the person in relation to interests in a Stallion Scheme operated on a basis which:
 - (a) in the case of a person who is an Offeror - meets the requirements and conditions in Schedules A and B; and
 - (b) otherwise - appears to meet those requirements and conditions except where the person is aware, or ought reasonably to be aware, that those requirements and conditions have not been met.
4. Under paragraph 1020F(1)(a) of the Act, ASIC hereby exempts each person (other than the Offeror) from Part 7.9 of the Act in relation to a recommendation that a client acquires, and an offer to arrange the issue of, an interest in a Stallion Scheme offered on a basis which appears to meet the requirements and conditions in Schedules A and B except where the person is aware, or ought reasonably to be aware, that those requirements and conditions have not been met.

SCHEDULE A

Offers to issue, recommendations to acquire and the issue of interests in, and the operation of, a Stallion Scheme under which each interest has been issued as a result of:

- (a) an issue to which section 1477 of the Corporations Law (as continued in force by section 1408 of the Act) applies; or
- (b) an offer made before the Effective Date which assuming the interest in the Stallion Scheme was a security to which Part 6D.2 of the Act applied at the time the offer was made, would not have required disclosure to investors because of a provision of section 708 of the Act; or

- (c) acceptance by a person of an offer made personally to them; or **0 2 / 0 1 7 8**
- (d) an offer made on or after the Effective Date which does not need a Product Disclosure Statement,

and where the Offeror together with any associate of the Offeror (as defined in Division 2 of Part 1.2 of the Act) has not issued or sold in excess of 40 interests in any Horse Breeding Scheme at the same time or during the previous 12 months.

SCHEDULE B

1. In the case of a Stallion Scheme in which Interests were first issued before the Commencement Date:
- (a) before the date which is two years after the Commencement Date each promoter of the Stallion Scheme must at all times meet at least one of the following three requirements:
- (i) the promoter holds a dealers licence granted by ASIC under Part 7.3 of the old Corporations Act (as defined in section 1410 of the Act) and complies with Divisions 1 and 3 of Part 7.3, Parts 7.5, 7.6 and 7.7 and section 842 of that old Corporations Act in relation to dealings in Interests in the Stallion Scheme as if, at the time of each issue of an Interest in the Stallion Scheme, and each offer in relation to an Interest in the Stallion Scheme, the Stallion Scheme was registered and the promoter ensures that any representative of the promoter complies with the provisions of Division 1 and 3 of Part 7.3 Parts 7.5, 7.6 and section 842 of that old Corporations Act applicable to representatives in relation to dealings in Interests in the Stallion Scheme as if, at the time of issue or offer the Stallion Scheme was required to be registered; or
- (ii) the promoter holds an Australian financial services licence; or
- (iii) the promoter holds at least 10% fully paid of all Interests in the Stallion Scheme; and
- (b) after the end of the 2 year period starting on the Commencement Date each promoter of the Stallion Scheme must at all times either :
- (i) hold an Australian financial services licence; or
- (ii) hold at least 10% fully paid of all Interests in the Stallion Scheme.

-
2. ~~In the case of a Stallion Scheme in which Interests were first issued on or after the Commencement Date each promoter of the Stallion Scheme must at all times either:~~

02/0178

- (a) hold an Australian financial services licence; or
- (b) hold at least 10% fully paid of all Interests in the Stallion Scheme.

Interpretation

For the purpose of this instrument:

“Effective Date” means:

- (a) for a Stallion Scheme in which no interests of the same class were issued before the Commencement Date - the Commencement Date; and
- (b) for any other Stallion Schemes - the date on which the new product disclosure provisions (as defined in section 1438 of the Act) first apply to an offer or issue of interests in the scheme.

“Horse Breeding Scheme” means any managed investment scheme where the principal purpose is to breed horses.

“Interest” in relation to a Stallion Scheme means any interest in that Stallion Scheme acquired under a Stallion Scheme Agreement.

“offer made personally” means an offer which includes a copy of the proposed Stallion Scheme Agreement and which otherwise complies with subsection 1012E(5) of the Act.

“Stallion” in relation to a Stallion Scheme Agreement means the Stallion identified in the Stallion Scheme Agreement.

“Stallion Return” means the annual Stallion Return Form, Season Service Date Declaration and any other documents required to be lodged with the Keeper of the Australian Stud Book, a body jointly owned by the Australian Jockey Club and Victorian Racing Club.

“Stallion Scheme” means any managed investment scheme to:

- (a) acquire a Stallion; and
- (b) provide stud services of the Stallion for the benefit of each of the participants of the scheme.

“Stallion Scheme Agreement” means an agreement which includes provisions to the following continuing effect:

- (a) requiring that the Stallion Scheme relates to one Stallion and no other horse or investment;
- (b) requiring that the Stallion stand at stud only in this jurisdiction for the first three full stud seasons from the date the Stallion Scheme acquires the Stallion;

02/0178

- (c) provides that participants will, as tenants in common, own the whole of the legal and equitable title to the Stallion free of encumbrances;
- (d) prohibits the financing of the purchase of the Stallion other than from the proceeds of the issue of Interests;
- (e) provides that prospective participants in the Stallion Scheme receive a full refund of application moneys on written request made to and received by the promoter within 3 clear days after payment of application moneys;

and that contains:

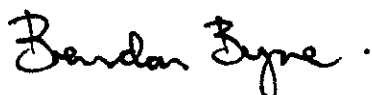
- (f) details of reporting requirements to participants of the financial performance of the Stallion Scheme and Stallion Returns for each reporting period;
- (g) a statement of risks associated with the Stallion Scheme and the speculative nature of investment in horse breeding schemes;
- (h) details of fees and expenses payable to the manager, the basis of their calculation and disclosure in the financial statements;
- (i) details of insurance of, and the veterinary examinations schedule of, the Stallion;
- (j) details of the obligations of the stud; and
- (k) a statement that this Class Order is being relied on by the Offeror.

Note: In relation to the financial products to which this instrument relates, "this jurisdiction" means Australia: Act, ss 5 and 9 (definition of "this jurisdiction") and regulation 1.0.22 of the *Corporations Regulations 2001*.

Commencement

This instrument takes effect on the commencement of Schedule 1 to the *Financial Services Reform Act 2001* (the "Commencement Date").

Dated the 14th day of February 2002



Signed by Brendan Byrne
as a delegate of the Australian Securities and Investments Commission

02 / 0181

Australian Securities & Investments Commission
Corporations Act 2001 - Subsection 283GB(1) – Revocation of Approval

Pursuant to subsection 283GB(1) of the Corporations Act 2001 the Australian Securities and Investments Commission ("ASIC") hereby revokes the approval numbered as instrument number 00/1007 granted by ASIC to Cardinal Financial Securities Limited ACN 058 650 212 ("trustee") on 4 May 2000 to act as trustee for the holders of debenture stock issued or proposed to be issued by Law and Milne Mortgages Limited ACN 091 379 930 ("issuer") pursuant to the debenture trust deed dated 3 February 2000 made between the trustee and the issuer.

Dated this 13th day of February 2002



Signed by Belisa Jong
a delegate of the Australian Securities and Investments Commission

02/0182

**Australian Securities and Investments Commission
Corporations Act 2001 — Paragraphs 601QA(1)(a), 741(1)(a), 911A(2)(l),
992B(1)(a) and 1020F(1)(a) — Revocation and Exemption**

1. Under paragraphs 601QA(1)(a) and 741(1)(a) of the *Corporations Act 2001* (the “Act”), the Australian Securities and Investments Commission (“ASIC”) hereby revokes Class Order [00/209].
2. Under paragraphs 601QA(1)(a), 911A(2)(l), 992B(1)(a) and 1020F(1)(a) of the Act ASIC hereby:
 - (a) exempts each person referred to in Schedule A in the cases specified in Schedule B from Chapter 5C, sections 992A and 992AA and Part 7.9 of the Act;
 - (b) exempts each other person who is a responsible person to whom section 1012A of the Act would apply, from Part 7.9 of the Act in relation to a recommendation that a client acquire an interest in a managed investment scheme referred to in Schedule B; and
 - (c) exempts all persons from the requirement to hold an Australian financial services licence for the provision of financial services by the person in relation to interests in a managed investment scheme referred to in Schedule B.

