



**ASIC**

Australian Securities &  
Investments Commission

Commonwealth of Australia Gazette

No. A41/13, Tuesday, 10 September 2013

Published by ASIC

# ASIC Gazette

## Contents

### Notices under Corporations Act 2001

13-0326	13-0804	13-0962	13-1019	13-1020	13-1021
13-1022	13-1023	13-1024	13-1027	13-1028	13-1029
13-1040	13-1057	13-1067	13-1068	13-1069	13-1070
13-1071	13-1078	13-1087	13-1095	13-1101	13-1103
13-1106	13-1111	13-1112	13-1113	13-1114	13-1115
13-1117					

### Company reinstatement

### Company/Scheme deregistrations

### Change of company type

#### RIGHTS OF REVIEW

Persons affected by certain decisions made by ASIC under the *Corporations Act 2001* and the other legislation administered by ASIC may have rights of review. ASIC has published Regulatory Guide 57 *Notification of rights of review* (RG57) and Information Sheet *ASIC decisions – your rights* (INFO 9) to assist you to determine whether you have a right of review. You can obtain a copy of these documents from the ASIC Digest, the ASIC website at [www.asic.gov.au](http://www.asic.gov.au) or from the Administrative Law Co-ordinator in the ASIC office with which you have been dealing.

ISSN 1445-6060 (Online version)  
ISSN 1445-6079 (CD-ROM version)

Available from [www.asic.gov.au](http://www.asic.gov.au)  
Email [gazette.publisher@asic.gov.au](mailto:gazette.publisher@asic.gov.au)

© Commonwealth of Australia, 2013

This work is copyright. Apart from any use permitted under the *Copyright Act 1968*, all rights are reserved. Requests for authorisation to reproduce, publish or communicate this work should be made to: Gazette Publisher, Australian Securities and Investment Commission, GPO Box 9827, Melbourne Vic 3001

13-0326

**Australian Securities and Investments Commission  
Corporations Act 2001 – Subsection 741(1) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under subsection 741(1) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 13-0326.

**Commencement**

3. This instrument commences on 4 September 2013.

**Declaration**

4. Chapter 6D of the Act applies to Syndicated Metals Limited ACN 115 768 986 (the *Issuer*) as if subparagraph 708AA(7)(c)(i) was modified or varied by inserting after the words "Chapter 2M" the words "(other than section 319 in relation to the financial year ended 30 June 2007)."

**Where this instrument applies**

5. This instrument applies in relation to an offer for the issue of securities in the Issuer.

Dated this 4<sup>th</sup> day of September 2013



.....  
Signed by Abigail Ong

as a delegate of the Australian Securities and Investments Commission

13-0804

**Australian Securities and Investments Commission  
Corporations Act 2001 – 911A(2)(l), 992B(1)(a), 1020F(1)(a) and 1020F(1)(b) –  
Exemptions and Paragraph 741(1)(b) - Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 741(1)(b), 911A(2)(l), 992B(1)(a), 1020F(1)(a) and 1020F(1)(b) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 13-0804.

**Commencement**

3. This instrument commences on gazettal.

**Exemptions**

4. The issuer does not have to comply with Part 7.9 of the Act where the issuer:
  - (a) makes an eligible incentive plan offer;
  - (b) offers to arrange for the issue of financial products under an eligible incentive plan offer; or
  - (c) issues a financial product under an eligible incentive plan offer;that does not involve a contribution plan, on the conditions set out in this instrument and for so long as the conditions are met.
5. Where the issuer is exempt from Part 7.9 of the Act in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the issuer is also exempt from the requirement to hold an Australian financial services licence for the provision of the following financial services:
  - (a) a financial service consisting of general advice reasonably given in connection with the eligible incentive plan offer (including any general advice given in the offer document) where the issuer ensures that the offer document for the offer includes a statement to the effect that any advice given by the issuer in connection with the offer is general advice only, and that eligible employees should consider obtaining their own financial product advice from an independent person who is licensed by ASIC to give such advice;

**13-0804**

- (b) a custodial or depositary service in connection with an eligible incentive plan offer where the issuer performs its duties in good faith and has sufficient resources to perform those duties;
  - (c) dealing in a financial product in the course of providing a custodial or depositary service covered by paragraph (b);
  - (d) issuing a financial product under an eligible incentive plan offer covered by paragraph 4 of this instrument; and
  - (e) dealing in a financial product in connection with an eligible incentive plan offer where any acquisition by purchase or disposal of the product by the issuer occurs either:
    - (i) through a person who holds an Australian financial services licence authorising the holder to deal in financial products; or
    - (ii) outside this jurisdiction and through a person who is licensed or otherwise authorised to deal in financial products in the relevant place.
6. Where the issuer is exempt from Part 7.9 of the Act in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the issuer also does not have to comply with section 992A of the Act in relation to an eligible incentive plan offer made in the course of, or because of, unsolicited meetings or telephone calls reasonably held or made in connection with the offer.
7. ASIC exempts a financial product that is the subject of an eligible incentive plan offer from Part 7.9 of the Act where:
- (a) a recommendation is made by a person (other than the issuer) that a person to whom an eligible incentive plan offer has been made, acquire the financial product as a retail client; and
  - (b) the person who made the recommendation is not aware, and ought not reasonably be aware, that any of the conditions set out in this instrument have not been met;
- but only in relation to the recommendation by the person.

**Declaration**

8. ASIC declares that Chapter 6D of the Act applies to a shareholder of the issuer as if subsections 707(3) and (4) were omitted and substituted with:
- “(3) An offer of a body’s securities for sale within 12 months after their issue needs disclosure to investors under this Part if the body issued the securities:

13-0804

- (a) without disclosure to investors under this Part; and
- (b) with the purpose of the person to whom they were issued;
  - (i) selling or transferring them; or
  - (ii) granting, issuing or transferring interests in, or options or warrants over, them;

and sections 708 or 708A do not say otherwise.

- (4) Unless the contrary is proved, a body is taken to issue securities with the purpose referred to in paragraph (3)(b) if any of the securities are subsequently sold, or offered for sale, within 12 months after their issue.”
9. The declaration in paragraph 8 relates to fully-paid ordinary shares of the issuer that are issued in connection with an eligible incentive plan offer and which are offered for sale within 12 months after their issue.

#### **Where this instrument applies**

10. This instrument applies where the issuer:
- (a) meets the requirements (including, for the avoidance of doubt, definitions) of the class order, except the definitions of:
    - (i) "eligible employee" in paragraph 8 of the Interpretation; and
    - (ii) "eligible offer" in paragraph 9 of the Interpretation; and
    - (iii) "issuer" in paragraph 12 of the Interpretation; and
    - (iv) "offer document" in paragraph 15 of the Interpretation; and
  - (b) meets the requirements of the class order if:
    - (i) the definition of an "eligible employee" in paragraph 8 means, in relation to an issuer:
      - (A) a person who is at the time of an eligible incentive plan offer under an employee share scheme a full or part-time employee or director of the issuer or of an associated body corporate of the issuer; or
      - (B) a contractor which is:

**13-0804**

(i) an individual that has:

(A) performed work for the issuer, or a related body corporate of the issuer, for more than 12 months; and

(B) received 80% or more of their income in the preceding year from the issuer or a related body corporate of the issuer; or

(ii) a company where each of the following are satisfied in relation to the company:

(A) throughout the previous 12 months, the company has had a contract in place with the issuer, or a related body corporate of the issuer, for the provision of the services of an individual (the contracting individual) to the issuer;

(B) the contracting individual has performed work for the issuer, or a related body corporate of the issuer, for more than 12 months;

(C) the contracting individual has been the only member of the company for more than 12 months; and

(D) more than 80% of the aggregate income of the company and the contracting individual from all sources (other than from each other) in the preceding 12 months was received from the issuer or a related body corporate of the issuer;”;

(ii) the definition of an “eligible offer” in paragraph 9 of the Interpretation was to read:

“9. “eligible offer” means an offer for the issue or sale of incentive rights in relation to fully-paid shares in an issuer in the same class as shares which have been quoted on the financial market operated by the ASX Limited ACN 008 624 691 throughout the 12 month period immediately before the offer without suspension for more than a total of 2 trading days during that period made under an employee share scheme extended only to eligible employees of the issuer;”;

(iii) in the Interpretation, the following definition was inserted:

## 13-0804

“9A. “employee share scheme”, for a body corporate, means a scheme under which shares (or units of shares or options to acquire unissued shares or incentive rights) in the body or a related body corporate may be acquired by, or for the benefit of their eligible employees;”;

- (iv) in the definition of “offer document” in paragraph 15 of the Interpretation, subparagraphs (c) and (d) were to read:
- “(c) specifies in respect of the incentive rights or the shares to which the incentive rights relate:
- (i) the acquisition price in Australian dollars;
  - (ii) where the acquisition price is specified in a foreign currency, the Australian dollar equivalent of that price at the date of the eligible offer; or
  - (iii) where the acquisition price is to be worked out in the future under a formula, the Australian dollar or Australian dollar equivalent of that price were the formula applied at the date of the eligible offer; and
- (d) includes an undertaking, and an explanation of the way in which, the issuer who has a registered office in this jurisdiction, will, during the period in which an eligible employee may acquire the incentive rights, within a reasonable period of the employee requesting, make available to the employee:
- (i) the current market price (or, where that price is denominated in a foreign currency, the Australian dollar equivalent of that price) of the shares to which the incentive rights relate;
  - (ii) where sub-paragraph (c)(ii) or (iii) applies, the information referred to in that subparagraph as updated to that date; and
  - (iii) discloses the conditions, obligations and risks associated with a loan or financial assistance offered by the issuer or any associated body corporate of it, for the purpose of acquiring incentive rights under the employee share scheme;”;
- (v) in the Interpretation, the following definitions were inserted:
- “16A. “incentive right” means a conditional right:

**13-0804**

- (a) to be offered to an eligible employee for no consideration; and
- (b) upon vesting, to exercise that right; and
- (c) thereafter, in accordance with terms provided for in the plan:
  - (i) to receive a cash amount from the issuer;
  - (ii) to receive fully-paid ordinary shares in the capital of the issuer and a cash amount from the issuer; or
  - (iii) to receive fully-paid ordinary shares in the capital of the issuer and a cash amount from the issuer where any share to be received is to be first issued or transferred to a trustee appointed by the issuer."

**Conditions**

11. The issuer can only rely on the exemptions in this instrument if the issuer complies with the following conditions:
  - (a) the conditions of the class order (excluding the condition specified in paragraph 3 of the Schedule to the class order) as expressed to apply to it; and
  - (b) the issuer must take reasonable steps to ensure that the number of shares that may be issued in connection with any eligible incentive plan offer, when aggregated with:
    - (i) the number of shares in the same class which would be issued if every other outstanding offer with respect to shares, units of shares, options to acquire unissued shares and incentive rights under every other employee share scheme of the issuer was to vest or to be accepted or exercised;
    - (ii) the number of shares in the same class issued during the previous 5 years pursuant to:
      - (A) an eligible incentive plan offer extended only to eligible employees; and



13-0804

- (B) any other employee share scheme extended only to eligible employees of the issuer;

but disregarding any offer made, option or right acquired or share issued by way of or as a result of:

- (iii) an offer to a person situated at the time of receipt of the offer outside this jurisdiction; or
- (iv) an offer that did not need disclosure to investors because of section 708 of the Act; or
- (v) an offer that did not require the giving of a Product Disclosure Statement because of section 1012D of the Act; or
- (vi) an offer made under a disclosure document or Product Disclosure Statement,

must not exceed 5% of the total number of issued shares in that class of the issuer as at the time of the offer.