SCHEDULE A

A real estate agent licensed in a State or Territory who operates or promotes a scheme of the kind specified in Schedule B and any registered proprietor of a unit or an interest in real property as described in Schedule B.

SCHEDULE B

Making offers to issue or sell an interest in, making offers to arrange the issue of an interest in, making a recommendation to acquire an interest in, and operating, a managed investment scheme which consists of an owner or owners (other than owners who acquired their real property interest as a joint owner as part of the scheme) of an interest in real property making their interest in real property available to a real estate agent licensed in a State or Territory for letting purposes (including day to day management of any lease arrangement) other than for use as part of a serviced apartment, hotel, motel or resort complex.

Commencement

~~This instrument takes effect on the commencement of Schedule 1 to the *Financial Services Reform Act 2001*.~~

02/0182

Dated this 16th day of February 2002

Brendan Byrne.

Signed by Brendan Byrne
as a delegate of the Australian Securities and Investments Commission

02/0183

**Australian Securities and Investments Commission
Corporations Act 2001 — Paragraphs 601QA(1)(a), 741(1)(a), 911A(2)(l),
992B(1)(a) and 1020F(1)(a) — Revocation and Exemption**

1. Under paragraphs 601QA(1)(a) and 741(1)(a) of the *Corporations Act 2001* (the “Act”), the Australian Securities and Investments Commission (“ASIC”) hereby revokes Class Order [00/215].
2. Under paragraphs 601QA(1)(a), 911A(2)(l), 992B(1)(a) and 1020F(1)(a) of the Act, ASIC hereby exempts the class of persons mentioned in Schedule A from:
 - (a) sections 601ED, 992A and 992AA and Part 7.9 of the Act in the case mentioned in Schedule B on the conditions set out in Schedule C and for as long as they are met; and
 - (b) the requirement to hold an Australian financial services licence for the provision of financial services by the person in relation to Syndicate Interests (as defined in Schedule B) offered on a basis which meets the conditions and requirements in Schedules C and D.
3. Under paragraph 911A(2)(l) and 1020F(1)(a) of the Act, ASIC hereby exempts a person (other than a person in the class of persons mentioned in Schedule A) from:
 - (a) the requirement to hold an Australian financial services licence for the provision of financial services by the person in relation to interests in; and
 - (b) Part 7.9 of the Act in relation to a recommendation that a retail client acquire, and an offer to arrange the issue of an interest in,

a Syndicate that is being offered on a basis which appears to meet the conditions and requirements in Schedules C and D, except where the person is aware, or ought reasonably to be aware, that those conditions and requirements have not been met.

SCHEDULE A

Persons operating a Syndicate or offering to issue or sell or issuing interests in a Syndicate.

SCHEDULE B

The operation of, and offering for issue or sale, recommending to acquire and issuing interests in, a managed investment scheme (“Syndicate”) under which each interest that has been offered (“Syndicate Interest”) comprises:

- (a) an agreement (“Syndicate Agreement”) that complies with Schedule D

between the persons ("Investors") who are to purchase or who hold legally and beneficially a fee simple title to real property or fee simple titles to adjoining real properties ("Syndicate Property");

- (b) any agreement between Investors and a person ("Manager") that the Manager will provide property management services including arranging leases, collecting rent, and arranging repair and maintenance work in relation to the Syndicate Property.

SCHEDULE C

Persons involved in offering for issue or sale a Syndicate Interest in the Syndicate ("offerors"):

- (a) must not issue or sell any Syndicate Interest if that would result in more than 15 Investors (with joint holders and tenants in common being counted separately) holding Syndicate Interests;
- (b) must have no associate (as defined for the purposes of Chapter 7 of the Act) who is in the ordinary business of promoting property syndicates unless none of the offerors and their associates may benefit from the Syndicate other than on the same basis as all the Investors in the Syndicate;
- (c) must hold for the duration of the Syndicate a Syndicate Interest of at least 5% of the total value of the Syndicate Interests;
- (d) if:
 - (i) Investors do not contract to buy the Syndicate Property within 6 months after the date on which an Investor first made an application to purchase a Syndicate Interest; or
 - (ii) the title to the Syndicate Property is not held by the Investors within 9 months after that date,

and the Syndicate Agreement is terminated at the request of an Investor pursuant to the Syndicate Agreement - must return to Investors within 14 days any money paid to an offeror or its associates by Investors in connection with Syndicate Interests (together with any interest that has accrued on that money) less any expenses permitted under the Syndicate Agreement;

- (e) must ensure that any money paid to apply for the Syndicate Interests is immediately deposited by an offeror on trust for the Investors in a separate account with an Australian bank or in units in a cash management trust that is a registered scheme pending the title to the Syndicate Property being held by the Investors and is disbursed only in accordance with the Syndicate Agreement;
- (f) must ensure that no charge, mortgage or other security interest ("mortgage") applies to any part of the Syndicate Property on the Investors being vested

with the property except as specified in the Syndicate Agreement and must not be involved in the creation of any mortgage except in accordance with the Syndicate Agreement;

- (g) must not agree to or be involved in any amendment to the Syndicate Agreement that causes non-compliance with Schedule D; and
- (h) must comply with their obligations under the Syndicate Agreement.

SCHEDULE D

The Syndicate Agreement must contain provisions to the effect of the following:

- (a) identifying the Syndicate Property by its address and particulars of title or, if this is not known when the Syndicate Agreement is entered into, describing the type of property to be purchased by reference to its physical location, its function, the desired annual yield or return and price range;
- (b) if the Syndicate Property is not identified in the Syndicate Agreement, that no Syndicate Property will be purchased without the approval in writing of all Investors to the purchase of that property;
- (c) if the Investors do not contract to buy the Syndicate Property within 6 months after the date on which an Investor first made an application to purchase a Syndicate Interest, or if title to the Syndicate Property is not held by the Investors within 9 months after that date, the Syndicate Agreement will be terminated at the request of any Investor and all money held on behalf of the Investors under the Syndicate Agreement (including any interest that has accrued on that money) must be returned to the Investors (less any expenses incurred by an offeror in paying persons other than its associates permitted under the Syndicate Agreement) in proportion to the value of their Syndicate Interests within 14 days of such a request;
- (d) no mortgage over any part of the Syndicate Property other than any mortgage specified in the Syndicate Agreement may be created unless all Investors who are registered proprietors of that part of the Syndicate Property agree to that mortgage;
- (e) the agreement is to hold and lease the Syndicate Property (if necessary after having purchased it) as an investment and not for any other purpose that is not incidental to the purchase, lease, and holding of the Syndicate Property for gain;
- (f) if for any period of 3 consecutive months after the first acquisition of Syndicate Property, the value of any property to which the Syndicate Agreement relates but which is not real property, exceeds 20% of the value of all the property to which the Syndicate Agreement relates, the Syndicate Agreement will be terminated at the request of any Investor and all money held on behalf of the Investors under the Syndicate Agreement after meeting any expenses payable under the Syndicate Agreement must be paid to

Investors in proportion to the value of their Syndicate Interests as soon as practicable;

- (g) if any moneys are paid to an offeror or its associates in relation to Syndicate Interests the moneys will be immediately deposited on trust for the Investors in a separate account with an Australian bank or in units in a cash management trust that is a registered scheme and disbursed only in accordance with the Syndicate Agreement; and
- (h) the Syndicate Agreement may not be amended unless all Investors agree in writing and the amendment does not result in the Syndicate Agreement not complying with this Schedule.

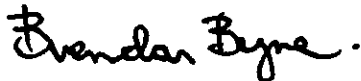
Interpretation

For the purposes of this instrument the value of the Syndicate Interest of an Investor relative to the total value of the Syndicate Interests is to be taken to be the proportion that the amount paid upon application for issue of that Syndicate Interest (regardless of any amount paid on a transfer of the interest) bears to the total of amounts paid upon application for all Syndicate Interests.

Commencement

This instrument takes effect on the commencement of Schedule 1 to the *Financial Services Reform Act 2001*.

Dated the 16th day of February 2002



Signed by Brendan Byrne
as a delegate of the Australian Securities and Investments Commission

02/0184

Australian Securities and Investments Commission
Corporations Act 2001 — Paragraphs 283GA(1)(a), 601QA(1)(a), 741(1)(a),
911A(2)(l), 992B(1)(a) and 1020F(1)(a) — Revocation and Exemption

Revocation

Under paragraphs 283GA(1)(a), 601QA(1)(a) and 741(1)(a) of the *Corporations Act 2001* (the "Act") the Australian Securities and Investments Commission ("ASIC") hereby revokes ASIC Class Order [00/197].

First Exemption – Charitable body and its officers etc

ASIC hereby exempts a charitable body and an officer, employee, or trustee of a charitable body from:

- (a) Parts 2L.1, 2L.2, 2L.3, 2L.4 and 2L.5 of the Act under paragraph 283GA(1)(a) of the Act;
- (b) Chapter 5C of the Act under paragraph 601QA(1)(a) of the Act;
- (c) Parts 6D.2 and 6D.3 of the Act under paragraph 741(1)(a) of the Act;
- (d) sections 992A and 992AA of the Act under paragraph 992B(1)(a) of the Act; and
- (e) Division 2 and 4 of Part 7.9 and section 1017G of the Act under paragraph 1020F(1)(a) of the Act;

in relation to interests in a managed investment scheme or debentures or both issued, proposed to be issued, offered, or where a recommendation is made to acquire such interests, by the relevant charitable body or trustee of the charitable body, for as long as the following conditions are met:

- 1 an identification statement in relation to the charitable body has been registered by ASIC and ASIC has not cancelled the registration;
- 2 every offer document the preparation of which the charitable body was party to, contains or is accompanied by the following information:
 - (a) the identity of the charitable body;
 - (b) the terms and conditions of the offer made in connection with the relevant charitable scheme;
 - (c) the terms and conditions, if any, under which a person other than the charitable body, or an employee of the charitable body in that capacity, will receive a material benefit in connection with:
 - (i) the promotion of the charitable scheme;

- (ii) administering or managing the assets of the charitable scheme;
or
 - (iii) giving investment advice in respect of the charitable scheme;
and
- (d) a statement to the effect that:
- (i) investors should be aware that the specified charitable scheme is not subject to the normal requirement to have a disclosure document or Product Disclosure Statement and be registered or have a trust deed under the Act;
 - (ii) the scheme has not been examined or approved by ASIC; and
 - (iii) the investment is designed for investors who wish to promote the charitable purposes of the relevant charity and for whom the considerations of profit are not of primary relevance in the investment decision;
- 3 there is no reference in any promotional material or offer document that the charitable scheme has been approved or examined by ASIC;
- 4 the charitable body lodges with ASIC, in connection with the identification statement registered by ASIC, further statements or another identification statement the effect of which is to rectify any false or misleading material statement in, or any material omission from, the identification statement, as soon as practicable after the charitable body becomes aware that the identification statement is false or misleading or that there is a material omission; and
- 5 the charitable body lodges with ASIC:
- (a) where the charitable body is required under a law of the Commonwealth, a state or territory to lodge an annual statement of the accounts kept in relation to the charitable scheme with a statutory body or the Crown, a copy of the statement as soon as practicable after the statement is so required to be lodged; and
 - (b) where the charitable body causes a registered company auditor to audit those accounts at the end of each financial year, a copy of the auditor's report on the accounts within six months after the end of that financial year; and
 - (c) where neither (a) nor (b) applies, either:
 - (i) where the charitable body prepares or causes to be prepared at the end of each financial year an annual statement of the accounts kept in relation to the charitable scheme, a copy of the statement and a copy of the auditor's report, if any, on the

accounts, within six months after the end of each financial year;
or

- (ii) a statement which sets out the following sums:
 - A the total indebtedness which remains outstanding to holders of debentures issued by the charitable body on 30 June of each year; and
 - B the total amount subscribed in relation to interests in a managed investment scheme issued by the charitable body and not yet withdrawn on 30 June of each year;

within six months after 30 June of that year.

And under paragraph 911A(2)(l) of the Act, ASIC exempts a charitable body and an officer, employee, or trustee of a charitable body from the requirement to hold an Australian financial services licence for the provision of financial services by the person in relation to debentures or interests to be issued under a charitable scheme where the conditions referred to above are met.

Second Exemption – Other persons

Under paragraph 911A(2)(l) and 1020F(1)(a) of the Act, ASIC hereby exempts a person other than a person covered by the First Exemption from:

- (a) the requirement to hold an Australian financial services licence for the provision of financial services in relation to debentures or interests to be issued; and
- (b) Part 7.9 of the Act in relation to a recommendation to acquire and an offer to arrange the issue of an interest,

under a charitable scheme where the conditions set out in the First Exemption appear to be met except where the person is aware, or ought reasonably to be aware, that those requirements have not been met.

Interpretation

For the purposes of this instrument:

“charitable body” is a person who is, or a body or fund which is:

- (a) exempt from income tax by virtue of sections 50–5 or 50–30 of the *Income Tax Assessment Act 1997* or provisions which correspond to them; or
- (b) a person, body or fund to whom a gift is an allowable deduction for the purposes of calculating the taxable income of a person making the gift by virtue of section 30–15 Item 1 or 2 of the *Income Tax Assessment Act 1997* or provisions which correspond to them; or

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- (c) otherwise recognised in law as being constituted for religious, educational, community or other charitable purposes;

“charitable scheme” is a scheme in respect of which a charitable body or trustee of a charitable body offers or proposes to offer for issue interests in a managed investment scheme or debentures or both;

“identification statement” is a statement by a charitable body which sets out:

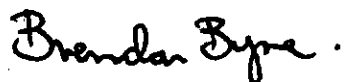
- (a) the identity of the charitable body;
- (b) a brief description and intended purpose of the relevant charitable scheme; and
- (c) the guarantees or promises, if any, made to or proposed to be made to holders of the interests or debentures as the case may be; and

“offer document” is any document which contains an offer for issue of interests or debentures in connection with a charitable scheme.

Commencement

This instrument takes effect on the commencement of Schedule 1 to the *Financial Services Reform Act 2001*.

Dated the 16th day of February 2002



Signed by Brendan Byrne
as a delegate of the Australian Securities and Investments Commission

02/0185

Australian Securities and Investments Commission
Corporations Act 2001 — Paragraph 601QA(1)(a), 741(1)(a), 992B(1)(a) and
1020F(1)(a) — Revocation and Exemption

1. Under paragraphs 601QA(1)(a) and 741(1)(a) of the *Corporations Act 2001* (the “Act”), the Australian Securities and Investments Commission (“ASIC”) hereby revokes Class Order [00/191].
2. Under paragraphs 601QA(1)(a), 992B(1)(a) and 1020F(1)(a) of the Act, ASIC hereby exempts:
 - (a) each person who operates a scheme referred to in the Schedule from:
 - (i) section 601ED of the Act in relation to the operation of the scheme; and
 - (ii) sections 992A and 992AA in relation to an offer to issue or sell an interest in the scheme; and
 - (b) each regulated person from Part 7.9 of the Act in relation to an offer to issue or sell or to arrange the issue, or the issue of, an interest in a scheme referred to in the Schedule or a recommendation to acquire such an interest.

SCHEDULE

A managed investment scheme:

- (a) which involves owners of strata title units, community title interests or similar real property interests at the one real property location, making their units or interests in real property available to a manager for use as part of a serviced apartment, hotel, motel or resort complex; and
- (b) where all offers of interests in the scheme are only made to persons who:
 - (i) own or have agreed to purchase a unit or interest in the real property purchased for an amount; or
 - (ii) are being offered a unit or interest in the real property for an amount,

of not less than \$500,000 (which amount may include any amounts paid for any associated chattels, costs and expenses).

Commencement

This instrument takes effect on the commencement of Schedule 1 to the *Financial Services Reform Act 2001*.

02/0185

Dated this 16th day of February 2002

Brendan Byrne.