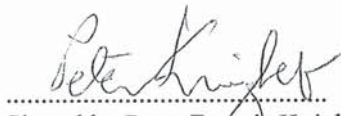
### Interpretation

12. In this instrument:

- (a) a word and phrase used in this instrument has the same meaning as it has in the class order unless the word or phrase is defined in paragraph 10 of this instrument in which case the word or phrase has that meaning;
- (b) *class order* means ASIC Class Order [CO 03/184] as in force on the date of this instrument and as amended from time to time by a disallowable legislative instrument within the meaning of the Legislative Instruments Act 2003;
- (c) *eligible incentive plan offer* means an offer for the issue or sale of incentive rights made under an arrangement known as the Latin Resources Limited Executive Incentive Rights Plan, the terms of which are substantially in the same form as those provided to ASIC on 7 May 2013;
- (d) *issuer* means Latin Resources Limited ACN 131 405 144, and any related body corporate; and
- (e) *plan* means the Latin Resources Limited Executive Incentive Rights Plan, the terms of which are substantially in the same form as those provided to ASIC on 7 May 2013.

13-0804

Dated this 3<sup>rd</sup> day of September 2013



Signed by Peter Francis Knight  
as a delegate of the Australian Securities and Investments Commission

13-0962

**Australian Securities and Investments Commission  
Corporations Act 2001 – Subsection 655A(1) – Revocation, Exemption and Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under subsection 655A(1) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 13-0962.

**Commencement**

3. This instrument commences on 26 July 2013.

**Revocation**

4. Under paragraphs 655A(1)(a) and 655A(1)(b) of the Act, ASIC revokes ASIC instrument [11-0751] dated 29 July 2011.

**Exemption**

5. Under paragraph 655A(1)(a) of the Act, ASIC exempts until 26 July 2015 Colonial First State Investments Limited ACN 002 348 352 (*CFS*) and CFS's related bodies corporate (*CBA Group*) from section 606 of the Act on the conditions set out in this instrument.

**Declaration**

6. Under paragraph 655A(1)(b) of the Act, ASIC declares that, until 26 July 2015, Chapter 6 of the Act applies to CFS and CBA Group as if item 9 of section 611 of the Act were modified to disregard relevant interests acquired and increases in voting power exempted from section 606 of the Act by the operation of the exemption in this instrument.

**Where this instrument applies**

7. The exemption in paragraph 5 applies to acquisitions of relevant interests in voting shares or voting interests, or increases in voting power, where such acquisitions or increases occur in the following circumstances:

(a) one or more entity in the CBA Group manage an index fund (individually, a *CBA Index Fund*) over one or a combination of the:

- i. S&P/ ASX 100;
- ii. S&P/ ASX 200; or
- iii. S&P/ ASX 300

indices (or their successors);

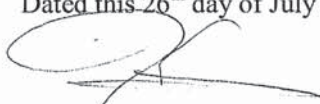
(b) the voting shares or voting interests are held by or for the benefit of the CBA Index Fund; and

13-0962

- (c) the CBA Index Fund has an objective of maintaining a portfolio tracking error of less than 0.5% per annum, and such objective is stated in the investment mandate or offer documents for the relevant fund.
8. The declaration in paragraph 6 applies to the calculation, for the purpose of paragraph (b) of item 9 of section 611 of the Act, of relevant interests in, or voting power in relation to, shares or interests in the circumstances set out in paragraph 7 of this instrument.

**Conditions**

9. The CBA Index Fund maintains systems and processes giving a reasonable assurance that a portfolio tracking error of less than 0.5% per annum for the CBA Index Fund will be maintained.
10. A CBA Index Fund's relevant interest in voting shares or interests is never more than 5% over the company or scheme's index weighting in a relevant index.
11. On or about 30 August 2013, 28 February 2014, 30 August 2014 and 28 February 2015, CFS provides ASIC with a written report by an independent third party (agreed to in writing by ASIC), concerning a review by the independent third party, completed no earlier than 14 days before the report is provided to ASIC, as to the systems and processes of each CBA Index Fund for compliance with paragraphs 9 and 10 of this instrument.
12. No entity in the CBA Group exercises a right to vote attached to voting shares or interests held by a CBA Index Fund unless and to the extent that voting rights are exercised in accordance with specific instructions received from a client of the relevant CBA Index Fund, where such instructions are permitted by the relevant CBA Index Fund mandate and the client is not an associate of an entity in CBA Group and an entity in the CBA Group does not have the power to exercise, or control the exercise of, the direction.
13. On or about 1 July 2015, CFS provides ASIC with a written report on the practical operation of this exemption, including without limitation where a CBA Index Fund acquires a relevant interest in voting shares or voting interests or increases its voting power in a body or listed registered scheme which would contravene s606 but for the relief in this instrument, details of:
- (a) the relevant voting shares or voting interests;
  - (b) the maximum relevant interest in the voting securities or voting interests and the maximum voting power in the body or listed registered scheme acquired; and
  - (c) the period during which the CBA Index Fund relied on the relief in this instrument in relation to the relevant voting shares or voting interests.

Dated this 26<sup>th</sup> day of July 2013

Signed by Jerry Pearson  
as a delegate of the Australian Securities and Investments Commission

13-1019

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 1020F(1)(a) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 1020F(1)(a) of the *Corporations Act 2001* ("**the Act**").

**Title**

2. This instrument is ASIC Instrument 13-1019.

**Commencement**

3. This instrument commences upon execution.

**Exemption**

4. TMIL in its capacity as responsible entity of TPF12 does not have to comply with subsection 1012B(3) of the Act.
5. Any person who holds interests in TPF12 that were issued in connection with the TOF4 Restructure as described in the Explanatory Memorandum does not have to comply with subsections 1012C(3) and (4) of the Act to the extent those subsections require a Product Disclosure Statement to be given because the offer would take place in the circumstances covered by subsection 1012C(6) of the Act.

**Where this instrument applies**

6. Paragraph 4 applies where TMIL as responsible entity of TPF12 issues to holders of interests in TOF4 interests in TPF12 as consideration for the transfer of interests in TOF4 to TMIL as responsible entity of TPF12 under the TOF4 Restructure as described in the Explanatory Memorandum.
7. Paragraph 5 applies in relation to any offer to sell interests in TPF12 that were issued in connection with the TOF4 Restructure as described in the Explanatory Memorandum where that offer is made within 12 months after the issue of the interests.

**Interpretation**

In this instrument:

13-1019

**Disclosure Document** means the combined prospectus and Product Disclosure Statement which is substantially in the same form as that provided to ASIC on or about 21 August 2013 in respect of TCGL and TPF12 for disclosure to members under the Transaction.

**Explanatory Memorandum** means the explanatory memorandum in respect of the TOF4 Restructure and the Transaction which is substantially in the same form as that provided to ASIC on or about 21 August 2013.

**TCGL** means Trafalgar Corporate Group Limited ACN 113 569 136.

**TMIL** means Trafalgar Managed Investments Limited ACN 090 664 396.

**TOF4** means Trafalgar Opportunity Fund No.4 ARSN 107 416 348.

**TOF4 Restructure** means the restructure of TOF4 and TPF12 such that TOF4 becomes a sub-trust of TPF12 as described in the Explanatory Memorandum.

**TPF12** means Trafalgar Platinum Fund No 12 ARSN 104 552 598.

**Transaction** means the transactions contemplated by the Explanatory Memorandum and Disclosure Document.

Dated this 5<sup>th</sup> day of September 2013



Signed by Aileen Tse

As a delegate of the Australian Securities and Investments Commission

13-1020

**Australian Securities and Investments Commission  
Corporations Act 2001 - Subsections 1020F(1)(a) - Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 1020F(1)(a) of the *Corporations Act 2001* ("**the Act**").

**Title**

2. This instrument is ASIC Instrument 13-1020.

**Commencement**

3. This instrument commences upon execution.

**Exemption**

4. Trafalgar Managed Investments Limited ACN 090 664 396 (*TMIL*) in its capacity as the responsible entity of Trafalgar Platinum Fund No 12 ARSN 104 552 598 (*TPF12*) does not have to comply with subsection 1016A(2) of the Act.

**Where this instrument applies**

5. A disclosure document that is substantially in the same form as that lodged with ASIC on or about 21 August 2013 (*Disclosure Document*) is sent to members of Trafalgar Corporate Group Limited ACN 113 569 136 (*TCGL*), TPF12 and Trafalgar Opportunity Fund No.4 ARSN 107 416 348 (*TOF4*).
6. An Explanatory Memorandum accompanying the Disclosure Document invites (among others) holders of units in TOF4 to attend meetings to be held on or about 24 September 2013 to consider and vote on proposals that would, among other things, allow all members of TOF4 on the relevant record date (other than certain foreign holders as described in the Explanatory Memorandum) to be issued new units in TPF12 as consideration for the transfer of units in TOF4 to TMIL as responsible entity of TPF12 under the TOF4 Restructure, as described in the Explanatory Memorandum.
7. All notices of meetings or resolutions referred to in the Explanatory Memorandum are included in or accompanied by a copy of the Disclosure Document, and are to be given to members of TOF4 by sending the notices of meetings or resolutions and the Disclosure Document to the address of the member shown in the register of members of TOF4.

13-1020

8. The Explanatory Memorandum sets out how the consideration for units in TOF4 may be provided to foreign holders of units in TOF4 if the relevant proposals referred to in the Explanatory Memorandum are approved.

**Interpretation**

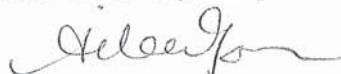
In this instrument:

*Explanatory Memorandum* means the explanatory memorandum in respect of the TOF4 Restructure and the Transaction which is substantially in the same form as that provided to ASIC on or about 21 August 2013.

*TOF4 Restructure* means the restructure of TOF4 and TPF12 such that TOF4 becomes a sub-trust of TPF12 as described in the Explanatory Memorandum.

*Transaction* means the transactions contemplated by the Explanatory Memorandum and Disclosure Document.

Dated this 5<sup>th</sup> day of September 2013.



Signed by Aileen Tse  
as a delegate of the Australian Securities and Investments Commission



13-1021

**Australian Securities and Investments Commission  
Corporations Act 2001 — Paragraph 1020F(1)(a) - Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 1020F(1)(a) of the *Corporations Act 2001* ("the Act").

**Title**

2. This instrument is ASIC Instrument 13-1021.

**Commencement**

3. This instrument commences upon execution.

**Exemption**

4. Trafalgar Managed Investments Limited ACN 090 664 396 (*TMIL*) in its capacity as the responsible entity of Trafalgar Platinum Fund No 12 ARSN 104 552 598 (*TPF12*) is exempt from complying with Division 5A of Part 7.9 of the Act.

**Where this instrument applies**

5. This instrument applies in relation to any unsolicited offer or invitation made to holders of interests in Trafalgar Opportunity Fund No.4 ARSN 107 416 348 (*TOF4*) to purchase or make an offer to sell interests in TOF4 where the unsolicited offer or invitation is made in the Disclosure Document or Explanatory Memorandum.

**Interpretation**

In this instrument:

**Disclosure Document** means the combined prospectus and Product Disclosure Statement which is substantially in the same form as that provided to ASIC on or about 21 August 2013 in respect of TCGL and TPF12 for disclosure to members under the Transaction.

**Explanatory Memorandum** means the explanatory memorandum in respect of the TOF4 Restructure and the Transaction which is substantially in the same form as that provided to ASIC on or about 21 August 2013.


**TCGL** means Trafalgar Corporate Group Limited ACN 113 569 136.

**13-1021**

*TOF4 Restructure* means the restructure of TOF4 and TPF12 such that TOF4 becomes a sub-trust of TPF12 as described in the Explanatory Memorandum.

*Transaction* means the transactions contemplated by the Explanatory Memorandum and Disclosure Document.

Dated this 5<sup>th</sup> day of September 2013.



Signed by Aileen Tse  
as a delegate of the Australian Securities and Investments Commission

13-1022

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 951B(1)(a) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 951B(1)(a) of the *Corporations Act 2001* ("the Act").

**Title**

2. This instrument is ASIC Instrument 13-1022.

**Commencement**

3. This instrument commences upon execution.

**Exemption**

4. Trafalgar Managed Investments Limited ACN 090 664 396 (*TMIL*) in its capacity as the responsible entity of Trafalgar Platinum Fund No 12 ARSN 104 552 598 (*TPF12*) and in its capacity as the responsible entity of Trafalgar Opportunity Fund No.4 ARSN 107 416 348 (*TOF4*) does not have to comply with Division 2 of Part 7.7 of the Act.