Signed by Brendan Byrne
as a delegate of the Australian Securities and Investments Commission

02/0186

Australian Securities and Investments Commission
Corporations Act 2001 - Paragraphs 601QA(1)(a), 992B(1)(a) and 1020F(1)(a) -
Exemption

Under paragraphs 601QA(1)(a), 992B(1)(a) and 1020F(1)(a) of the *Corporations Act 2001* ("the Act"), the Australian Securities and Investments Commission hereby exempts each person in the class of persons mentioned in Schedule A (each a "Participant") in the cases referred to in Schedule B from sections 601ED, 992A, 992AA and Divisions 3 and 4 of Part 7.9 (other than section 1017F) of the Act, in relation to interests in a Managed Discretionary Account (as defined, from time to time, in By Law G.1 of SFE Corporation Limited or Sydney Futures Exchange Limited) operated by the Participant, for as long as and on condition that the Participant:

- (a) ensures that any advertisement or publication to which, but for this instrument, Division 4 of Part 7.9 of the Act would apply complies with the By Laws and guidelines of SFE Corporation Limited or Sydney Futures Exchange Limited with respect to advertising by Participants; and
- (b) lodges the Product Disclosure Statement in relation to the Managed Discretionary Account with SFE Corporation Limited or Sydney Futures Exchange Limited before giving the Statement to the relevant client.

SCHEDULE A

Persons who are a "participant" within the meaning of the By Laws of

- (a) SFE Corporation Limited (ACN 000 299 392);
- (b) Sydney Futures Exchange Limited (ACN 000 943 377).

SCHEDULE B

Prior to 1 July 2002:

- (a) the operation of Managed Discretionary Accounts that were offered by Participants;
- (b) offers for issue or sale made by a Participant of interests in a Managed Discretionary Account,

in accordance with the By-laws and guidelines of SFE Corporation Limited or Sydney Futures Exchange Limited.

Commencement

This exemption takes effect on the commencement of Schedule 1 to the *Financial Services Reform Act 2001*.

Dated the 16th day of February 2002

02 / 0186

Brendan Byrne.

Signed by Brendan Byrne
as a delegate of the Australian Securities and Investments Commission

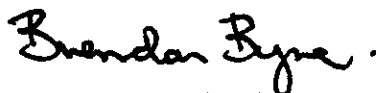
02/0187

Australian Securities and Investments Commission
Corporations Act 2001 — Paragraphs 283GA(1)(a) and 741(1)(a) — Variation

Under paragraphs 283GA(1)(a) and 741(1)(a) of the *Corporations Act 2001* (the “Act”) and with effect from the commencement of Schedule 1 to the *Financial Services Reform Act 2001*, the Australian Securities and Investments Commission hereby varies Class Order [00/229] by:

1. omitting from the heading the word “Law” and substituting the words “Act 2001”;
2. omitting from the heading the reference to “260MA(1)” and substituting “283GA(1)”;
3. omitting from the introductory words, the reference to “260MA(1)” and substituting “283GA(1)”;
4. omitting from the introductory words, the words “Corporations Law (Law)” and substituting the words “*Corporations Act 2001* (the “Act”)”;
5. omitting from the introductory words, the word “Law” (third occurring) and substituting the word “Act”;
6. inserting in paragraph 1 the words “or an Australian financial services licence” after the words “a securities dealer's licence”; and
7. omitting subparagraph 2(a)(ii) and substituting the following subparagraph:
“(ii) a cash management trust which is a registered scheme or a cash common fund within the meaning of Part VII of the Trustee Companies Act 1984 of the State of Victoria or a corresponding State law which is a registered scheme; and”.

Dated this 16th day of February 2002



Signed by Brendan Byrne
as a delegate of the Australian Securities and Investments Commission



ASIC 02/0188

Australian Securities & Investments Commission

**Australian Securities and Investments Commission
Superannuation Industry (Supervision) Act 1993
Section 332
Declaration**

Pursuant to section 332 of the Superannuation Industry (Supervision) Act 1993 (the "SIS Act") the Australian Securities and Investments Commission hereby declares that regulation 3.10 of the Superannuation Industry (Supervision) Regulations (the "SIS Regs") has effect in relation to the person specified in Schedule A in the case set out in Schedule B as if it were modified as specified in Schedule C.

This modification of regulation 3.10 has effect only insofar as that regulation is made for the purposes of a provision of Part 19 of the SIS Act.

SCHEDULE A

Your Prosperity Limited ACN 077 305 652 (the "Trustee") acting as trustee of the Your Prosperity Superannuation Trust (the "Fund")

SCHEDULE B

- (a) A banner advertisement for the Trustee or the Fund is published on a website operated by a third party; and
- (b) This banner advertisement has a direct link to the Trustee's website; and
- (c) A person who clicks on the banner advertisement is transferred directly to the Trustee's website and tagged electronically; and
- (d) The Trustee makes a payment or payments to the third party in respect of each person who is tagged electronically and subsequently issued with an interest in the Fund, calculated as a percentage of the value of the interest issued; and
- (e) The payment or payments referred to in paragraph (d) above are paid by the Trustee to the third party out of the Trustee's management fees and are not an additional fee payable by the members of the Fund.

SCHEDULE C

02 / 0188


1. Paragraph (f) of subregulation 3.10(3) of the SIS Regs were deleted and replaced with the following paragraph:

"3.10(3) (f) a life insurance company; or "

2. A new paragraph were inserted immediately after paragraph 3.10(3)(f) as follows:

"3.10(3) (g) an operator of a website that hosts banner advertisements if the operator does not provide any investment advice. "

Dated this 8th day of February 2002



.....
Signed by Naomi Miller
as delegate of the Australian Securities and Investments Commission

02 / 0190

**Australian Securities and Investments Commission
Corporations Act 2001 — Subsections 283GA(1) and 741(1) — Exemption**

Pursuant to subsections 283GA(1) and 741(1) of the Corporations Act 2001 ("the Act") the Australian Securities and Investments Commission ("ASIC") hereby exempts the persons referred to in Schedule A from Parts 2L.1, 2L.2, 2L.3, 2L.4, 2L.5, 6D.2 and 6D.3 of the Act in the cases referred to in Schedule B on the conditions set out in Schedule D and for so long as those conditions are met.

SCHEDULE A — PERSONS EXEMPTED

Diageo Plc, a company registered in England and Wales ("issuer"), each associated body corporate of the issuer and any person who makes an offer of securities for issue or sale of the kind referred to in Schedule B for or on behalf of the issuer.

SCHEDULE B — CASES EXEMPTED

This exemption applies to:

- (a) an offer for issue or sale of fully paid shares being shares in the same class as shares which at the time of the offer are quoted on the London Stock Exchange and trading in which is not suspended ("quoted shares");
- (b) an offer for issue or sale of options over fully paid shares in the same class as quoted shares where the option is offered for no more than nominal consideration;
- (c) an issue or sale of fully paid shares in the same class as shares which at the time of issue or sale are quoted shares as a consequence of an offer of the kind referred to in paragraphs (a) or (b);

which is made pursuant to the Diageo Plc International Sharesave Plan ("employee share scheme"), documents in respect of which were provided to ASIC on 6 February 2002, and which meets the further requirements set out in Schedule C, but does not apply to:

- (d) an offer or grant of options for other than nominal consideration.

SCHEDULE C — FURTHER REQUIREMENTS

An offer, issue or sale to which this exemption applies must, insofar as it constitutes, includes or may result in the transfer of shares (for example through the exercise of an option), meet the following requirements:

- 1. The shares the subject of the offer or option are of the issuer's securities of which have been quoted on the London Stock Exchange throughout the 36 month period immediately preceding the offer, without suspension during that period exceeding in total 5 trading days.
- 2. The offer must be extended only to persons ("offerees") who at the time of the offer are full or part-time employees or directors of the issuer or of associated bodies corporate of the issuer.
- 3. The offer must be in writing (the "offer document") and:
 - (a) the offer document must include or be accompanied by a copy, or a summary, of:
 - (i) the rules of the employee share scheme pursuant to which the offer is made; and
 - (ii) the terms and conditions of the contribution plan;

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- (b) if the offer document includes or is accompanied by a summary (rather than a copy) of the rules, terms and conditions referred to in paragraph (a), the offer document must include an undertaking that during the period or periods during which the offeree may acquire the shares offered or subject to the option (the offer period), the issuer (or, in the case of an issuer which does not have a registered office in Australia, an associated body corporate of the issuer which does so have a registered office) will, within a reasonable period of the offeree so requesting, provide the offeree without charge with a copy of those rules, terms and conditions;
 - (c) the offer document must specify in respect of the shares offered or subject to the option:
 - (i) the acquisition price in Australian dollars of the shares;
 - (ii) where the acquisition price of the shares is denominated in a foreign currency, the Australian dollar equivalent of the acquisition price as at the time of the offer; or
 - (iii) where the acquisition price of the shares is determinable at some future time by reference to a formula, the Australian dollar or Australian dollar equivalent of the acquisition price were that formula applied as at the date of the offer;
 - (d) the offer document must include an undertaking that, and an explanation of the way in which, the issuer (or in the case of an issuer which does not have a registered office in Australia, an associated body corporate of the issuer which does so have a registered office) will, during the offer period, within a reasonable period of the offeree so requesting, make available to the offeree the following information:
 - (i) the current market price (or, where that price is denominated in a foreign currency, the Australian dollar equivalent of that price) of shares in the same class as the shares offered or subject to the option; and
 - (ii) where either paragraph (c)(ii) or (iii) applies, the information referred to in that paragraph as updated to that date;
 - (e) the offer document must also state:
 - (i) the Australian ADI where contributions are held;
 - (ii) the length of time they may be held; and
 - (iii) the rate of interest payable (if any) on the contributions held in the account.
4. In the case of an offer of shares or options for issue, the number of shares the subject of the offer or to be received on exercise of an option when aggregated with:
- (a) the number of shares in the same class which would be issued were each outstanding offer or option to acquire unissued shares, being an offer made or option acquired pursuant to an employee share scheme extended only to employees or directors of the issuer and of associated bodies corporate of the issuer, to be accepted or exercised (as the case may be); and
 - (b) the number of shares in the same class issued during the previous 5 years pursuant to the employee share scheme or any other employee share scheme extended only to employees or directors of the issuer and of associated bodies corporate of the issuer;

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but disregarding any offer made, or option acquired or share issued by way of or as a result of:

- (c) an offer to a person situated at the time of receipt of the offer outside Australia; or
- (d) an offer that was an excluded offer or invitation within the meaning of the Corporations Law as it stood prior to the commencement of Schedule 1 to the Corporate Law Economic Reform Program Act 1999; or
- (e) an offer that did not need disclosure to investors because of section 708 of the Act;

must not exceed 5% of the total number of issued shares in that class of the issuer as at the time of the offer.

SCHEDULE D — CONDITIONS

1. The person making the offer (the offeror) must provide to ASIC a copy of the offer document (which need not contain details of the offer particular to the offeree such as the identity or entitlement of the offeree) and of each accompanying document not later than 7 days after the provision of that material to the offeree.
2. The offeror must ensure that the issuer (or, in the case of an issuer which does not have a registered office in Australia, an associated body corporate of the issuer which does so have a registered office), complies with any undertaking required to be made in the offer document by reason of this instrument.
3. Neither the issuer nor any associated body corporate of it offers the offeree any loan or other financial assistance for the purpose of, or in connection with, the acquisition of the shares to which the offer relates.

Interpretation

For the purposes of this instrument:

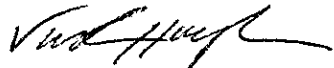
1. A contribution plan is a plan under which a participating offeree may save money by regular deductions from wages or salary towards paying for shares offered for issue or sale under an employee share scheme where the terms and conditions of the contribution plan, to the extent that they apply to offers in Australia, include terms and conditions to the effect that:
 - (a) all deductions from wages or salary made in connection with participation in the contribution plan must be authorised by the offeree on the same form of application which is used in respect of the offer, or on a form which is included in or accompanies the offer document;
 - (b) any contributions made by an offeree as part of the contribution plan must be held in the name of the offeree in an account of an Australian ADI which is established and kept by the offeree;
 - (c) the offeree may elect to discontinue their participation in the contribution plan at any time and as soon as practicable after that election is made all money deposited with the Australian ADI in relation to that offeree, including any accumulated interest, must be repaid to that offeree.

2. A body corporate is an associated body corporate of an issuer if:
 - (a) the body corporate is a related body corporate of the issuer; or
 - (b) the body corporate has voting power in the issuer of not less than 20%; or

02/0190

- (c) the issuer has voting power in the body corporate of not less than 20%;
(applying the definition of "voting power" contained in section 610 of the Act).
3. The Australian dollar equivalent of a price shall be calculated by reference to the relevant exchange rate published by an Australian bank on the previous business day.
4. An offer under a scheme shall not be regarded as extended to a person other than an employee or director of the issuer or an associated body corporate of the issuer merely because such an employee or director may renounce an offer of shares made to them under the scheme in favour of their nominee.
5. An option shall be taken to have been offered or granted for nominal consideration if and only if the monetary consideration payable upon the issue of the option is not more than the lesser of:
- (a) 1 cent per option; or
- (b) 1% of the exercise price in respect of the option.
6. The current market price of a share shall be taken as the price published by the London Stock Exchange as the final price for the previous day on which the share was traded on the stock market of that exchange.

Dated the 15th day of February 2002



Signed by Vinh Huynh
as delegate of the Australian Securities and Investments Commission

**Australian Securities & Investments Commission
Corporations Act 2001 Section 825
Order Revoking Licence**

02/0192

TO: CPD Financial Planning Pty Ltd, ACN: 087 774 507 ("the Licensee")
PO Box 341
Blackburn VIC 3130

Pursuant to paragraph 825(a) of the Corporations Act 2001, the Australian Securities and Investments Commission hereby revokes Licence Number 202227 held by the Licensee with effect from when this order is served on the Licensee.

Dated this 18th day of February 2002.

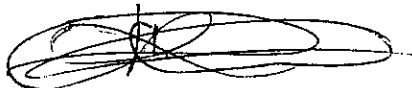
Signed 

Leigh-Anne Perillo, a delegate of the Australian Securities and Investments Commission

02/0193

**Australian Securities and Investments Commission
Insurance (Agents and Brokers) Act 1984
Subsection 21(5)
Registration of General Insurance Broker**

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the persons mentioned in the schedule were registered under Part III of the Act in relation to general insurance business with effect from the date specified.



*Dorothy Kaye Guy
A delegate of the
Australian Securities and Investments Commission*

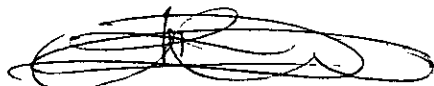
SCHEDULE

Broker Name	Ref.	Effective Date
BLACKADDER KELTON PTY LTD ACN 097548000	80019	15/01/2002
J & D FLETCHER PTY LTD ACN 009083363	60220	14/01/2002
LIVINGSTON & ASSOCIATES PTY LIMITED ACN 059212127	20719	14/01/2002
MPS INSURANCE BROKERS PTY LIMITED ACN 010568635	40404	04/01/2002

02/0194

**Australian Securities and Investments Commission
Insurance (Agents and Brokers) Act 1984
Subsection 21(5)
Registration of Life Insurance Broker**

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the persons mentioned in the schedule were registered under Part III of the Act in relation to life insurance business with effect from the date specified.



*Dorothy Kaye Guy
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Australian Securities and Investments Commission*

SCHEDULE

Broker Name	Ref.	Effective Date
ABC FINANCIAL PLANNING PTY LTD ACN 097647062	90496	15/01/2002
ACCENT INVESTMENT SERVICES PTY LTD ACN 073776593	90437	15/01/2002
ASSET BUILDER FINANCIAL SERVICES PTY LTD ACN 092195572	90502	15/01/2002
HP JDV LIMITED ACN 009136029	90498	14/01/2002
PROPHET CORPORATION PTY LTD ACN 095983725	90490	11/01/2002
WEALTHSURE PTY LTD ACN 097405108	90463	15/01/2002

02/0195

**Australian Securities and Investments Commission
Insurance (Agents and Brokers) Act 1984
Subsection 21(5)
Renewal of Registration of General Insurance Broker**

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the registration of the persons mentioned in the schedule was renewed, pursuant to subsection 21(1A) of the Act, in relation to general insurance business with effect from the date specified.