**Where this instrument applies**

5. The exemption in paragraph 4 applies where TMIL provides or gives financial product advice to holders of interests in TOF4 where:
  - (a) that advice is General Advice;
  - (b) that advice is provided in connection with the TOF4 Restructure; and
  - (c) that advice is contained in the Explanatory Memorandum or Disclosure Document.

**Interpretation**

In this instrument:

**Disclosure Document** means the combined prospectus and Product Disclosure Statement which is substantially in the same form as that provided to ASIC on or about 21 August 2013 in respect of TCGL and TPF12 for disclosure to members under the Transaction.

13-1022

*Explanatory Memorandum* means the explanatory memorandum in respect of the TOF4 Restructure and the Transaction which is substantially in the same form as that provided to ASIC on or about 21 August 2013.

*General Advice* has the meaning given by subsection 766B(4) of the Act.

*TCGL* means Trafalgar Corporate Group Limited ACN 113 569 136.

*TOF4 Restructure* means the restructure of TOF4 and TPF12 such that TOF4 becomes a sub-trust of TPF12 as described in the Explanatory Memorandum.

*Transaction* means the transactions contemplated by the Explanatory Memorandum and Disclosure Document.

Dated this 5<sup>th</sup> day of September 2013.



Signed by Aileen Tse  
as a delegate of the Australian Securities and Investments Commission

13-1023

**Australian Securities and Investments Commission  
Corporations Act 2001 - Paragraph 1020F(1)(c) - Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraph 1020F(1)(c) of the *Corporations Act 2001* ("**the Act**").

**Title**

2. This instrument is ASIC Instrument 13-1023.

**Commencement**

3. This instrument commences upon execution.

**Declaration**

4. Part 7.9 of the Act applies to TMIL in its capacity as the responsible entity of TPF12, as if:

- (a) section 1013B of the Act were modified or varied by:

- (i) omitting "The title" from subsection 1013B(1) and substituting "Subject to section 1013N, the title"; and
- (ii) omitting "In any other part" from subsection 1013B(2) and substituting "Subject to section 1013N, in any other part"; and

- (b) Subdivision C of Division 2 of Part 7.9 of the Act were modified or varied by adding after section 1013M:

**"1013N Combining a Product Disclosure Statement and a prospectus in a single document**

A PDS of a registered scheme and a prospectus may be combined in one document."; and

- (c) section 1015C were modified or varied by inserting after subsection (5):

"(6) For the purpose of subsection (1)(a)(ii) and for the avoidance of doubt, where a Statement is in or accompanies a notice of meeting of members of a company or registered scheme or an explanatory memorandum that includes resolutions to be voted on by members of a registered scheme, each member of the company or scheme is

**13-1023**

taken to have nominated the address shown in the register of members maintained by the company or responsible entity of the scheme under Chapter 2C."

**Where this instrument applies**

5. A Disclosure Document is sent to members of TCGL, TPF12 and TOF4.
6. An Explanatory Memorandum accompanying the Disclosure Document invites holders of shares in TCGL, units in TPF12 and units in TOF4 to attend meetings to be held on or about 24 September 2013 to consider and vote on proposals that would, among other things, allow TCGL, TPF12 and TOF4 to implement the Transaction.
7. The Disclosure Document clearly explains at or near the front that it comprises a prospectus in relation to TCGL shares and a PDS in relation to interests in TPF12.
8. All notices of meetings or resolutions referred to in the Explanatory Memorandum are included in or accompanied by a copy of the Disclosure Document, and are to be given to members of TCGL, TPF12 and TOF4 by sending the notices of meetings or resolutions and the Disclosure Document to the address of the member shown in the register of members of TCGL, TPF12 and TOF4 (as applicable).
9. No security comprising a TCGL share and a TPF12 unit will be issued on the basis of the Disclosure Document after the issue of securities contemplated by approvals given at the relevant meetings referred to in the Disclosure Document, and in any event no such securities will be issued on the basis of the Disclosure Document later than 13 months after the date of the Disclosure Document.
10. The Disclosure Document sets out how the consideration for the securities each comprising a TCGL share and a TPF12 unit would be provided if the relevant proposals referred to in Disclosure Document are approved.

**Interpretation**

In this instrument:

***Disclosure Document*** means the combined prospectus and Product Disclosure Statement which is substantially in the same form as that provided to ASIC on or about 21 August 2013 in respect of TCGL and TPF12 for disclosure to members under the Transaction.

13-1023

*Explanatory Memorandum* means the explanatory memorandum in respect of the TOF4 Restructure and the Transaction which is substantially in the same form as that provided to ASIC on or about 21 August 2013.

*TCGL* means Trafalgar Corporate Group Limited ACN 113 569 136.

*TMIL* means Trafalgar Managed Investments Limited ACN 090 664 396.

*TOF4* means Trafalgar Opportunity Fund No.4 ARSN 107 416 348.

*TOF4 Restructure* means the restructure of TOF4 and TPF12 such that TOF4 becomes a sub-trust of TPF12 as described in the Explanatory Memorandum.

*TPF12* means Trafalgar Platinum Fund No 12 ARSN 104 552 598.

*Transaction* means the transactions contemplated by the Explanatory Memorandum and Disclosure Document.

Dated this 5<sup>th</sup> day of September 2013.



Signed by Aileen Tse  
as a delegate of the Australian Securities and Investments Commission

13-1024

**Australian Securities and Investments Commission  
Corporations Act 2001 - Paragraph 601QA(1)(a) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 601QA(1)(a) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 13-1024.

**Commencement**

3. This instrument commences on upon execution.

**Exemption**

4. Trafalgar Managed Investments Limited ACN 090 664 396 (*TMIL*) in its capacity as the responsible entity of Trafalgar Platinum Fund No 12 ARSN 104 552 598 (*TPF12*) does not have to comply with paragraph 601FC(1)(d) of the Act, to the extent that it requires the responsible entity of a registered scheme to treat members who hold interests of the same class equally.

**Where this instrument applies**

5. The TOF4 Restructure is implemented on terms that allow TMIL or any replacement responsible entity of TPF12 to determine that a Foreign Securityholder is to be excluded from participating in the TOF4 Restructure.
6. TMIL or any replacement responsible entity of TPF12 reasonably concludes and documents its conclusions in writing that:
  - (a) it would be unlawful (under the laws of the relevant foreign jurisdiction) for Foreign Securityholders to be offered or issued interests in TPF12; or
  - (b) it would be unduly onerous on TMIL or any replacement responsible entity of TPF12 for Foreign Securityholders to participate in the TOF4 Restructure having regard to:
    - (i) the number of holders of TOF4 units in that jurisdiction;
    - (ii) the number and value of TOF4 units held by Foreign Securityholders in that jurisdiction; and
    - (iii) the cost of and process for seeking advice as to the requirements for doing so in relation to those jurisdictions and/or the cost of complying with legal requirements and the



**13-1024**

requirements of any relevant regulatory authority applicable to Foreign Securityholders participating in the TOF4 Restructure in that jurisdiction; and

- (c) having regard to the interests of all members of TOF4, it would be in the best interests of all members of TOF4 to treat Foreign Securityholders as set out in paragraph 7.
7. Where TMIL has determined that a holder of TOF4 units is a Foreign Securityholder, TPF12 units that are issued under the TOF4 Restructure are transferred to a Nominee, consolidated with other TPF12 units held by the relevant Foreign Securityholder, stapled to TCGL shares so that each TPF12 unit is stapled to one TCGL share, and sold on ASX, with the proceeds of such sales being remitted to the Foreign Securityholder on the terms described in the Explanatory Memorandum.

**Interpretation**

In this instrument:

**ASX** means the financial market operated by ASX Limited ACN 008 624 691.

**Disclosure Document** means the combined prospectus and Product Disclosure Statement which is substantially in the same form as that provided to ASIC on or about 21 August 2013 in respect of TCGL and TPF12 for disclosure to members under the Transaction.

**Explanatory Memorandum** means the explanatory memorandum in respect of the TOF4 Restructure and the Transaction which is substantially in the same form as that provided to ASIC on or about 21 August 2013.

**Foreign Securityholder** means a holder of TOF4 units on the Stapling Record Date whose address in the relevant register is a place outside Australia.

**Nominee** means the person appointed by TMIL in its capacity as responsible entity of TPF12 or any replacement responsible entity of TPF12 to undertake the activities of the nominee as described in the Explanatory Memorandum.

**Stapling Record Date** means 7pm (Sydney time) on 1 October 2013 or such other time as agreed between TCGL and TMIL as responsible entities of TPF12 and TOF4 and permitted by the ASX.

**TCGL** means Trafalgar Corporate Group Limited ACN 113 569 136.


**TOF4** means the Trafalgar Opportunity Fund No.4 ARSN 107 416 348.

13-1024

***TOF4 Restructure*** means the restructure of TOF4 and TPF12 such that TOF4 becomes a sub-trust of TPF12 as described in the Explanatory Memorandum.

***Transaction*** means the transactions contemplated by the Explanatory Memorandum and Disclosure Document.

Dated this 5<sup>th</sup> day of September 2013



Signed by Aileen Tse  
as a delegate of the Australian Securities and Investments Commission

13-1027

**Australian Securities and Investments Commission  
Corporations Act 2001 - Paragraph 601QA(1)(a) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 601QA(1)(a) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 13-1027.

**Commencement**

3. This instrument commences on upon execution.

**Exemption**

4. 360 Capital Investment Management Limited 133 363 185 (*360 CIML*) in its capacity as the responsible entity of Trafalgar Platinum Fund No 12 ARSN 104 552 598 (*TPF12*) does not have to comply with paragraph 601FC(1)(d) of the Act, to the extent that it requires the responsible entity of a registered scheme to treat members who hold interests of the same class equally.

**Where this instrument applies**

5. The TOF4 Restructure is implemented on terms that allow TMIL or any replacement responsible entity of TPF12 to determine that a Foreign Securityholder is to be excluded from participating in the TOF4 Restructure.
6. TMIL or any replacement responsible entity of TPF12 reasonably concludes and documents its conclusions in writing that:
  - (a) it would be unlawful (under the laws of the relevant foreign jurisdiction) for Foreign Securityholders to be offered or issued interests in TPF12; or
  - (b) it would be unduly onerous on TMIL or any replacement responsible entity of TPF12 for Foreign Securityholders to participate in the TOF4 Restructure having regard to:
    - (i) the number of holders of TOF4 units in that jurisdiction;
    - (ii) the number and value of TOF4 units held by Foreign Securityholders in that jurisdiction; and
    - (iii) the cost of and process for seeking advice as to the requirements for doing so in relation to those jurisdictions and/or the cost of complying with legal requirements and the requirements of any relevant regulatory authority applicable

**13-1027**

to Foreign Securityholders participating in the TOF4 Restructure in that jurisdiction; and

- (c) having regard to the interests of all members of TOF4, it would be in the best interests of all members of TOF4 to treat Foreign Securityholders as set out in paragraph 7.
7. Where TMIL or any replacement responsible entity of TPF12 has determined that a holder of TOF4 units is a Foreign Securityholder, TPF12 units that are issued under the TOF4 Restructure are transferred to a Nominee, consolidated with other TPF12 units held by the relevant Foreign Securityholder, stapled to TCGL shares so that each TPF12 unit is stapled to one TCGL share, and sold on ASX, with the proceeds of such sales being remitted to the Foreign Securityholder on the terms described in the Explanatory Memorandum.
8. TIML retires as the responsible entity of TPF12 and 360 CIML replaces TIML as the responsible entity of TPF12.

**Interpretation**

In this instrument:

**ASX** means the financial market operated by ASX Limited ACN 008 624 691.

**Disclosure Document** means the combined prospectus and Product Disclosure Statement which is substantially in the same form as that provided to ASIC on or about 21 August 2013 in respect of TCGL and TPF12 for disclosure to members under the Transaction.

**Explanatory Memorandum** means the explanatory memorandum in respect of the TOF4 Restructure and the Transaction which is substantially in the same form as that provided to ASIC on or about 21 August 2013.