Dorothy Kaye Guy
A delegate of the
Australian Securities and Investments Commission

SCHEDULE

Broker Name	Ref.	Effective Date
ALLCOVER INSURANCE BROKERS PTY LTD ACN 054026318	40368	05/07/2001
AMA BROKING SERVICES PTY LTD ACN 090549054	50179	25/01/2002
AMA SERVICES (W.A.) PTY LTD ACN 008671458	60089	29/12/2001
AUSCOVER INSURANCE AGENCIES PTY LIMITED ACN 093318300	20944	08/01/2002
AUSTRALIAN NATIONAL INSURANCE BROKERS PTY LTD ACN 067115780	30353	13/01/2002
B R A THOMAS PTY LTD ACN 005491681	30127	11/01/2002
BLUNDELL & ASSOCIATES PTY LTD ACN 001009052	20286	09/01/2002
BRIZCOVER INSURANCE BROKERS PTY LTD ACN 010990557	40374	07/09/2001
BROOKS FORSTER PTY LTD ACN 008094344	50014	08/08/2001
CENTRO GROUP PTY LTD ACN 090113536	60202	22/08/2001
CHELFCO ONE HUNDRED AND FIFTEEN PTY. LIMITED ACN 005972252	30147	16/01/2002
COVER-MORE INSURANCE SERVICES PTY LTD ACN 003114145	20356	07/09/2000
CROWN RISK MANAGEMENT PTY LTD ACN 088887138	20902	20/08/2001
CURTIS, DAVID GRAHAM	40328	09/12/2001
DAVID ROWNTREE LIVESTOCK INSURANCE BROKERS PTY LTD ACN 050946042	20613	27/10/2001
DON HUTTON INSURANCE BROKERS PTY LTD ACN 003084384	20201	14/11/2001
DONNELLY INSURANCE BROKERS PTY LTD ACN 008081178	50050	09/01/2002
DORING, PHILLIP GEORGE	40246	21/12/2001
DOWDY INSURANCE BROKERS PTY LIMITED ACN 058167927	20683	07/01/2002
EASTERN VICTORIAN INSURANCE BROKERS PTY LTD ACN 006100527	30098	08/12/2001
EUREKA INSURANCE BROKERS PTY LTD ACN 052431955	30438	01/08/2001
F.P.E. INSURANCE BROKERS PTY LIMITED ACN 061063303	20728	18/01/2002
FB INSURANCE BROKERS PTY LTD ACN 071222332	10032	01/12/2001
FELLOWSHIP HOLDINGS PTY LTD ACN 050161503	60118	14/01/2002
FERNKIRK PTY LTD ACN 057895995	40272	19/12/2001
GE INSURANCE BROKERS PTY LTD ACN 001796532	20139	22/08/2001
GRAHAM HARTLEY INSURANCE BROKERS PTY LTD ACN 074572473	40329	20/01/2002
GUARDIAN INSURANCE BROKERS PTY LTD ACN 070398195	50161	22/09/2001
H&L LEWIS PTY LTD ACN 001293447	20324	09/01/2002
IAN BELL INSURANCE BROKERS PTY LTD ACN 077751467	20915	21/01/2002
IAN JONES INSURANCE BROKERS PTY LTD ACN 090167285	20910	01/01/2002
J. BROCKHOUSE & ASSOCIATES PTY LTD ACN 001370029	20918	24/01/2002
JAMES WILTSHIRE PTY LTD ACN 005060882	30166	08/12/2001
JENKI HOLDINGS PTY LTD ACN 081830720	20904	16/09/2001
JUA UNDERWRITING AGENCY PTY LTD ACN 004566465	20348	14/11/2001
KENNEY AIKIN AIRCRAFT INSURANCE BROKERS PTY LTD ACN 088946641	40362	17/08/2001
LACHLAN INSURANCE BROKERS PTY LTD ACN 002662106	20254	16/01/2002
LOGAN LIVESTOCK INSURANCE AGENCY PTY LTD ACN 001826204	20314	09/01/2002
LOGAN, DAVID WILLIAM	70026	31/07/2001
MAPLERIDGE PTY LTD ACN 057880823	60128	05/01/2002
MARK PROLISKO INSURANCE AGENCY PTY LTD ACN 007208808	30440	27/08/2001
MCKENNA HAMPTON INSURANCE BROKERS PTY LTD ACN 009209723	60053	23/01/2002
MCKENZIE ROSS & CO PTY LTD ACN 055492209	30535	20/09/2001

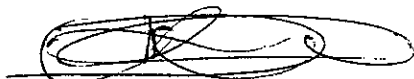
02/0195

MIB INSURANCE BROKERS PTY LTD ACN 010055071	40010	22/08/2001
NATIONAL CREDIT INSURANCE (BROKERS) PTY. LTD. ACN 008090702	50026	23/01/2002
NORTHERN CITY INSURANCE BROKERS PTY LTD ACN 067048784	40287	13/01/2002
NORWEST INSURANCE BROKERS PTY LTD ACN 001526781	20256	09/01/2002
OVERTON INSURANCE (BROKERS) VIC PTY LTD ACN 006576585	30134	05/09/2001
RG LOGAN PTY LTD ACN 000564821	20313	09/01/2002
ROSE STANTON INSURANCE BROKERS PTY LTD ACN 081209369	20909	04/01/2002
SANDERSON INSURANCE BROKERS PTY LTD ACN 001069370	20171	16/01/2002
SCOTT WINTON NOMINEES PTY LTD ACN 054604563	30589	24/12/2001
SEAMAC INSURANCE BROKERS PTY LTD ACN 066824262	40288	19/01/2002
SEXTON INSURANCE BROKING PTY LTD ACN 008904925	60051	16/01/2002
SOUTHERN CROSS BROKERS PTY LTD ACN 066014006	30541	29/11/2001
STARVAGGI, FRANK	60147	26/11/2001
STEEL PACIFIC INSURANCE BROKERS PTY LTD ACN 051365836	40248	13/01/2002
STUART MORTIMER & ASSOCIATES PTY LTD ACN 008104925	50052	16/01/2002
YORKDENE PTY LTD ACN 057895888	40274	20/12/2001

02/0196

**Australian Securities and Investments Commission
Insurance (Agents and Brokers) Act 1984
Subsection 21(5)
Renewal of Registration of Life Insurance Broker**

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the registration of the persons mentioned in the schedule was renewed, pursuant to subsection 21(1A) of the Act, in relation to life insurance business with effect from the date specified.



Dorothy Kaye Guy
A delegate of the
Australian Securities and Investments Commission

SCHEDULE

Broker Name	Ref.	Effective Date
INVESTOR FINANCIAL PLANNING PTY LTD ACN 060092631	90408	09/01/2002
JAMES DOOGUE SECURITIES PTY LTD ACN 056631157	90178	23/01/2002
LOMBARD FINANCIAL SERVICES PTY LTD ACN 067737731	90182	11/01/2002
M.P.G. FINANCIAL SERVICES PTY LTD ACN 009303673	90352	04/07/2001
NEWELL PALMER SECURITIES PTY LTD ACN 050040232	90185	23/01/2002
P J A CONSULTANTS PTY LIMITED ACN 000927613	90391	14/11/2001
PLANNING PARTNERS PTY. LTD. ACN 090069239	90383	15/01/2002
PRICEWATERHOUSECOOPERS SECURITIES LTD ACN 003311617	90213	24/07/2001

02/0198

**Australian Securities and Investments Commission
Insurance (Agents and Brokers) Act 1984
Subsection 21(5)
Voluntary Cancellation of Registration of Life Insurance Broker**

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission ("the Commission") hereby gives notice that, pursuant to subsection 21(4) of the Act, the Commission cancelled the registration of the persons mentioned in the schedule with effect from the date specified.



*Dorothy Kaye Guy
A delegate of the
Australian Securities and Investments Commission*

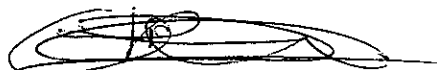
SCHEDULE

Broker Name	Ref.	Effective Date
E-TRANSACT PTY LTD ACN 007019267	90291	21/01/2002
WEALTH PROTECTION SOLUTIONS PTY LTD ACN 089263294	90327	18/01/2002

02/0199

**Australian Securities and Investments Commission
Insurance (Agents and Brokers) Act 1984
Subsection 21(5)
Voluntary Cancellation of Registration of General Insurance Broker**

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission ("the Commission") hereby gives notice that, pursuant to subsection 21(4) of the Act, the Commission cancelled the registration of the persons mentioned in the schedule with effect from the date specified.



*Dorothy Kaye Guy
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Australian Securities and Investments Commission*

SCHEDULE

Broker Name	Ref.	Effective Date
E-TRANSACT PTY LTD ACN 007019267	20887	21/01/2002
MARSHALL LAURENCE PTY LTD ACN 073845342	50166	25/01/2002

02/0200

**Australian Securities and Investments Commission
Insurance (Agents and Brokers) Act 1984
Subsection 21(5)
Renewal of Registration of Life Insurance Broker**

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the registration of the persons mentioned in the schedule was renewed, pursuant to subsection 21(1A) of the Act, in relation to life insurance business with effect from the date specified.