**Foreign Securityholder** means a holder of TOF4 units on the Stapling Record Date whose address in the relevant register is a place outside Australia.

**Nominee** means the person appointed by TMIL in its capacity as responsible entity of TPF12 or any replacement responsible entity of TPF12 to undertake the activities of the nominee as described in the Explanatory Memorandum.

**Stapling Record Date** means 7pm (Sydney time) on 1 October 2013 or such other time as agreed between TCGL and TMIL as responsible entities of TPF12 and TOF4 and permitted by the ASX.

**TCGL** means Trafalgar Corporate Group Limited ACN 113 569 136.

**TMIL** means Trafalgar Managed Investments Limited ACN 090 664 396.

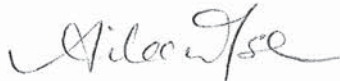
13-1027

*TOF4* means the Trafalgar Opportunity Fund No.4 ARSN 107 416 348.

*TOF4 Restructure* means the restructure of TOF4 and TPF12 such that TOF4 becomes a sub-trust of TPF12 as described in the Explanatory Memorandum.

*Transaction* means the transactions contemplated by the Explanatory Memorandum and Disclosure Document.

Dated this 5<sup>th</sup> day of September 2013



Signed by Aileen Tse  
as a delegate of the Australian Securities and Investments Commission

13-1028

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 1020F(1)(c) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 1020F(1)(c) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 13-1028.

**Commencement**

3. This instrument commences on upon execution.

**Declaration**

4. Part 7.9 of the Act applies to Trafalgar Managed Investments Limited ACN 090 664 396 (*TMIL*) in its capacity as the responsible entity of Trafalgar Platinum Fund No 12 ARSN 104 552 598 (*TPF12*) as if subsection 1017E(2) as notionally inserted by subregulation 7.9.08(4) of the *Corporations Regulations 2001* were modified or varied as follows:
  - (a) at the beginning of paragraph 1017E(2)(b), insert "subject to paragraph (ba),"; and
  - (b) after paragraph 1017E(2)(b), insert:

"(ba) any money may be paid into the account, provided that:

    - (i) money paid to acquire the financial product together with any securities or financial products that must, under the terms on which the financial product is to be traded, be transferred with the financial product; and
    - (ii) any other money to which this section applies; and
    - (iii) interest on the amount from time to time standing to the credit of the account,

is identified and held in accordance with all other provisions of this section; and".

**Where this instrument applies**

6. This instrument applies where:

13-1028

- (a) each ordinary interest in TPF12 and each ordinary share in TCGL, must, under the terms on which each is to be traded, only be transferred together (*Stapled Security*); and
- (b) TMIL receives money paid to acquire Stapled Securities.

**Where this declaration ceases to apply**

7. This declaration ceases to apply if:
- (a) at any time after the TOF4 Restructure, a component of a Stapled Security is issued which on the terms on which it is traded, can be transferred separately without also transferring any other component; or
  - (b) any interest in TPF12 (other than an ordinary interest), or any share in TCGL (other than an ordinary share), is issued.

**Interpretation**

In this instrument:

**Disclosure Document** means the combined prospectus and Product Disclosure Statement which is substantially in the same form as that provided to ASIC on or about 21 August 2013 in respect of TCGL and TPF12 for disclosure to members under the Transaction.

**Explanatory Memorandum** means the explanatory memorandum in respect of the TOF4 Restructure and the Transaction which is substantially in the same form as that provided to ASIC on or about 21 August 2013.

**TCGL** means Trafalgar Corporate Group Limited ACN 113 569 136.

**TOF4** means the Trafalgar Opportunity Fund No.4 ARSN 107 416 348.

**TOF4 Restructure** means the restructure of TOF4 and TPF12 such that TOF4 becomes a sub-trust of TPF12 as described in the Explanatory Memorandum.

**Transaction** means the transactions contemplated by the Explanatory Memorandum and Disclosure Document.

Dated this 5<sup>th</sup> day of September 2013



Signed by Aileen Tse  
as a delegate of the Australian Securities and Investments Commission

13-1029

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 1020F(1)(c) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 1020F(1)(c) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 13-1029.

**Commencement**

3. This instrument commences on upon execution.

**Declaration**

4. Part 7.9 of the Act applies to 360 Capital Investment Management Limited 133 363 185 (*360 CIML*) in its capacity as the responsible entity of Trafalgar Platinum Fund No 12 ARSN 104 552 598 (*TPF12*) as if subsection 1017E(2) as notionally inserted by subregulation 7.9.08(4) of the *Corporations Regulations 2001* were modified or varied as follows:

- (a) at the beginning of paragraph 1017E(2)(b), insert "subject to paragraph (ba),"; and
- (b) after paragraph 1017E(2)(b), insert:

"(ba) any money may be paid into the account, provided that:

- (i) money paid to acquire the financial product together with any securities or financial products that must, under the terms on which the financial product is to be traded, be transferred with the financial product; and
- (ii) any other money to which this section applies; and
- (iii) interest on the amount from time to time standing to the credit of the account,

is identified and held in accordance with all other provisions of this section; and".

**Where this instrument applies**

6. This instrument applies where:
-



## 13-1029

- (a) each ordinary interest in TPF12 and each ordinary share in TCGL, must, under the terms on which each is to be traded, only be transferred together (*Stapled Security*);
- (b) TMIL retires as the responsible entity of TPF12 and 360 CIML replaces TMIL as the responsible entity of TPF12; and
- (c) TMIL (before retiring as the responsible entity of TPF12) or 360 CIML receives money paid to acquire Stapled Securities.

**Where this declaration ceases to apply**

- 7. This declaration ceases to apply if:
  - (a) at any time after the TOF4 Restructure, a component of a Stapled Security is issued which on the terms on which it is traded, can be transferred separately without also transferring any other component; or
  - (b) any interest in TPF12 (other than an ordinary interest), or any share in TCGL (other than an ordinary share), is issued.

**Interpretation**

In this instrument:

**Disclosure Document** means the combined prospectus and Product Disclosure Statement which is substantially in the same form as that provided to ASIC on or about 21 August 2013 in respect of TCGL and TPF12 for disclosure to members under the Transaction.

**Explanatory Memorandum** means the explanatory memorandum in respect of the TOF4 Restructure and the Transaction which is substantially in the same form as that provided to ASIC on or about 21 August 2013.

**TCGL** means Trafalgar Corporate Group Limited ACN 113 569 136.

**TMIL** means Trafalgar Managed Investments Limited ACN 090 664 396.

**TOF4** means the Trafalgar Opportunity Fund No.4 ARSN 107 416 348.

**TOF4 Restructure** means the restructure of TOF4 and TPF12 such that TOF4 becomes a sub-trust of TPF12 as described in the Explanatory Memorandum.

**Transaction** means the transactions contemplated by the Explanatory Memorandum and Disclosure Document.

13-1029

Dated this 5<sup>th</sup> day of September 2013



Signed by Aileen Tse  
as a delegate of the Australian Securities and Investments Commission

13-1040

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraphs 655A(1)(a) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 655A(1)(a) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument [13-1040].

**Commencement**

3. This instrument commences on the date it is signed.

**Exemption**

4. TMIL is exempt from section 606 of the Act.

**Where this instrument applies**

5. This instrument applies where TMIL acquires a relevant interest in units in TOF4 as a result of TMIL acquiring all of the issued interests in TOF4 under the TOF4 Restructure.

**Condition**

6. Paragraph 4 applies on the condition that the resolutions to approve the TOF4 Restructure referred to in the Explanatory Memorandum are passed by Securityholders at the meeting of Securityholders to be held on or about 24 September 2013 in relation to the Transaction.

**Interpretation**

7. In this instrument:
  - (a) *Disclosure Document* means the combined prospectus and Product Disclosure Statement which is substantially of the same form as that provided to ASIC on or about 21 August 2013 in respect of TCGL and TPF12 for disclosure to members under the Transaction.

13-1040

- (b) *Explanatory Memorandum* means the explanatory memorandum in respect of the TOF4 Restructure and the Transaction which is substantially in the same form as that provided to ASIC on or about 21 August 2013.
- (c) *Securityholders* means holders of stapled securities in TGP Group following the completion of the TOF4 Restructure.
- (d) *TCGL* means Trafalgar Corporate Group Limited ACN 113 569 136.
- (e) *TGP Group* means the property investment and development group listed on ASX.
- (f) *TMIL* means Trafalgar Managed Investments Limited ACN 090 664 396 as responsible entity of TPF12.
- (g) *TOF4 Restructure* means the restructure of TOF4 and TPF12 such that TOF4 becomes a sub-trust of TPF12 as described in the Explanatory Memorandum.
- (h) *TOF4* means the Trafalgar Opportunity Fund No.4 ARSN 107 416 348.
- (i) *TPF12* means the Trafalgar Platinum Fund No 12 ARSN 104 552 598.
- (j) *Transaction* means the transactions contemplated by the Explanatory Memorandum and Disclosure Document.

Dated this 5<sup>th</sup> day of September 2013



Signed by Aileen Tse  
as a delegate of the Australian Securities and Investments Commission

13-1057

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 655A(1)(b) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 655A(1)(b) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 13-1057.

**Commencement**

3. This instrument commences on 22 August 2013.

**Declaration**

4. Chapter 6 of the Act applies to AGL APG Holdings Pty Limited ACN 081 834 826 (*Bidder*) as if:
  - (a) subsection 605(2) of the Act was modified or varied by:
    - (i) deleting “or” at the end of paragraph (a);
    - (ii) deleting “.” at the end of paragraph (b) and replacing it with “; or”; and
    - (iii) inserting the following new paragraph after paragraph (b):

“(c) they are options, the terms of exercise of which differ.”;
  - (b) subsection 619(2) was modified or varied by:
    - (i) deleting “.” at the end of paragraph (e) and replacing it with “; and”; and
    - (ii) inserting the following paragraph after paragraph (e):

“(f) any differences in the offers attributable to the fact that the offers relate to options which have different exercises prices or different exercise dates.”.

**Where this instrument applies**

5. This instrument applies where the Bidder makes an off-market bid for 17 tranches totalling approximately 36,892,274 options (*Option Offer*), being options over unissued ordinary shares in Australian Power and Gas Company Limited ACN 077 206 583 (*Target*) in respect of which a bidder’s statement will be lodged with ASIC on or about 27 August 2013.

Dated this 22<sup>nd</sup> day of August 2013



Signed by Jerry Pearson  
as a delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

13-1067

**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Blackwood Asset Management Ltd.  
ACN 124 822 144 ("the Licensee")  
Last notified principal place of business:  
Level 2, 139 Macquarie Street  
SYDNEY NSW 2000

Pursuant to section 915B(3)(a) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 317140 held by the Licensee, with effect from the date of this notice.

Dated 29<sup>th</sup> August 2013

Signed .....

Joyce Krashow  
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities & Investments Commission

13-1068


**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: MF Global Securities Australia Limited  
ACN 125 669 801 ("the Licensee")  
Last notified principal place of business:  
Grosvenor Place, Level 21  
225 George Street  
SYDNEY NSW 2000

Pursuant to section 915B(3)(a) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 313972 held by the Licensee, with effect from the date of this notice.

Dated 9<sup>th</sup> August 2013

Signed   
.....

Joyce Krashow  
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

13-1069

**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: MF Global Australia Limited  
ACN 001 662 077 ("the Licensee")  
Last notified principal place of business:  
Grosvenor Place, Level 21  
225 George Street  
SYDNEY NSW 2000

Pursuant to paragraph 915B(3)(b) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 230563 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated 29<sup>th</sup> August 2013

Signed .....

Joyce Krashow  
A delegate of the Australian Securities and Investments Commission





ASIC

Australian Securities & Investments Commission

13-1070


**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Risk Management Corporation Limited  
ACN 101 961 559 ("the Licensee")  
Last notified principal place of business:  
Unit 5, 764 Sandgate Road  
CLAYFIELD QLD 4011

Pursuant to section 915B(3)(a) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 247282 held by the Licensee, with effect from the date of this notice.

Dated *9th August 2013*

Signed  .....

Joyce Krashow  
A delegate of the Australian Securities and Investments Commission



ASIC

Australian Securities &amp; Investments Commission

13-1071

**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

TO: Strategy Portfolio Limited  
ACN 094 486 003 ("the Licensee")  
Last notified principal place of business:  
Level 19, 31 Queen Street  
MELBOURNE VIC 3000

Pursuant to paragraph 915B(3)(b) of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Australian Financial Services Licence number 281858 held by the Licensee, with effect from the date on which this notice is given to the Licensee.

Dated

29<sup>th</sup> August 2013

Signed

A handwritten signature in black ink, appearing to be 'JK', written over a dotted line.

Joyce Krashow

A delegate of the Australian Securities and Investments Commission



13-1078

**ASIC**

Australian Securities &amp; Investments Commission

Australian Securities and Investments Commission  
Corporations Act 2001 – Subsection 741(1) – Declaration

Enabling legislation

1. The Australian Securities and Investments Commission makes this instrument under subsection 741(1) of the *Corporations Act 2001* (the *Act*).

Title

2. This instrument is ASIC Instrument 13-1078.

Commencement

3. This instrument commences on 4 September 2013.

Declaration

4. Chapter 6D of the Act applies to Alcyone Resources Limited ACN 056 776 160 (the **Issuer**) as if Part 6D.2 were modified or varied as follows:

- (a) omit paragraph 723(3)(b), substitute:

“(b) the securities are not admitted to quotation within 3 months after the later of:

- (i) the date of the disclosure document; and
- (ii) the date of the latest supplementary disclosure document for the offer lodged with ASIC which:
  - (A) discloses that all securities are not admitted to quotation within 3 months of the disclosure document; and
  - (B) states that any securities the subject of an application that are not admitted to quotation within 3 months of the disclosure document will give applicants 1 month to withdraw their application and be repaid”;

- (b) omit subparagraph 724(1)(b)(ii), substitute:

“(ii) the securities are not admitted to quotation within 3 months after the later of:

- (A) the date of the disclosure document; and
- (B) the date of the latest supplementary disclosure document for the offer lodged with ASIC which discloses that the securities are not admitted to quotation and gives



13-1078

**ASIC**

Australian Securities &amp; Investments Commission

- (C) applicants 1 month to withdraw their application and be repaid”; and

(c) after subsection 724(1A), insert:

“(1B) Where a supplementary disclosure document of the kind referred to in subparagraphs 723(3)(b)(ii) or 724(1)(b)(ii)(B) is lodged with ASIC, the person offering the securities must give the applicants:

- (i) that supplementary disclosure document; and
- (ii) 1 month to withdraw their application and be repaid.”.

Where this instrument applies

5. This instrument applies in relation to an offer or issue of securities of the Issuer under a prospectus lodged with ASIC on 29 May 2013, as replaced on 13 June 2013 by a replacement prospectus, and supplemented on 2 August 2013 by a supplementary prospectus (together the **Disclosure Documents**), where all applicants who have applied for securities under the disclosure documents and have not received quoted securities, have been offered 1 month to withdraw their application and be repaid their application money and where the Issuer has lodged a second supplementary disclosure document on or after the date of this instrument which describes the need for, and effect of, the relief provided in this instrument.

Dated this 4 September 2013

Signed by Guy O'Connell  
as a delegate of the Australian Securities and Investments Commission

13-1087

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraphs 741(1)(b) and 1020F(1)(c) – Declarations**

**Enabling legislation**

1. The Australian Securities and Investments Commission makes this instrument under paragraphs 741(1)(b) and 1020F(1)(c) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 13-1087.

**Commencement**

3. This instrument commences on 2 September 2013.

**Declarations**

4. Chapter 6D of the Act applies to DUET Investment Holdings Limited ACN 120 456 573 (**DIHL**), DUET Finance Limited ACN 108 014 062 (**DFL**) and DUET Company Limited ACN 163 100 061 (**DUECo**) as if paragraph 708A(5)(a) were omitted and substituted with:

“(a) the relevant securities are in a class of securities that are quoted securities; and”.

5. Chapter 6D of the Act applies to DUECo as if subparagraph 708A(6)(d)(i) were modified or varied by immediately after "Chapter 2M" inserting "(other than section 327A)".

6. Part 7.9 of the Act applies to DFL in its capacity as the responsible entity of the DUET Finance Trust ARSN 109 363 135 (**DFT**) as if paragraph 1012DA(5)(a) were omitted and substituted with:

“(a) the relevant product is in a class of financial products that are quoted securities; and”.

**Where this instrument applies**

7. The declarations in paragraphs 4 and 6 apply in relation to DUET Group Stapled Securities issued under an offer to wholesale clients announced to ASX on 2 September 2013.
8. The declaration in paragraph 5 applies in relation to offers for issue or sale of ordinary shares in DUECo as components of DUET Group Stapled Securities.

13-1087

**Where this instrument ceases to apply**

9. The declarations in paragraphs 4 and 6 cease to apply on the earlier of:
- (a) 1 November 2013;
  - (b) if a component of a DUET Stapled Security is issued which on the terms on which it is traded, can be transferred separately without also transferring any other component of a DUET Stapled Security; or
  - (c) if any class of interests in DFT (other than the class of interests in DFT already on issue as at the date of this instrument) or any shares in DFL, DIHL and DUECo (other than ordinary shares) are issued.
10. The declaration in paragraph 5 ceases to apply if:
- (a) a component of a DUET Stapled Security is issued which on the terms on which it is traded, can be transferred separately without also transferring any other component of a DUET Stapled Security; or
  - (b) any class of interests in DFT (other than the class of interests in DFT already on issue as at the date of this instrument) or any shares in DFL, DIHL and DUECo (other than ordinary shares) are issued.

**Interpretation**

11. In this instrument:

**ASX** means the financial market operated by ASX Limited ACN 008 624 691.

**DUET Group Stapled Security** means an interest in DFT, an ordinary share in DIHL, an ordinary share in DFL and an ordinary share in DUECo which, under the terms on which each is to be traded, must only be transferred together.

Dated this 2<sup>nd</sup> day of September 2013



Signed by Hassan Salem  
as a delegate of the Australian Securities and Investments Commission



13-1095

**ASIC**

Australian Securities & Investments Commission

**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

**TO:** Pure & Simple Wealth Solutions Pty Ltd  
ACN: 009 632 946 ("the Licensee")  
Unit 5, 57 Marina Boulevard  
Cullen Bay NT 0820

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 264766 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 2<sup>nd</sup> September, 2013.

Signed .....

Joyce Krashow, a delegate of the Australian Securities and Investments Commission

13-1101

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 655A(1)(b) – Declaration**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 655A(1)(b) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 13-1101.

**Commencement**

3. This instrument commences on 2 September 2013.

**Declaration**

4. Chapter 6 of the Act applies to Niuminco Group Limited ACN 009 163 919 (*Niuminco*) as if section 621 of the Act were modified or varied by adding after subsection (4A), as notionally inserted by ASIC Class Order [CO 00/2338] (*Class Order*):

"(4AA) Despite subsection (4A), the bidder may ascertain the value of quoted securities that it offers as consideration under the takeover bid at any time of its choosing up to 5 business days before the date of the takeover bid if the bidder values the quoted securities by calculating the volume weighted average market price of those securities in the ordinary course of trading on the relevant approved financial market during the most recent 2 full trading days on which those securities were traded before the chosen time."

**Where this instrument applies**

5. This instrument applies to the proposed off-market takeover bid by Niuminco for all the shares in TNT Mines Limited ACN 107 244 039, where Niuminco meets all the requirements of subsection 621(4A) as notionally inserted by the Class Order except the requirement in paragraph 621(4A)(a).

Dated this 2<sup>nd</sup> day of September 2013



Signed by Jerry Pearson  
as a delegate of the Australian Securities and Investments Commission



13-1103

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraph 655A(1)(a) – Exemption**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraph 655A(1)(a) of the *Corporations Act 2001* (the *Act*).

**Title**

2. This instrument is ASIC Instrument 13-1103.

**Commencement**

3. This instrument commences on 3 September 2013.

**Exemption**

4. Australian Style Group Pty Ltd ACN 108 841 103 (*ASG*) does not have to comply with subsections 606(1) and 606(2) of the Act.

**Where this instrument applies**

5. This instrument applies where:
  - (a) ASG acquires a relevant interest in voting shares of Keybridge Capital Limited ACN 088 267 190 (*Keybridge*) on or after the date of this instrument and no later than 16 February 2014 (*Acquisition*);
  - (b) throughout the 6 months before the Acquisition, ASG would have had a voting power in Keybridge of at least 19% if Keybridge had not issued securities as announced by Keybridge to ASX Limited ACN 008 624 691 pursuant to Appendix 3B notice dated 16 August 2013; and
  - (c) as a result of the Acquisition, ASG will not have voting power in Keybridge more than 3 percentage points higher than it had 6 months before the Acquisition.

Dated this 3<sup>rd</sup> day of September 2013



Signed by Jerry Pearson  
as a delegate of the Australian Securities and Investments Commission

13-1106

**NOTICE UNDER SECTION 920E OF THE CORPORATIONS ACT 2001**

Notice is given under section 920E of the Corporations Act 2001 that the Australian Securities and Investments Commission has made a banning order in the terms set out below, which order took effect on the date of service of the order on the person to whom it relates, being the 30 August 2013.

**AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION****IN THE MATTER OF BRADLEY THOMAS SHERWIN****BANNING ORDER UNDER SECTIONS 920A AND 920B  
OF THE CORPORATIONS ACT 2001**

To: Bradley Thomas Sherwin

Under s920A(1) and s920B(2) of the Corporations Act 2001 the Australian Securities and Investments Commission prohibits **BRADLEY THOMAS SHERWIN** from providing any financial services for a period of two years and nine months.

Dated this 21<sup>st</sup> day of August 2013

Signed: 

John Mazurkiewicz  
as a delegate of the Australian Securities and Investments Commission

Your attention is drawn to s920C(2) of the Corporations Act 2001 which provides that a person must not engage in conduct which breaches a banning order that has been made against the person. Contravention of s920C(2) is an offence.



13-1111

ASIC

Australian Securities & Investments Commission

**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

**TO:** Northbridge Park Asset Management Pty Ltd  
ACN: 143 359 755 ("the Licensee")  
51 Coolawin Road  
Northbridge NSW 2063

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 422580 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 4<sup>th</sup> September, 2013.

Signed .....

Joyce Krashow, a delegate of the Australian Securities and Investments Commission



13-1112

**ASIC**

Australian Securities & Investments Commission

**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

**TO:** Mr John McAuley  
ABN 25 497 694 070  
10 Grevillea Court, Hopetoun Village  
Castle Hill NSW 2154

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 251050 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 5 September 2013

Signed .....

Joyce Krashow, a delegate of the Australian Securities and Investments Commission



13-1113

ASIC

Australian Securities & Investments Commission

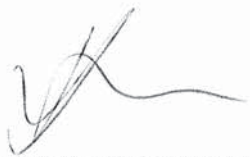
**Australian Securities & Investments Commission  
Corporations Act 2001 Section 915B**

**Notice of Cancellation of an Australian Financial Services Licence**

**TO:** Capel & Associates Pty Limited  
ACN: 109 502 365 ("the Licensee")  
c/- Charltons CJC Pty Limited  
Suite 901, Level 9  
130 Elizabeth Street  
Sydney NSW 2001

Pursuant to section 915B of the **Corporations Act 2001**, the Australian Securities and Investments Commission hereby cancels Licence Number 302047 held by the Licensee with effect from the date on which this notice is given to the Licensee.

Dated this 4<sup>th</sup> September, 2013.

Signed  .....