Dorothy Kaye Guy
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SCHEDULE

Broker Name	Ref.	Effective Date
AVENUE CAPITAL MANAGEMENT LIMITED ACN 094986562	90414	30/01/2002
DREXLER, PAUL	90195	01/02/2002
FYG PLANNERS PTY LTD ACN 094972540	90400	09/02/2002
IAN BERRY INSURANCE BROKERS PTY. LTD. ACN 084331304	90273	09/10/2001
INTEGRITY FINANCIAL PLANNERS PTY LTD ACN 069537855	90372	28/07/2001
INVESTOR SECURITY GROUP PTY LIMITED ACN 002722447	90192	23/01/2002
IPAC FINANCIAL CARE LIMITED ACN 003122352	90152	07/02/2002
IPAC SECURITIES LIMITED ACN 008587595	90151	07/02/2002
IWL LIMITED ACN 078119212	90318	18/11/2001
KOOPS MARTIN FINANCIAL SERVICES LIMITED ACN 076797496	90242	13/12/2001
LIFEDIRECT PTY LTD ACN 088838644	90317	13/10/2001
MASEFIELD HOLDINGS PTY LTD ACN 009128394	90399	12/12/2001
MERIDIAN FP PTY LTD ACN 094961805	90403	21/12/2001
NSP BUCK FINANCIAL SERVICES PTY LIMITED ACN 081257221	90274	03/11/2001
PCU INVESTMENT SERVICES PTY LTD ACN 007721155	90272	23/11/2001
PRECEDENT FINANCIAL SERVICES LIMITED ACN 003344232	90153	07/02/2002
PRIDE FINANCE AND INVESTMENT PTY LTD ACN 003878064	90181	23/01/2002
PRO VISION FINANCIAL PTY LIMITED ACN 083389235	90283	04/02/2002
SILVALAKE LIFE INSURANCE BROKERS PTY LTD ACN 085132949	90279	21/01/2002
STRATEGIC JOINT PARTNERS PTY LTD ACN 090451522	90415	22/01/2002
WILLIAMSON, PAMELA LYLE	90180	01/02/2002

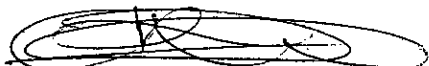
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NEWTON, ROBERT WILLIAM	70023	11/01/2002
NONAK NOMINEES PTY LTD ACN 005570258	30165	13/02/2002
OAMPS INSURANCE BROKERS LTD ACN 005543920	30191	16/01/2002
PALMCOVE ENTERPRISES PTY LTD ACN 084997384	60188	31/08/2001
PARK FIRST PTY LTD ACN 010776351	40230	05/08/2001
PARKSTAR ENTERPRISES PTY LTD ACN 082404859	40349	07/09/2001
PEARSON BROKING GROUP PTY LTD ACN 009357439	60090	13/01/2002
PERRYMAN O'GRADY PHILPOTT PTY LTD ACN 007767455	50012	16/01/2002
PIRANHA INSURANCE BROKERS PTY LTD ACN 010454190	40203	04/02/2002
PRESIDENTIAL FINANCIAL SERVICES PTY LTD ACN 093483928	30658	09/08/2001
R & M STANTON PTY LTD ACN 076834001	30591	01/02/2002
REMINGTONS INSURANCE BROKERS PTY LTD ACN 006021870	30084	13/02/2002
RMI AUSTRALIA PTY LTD ACN 072131116	40307	23/01/2002
ROBERT MEECH INSURANCE BROKERS PTY LTD ACN 005967993	30107	08/12/2001
ROWLAND HOUSE INSURANCE BROKERS (AUST) PTY LTD ACN 006240464	30385	22/01/2002
RSM INSURANCE BROKERS PTY LTD ACN 006361226	30025	23/12/2001
SEAR AND ASSOCIATES INSURANCE BROKERS PTY LTD ACN 006568501	30195	21/12/2001
SOUTH COAST INSURANCE BROKERS WA PTY LTD ACN 095310633	60209	08/01/2002
TAG INSURANCE BROKERS PTY LTD ACN 074966957	30583	31/08/2001
TOOMEY INSURANCE BROKERS PTY LTD ACN 007008424	30428	01/04/2001
VAN ESSEN, SUSAN	40260	02/09/2001
VIETNAM INSURANCE & FINANCE PTY LTD ACN 092594735	30662	05/12/2001
WHITE LINE INSURANCE BROKERS PTY LTD ACN 011034245	40165	28/08/2001
WILKINSON INSURANCE BROKERS PTY LTD ACN 007333968	30382	01/01/2002

02/0201

**Australian Securities and Investments Commission
Insurance (Agents and Brokers) Act 1984
Subsection 21(5)
Renewal of Registration of General Insurance Broker**

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the registration of the persons mentioned in the schedule was renewed, pursuant to subsection 21(1A) of the Act, in relation to general insurance business with effect from the date specified.



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SCHEDULE

Broker Name	Ref.	Effective Date
29 INDUSTRIES PTY LTD ACN 009203472	50171	01/07/2001
ACTION INSURANCE BROKERS PTY LTD ACN 080844426	20892	14/07/2001
ALL TRANSPORT INSURANCE BROKERS PTY LTD ACN 062320969	30522	07/01/2002
ARMBRO INSURANCE BROKERS PTY LTD ACN 006499181	30297	09/01/2002
AUSTRALASIA INSURANCE BROKERS PTY LIMITED ACN 063324998	20825	20/01/2002
AUSTRALIAN INSURANCE MARKETING SERVICES PTY LTD ACN 079486963	50173	15/01/2002
BARRENJOEY INSURANCE BROKERS PTY LTD ACN 001769955	20068	31/07/2001
BESTMARK PTY LTD ACN 010838043	40153	01/02/2002
BGS INSURANCE BROKERS PTY LTD ACN 005650199	30162	13/02/2001
BRUCE CHIENE PTY LTD ACN 000684882	20034	30/01/2002
C STEWART HAWTHORNE PTY LTD ACN 050067582	20603	09/01/2002
C T BENJAMIN PTY LTD ACN 001615989	20649	03/02/2002
CLIVE STRICKLAND PTY LTD ACN 010757472	40113	02/02/2000
CLIVE STRICKLAND PTY LTD ACN 010757472	40113	02/02/2001
COATHUP ASSOCIATES INSURANCE BROKERS PTY LTD ACN 010018390	40051	06/02/2002
COVERSAFE INSURANCE BROKERS PTY LTD ACN 003353044	20794	18/12/2001
FITTON INSURANCE (BROKERS) AUSTRALIA PTY LTD ACN 010987489	40152	15/01/2002
FOXWILDE PTY LTD ACN 052519454	40193	21/11/2001
FULLER, NOLA HELEN	30314	19/08/2001
FULLER, VICTOR HERBERT	30313	19/08/2001
GAMMAN INSURANCE BROKERS PTY LIMITED ACN 069841998	30560	26/07/2001
GARATY MURNANE INSURANCE BROKERS PTY LTD ACN 088689070	20916	25/01/2002
GAVAN BURKE & ASSOCIATES PTY LTD ACN 089419536	30640	29/09/2001
HAMILTON BROKERS PTY LTD ACN 008556116	10006	07/11/2001
HANLIS PTY LTD ACN 002028873	20103	13/11/2001
HEATH LAMBERT AUSTRALIA PTY LTD ACN 002822148	20052	13/02/2002
IAN BERRY INSURANCE BROKERS PTY. LTD. ACN 084331304	50175	09/10/2001
IBS BROKING SERVICES PTY LTD ACN 002306103	20249	30/01/2002
IMM INSURANCE BROKING GROUP PTY LTD ACN 003079552	20107	24/09/2001
INSURANCE BROKERS OF WAGGA WAGGA PTY LTD ACN 064725079	20752	28/01/2002
JOE VELLA INSURANCE BROKERS PTY LTD ACN 074970540	40324	28/08/2001
JOHN J ANDREWS INSURANCE BROKERS PTY LTD ACN 002005049	20050	17/01/2001
LAVARO PTY LTD ACN 008955646	60037	16/01/2002
LEDERMAN INSURANCE BROKERS PTY LTD ACN 060666917	20708	07/08/2001
LLOYD WALKER INSURANCE BROKERS PTY LTD ACN 076626036	20827	01/02/2002
MACKELLAR INSURANCE BROKERS PTY LTD ACN 002832224	20399	13/02/2002
MADISON INSURANCE BROKERS PTY LIMITED ACN 003630500	20533	05/01/2002
MANNING INSURANCE BROKERS PTY LTD ACN 058115012	20684	18/01/2002
MAXRIN PTY LTD ACN 008133524	50116	25/01/2002
MCALARY PTY LTD ACN 086461887	10040	09/02/2002
MCKINNON, CHRISTENE	40306	18/12/2001
MLH INSURANCE BROKERS PTY LTD ACN 090918399	50178	02/02/2002
NATIONWIDE INSURANCE BROKERS PTY LTD ACN 067066371	20857	01/01/2002
NEW SUMMIT INSURANCE BROKERS PTY LIMITED ACN 010984611	40177	18/01/2002