Joyce Krashow, a delegate of the Australian Securities and Investments Commission



Australian Government

Takeovers Panel

13 - 1114

**CORPORATIONS ACT**  
**SECTION 657A**  
**DECLARATION OF UNACCEPTABLE CIRCUMSTANCES**

**COPPERMOLY LIMITED****CIRCUMSTANCES**

1. Coppermoly Limited (**Coppermoly**) is a company listed on ASX and Port Moresby Stock Exchange.
2. On 26 June 2013, Coppermoly announced:
  - (a) a 1 for 4 non-renounceable entitlement offer at \$0.045 per share to raise up to approximately \$1,950,000<sup>1</sup>, fully underwritten by Jelsh Holdings Pty Ltd (**Jelsh**) and
  - (b) a placement of 3,700,000 shares to Jelsh.
3. The entitlement offer included a shortfall facility. The prospectus included a statement that the directors of Coppermoly reserved the right to reject any application for shortfall shares.
4. The prospectus stated that Jelsh had voting power of 0.53% in Coppermoly.
5. On 10 July 2013, Coppermoly announced that a related entity of Jelsh had entered into an agreement to acquire 16,290,333 Coppermoly shares. As a result of this acquisition, and additional on-market acquisitions, Jelsh's voting power in Coppermoly increased to 12.06%.
6. The entitlement offer closed on 30 July 2013. On the basis of the total number of shares applied for under the entitlement offer and shortfall facility, Jelsh is entitled to be issued 35,341,331 shares under the underwriting agreement. The effect is that Jelsh will increase its voting power to approximately 26.76% in circumstances when it could not otherwise have done so.
7. All reasonable steps to minimise the potential control impact of the entitlement offer on Coppermoly were not taken.
8. There are material information deficiencies in Coppermoly's disclosure, including in relation to the identity of Jelsh and its intentions for Coppermoly and the changed intentions of directors in respect of taking up their entitlements.
9. As a result of the foregoing, the acquisition of control over voting shares in Coppermoly has not taken place in an efficient, competitive and informed market, and the holders of shares were not given enough information.

---


<sup>1</sup> Subsequently reduced to approximately \$1,620,000 by supplementary prospectus dated 11 July 2013

13-1114

10. It appears to the Panel that the circumstances are unacceptable having regard to:
- (a) the effect that the Panel is satisfied the circumstances have had, will have or are likely to have on:
    - (i) the control, or potential control, of Coppermoly or
    - (ii) the acquisition, or proposed acquisition, by a person of a substantial interest in Coppermoly or
  - (b) the purposes of Chapter 6 set out in section 602 of the Corporations Act 2001 (Cth) (Act).
11. The Panel considers that it is not against the public interest to make a declaration of unacceptable circumstances. It has had regard to the matters in section 657A(3).

**DECLARATION**

The Panel declares that the circumstances constitute unacceptable circumstances in relation to the affairs of Coppermoly.



Alan Shaw

Counsel

with authority of Robert Johanson

President of the sitting Panel

Dated 20 August 2013



Australian Government

Takeovers Panel

13-1115

**CORPORATIONS ACT  
SECTION 657D  
ORDERS**

**COPPERMOLY LIMITED**

The Panel made a declaration of unacceptable circumstances on 20 August 2013.

**THE PANEL ORDERS**

**Completion of underwriting obligations and divestment of shortfall shares**

1. Coppermoly and Jelsh must comply with their obligations under the underwriting arrangement, amended to delete 'Closing Date' in clause 10.1 and replace it with the date of these orders.
2. Jelsh must not rely on any right it may have to terminate the underwriting arrangement as a consequence of the application to the Panel in this matter, the declaration of unacceptable circumstances or these orders
3. Jelsh must:
  - (a) divest the shortfall shares as set out in these orders and
  - (b) until completion of orders 1 to 8, not otherwise deal with or vote any of the shortfall shares.
4. Within 10 business days of the date of these orders Coppermoly must, on behalf of Jelsh, offer eligible shareholders the shortfall shares obtained by Jelsh under the rights issue on terms to the following effect:
  - (a) the price is the rights issue price
  - (b) the offer is open for 2 weeks from the date the last of the offers is dispatched
  - (c) eligible shareholders who did not take up their full entitlement in the rights issue are offered as many shares as is necessary for them to take up what were their full original entitlements
  - (d) eligible shareholders who accept shares under order 4(c) are treated as if they had subscribed for those shares pursuant to the rights issue
  - (e) eligible shareholders (excluding the Jelsh Group) are invited to apply for any shares remaining after the acceptances in order 4(c) have been satisfied in full. Applications must be filled as follows:
    - (i) each shareholder who has applied for additional shares will be allocated their pro rata share of the shortfall having regard to their holding at the closing date (if a shareholder has made a shortfall application for an amount less than the amount of shares that the



13-1115

shareholder would otherwise be allocated under this process, the shareholder will be allocated the amount applied for) and

- (ii) if, following allocation of the shortfall in the first round, there remains any shortfall, the above allocation process will be repeated in rounds until either all the shortfall has been allocated or all shortfall applications have been satisfied in full.

For avoidance of doubt, the Corporations Act limits apply to the acquisition of shortfall shares.

- (f) the money (in cheque or other form acceptable to Coppermoly) for the shares accepted under order 4(c) is to be sent to Coppermoly with the acceptance. The money is to be banked in a special purpose trust account no later than the end of the day of receipt
  - (g) the money (in cheque or other form acceptable to the Coppermoly) for the shares applied for under order 4(e) is to be sent to Coppermoly with the application for remaining shares and
  - (h) Coppermoly must return any surplus application money to applicants, without interest, where the number of shares applied for is greater than the amount of shares allocated to an applicant pursuant to order 4(e).
5. The offer must be made in a letter of offer dispatched to eligible shareholders. The letter of offer must be in a form approved by the Panel and include:
- (a) disclosure of the allocation policy under the shortfall facility as described in order 4(e)
  - (b) disclosure in relation to the identity, financial position of Jelsh and Jelsh's intentions in relation to Coppermoly
  - (c) the outcome of the rights issue and
  - (d) the Coppermoly directors' participation under the rights issue and their intentions in relation to their participation in the offer and the possible control effect of the rights issue and the offer.
6. Within 5 business days of the close of the offer, Coppermoly must:
- (a) scale back the applications if necessary
  - (b) disclose in a market announcement the scale back, its detailed calculation methodology, the outcome of the offer and the number of shares issued to Jelsh
  - (c) register the transfers of the shares and
  - (d) pay over the money, and account, to Jelsh for the shares sold.
7. Jelsh must provide proper transfers for the sale of the shares.
8. Coppermoly must issue any refund due to an applicant within 5 business days of transfers being completed.

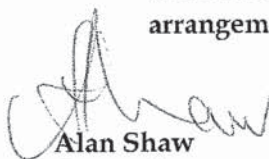
**Restrictions on voting and participation in future rights issues****13 - 1115**

9. None of the Jelsh Group or their respective associates may exercise, and Coppermoly must disregard, any voting rights in respect of shares held by them upon completion of the process set out in orders 1 to 8 in excess of 20% voting power in Coppermoly, subject to such voting rights in respect of those shares being restored at a rate of 3% every 6 months from the date of these orders.
10. The Jelsh Group and their respective associates must not make any acquisition of Coppermoly shares that, but for Item 9 of section 611 of the *Corporations Act 2001* (Cth), would contravene section 606 until order 9 ceases to apply in relation to any Coppermoly shares held by them.
11. The Jelsh Group and their respective associates may participate in any future rights issues other than in respect of any shares held by them that are subject to the voting restriction in order 9.

**Interpretation**

12. In these orders the following terms apply.

<b>closing date</b>	the closing date for the rights issue, being 30 July 2013
<b>Coppermoly</b>	Coppermoly Limited
<b>eligible shareholders</b>	shareholders of Coppermoly who were eligible to participate in the rights issue
<b>Jelsh</b>	Jelsh Holdings Pty Ltd
<b>Jelsh Group</b>	Jelsh, WXH Holdings Pty Ltd, WXH Holdings Limited, Wanfu Huang and Xiaoyi Shen
<b>rights issue</b>	the 1 for 4 non-renounceable entitlement offer announced by Coppermoly on 26 June 2013 (as revised by supplementary prospectus dated 11 July 2013) to raise up to approximately \$1,620,000
<b>shortfall shares</b>	shares not subscribed for under the rights issue by eligible shareholders
<b>underwriting arrangement</b>	the Placement and Underwriting Agreement between Jelsh and Coppermoly dated 25 June 2013



**Alan Shaw**  
Counsel

with authority of Robert Johanson  
President of the sitting Panel  
Dated 20 August 2013

13-1117

**Australian Securities and Investments Commission  
Corporations Act 2001 – Paragraphs 741(1)(b), 911A(2)(l), 992B(1)(a), 1020F(1)(a) and  
1020F(1)(b) – Exemptions**

**Enabling legislation**

1. The Australian Securities and Investments Commission (*ASIC*) makes this instrument under paragraphs 741(1)(b), 911A(2)(l), 992B(1)(a), 1020F(1)(a) and 1020F(1)(b) of the *Corporations Act 2001 (Act)*.

**Title**

2. This instrument is ASIC Instrument 13-1117.

**Commencement**

3. This instrument commences on gazettal.

**Exemptions**

4. The issuer does not have to comply with Part 7.9 of the Act where the issuer:
  - (a) makes an eligible incentive plan offer;
  - (b) offers to arrange for the issue of financial products under an eligible incentive plan offer; or
  - (c) issues a financial product under an eligible incentive plan offer;on the conditions set out in this instrument and for so long as the conditions are met.
5. Where the issuer is exempt from Part 7.9 in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the issuer is also exempt from the requirement to hold an Australian financial services licence for the provision of the following financial services:
  - (a) a financial service consisting of general advice reasonably given in connection with the eligible incentive plan offer (including any general advice given in the offer document) where the issuer ensures that the offer document for the offer includes a statement to the effect that any advice given by the issuer in connection with the offer is general advice only, and that employees should consider obtaining their own financial product advice from an independent person who is licensed by ASIC to give such advice;

## 13-1117

- (b) a custodial or depository service in connection with an eligible incentive plan offer where the issuer performs their duties in good faith and has sufficient resources to perform those duties;
  - (c) dealing in a financial product in the course of providing a custodial or depository service covered by paragraph (b);
  - (d) issuing a financial product under an eligible incentive plan offer covered by paragraph 4 of this instrument; and
  - (e) dealing in a financial product in connection with an eligible incentive plan offer where any acquisition by purchase or disposal of the product by the issuer occurs either:
    - (i) through a person who holds an Australian financial services licence authorising the holder to deal in financial products; or
    - (ii) outside this jurisdiction and through a person who is licensed or otherwise authorised to deal in financial products in the relevant place.
6. Where the issuer is exempt from Part 7.9 in relation to an eligible incentive plan offer because of the exemption in paragraph 4, the issuer also does not have to comply with section 992A of the Act in relation to an eligible incentive plan offer made in the course of, or because of, unsolicited meetings or telephone calls reasonably held or made in connection with the offer.
7. The plan trustee in its capacity as trustee of the employee share trust does not have to comply with Part 7.9 of the Act where the plan trustee:
- (a) makes an eligible incentive plan offer;
  - (b) offers to arrange for the issue of financial products under an eligible incentive plan offer; or
  - (c) issues a financial product under an eligible incentive plan offer.
8. ASIC exempts a financial product that is the subject of an eligible incentive plan offer from Part 7.9 of the Act where:
- (a) a recommendation is made by a person (other than the issuer) that a person to whom an eligible incentive plan offer has been made, acquire the financial product as a retail client; and
  - (b) the person who made the recommendation is not aware, and ought not reasonably to be aware, that any of the conditions set out in this instrument have not been met;

13-1117

but only in relation to the recommendation by the person.