Includes registrations processed by: 30/01/2002 8:08:25 AM

02/0202

**Australian Securities and Investments Commission
Insurance (Agents and Brokers) Act 1984
Subsection 21(5)
Registration of General Insurance Broker**

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the persons mentioned in the schedule were registered under Part III of the Act in relation to general insurance business with effect from the date specified.



*Dorothy Kaye Guy
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Australian Securities and Investments Commission*

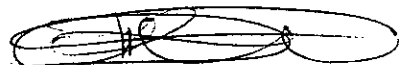
SCHEDULE

Broker Name	Ref.	Effective Date
BRAD PARNELL INSURANCE AGENCIES PTY LTD ACN 088381060	40413	17/01/2002
EASTWOOD INSURANCE BROKERS PTY LTD ACN 094723707	20946	17/01/2002
PETER F. GREEN & ASSOCIATES PTY LTD ACN 074776631	30693	25/01/2002
ROSS CARDILLO FINANCIAL SERVICES PTY LTD ACN 011057560	40380	22/01/2002
T & S FINANCIAL SERVICES PTY LTD ACN 074569878	20981	21/01/2002

02/0203 -

**Australian Securities and Investments Commission
Insurance (Agents and Brokers) Act 1984
Subsection 21(5)
Registration of Life Insurance Broker**

Pursuant to subsection 21(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the persons mentioned in the schedule were registered under Part III of the Act in relation to life insurance business with effect from the date specified.



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Australian Securities and Investments Commission*

SCHEDULE

Broker Name	Ref.	Effective Date
ADVISERBLUE PTY. LTD. ACN 097776675	90491	16/01/2002
ATIA INSURANCE SERVICES LIMITED ACN 005672971	90506	23/01/2002
MARTIN FINANCIAL ADVISERS PTY LTD ACN 098681988	90504	17/01/2002
SAS GLOBAL LIMITED ACN 088999857	90493	18/01/2002

02/0204 "

**Australian Securities and Investments Commission
Insurance (Agents and Brokers) Act 1984
Subsection 31D(5)
Registration of Foreign Insurance Agents**

Pursuant to subsection 31D(5) of the Insurance (Agents and Brokers) Act 1984 ("the Act"), the Australian Securities and Investments Commission hereby gives notice that the persons mentioned in the schedule were registered under Part IIIA of the Act in relation to carrying on business as a foreign insurance agent with effect from the date specified.



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Australian Securities and Investments Commission*

SCHEDULE

Broker Name
TRANSPORT MUTUAL SERVICES PTY LTD ACN 001393773

Ref. Effective Date
99530 29/01/2002

Corporations Act 2001
Subsection 164(3)

Notice is hereby given that the ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administration Appeals Tribunal prevents it from doing so.

BP AUSTRALIA HOLDINGS LIMITED

ACN 008 428 911 will change to a proprietary company limited by shares. The new name will be BP AUSTRALIA HOLDINGS PTY LTD ACN 008 428 911.

BP REFINERY (BULWER ISLAND) LIMITED

ACN 008 422 115 will change to a proprietary company limited by shares. The new name will be BP REFINERY (BULWER ISLAND) PTY LTD ACN 008 422 115.

BURMAH FUELS AUSTRALIA LIMITED

ACN 003 731 922 will change to a proprietary company limited by shares. The new name will be BURMAH FUELS AUSTRALIA PTY LTD ACN 003 731 922.

CLEAN ENERGY INTERNATIONAL PTY LTD ACN

093 003 699 will change to a public company limited by shares. The new name will be CLEAN ENERGY INTERNATIONAL LIMITED ACN 093 003 699.

ENTREPO LIMITED ACN 074 754 288 will change to a proprietary company limited by shares. The new name will be ENTREPO PTY LTD ACN 074 754 288.

HOLDCO2 LTD ACN 098 829 442 will change to a proprietary company limited by shares. The new name will be HOLDCO2 PTY LTD ACN 098 829 442.

MENTOR INVESTMENT SERVICES PTY LTD ACN 098 095 133 will change to a public company limited by shares. The new name will be MENTOR INVESTMENT SERVICES LIMITED ACN 098 095 133.

POLYGON RETAILING LIMITED ACN 005 836 215 will change to a proprietary company limited by shares. The new name will be POLYGON RETAILING PTY LTD ACN 005 836 215.

STRONGFLOW PETROLEUM LIMITED

ACN 074 861 760 will change to a proprietary company limited by shares. The new name will be STRONGFLOW PETROLEUM PTY LTD ACN 074 861 760.

SYDNEY OIL COMPANY LTD ACN 000 768 445 will change to a proprietary company limited by shares. The new name will be SYDNEY OIL COMPANY PTY LIMITED ACN 000 768 445.

B P AUSTRALIA LIMITED ACN 004 085 616 will change to a proprietary company limited by shares. The new name will be B P AUSTRALIA PTY LTD ACN 004 085 616.

BURMAH CASTROL AUSTRALIA LIMITED

ACN 003 663 474 will change to a proprietary company limited by shares. The new name will be BURMAH CASTROL AUSTRALIA PTY LTD ACN 003 663 474.

CHARLES SCHWAB AUSTRALIA HOLDINGS LTD

ACN 080 034 313 will change to a proprietary company limited by shares. The new name will be CHARLES SCHWAB AUSTRALIA HOLDINGS PTY LIMITED ACN 080 034 313.

CLEAN ENERGY PRODUCTS PTY. LTD.

ACN 095 948 735 will change to a public company limited by shares. The new name will be CLEAN ENERGY PRODUCTS LIMITED ACN 095 948 735.

GLEN ALLYN PLANTATIONS LTD

ACN 087 365 893 will change to a proprietary company limited by shares. The new name will be SOUTHERN CROSS BAMBOO PTY LTD ACN 087 365 893.

KELSONS GLOBAL LIMITED ACN 094 626 785 will change to a proprietary company limited by shares. The new name will be KELSONS GLOBAL PTY. LIMITED ACN 094 626 785.

OAKRIDGE VINEYARDS LIMITED ACN 076 706 440 will change to a proprietary company limited by shares. The new name will be OAKRIDGE VINEYARDS PTY. LTD. ACN 076 706 440.

RAINSYSTEMS LIMITED ACN 095 460 852 will change to a proprietary company limited by shares. The new name will be RAINSYSTEMS PTY LTD ACN 095 460 852.

SWISS RE INVESTORS AUSTRALIA PROPRIETARY LIMITED

ACN 090 086 525 will change to a public company limited by shares. The new name will be SWISS RE ASSET MANAGEMENT (AUSTRALIA) LIMITED ACN 090 086 525.

UNION PACIFIC LIMITED ACN 067 239 749 will change to a proprietary company limited by shares. The new name will be UNION PACIFIC PTY. LTD. ACN 067 239 749.

UP POINT LIMITED ACN 099 588 002 will change to a proprietary company limited by shares. The new name will be **IMA VICTORIA POINT PTY LTD** ACN 099 588 002.

WESFARMERS SECURITIES MANAGEMENT LTD ACN 095 800 212 will change to a proprietary company limited by shares. The new name will be **WESFARMERS SECURITIES MANAGEMENT PTY LTD** ACN 095 800 212.