**Where this instrument applies**

9. This instrument applies where the issuer:

(a) meets the requirements (including, for the avoidance of doubt, definitions) of the class order, except the definitions of:

- (i) “eligible offer” in paragraph 9 of the Interpretation; and
- (ii) “issuer” in paragraph 12 of the Interpretation; and
- (iii) “offer document” in paragraph 15 of the Interpretation; and

(b) would meet the requirements of the class order if:

(i) the definition of an “eligible offer” in paragraph 9 of the Interpretation were to read:

“9. “eligible offer” means an offer for issue or sale of units of options in relation to unissued fully paid shares in an issuer in the same class as shares which have been quoted on the financial market operated by the ASX throughout the 12 month period immediately before the offer without suspension for more than a total of 2 trading days during that period made through a trust under an employee share scheme extended only to eligible employees of the issuer;” and

(ii) in the Interpretation, the following definition were inserted:

“9A. “employee share scheme”, for a body corporate, means a scheme under which shares (or units of shares or options to acquire unissued shares or units of options to acquire unissued shares) in the body or a related body corporate may be acquired:

(a) by, or for the benefit of:

- (i) employees of the body, or of the related body corporate;  
or
- (ii) directors of the body, or of a related body corporate, who hold a salaried employment or office in the body or in a related body corporate; or

(b) by a corporation all of whose members are:

## 13-1117

- (i) employees of the body, or of a related body corporate; or
  - (ii) directors of the body, or of a related body corporate, who hold a salaried employment or office in the body or in a related body corporate;”; and
- (iii) in the definition of an “offer document” in paragraph 15 of the Interpretation, subparagraphs (c) and (d) were to read:
  - “(c) specifies in respect of the units of options, the options or the shares to which the units of options relate:
    - (i) the acquisition price in Australian dollars;
    - (ii) where the acquisition price is specified in a foreign currency, the Australian dollar equivalent of that price at the date of the eligible offer; or
    - (iii) where the acquisition price is to be worked out in the future under a formula, the Australian dollar or Australian dollar equivalent of that price were the formula applied at the date of the eligible offer; and
  - (d) includes an undertaking, and an explanation of the way in which, the issuer who has a registered office in this jurisdiction will, during the period in which an eligible employee may acquire the units of options, within a reasonable period of the employee requesting, make available to the employee:
    - (i) the current market price (or, where that price is denominated in a foreign currency, the Australian dollar equivalent of that price) of the shares to which the units of options relate; and
    - (ii) where sub-subparagraph (c)(ii) or (iii) applies, the information referred to in that subparagraph as updated to that date.”; and
- (iv) in the Interpretation, the following definition were inserted:
  - “19. “unit of option” means a legal or equitable right to an unlisted option in the issuer, held in trust by the plan trustee, where the eligible employee borrows the acquisition price of the unit of option from the plan trustee.”.

13-1117

**Conditions**

10. The issuer can only rely on the exemptions in this instrument if the issuer complies with the following conditions:

- (a) the conditions of the class order (excluding the conditions specified in paragraphs 3 and 4 of the Schedule to the class order) as expressed to apply to them; and
- (b) the issuer must take reasonable steps to ensure that the number of shares that may be issued in connection with any eligible incentive plan offer, when aggregated with:
  - (i) the number of shares in the same class which would be issued were every other outstanding offer with respect to shares, units of shares or options to acquire unissued shares under every other employee share schemes of the issuer to vest or to be accepted or exercised;
  - (ii) the number of shares in the same class issued during the previous 5 years pursuant to:
    - (A) an eligible incentive plan offer extended only to eligible employees; and
    - (B) any other employee share scheme extended only to eligible employees of the issuer;

but disregarding any offer made, option or right acquired or share issued by way of or as a result of:

- (iii) an offer to a person situated at the time of receipt of the offer outside this jurisdiction; or
- (iv) an offer that did not need disclosure to investors because of section 708 of the Act; or
- (v) an offer that did not require the giving of a Product Disclosure Statement because of section 1012D of the Act; or
- (vi) an offer made under a disclosure document or Product Disclosure Statement,

must not exceed 5% of the total number of issued shares in that class of the issuer as at the time of the offer; and

- (c) in the case where an offer of units of options or options is made through a trust:

**13-1117**

- (i) the trustee must hold the options on trust for each person (a "beneficiary") who acquires units of options under an eligible incentive plan offer; and
- (ii) the trustee must cause proper written financial records to be maintained in respect of the activities of the trust and cause those records to be audited annually and made available for inspection by the beneficiaries at an office of the trustee or a place of business of the issuer during normal business hours or such other time as is agreed with beneficiaries; and
- (iii) the trustee must ensure that each option to which a unit held by a beneficiary relates is identified in the written financial records as being held on account of that beneficiary; and
- (iv) the trustee must not levy any fees or charges for operating and administering the trust, either payable directly by the beneficiaries or out of the assets of the trust; and
- (v) except as expressly provided by the trust deed, a beneficiary must have the capacity to authorise the trustee to sell at or above the current market price the shares to which he or she is entitled to under the deed; and
- (vi) the trustee must provide a copy of the trust deed to ASIC at the same time as a copy of the offer document is provided to ASIC in accordance with this instrument; and
- (vii) the issuer must ensure that the trust deed contains covenants binding the trustee and their agents, if any, to the effect that a beneficiary possesses substantially the same rights in respect of the shares to which the units of shares they hold relate as if they were the legal owner of the shares, including the right to:
  - (A) direct the trustee how the voting rights attaching to the shares shall be exercised, either generally or in any particular case; and
  - (B) receive the income deriving from the shares, including dividends declared by the issuer in respect of those shares.

**Declaration**

11. ASIC declares that Chapter 6D of the Act applies in relation to the issuer and the plan trustee as if subsections 707(3) and (4) were omitted. This declaration applies to any sale offer of shares that were issued or transferred in connection with an eligible incentive plan offer where the offer occurs within 12 months after the issue of the shares.



13-1117

**Interpretation**

12. In this instrument:

- (a) a word and phrase used in this instrument has the same meaning as it has in the class order unless the word or phrase is defined in paragraph 9 of this instrument in which case the word or phrase has that meaning;
- (b) *class order* means ASIC Class Order [CO 03/184] as in force on the date of this instrument and as amended from time to time by a disallowable legislative instrument within the meaning of the Legislative Instruments Act 2003;
- (c) *eligible incentive plan offer* means an offer for the issue or sale of units of options, made under an arrangement known as the Ausdrill Limited ACN 009 211 474 Executive Share Option Plan (under which the unit of option is referred to as a share unit) and the terms of which are substantially in the same form as those provided to ASIC on 28 June 2013 and 7 August 2013; and
- (d) *employee share trust*, in relation to an eligible incentive plan offer, means the trust established by a trust deed entered into between the issuer and the plan trustee, the terms of which are substantially in the same form as those provided to ASIC on 28 June 2013 and 7 August 2013.
- (e) *issuer* means Ausdrill Limited ACN 009 211 474 and any related body corporate.
- (f) *plan trustee* means Trinity Management Pty Ltd ACN 118 314 515.

Dated this 5<sup>th</sup> day of September 2013

Signed by Yuki Kobayashi  
as a delegate of the Australian Securities and Investments Commission

CORPORATIONS ACT 2001  
SECTION 601AH(1)& 601AH(2)

Notice is hereby given that the registration of  
the companies mentioned below have been reinstated.

Dated this fourth day of September 2013

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

<b>Name of Company</b>	<b>ACN</b>
A.C.N. 005 565 926 PTY LTD	005 565 926
A.C.N. 124 739 411 PTY LTD	124 739 411
A.C.N. 139 312 833 PTY LTD	139 312 833
AAA & K PTY LTD	147 240 613
ACTION MACHINERY HIRE PTY LTD	107 086 984
ACTIVE APPLICATIONS PTY. LTD.	051 678 276
ADATA PTY LTD	072 129 321
ADDITUDE ADVERTISING PTY. LTD.	150 495 113
AFRON PTY. LTD.	010 934 791
ALL TYPE FRAMING PTY LTD	103 536 296
ALM ADELAIDE PTY. LTD.	140 204 628
ANDRE EAVIS PRODUCTIONS PTY LTD	077 253 797
ANGELIC SOUND & LIGHTING PTY LTD	129 093 269
ANSARI SUPER PTY LIMITED	135 066 385
APAA TRANSPORT PTY LTD	135 777 007
AURANUS PTY LTD	124 170 663
AUSSIE ASSET PROPERTY SERVICES PTY. LTD.	103 728 703
AUSTRALIAN CAMPDRAFTING PTY LTD	082 586 025
AUSTRALIAN PETROLEUM PTY. LTD.	086 664 968
A V P MANAGEMENT PTY LTD	149 253 378

<b>Name of Company</b>	<b>ACN</b>
BAKXIE PTY LIMITED	099 020 229
BARWICK SALES PTY LTD	070 214 327
BELLHAM PTY LTD	122 863 585
BELL KITCHENS PTY LTD	131 981 407
BERRIGAN DOUBE LAWYERS (NSW) PTY LTD	124 612 675
BLACKWOOD WORKS PTY LTD	141 358 965
BLAZEY NOMINEES PTY. LTD.	005 405 943
BRAVONIUM PTY. LTD.	007 063 845
BRISBANE CONCRETE RETAINER WALLS & FENCING PTY LTD	146 384 152
BROADBEACH KEYS PTY LTD	135 612 805
BROADSIDE SYSTEMS PTY LTD	127 819 532
CAMPBELL'S MOTORS PTY. LTD.	004 311 175
CAPITAL H FINANCING PTY. LTD.	130 454 938
CASH COW INVESTMENTS PTY LTD	147 922 638
CENESHOW PTY LTD	002 406 608
CENOBRIGHT PTY LTD	131 285 419
CERFUL TRAVEL PTY. LTD.	149 731 073
CITY KOFFEE PTY LTD	130 768 955
CLAN (QLD) PTY LTD	124 728 703
CLEAR SHIELD PTY. LTD.	142 512 678
CLEARVIEW APPROACH PTY LTD	088 551 900
CLEVEDAN PTY LTD	002 406 153
CMAM PROPERTY PTY LTD	142 006 228
COLLARD PRUDZINSKI & CO PTY LTD	127 605 592
CONCEPT ROOFING & PLUMBING PTY LTD	108 362 732
CONNIA PTY LIMITED	117 468 345
CR'S HAIR STUDIO PTY LTD	134 804 621
CRAFT METALS AUSTRALIA PTY LIMITED	066 271 314
CREATIVE FOODS PTY LIMITED	142 769 882
CROPS PTY LTD	146 324 745
C T D ENTERPRISES PTY LTD	130 204 830
DAVARNIA PTY LTD	137 923 196
DEAL INVEST PTY LTD	142 926 716
DELMAR ENGINEERING PTY. LIMITED	003 451 616
DENNISON AUSTRALIA PTY LTD	006 542 338
DESIGN IS KINKY PTY LIMITED	102 229 121
DEVICON CONSTRUCTIONS PTY LTD	138 938 851
DIAS-MENDIS PTY LTD	147 343 655

<b>Name of Company</b>	<b>ACN</b>
DICK RICHARDS PTY LTD	001 571 704
DIMBULAH DAL BROI PTY. LTD.	134 695 864
DOODLEBERT PTY LTD	134 953 629
DRIVEWORX PTY. LTD.	122 159 415
DUAL ENGINEERING PTY LTD	150 337 563
DUKAA PTY LTD	133 921 641
E.M.A. OIL PTY. LTD.	098 336 353
E.R.D.S PTY LTD	142 454 626
ELIZA TRADING PTY. LTD.	063 444 539
ENHANNIS PTY LTD	142 923 019
EXCALIBUR CONSULTING PTY LTD	134 287 755
FALCONBROOK PTY LTD	001 584 578
FAST & FRESH AUSTRALIA PTY LTD	120 303 317
FERNCARD PTY. LIMITED	056 284 078
FIESTA FOODS PTY LTD	119 582 106
FLASH HOUSE SERVICE PTY LTD	130 360 557
FONDA NOMINEES PTY. LTD.	004 917 668
FOREVER JUICE PTY LTD	141 772 214
FOX MEDIA SERVICES PTY LTD	149 010 186
FS TRADE (AUST) PTY LTD	111 720 471
FUNCTIONAL SYNERGIES PTY LTD.	130 720 240
G AND H CO PTY LTD	114 199 370
GEMWIN PTY LTD	068 287 114
GLUVEGO PTY LIMITED	010 068 943
GOLDEN ROSE SERVICES PTY LTD	139 691 875
GOLD SALUTE PTY LTD	127 284 815
GONGMAN PTY. LTD.	105 960 585
GORDO'S SERVICES PTY. LTD.	135 252 176
GREEN MEADOWS LICENCES PTY. LTD.	007 323 819
GUANG LIN INVESTMENTS PTY. LTD.	141 342 234
GUESTWELL PTY LTD	133 854 485
GWZ HOLDINGS PTY LTD	133 588 155
H&AMPELO INTERNATIONAL GROUP PTY LTD	146 725 439
HALAS LAWYERS PTY LTD	140 549 499
HALLCO NO. 43 PTY LTD	092 043 091
HAMILTON BLAKE NORRIS PTY LTD	067 374 645
HD FOOTAGE PTY LTD	147 617 552
HELPIS DSN PTY LTD	149 897 647

<b>Name of Company</b>	<b>ACN</b>
HIGHGATE DESIGN PTY LTD	150 209 813
HOME SYSTEMS PTY LIMITED	133 051 975
HOUSE OF TILES PTY. LTD.	007 146 787
IJG GROUP 2 PTY LTD	147 112 543
IMAGINE8 PTY LTD	103 024 880
INCAMAN DOWNS PTY. LTD.	135 115 605
INSANE INVESTMENTS PTY LIMITED	127 291 089
IRIDIUM MANAGEMENT PTY LTD	122 997 166
IRONSIDE NOMINEES PROPRIETARY LIMITED	004 937 722
JAPANESE SCREENS & INTERIORS PTY. LTD.	105 215 370
JAZZJOY PTY LTD	109 344 961
J BANDUH ENGINEERING PTY LTD	150 246 361
JCI BUSINESS SERVICES PTY LTD	133 863 975
JEZABELLE INVESTMENTS PTY LIMITED	107 276 371
JJ LESHELL PTY LTD	136 548 055
KALASHIEL PTY LTD	066 409 149
KEVRELLE CONTRACTING PTY LIMITED	105 037 205
KHEHRA TRANSPORT PTY LTD	133 969 874
KIPS INVESTMENT GROUP PTY LTD	125 757 557
KITCHEN INSTALLATION SERVICES PTY. LTD.	112 828 681
KNIGHTS MOTORS PTY. LTD.	074 679 002
KORU FREIGHT MANAGEMENT PTY LTD	096 686 663
KOSTING & QS SERVICES PTY LTD	127 485 929
KRISAM HOLDINGS PTY LTD	107 949 557
KTJB PTY LTD	130 299 660
KULDIP ENTERPRISES PTY LIMITED	085 206 480
L.K TRADING PTY LTD	122 169 082
LAMBO PLANE PTY LTD	149 193 939
LAND & SEA ACQUISITIONS PTY LTD	147 159 144
LANMAC ENVIRONMENTAL PTY LTD	091 313 941
LAURA'S KITCHEN PTY LTD	111 163 458
LIME HEALTH AND BEAUTY PTY LTD	137 239 895
LIVFOR PTY. LTD.	091 223 862
LLOYD PARKER CONSTRUCTIONS PTY LTD	119 303 258
LOEJEN PTY LTD	130 171 425
LUGNESE PTY LTD	145 156 903
LUXURY PLUS INTERNATIONAL PTY LTD	149 158 396
MARKRISE PTY LTD	091 199 290

<b>Name of Company</b>	<b>ACN</b>
MARK THWAITE PLUMBING SERVICES PTY. LIMITED	051 792 815
MAWR PTY. LIMITED	124 008 608
MEDANI PTY LIMITED	154 224 558
MIMARC PTY LTD	086 559 346
MISTIGLEN PTY. LIMITED	006 909 048
MJNEW INVESTMENTS PTY LTD	116 917 572
MNM TRADING PTY LTD	140 442 575
MONGA PTY LTD	081 999 180
MORAN AUSTRALIA (AGED CARE SERVICES) PTY LIMITED	125 518 685
MYSTICAL DIAMONDS PTY. LTD.	066 655 445
N & T COBHAM PTY LTD	107 295 723
NATIONWIDE DEMO PTY LTD	142 978 685
NGURRA PTY LTD	142 089 343
NISMOR PTY. LTD.	005 050 475
NIXLAW PTY. LTD.	010 869 191
NOVANA PTY. LTD.	069 888 357
NUTECTIME INTERNATIONAL PTY. LTD.	116 028 454
NYM GREEN SPACE PTY. LTD.	140 987 275
N 2 E TECHNOLOGY PTY LTD	145 188 085
OPTIMA SPORT PTY LTD	118 470 929
PACIFIC GLOBAL OIL AUSTRALIA PTY LIMITED	128 739 328
PADDU PTY LTD	138 417 651
PALOMERE PTY. LTD.	082 041 389
PANSAL PTY LTD	075 834 203
PARADISE HIRE CO PTY LTD	137 170 819
PARANOR PTY LIMITED	079 853 295
PARKER CONSTRUCTION SERVICES PTY. LTD.	006 401 752
PCEES PTY. LIMITED	003 845 707
PEEC PTY LTD	100 597 046
PETER KENYON ENTERPRISES PTY LTD	051 589 667
PHOENIX-ROWLEY ENTERPRISES PTY LTD	103 723 084
PHOENIX ROWLEY PTY LTD	112 392 542
PHYSIOSPORTS INTERNATIONAL PTY. LTD.	098 364 973
PLATINUM CONCRETE PTY LTD	149 807 250
PLUMBHILL PTY. LTD.	147 875 107
POLLAKO PTY. LIMITED	003 623 550
PROGRESSIVE POINT PTY LTD	150 191 647
PROPERTY EQUITY DEVELOPMENTS PTY LTD	141 920 229

<b>Name of Company</b>	<b>ACN</b>
PROTOCOL BUILDING SERVICES PTY LTD	130 202 827
PYNERY PTY. LTD.	006 527 322
R. & M. COGSWELL PTY. LTD.	005 288 728
R.H.T. NOMINEES PTY LTD	008 881 301
R & S INTERNATIONAL PTY LTD	082 192 112
RANDWICK BODY WORKS PTY LTD	094 419 593
REDCORAL INVESTMENTS PTY LTD	146 452 039
RENAISSANCE DESIGN 1974 PTY LTD	150 209 877
ROMEOS TILING PTY LTD	137 267 460
ROR (QLD) PTY LTD	146 330 985
ROXLEA PTY LTD	126 187 504
RRRAR PTY LTD	121 763 460
RS VENTURES PTY LTD	119 430 161
RURAL SECURITY HOLDINGS PTY LTD	081 322 161
S & T DOOLEY PTY LTD	140 090 455
SAGACITY MANAGEMENT SERVICES (AUST.) PTY. LTD.	105 464 515
SAI TRANSPORT PTY LTD	123 596 494
SARAJEVO ROSE PTY LTD	134 317 749
SEBASTIAN LIP PTY LTD	085 455 429
SELLSIUS PTY LTD	141 217 969
SETARA FOOD SA PTY LTD	119 027 379
SHEPANDA PTY LTD	113 556 326
SHEPHERDS CHOICE PTY. LTD.	050 140 755
SHWE INVESTMENTS PTY LTD	087 211 710
SILK HOTELS NEWCASTLE PTY LTD	150 071 597
SINDHU INVESTMENTS PTY LTD	138 430 930
SLADE7 PTY LTD	004 283 005
SMOKIN COYOTE PTY. LTD.	148 150 949
SOMERSET POOLS & SPAS PTY LTD	129 491 149
SPINDLETOP INTERNATIONAL PTY LTD	149 778 487
SQUARE CUBED PTY LTD	141 791 264
STAINLESS STEEL SERVICES (NSW) PTY LIMITED	135 171 578
SUB REFINISHING PTY LTD	116 470 216
SUPERCARS AUSTRALIA PTY LTD	123 724 498
SWEET PEPPER 'WOKS THAT ROCK' PTY LIMITED	146 519 717
SZEN PTY. LTD.	123 521 693
TAKE ACTION (AUST) PTY LTD	122 824 882
TANSARA PTY. LTD.	099 041 951

<b>Name of Company</b>	<b>ACN</b>
TEKOMO PTY LTD	130 559 821
THE INVESTIGATION GROUP PTY LTD	130 229 711
THE SALES FIRM PTY LTD	135 805 042
THUNDERROAD TRUCKING PTY. LTD.	126 402 480
TID SERVICES PTY LTD	121 044 317
TIGHTROPE WHOLESALE PTY LTD	118 344 791
TOPP ENTERPRISES PTY LTD	142 359 582
TORA INTERIORS PTY LTD	086 099 103
TRIVESCON PTY LTD	107 433 741
TUCK ROOFING PTY LTD	147 248 324
TURNBULL LODGE INVESTMENTS PTY LTD	102 802 611
TWEED TREE SERVICES (WA) PTY LTD	113 583 225
U3 CONSTRUCTIONS PTY LTD	129 707 428
VAN ROOYEN PTY LTD	113 037 504
VISONA PTY LTD	098 540 884
W.B. FASHION PTY LTD	140 944 645
WALSH FAMILY PROPERTY INVESTMENTS PTY. LTD.	149 321 773
WARRAGUL METALS PTY. LTD.	004 756 754
WENDT PTY LTD	121 814 426
WHITECROSS INVEST PTY LTD	075 656 174
WILK FORMING AUSTRALIA PTY LIMITED	069 865 809
WING ATTACK AUSTRALIA PTY LTD	118 877 964
WISE WALLY PRODUCTS PTY LTD	090 090 305
WRYTE PTY. LTD.	127 549 286
XCELLENCE PTY LTD	072 086 063
XTREEM AIRCONDITIONING PTY LTD	096 437 213
YUUU PTY LTD	132 247 440
7 BAY PTY. LTD.	132 269 786



CORPORATIONS ACT 2001

Section 601CL(5)

ASIC has struck the foreign companies listed below off the register.

Dated this sixth day of September 2013

Rosanne Bell

DELEGATE OF

THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

**Name of Company**

**ARBN**

INTERRA RESOURCES (AUSTRALIA) PTE. LTD.

140 571 320

INTERRA RESOURCES LIMITED

129 575 275

ZEBRA TECHNOLOGIES ASIA PACIFIC, LLC

113 823 395

2088220 LIMITED

129 593 246

CORPORATIONS ACT 2001

Section 601CL(4)

ASIC will strike the foreign companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this sixth day of September 2013

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

<b>Name of Company</b>	<b>ARBN</b>
FRANKLIN FUELING SYSTEMS LIMITED	082 173 082
H & S TOOL LTD.	128 242 277
JOSTER NZ LIMITED	129 636 577
SENTINEL U.S. PROPERTY FUND, INC.	107 609 281
SHOOSHOOS CC	153 140 320
SUNSHINE HEART, INC.	109 440 888
UMBRELLA INVESTMENTS NZ LIMITED	138 981 227

CORPORATIONS ACT 2001  
Section 601CC(3)

ASIC will strike the companies listed below off the register three months after the publication of this notice, unless given acceptable reason not to proceed.

Dated this sixth day of September 2013

Rosanne Bell  
DELEGATE OF  
THE AUSTRALIAN SECURITIES AND INVESTMENTS COMMISSION

<b>Name of Company</b>	<b>ARBN</b>
LOCATING SURVEILLANCE AND TARGET ACQUISITION ASSOCIATION INCORPORATED	134 224 187

Corporations Act 2001

Subsection 164(3)

Notice is hereby given that ASIC will alter the registration details of the following companies 1 month after the publication of this notice, unless an order by a court or Administrative Appeals Tribunal prevents it from doing so.

**AMCOR PACKAGING (AUSTRALIA) PTY LTD**  
ACN 004 275 165 will change to a public company limited by shares. The new name will be **AMCOR PACKAGING (AUSTRALIA) LIMITED** ACN 004 275 165.

**VIEVERS & TRITON HOLDINGS PTY LTD** ACN 162 580 832 will change to a public company limited by shares. The new name will be **VIEVERS & TRITON HOLDINGS LTD** ACN 162 580 832.

**300% GROUP LIMITED** ACN 158 968 926 will change to a proprietary company limited by shares. The new name will be **300% GROUP PTY LTD** ACN 158 968 926.